

# Programmable photonic signal processor chip for radiofrequency applications: supplementary material

LEIMENG ZHUANG,<sup>1,\*</sup> CHRIS G. H. ROELOFFZEN,<sup>2</sup> MARCEL HOEKMAN,<sup>3</sup>  
KLAUS-J. BOLLER,<sup>4</sup> AND ARTHUR J. LOWERY<sup>1,5</sup>

<sup>1</sup>Electro-Photonics Laboratory, Electrical and Computer Systems Engineering, Monash University, Clayton, VIC3800, Australia

<sup>2</sup>SATRAX BV, PO Box 456, Enschede, 7500 AL, The Netherlands

<sup>3</sup>LioniX BV, PO Box 456, Enschede, 7500 AL, The Netherlands

<sup>4</sup>Laser Physics and Nonlinear Optics group, University of Twente, PO Box 217, Enschede, 7500 AL, The Netherlands

<sup>5</sup>Centre for Ultrahigh-bandwidth Devices for Optical Systems (CUDOS), Australia

\*Corresponding author: leimeng.zhuang@monash.edu

Published 25 September 2015

This document provides supplementary information to “Programmable photonic signal processor chip for radiofrequency applications,” <http://dx.doi.org/10.1364/optica.2.000854>. It includes descriptions of chip fabrication, experiment setup, and the transfer function of the generated radiofrequency filters. © 2015 Optical Society of America

<http://dx.doi.org/10.1364/optica.2.000854.s001>

**Processor chip fabrication.** The demonstrator processor chip described in this paper was fabricated using a Si<sub>3</sub>N<sub>4</sub>/SiO<sub>2</sub> waveguide technology (TriPlex™ [1], proprietary to LioniX B.V., The Netherlands) in a CMOS-equipment-compatible process. This waveguide platform allows to modify the waveguide dispersion and polarization properties by changing the cross-section. The waveguides can be optimized to provide extremely low losses, around 0.01 dB/cm (which includes bending losses for a radius of 75 μm) at C-band wavelengths. Tapered facets can be provided to reduce fiber-chip coupling loss to lower than 1 dB/facet. Thermo-optical tuning elements were implemented using Chromium heaters and Gold leads, allowing for a tuning speed in the order of milliseconds.

**Experiments.** The microwave photonic system setup for the RF filter experiment consists of a CW laser with 1 MHz-linewidth (EM4 EM-253-80-557) driven by a high-resolution current source (ILX Lightwave LDX-3620), a dual-parallel MZ modulator (JDSU 10Gb/s DPMZ), the programmable processor chip, and a photodetector (Discovery semiconductor DSC30S). The RF filter frequency responses presented in Fig. 4 and 5 were obtained by means of the S<sub>21</sub> network factor measurement using a vector network analyzer (Agilent NA5230A). The configuring of the processor chip was performed by monitoring its optical response, using a high-resolution optical vector analyzer. Programming and calibration of the tuning elements were

performed via a dedicated 12-bit-resolution multi-channel voltage supply.

**Filter transfer function.** The RF filter described in this paper is subject to the principle of a microwave photonic link based on double-sideband modulation and direction detection [2]; however, with the fiber link replaced by a processor chip. The derivations of the overall transfer function of such microwave photonic systems can be found in several previous works [2–4]. Using the similar derivation steps and assuming small signal condition, the overall transfer function of our RF filter can be expressed as

$$\begin{aligned}
 H_{\text{RF}}(f) = & \sqrt{G(f)} \cdot \sqrt{P_{\text{cl}}} \cdot \{ C_{\text{opt}}^*(-\Delta f_2, \Delta f_1) \cdot [H_{\text{rr},2}(f - \Delta f_2) \\
 & + H_{\text{rr},1}(f + \Delta f_1)] + e^{-j\Delta\theta} \cdot C_{\text{opt}}(-\Delta f_2, \Delta f_1) \\
 & \cdot [H_{\text{rr},2}(-f - \Delta f_2) + H_{\text{rr},1}(-f + \Delta f_1)]^* \} \quad (\text{S1})
 \end{aligned}$$

where  $G(f)$  represents the link gain without the effect of the processor chip;  $P_{\text{cl}}$  is the optical insertion loss of the processor chip;  $\Delta f_n$  is the frequency spacing between the resonance of  $n$ th ring resonator and the optical carrier;  $H_{\text{rr},n}(f)$  is the complex transfer function of the  $n$ th ring resonator,  $C_{\text{opt}}(-\Delta f_2, \Delta f_1) = H_{\text{rr},2}(-\Delta f_2) + H_{\text{rr},1}(\Delta f_1)$  is a complex amplitude factor to the optical carrier, and  $\Delta\theta$  is the differential phase between the two optical sidebands ( $\Delta\theta = 0$  for amplitude modulation and  $\pi$  for phase modulation). The transfer function of a ring resonator can be given by

$$H_{\text{tr}_n}(f) = \frac{\sqrt{1-\kappa_n} - \sqrt{L_{\text{rt}}} \cdot e^{-j(2\pi f / \Delta f_{\text{FSR}} + \phi_n)}}{1 - \sqrt{L_{\text{rt}}} \cdot \sqrt{1-\kappa_n} e^{-j(2\pi f / \Delta f_{\text{FSR}} + \phi_n)}} \quad (\text{S2})$$

where  $\kappa_n$  and  $\phi_n$  are the coupling coefficient and additional roundtrip phase shift of the  $n$ th ring resonator,  $\Delta f_{\text{FSR}}$  the FSR, and  $L_{\text{rt}}$  the roundtrip loss.

## References

1. K. Wörhoff, R. G. Heideman, A. Leinse, and M. Hoekman, "TriPlex: a versatile dielectric photonic platform," *Advanced Optical Technol.* **4**, 189-207 (2015).
2. C. H. Cox III, *Analog Optical Links* (Cambridge University Press, 2004).
3. A. Meijerink, *et al.* "Novel ring resonator-based integrated photonic beamformer for broadband phased-array antennas-Part I: design and performance analysis," *J. Lightwave Technol.* **28**, 3-18 (2010).
4. C. K. Madsen and J. H. Zhao, *Optical Filter Design and Analysis: A Signal Processing Approach* (Wiley, 1999).