

Supplemental Information

Automatic Analysis of qNMR Data of Pharmaceutical Compound Libraries

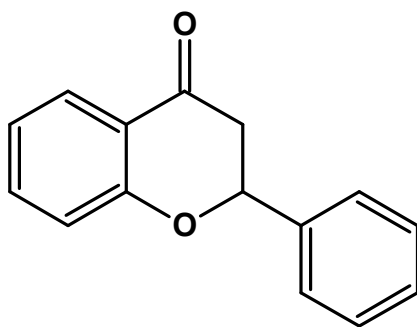
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Janssen Research & Development,

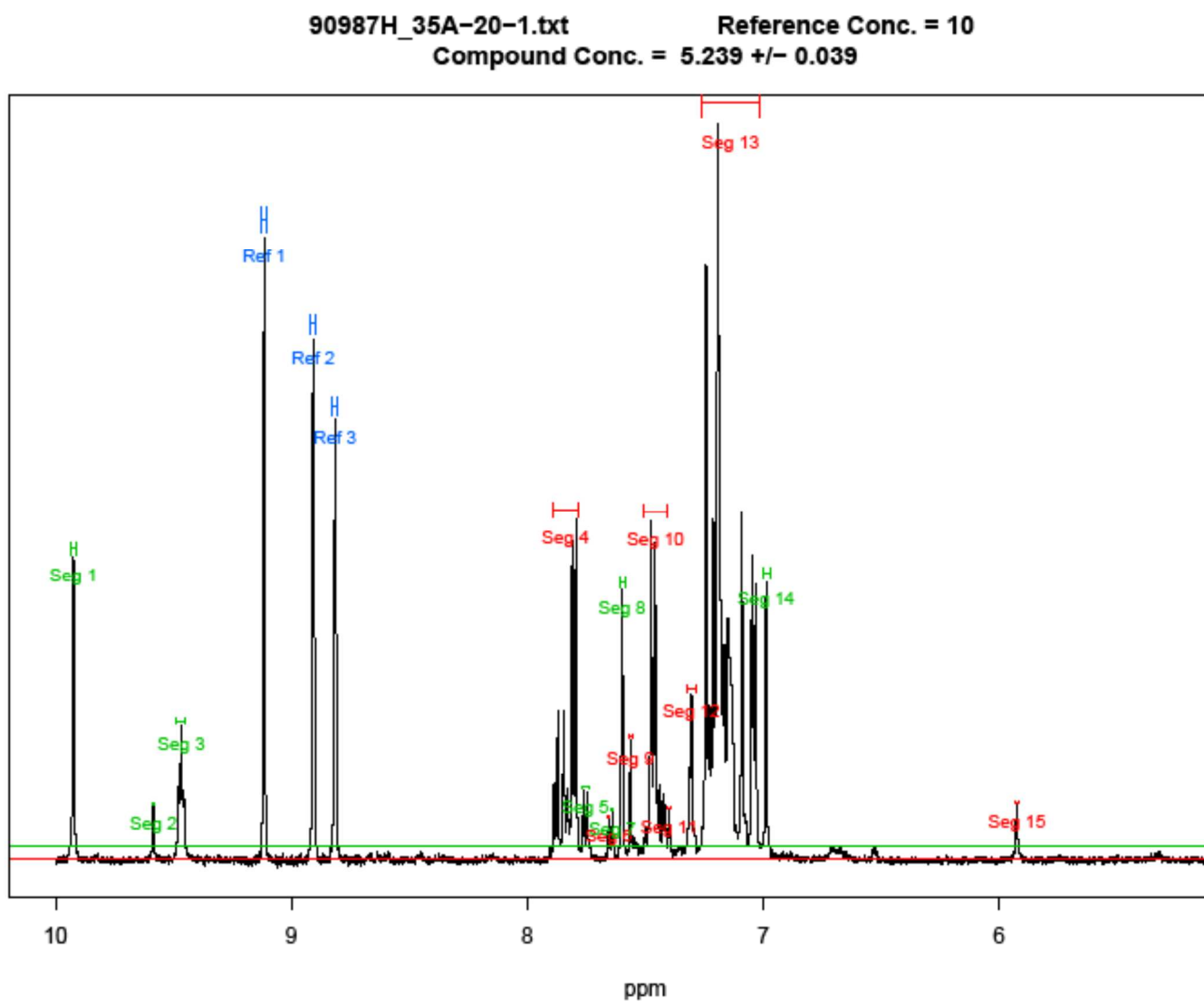
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The structure of flavanone or 4H-1-Benzopyran-4-one, 2,3-dihydro-2-phenyl- that was used in the manuscript:



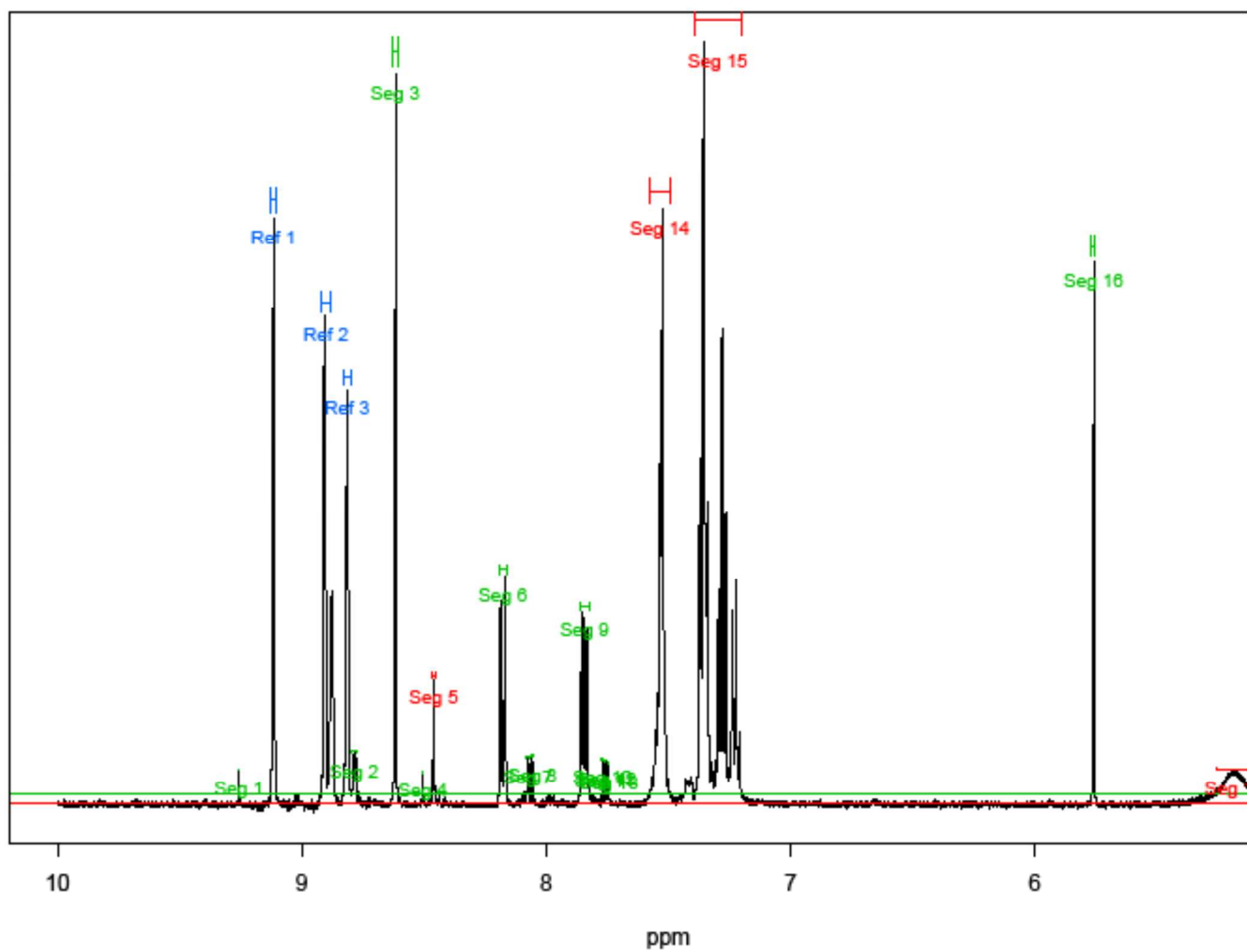
The three spectra below are the output of the automatic analysis for the yellow points in Figure 1.



90997H-170A-10-1.txt

Reference Conc. = 10

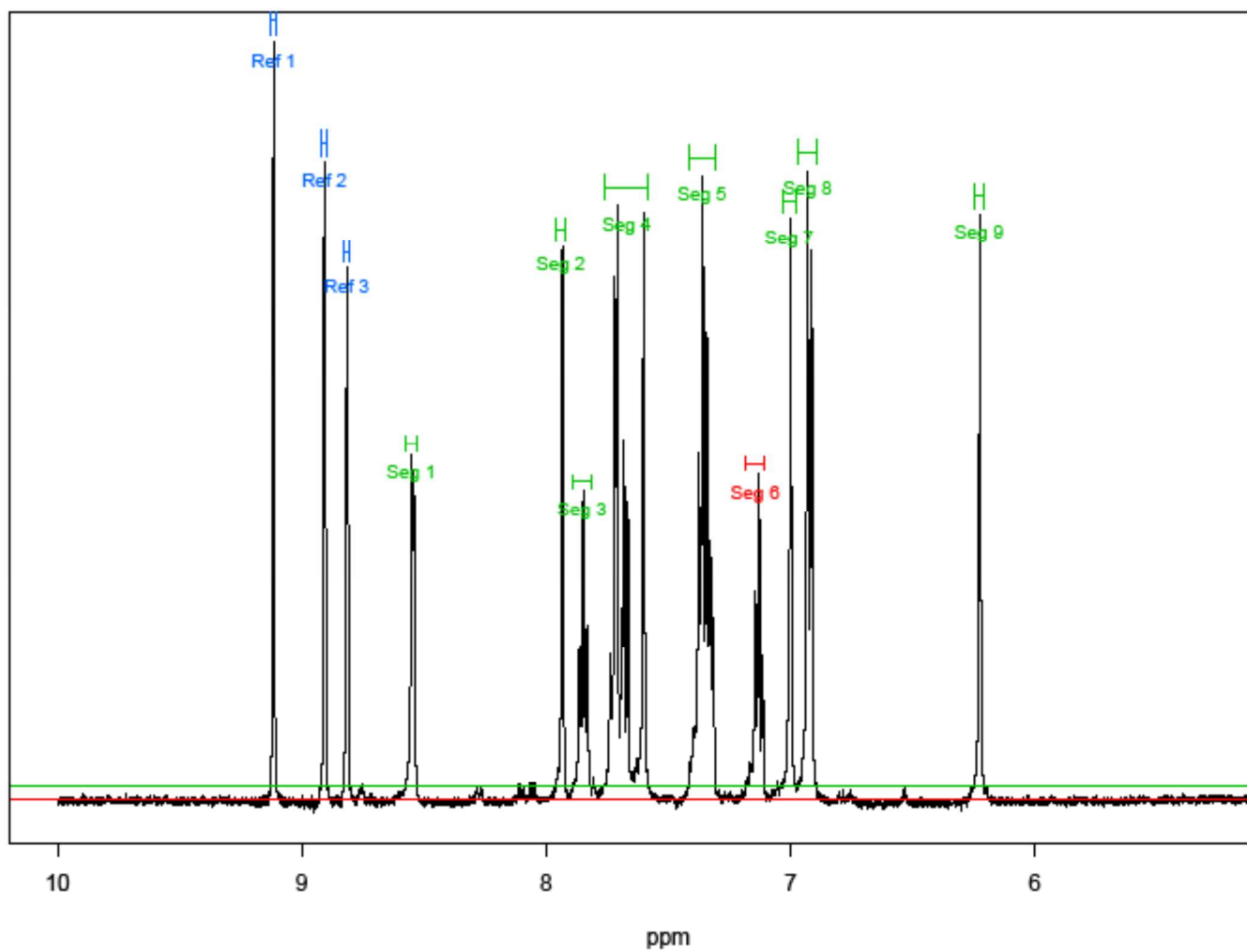
Compound Conc. = 6.747 +/- 0.08



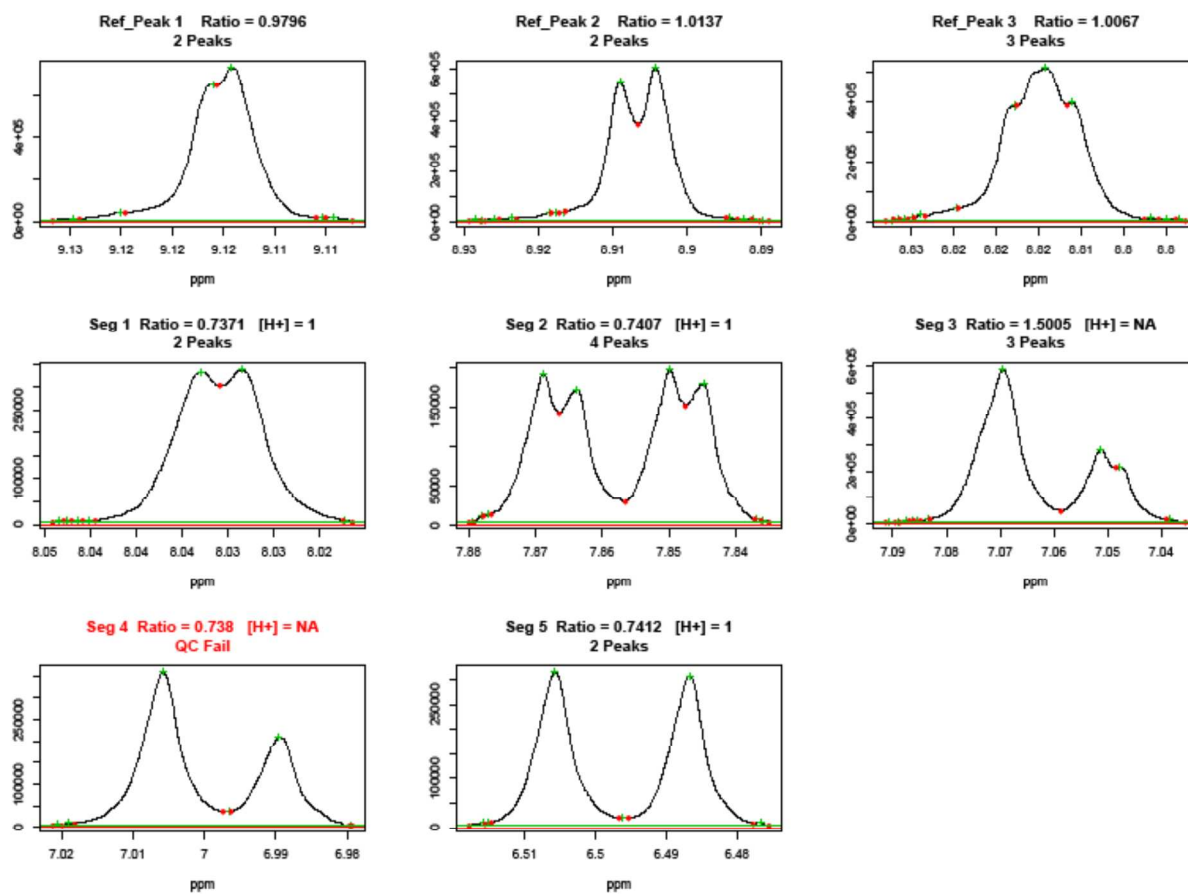
90997H-170C-20-1.txt

Reference Conc. = 10

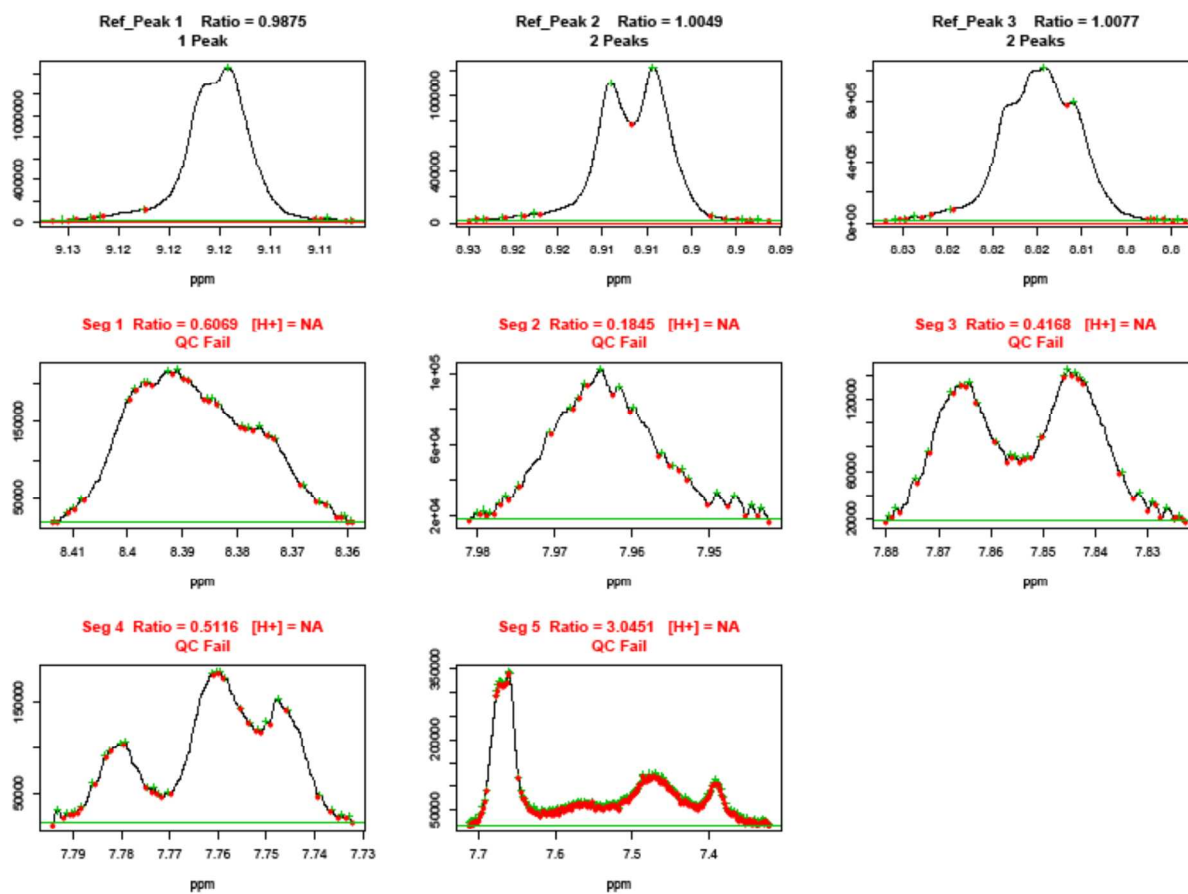
Compound Conc. = 11.33 +/- 0.286



The set of eight panels below are the expansions generated by the R script for the plot shown in Figure 2.



The set of eight panels below are the expansions generated by the R script for the plot shown in Figure 3.



The following is the script described in the paper. It requires that R be installed on your computer.

```
#####
#####
#####
# R code for calculation of compound concentration by analyzing qNMR data
#
# written by Xuejun Liu
# Last Update Date: Mon Apr 09 14:54:15 2012

rm( list=ls() )
#####
#####
#####
# User Defined Inputs.
# data directory; including comma separated raw data files (in .txt format)
data.dirs = read.table("C:/qNMR/Software/qNMR.Data.Dir.txt", as.is=T)[ ,1]

# Global parameters
# Concentration of reference compound (mM)
ref.conc = 10
# minimal ppm to be considered
min.ppm = 5
# Maximum of allowed ratio between the highest reference peak and the lowest
reference peak
max.ref.ratio = 1.05

# End of user input
#####
#####
#####
# functions

f.qNMR.ref.peak = function(dat)
{
# dat: qNMR data, 3 columns (Hz, ppm, Int)
# ppm of 3 ref peaks: 9.12, 8.91, 8.82
rp.ppm = c(9.12, 8.91, 8.82)
x = dat$Int
z = summary(x)
n = length(x)
ppm = dat$ppm

#   sn = (1:n)[ x > z[3] ]

   sn = (1:n)[ x > (z[5]+(z[5]-z[2])*1.5) ]

   ss.start = pmax(1, c( sn[1], sn[-1][diff(sn)>1] ) - 1 )
      # modified on 2012-04-05
   ss.end = pmin(n, sn[ c( (2:length(sn))[diff(sn)>1]-1, length(sn) ) ] +
1 )      # modified on 2012-04-05
```

```

s.start = rep(1, 3)
s.end = rep(n, 3)
for(i in 1:3) {
  sn2 = (1:n)[(ppm>=(rp.ppm[i]-0.03)) & (ppm<=(rp.ppm[i]+0.03)) ]

  sn3 = cbind.data.frame(ss.start, ss.end)[
(ppm[ss.start]>rp.ppm[i]) & (ppm[ss.end]<rp.ppm[i]), ]
  s.start[i] = max( sn3[1,1], min(sn2) )
  s.end[i] = min( sn3[1,2], max(sn2) )
}
segment = cbind(start=s.start, end=s.end, s.Hz=dat$Hz[s.start],
e.Hz=dat$Hz[s.end], s.ppm=ppm[s.start], e.ppm=ppm[s.end], peak.Hz=NA,
peak.ppm=NA, peak.Int=NA, sum.Int=NA )

for(i in 1:nrow(segment) ) {
  s.dat = dat[ segment[i, "start"] : segment[i, "end"], ]
  s.dat = s.dat[order(-s.dat$Int), ]
  segment[i, "peak.Int"] = s.dat$Int[1]
  segment[i, "peak.Hz"] = s.dat$Hz[1]
  segment[i, "peak.ppm"] = s.dat$ppm[1]
  segment[i, "sum.Int"] = sum(as.numeric(s.dat$Int))
}
segment = segment[segment[, "peak.Int"] > (z[5]+(z[5]-z[2])*1.5)*3, ]
segment = cbind(segment, seg.ratio=round(segment[,
"sum.Int"])/min(segment[, "sum.Int"]), d=4) )
segment
}

f.qNMR.segment.QC = function(seg.dat, bgm, bgh, title="", plot.F=TRUE )
{
# seg.dat: qNMR data, 3 columns (Hz, ppm, Int)
# bgm: median of background intensity; bgh: high background intensity
x = seg.dat$Int
n = length(x)
ppm = seg.dat$ppm

sm.df = round(15/median(diff(-ppm))/10^4 )
x.sm = smooth.spline(1:n, x, df=sm.df)

# find troughs and peaks
x[x<bgh] = bgh
xd = diff(x)
tn = (2:(n-1))[ ((xd[1:(n-2)] <= 0) & (xd[2:(n-1)] > 0)) | ((xd[1:(n-
2)] < 0) & (xd[2:(n-1)] >= 0)) ] # trough
pn = (2:(n-1))[ ((xd[1:(n-2)] > 0) & (xd[2:(n-1)] <= 0)) | ((xd[1:(n-
2)] >= 0) & (xd[2:(n-1)] < 0)) ] # peak

# peak recognition and area calculation
peak = matrix(NA, length(pn), 5)
colnames(peak) = c("start.tn", "end.tn", "pn", "height", "area.pct" )
for(i in 1:length(pn) ) {
  peak[i, 1] = max(c(1, tn[tn<pn[i]]))
  peak[i, 2] = min(c(n, tn[tn>pn[i]]))
#
  peak[i, 3] = pn[i]
  peak[i, 4] = x[ pn[i] ]
  peak[i, 5] = sum(seg.dat$Int[ peak[i,1]:peak[i,2] ])
}

```



```

    }
    peak = unique(as.data.frame(peak))
#    peak[,5] = peak[,5] / sum(peak[,5]) * 100
    peak[,5] = peak[,5] / sum(seg.dat$Int) * 100
    for(i in 1:nrow(peak)) {      peak[i, 3] =
round(median(pn[(pn>peak[i,1]) & (pn<peak[i,2])]))    }
    peak = as.matrix(peak[order(-peak[,5], peak[,1]), ])

# Segment QC
    QC = "QC Fail"
    if( sum(peak[,5]>5) < 11 ) {
      if( sum(peak[,5] [ peak[,5]>5 ]) > 90 ) {
        if( sum(peak[,5]>5) == 1 )      {
          QC="1 Peak"
        } else if ( sum(peak[,5]>5) == 2 ) {
          if(peak[2,4] / peak[1,4] >= 0.70)   QC="2 Peaks"
        } else if ( sum(peak[,5]>5) == 3 ) {
          if(peak[3,4] / peak[1,4] >= 0.35)   QC="3 Peaks"
        } else if ( sum(peak[,5]>5) == 4 ) {
          if(peak[4,4] / peak[1,4] >= 0.175)  QC="4 Peaks"
        } else {
          QC="multiple Peaks"
        }
      }
    }

    }

    if(plot.F) {
      plot(-ppm, seg.dat$Int, type="l", xlab="ppm", ylab="", axes=F,
main=paste(title, QC, sep="\n"), col.main= (QC=="QC Fail")+1 )
#      lines(-ppm, x.sm$y, col=4 )
      points(-ppm[ unique(peak[,3]) ], seg.dat$Int[ unique(peak[,3]) ],
pch=3, cex=0.8, col=3)
      points(-ppm[ unique(c(peak[,1:2])) ], seg.dat$Int[
unique(c(peak[,1:2])) ], pch=16, cex=0.8, col=2)
      abline(h=c(bgm, bgh), col=c(2,3) )
      axis(1, axTicks(1), round(-axTicks(1), d=2) )
      axis(2)
      box()
    }
#    peak
    QC
  }

f.cpd.conc = function( dat.seg )
{
# calculate compound concentration
# dat.seg: segment data (output from function "f.qNMR.segment")
  rst = rep(NA, 2)
  y = c()
  flag = ""
  if( (sum(dat.seg[[1]][, "QC"] != "QC Fail")>0) & (sum(dat.seg[[2]][,
"QC"] != "QC Fail")>0) ) {
    xx = dat.seg[[2]][, "seg.ratio"] [ dat.seg[[2]][, "QC"] != "QC Fail"
  ]
    xz = min(xx)
    flag = "Manual Check"
  }
}

```

```

#       x = dat.seg[[2]][, "seg.ratio"] [ (dat.seg[[2]][, "QC"] != "QC
Fail") & (dat.seg[[2]][, "QC"] != "multiple Peaks") & ( (dat.seg[[2]][,
"s.ppm"]-dat.seg[[2]][, "e.ppm"]) < 0.1 ) ]
#       x = dat.seg[[2]][, "seg.ratio"] [ (dat.seg[[2]][, "QC"] != "QC
Fail") & (dat.seg[[2]][, "QC"] != "multiple Peaks") ]

       x = dat.seg[[2]] [ (dat.seg[[2]][, "QC"] != "QC Fail") &
(dat.seg[[2]][, "QC"] != "multiple Peaks"), ]
       if(nrow(x) > 0) {
           x = x[, "seg.ratio"] [ (x[, "QC"] == "1 Peak") | ( (x[, "s.ppm"]-
x[, "e.ppm"]) < 0.1 ) ]
           x = x[x >= 0.1] # added on 2012-04-05, to remove
impurity peaks

           if(length(x) > 0) {

# method 1
#               x = sort(x)
#               while(length(x) > 1) {
#                   if( x[length(x)] / x[1] > 2.5) {
#                       x = x[-1]
#                   } else {
#                       break
#                   }
#               }
#
# method 2
           if(length(x) > 2) {
               xz = log(x)
#               x = x[ ( xz>=(median(xz)-mad(xz)*3) ) & (
xz<=(median(xz)+mad(xz)*3) ) ]
               x = x[ ( xz>(median(xz)+log(0.35)) ) & (
xz<(median(xz)+log(1.3)) ) ]
           }

           z = rep(100, length(x))
           z2 = z
           for(i in 1:length(x)) {
               y = xx/x[i]
               z[i] = sum( (y-round(y))^2 )
               z2[i] = sum( (y*2-round(y*2))^2 )
           }
#           z = (1:length(z)) [order(z)][1]
#           xz = x[z] # ratio of base segment
to average of reference peaks
           xz = x[order(z)][1] # ratio of base segment
to average of reference peaks
           if(xz>1.2) xz = c(x, x/2)[order(c(z, z2))][1]
# added on 2012-04-05, to set the base segment with 2 protons

           flag = ""
       }
}

y = round(xx/xz)
y [xx/xz < 0.8] = 0 # exclude small
segments in calculation of concentration

```

```

x = xx[y>0] / y[y>0]

if(length(x) > 2) {
  y [ (1:length(y))[y>0] [ ( x<(median(x)-mad(x)*3) ) | (
x>(median(x)+mad(x)*3) ) ] ] = NA
  x = x[ ( x>=(median(x)-mad(x)*3) ) & ( x<=(median(x)+mad(x)*3) )
]
}
rst = round( c(cm = mean(x), cse = sd(x) / sqrt(length(x)) ) *
ref.conc, d=3)
}
list(rst, y, flag)
}

f.btw.segment = function(segment, int, min.int)
{
  n = nrow(segment)
  s1 = segment[-n, ]
  s2 = segment[-1, ]
  bs = data.frame(e1 = s1$end, s2 = s2$start, ppm.dif = s1$e.ppm -
s2$s.ppm, start=s1$start, end=s2$end, row1=1:(n-1), row2=2:n)
  int.sel = F
  for(i in 1:nrow(bs)) int.sel[i] = min(int[bs[i,1]:bs[i,2]]) >
min.int
  bs = bs[bs$ppm.dif < 0.01 & int.sel, ]

  bs
}

f.segment.merger = function(segment, dat, min.int)
{
  new.seg = segment
  n = nrow(new.seg)
  bs = f.btw.segment(new.seg, dat$Int, min.int)
  while( (n > 1) & (nrow(bs)>0) ) {
    bs = bs[1, ]
    s.start = bs[1, "start"]
    s.end = bs[1, "end"]
    ns1 = cbind.data.frame(start=s.start, end=s.end,
s.Hz=dat$Hz[s.start], e.Hz=dat$Hz[s.end], s.ppm=dat$ppm[s.start],
e.ppm=dat$ppm[s.end], peak.Hz=NA, peak.ppm=NA, peak.Int=NA, sum.Int=NA )
    s.dat = dat[ s.start : s.end, ]
    s.dat = s.dat[order(-s.dat$Int), ]
    ns1[1, "peak.Int"] = s.dat$Int[1]
    ns1[1, "peak.Hz"] = s.dat$Hz[1]
    ns1[1, "peak.ppm"] = s.dat$ppm[1]
    ns1[1, "sum.Int"] = sum(as.numeric(s.dat$Int))

    new.seg = rbind(new.seg, ns1)[-c(bs[1,"row1"], bs[1,"row2"]), ]
    n = nrow(new.seg)
    bs = f.btw.segment(new.seg, dat$Int, min.int)
  }

  new.seg[order(new.seg[,1]), ]
}

f.qNMR.segment = function(dat, title="" )

```

```

{
# dat: qNMR data, 3 columns (Hz, ppm, Int)
  x = dat$Int
  z = summary(x)
  n = length(x)
  ppm = dat$ppm
  QC.flag = "Pass"

# reference peaks
  rp = data.frame(f.qNMR.ref.peak(dat), QC=I("QC Fail") )
  if(nrow(rp)<1) {
    QC.flag = "No reference peak"
  } else {
    for(i in 1:nrow(rp) ) {
      rp[i, "QC"] = f.qNMR.segment.QC(dat[ rp[i, "start"] : rp[i,
"end"], ], bgm=z[3], bgh=z[5]+(z[5]-z[2])*1.5, plot.F=F )
    }
  }
  if( sum(rp[, "QC"]!="QC Fail") > 0 ) {
    rp.sm = mean(rp[ (rp[, "seg.ratio"]<=max.ref.ratio) & (rp[,
"QC"]!="QC Fail"), "sum.Int"])
    rp[, "seg.ratio"] = round( rp[, "sum.Int"] / rp.sm, d=4)
  } else {
    QC.flag = "No reference peak passed QC"
  }

# segment detection
#   sn = (1:n)[ x > z[3] ]

  sn = (1:n)[ x > (z[5]+(z[5]-z[2])*1.5) ]

  sn = setdiff( sn, min(rp[,1]):max(rp[,2]) ) #
remove segment covering reference peaks
  s.start = pmax(1, c( sn[1], sn[-1][diff(sn)>1] ) - 1 )
  # modified on 2012-04-05
  s.end = pmin(n, sn[ c( (2:length(sn))[diff(sn)>1]-1, length(sn) ) ] + 1
)
  # modified on 2012-04-05
  segment = cbind.data.frame(start=s.start, end=s.end,
s.Hz=dat$Hz[s.start], e.Hz=dat$Hz[s.end], s.ppm=ppm[s.start],
e.ppm=ppm[s.end], peak.Hz=NA, peak.ppm=NA, peak.Int=NA, sum.Int=NA )
  for(i in 1:nrow(segment) ) {
    s.dat = dat[ segment[i, "start"] : segment[i, "end"], ]
    s.dat = s.dat[order(-s.dat$Int), ]
    segment[i, "peak.Int"] = s.dat$Int[1]
    segment[i, "peak.Hz"] = s.dat$Hz[1]
    segment[i, "peak.ppm"] = s.dat$ppm[1]
    segment[i, "sum.Int"] = sum(as.numeric(s.dat$Int))
  }

  segment = segment[!is.na(segment[,3]) & !is.na(segment[,4]), ]
  segment = segment[pmax( x[segment[, 1]], x[segment[, 2]] ) <=
(z[5]+(z[5]-z[2])*1.5), ]

  if( sum(segment[, "peak.Int"] > (z[5]+(z[5]-z[2])*1.5)*3) < 1 ) {
    QC.flag = "No compound peak"
  } else {

```

```

        segment = segment[segment[, "peak.Int"] > (z[5]+(z[5]-
z[2])*1.5)*3, ]
        if(nrow(segment)>1)      segment = f.segment.merger(segment, dat,
min.int=max((z[5]+(z[5]-z[2])*1.5)/2, z[3]*15))      # added on 2012-04-09,
merger adjacent segments

        segment = cbind(segment, seg.ratio=round(segment[,
"sum.Int"]/rp.sm, d=4), QC=I("QC Fail") )
        for(i in 1:nrow(segment) ) {
            segment[i, "QC"] = f.qNMR.segment.QC(dat[ segment[i,
"start"] : segment[i, "end"], ], bgm=z[3], bgh=z[5]+(z[5]-z[2])*1.5, plot.F=F
)
        }
    }

    cpd.flag = ""
    if(QC.flag == "Pass")    {
        cpd.conc = f.cpd.conc ( list("Ref_Peak"=rp, "Segment"=segment) )
        proton.cnt = rep(NA, nrow(segment) )
        proton.cnt[segment[, "QC"] != "QC Fail"] = cpd.conc[[2]]
        cpd.flag = cpd.conc[[3]]
        cpd.conc = cpd.conc[[1]]
        plot(-ppm, x, type="l", xlab="ppm", ylab="", axes=F,
main=paste(title, "Reference Conc. =", ref.conc,
"\nCompound Conc. = ", cpd.conc[1], "+/-", cpd.conc[2] ) )
        axis(1, axTicks(1), round(-axTicks(1), d=1) )
        abline(h=c(z[3], z[5]+(z[5]-z[2])*1.5), col=c(2,3))
        box()
        for(i in 1:nrow(rp) ) {
            lines( -rep(rp[i, "s.ppm"], 2), rp[i, "peak.Int"]*c(1.01,
1.05), col=(rp[i, "QC"]!="QC Fail")*2 + 2 )
            lines( -rep(rp[i, "e.ppm"], 2), rp[i, "peak.Int"]*c(1.01,
1.05), col=(rp[i, "QC"]!="QC Fail")*2 + 2 )
            lines( -c(rp[i, "s.ppm"], rp[i, "e.ppm"]), rp[i,
"peak.Int"]*c(1.03, 1.03), col=(rp[i, "QC"]!="QC Fail")*2 + 2 )
            text( -(rp[i, "s.ppm"]+rp[i, "e.ppm"])/2, rp[i,
"peak.Int"]*1, paste("Ref", i), cex=0.8, pos=1, col=(rp[i, "QC"]!="QC
Fail")*2 + 2 )
        }
        for(i in 1:nrow(segment) ) {
            lines( -rep(segment[i, "s.ppm"], 2), segment[i,
"peak.Int"]*c(1.01, 1.05), col=(segment[i, "QC"]!="QC Fail") + 2 )
            lines( -rep(segment[i, "e.ppm"], 2), segment[i,
"peak.Int"]*c(1.01, 1.05), col=(segment[i, "QC"]!="QC Fail") + 2 )
            lines( -c(segment[i, "s.ppm"], segment[i, "e.ppm"]),
segment[i, "peak.Int"]*c(1.03, 1.03), col=(segment[i, "QC"]!="QC Fail") + 2 )

            text( -(segment[i, "s.ppm"]+segment[i, "e.ppm"])/2,
segment[i, "peak.Int"]*1, paste("Seg", i), cex=0.8, pos=1, col=(segment[i,
"QC"]!="QC Fail") + 2 )
        }
        par(mfrow=c(3,3) )
        for(i in 1:nrow(rp) ) {
            f.qNMR.segment.QC(dat[ rp[i, "start"] : rp[i, "end"], ],
bgm=z[3], bgh=z[5]+(z[5]-z[2])*1.5, title=paste("Ref_Peak", i, "Ratio =",
rp[i, "seg.ratio"]) )
        }
    }

```

```

        for(i in 1:nrow(segment) ) {
            f.qNMR.segment.QC(dat[ segment[i, "start"] : segment[i,
"end"], ], bgm=z[3], bgh=z[5]+(z[5]-z[2])*1.5, title=paste("Seg", i, " Ratio
=", segment[i, "seg.ratio"], " [H+] =", proton.cnt[i]) )
        }
    } else {
        cpd.conc = rep(NA, 2)
        plot(-ppm, x, type="l", xlab="ppm", ylab="", axes=F,
main=paste(title, "\n", QC.flag) )
        axis(1, axTicks(1), round(-axTicks(1), d=1) )
        abline(h=c(z[3], z[5]+(z[5]-z[2])*1.5), col=c(2,3))
        box()
    }

    list("Ref_Peak"=rp, "Segment"=segment, "Cpd_Conc"=cpd.conc,
"Cpd.flag"=cpd.flag)
}

#      dat.seg = f.qNMR.segment(dat, title=fn )

# end of functions
#####
#####
#####
# Data processing
setwd("C:")
dir.create("C:/qNMR")
setwd("C:/qNMR")
dir.create("C:/qNMR/Process.Log")

#      pdf(file="C:/Data.analysis/JieJun.Wu/qNMR/Test_Segment7.pdf", width=11,
height=8)

for(data.dir in data.dirs) {
    cat("Processing data in", data.dir, "\n")
    setwd(data.dir)
#      dir.create("Process.Log")
    fns = list.files(pattern="txt")
    cpd.conc = matrix(NA, length(fns), 2)
    dimnames(cpd.conc) = list( fns, c("Conc.Avg", "Conc.SE") )
    flag=rep("", length(fns))
    names(flag) = fns

    for(fn in fns ) {
cat(fn, "\n")
        pdf(file=paste("C:/qNMR/Process.Log/", fn, ".pdf", sep=""),
width=11, height=8)
        dat = read.delim(file=fn, header=F, sep=",")
        colnames(dat) = c("Hz", "ppm", "Int")
        dat$Int = as.double(dat$Int)
        dat = dat[dat$ppm>=min.ppm, ]
        par(mfrow=c(1,1))
        dat.seg = try( f.qNMR.segment(dat, title=fn ) )
        if( class(dat.seg) == "list" ) {
            cpd.conc[fn, ] = dat.seg[[3]]
            flag[fn] = dat.seg[[4]]
        }
    }
}

```

```

        dev.off()
    }

    dd = gsub("\\\\\\\\", "_", data.dir)
    dd = gsub("\\\\/", "_", dd)
    dd = gsub(":", "", dd)
    dd = gsub("__", "_", dd)
    while(substr(dd,1,1) == "_" ) {      dd = substr(dd, 2, nchar(dd)) }

    flag[ (cpd.conc[,1]>12) | (cpd.conc[,1]<3) | is.na(cpd.conc[,2]) |
(cpd.conc[,2]>0.5) ] = "Manual Check"
    write.table(data.frame(FileName=fns, cpd.conc, Flag=flag),
file=paste("C:/qNMR/Process.Log/", dd, "_qNMR.csv", sep=""), sep="," ,
row.names=F, col.names=T)
}

#      dev.off()

setwd("C:/qNMR")

#####
#####
#####
# end of the entire program

```