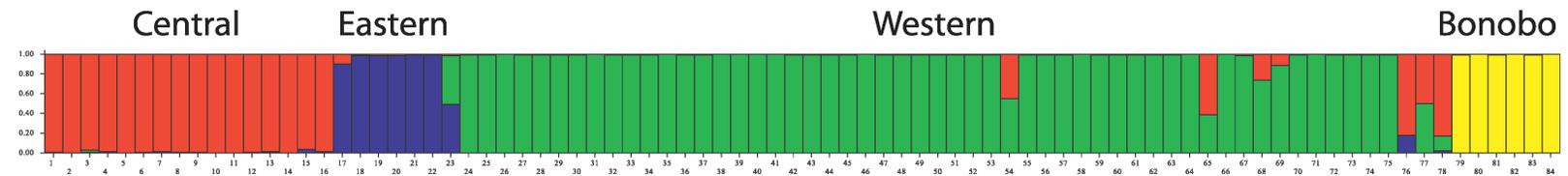
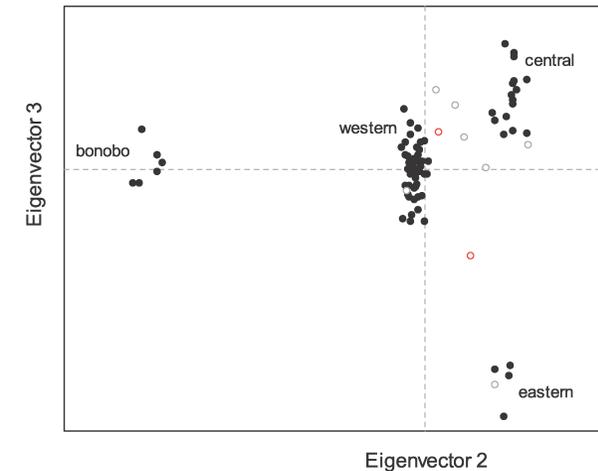
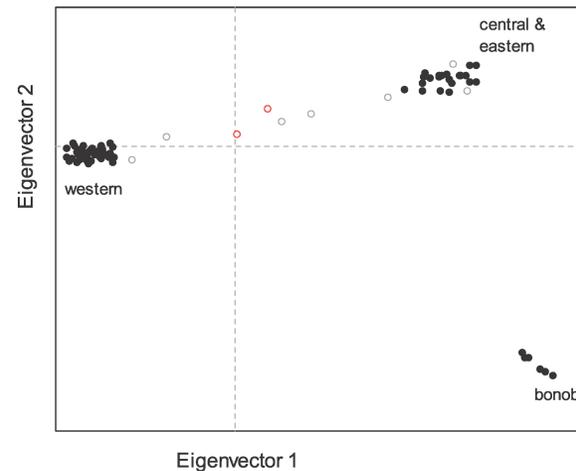
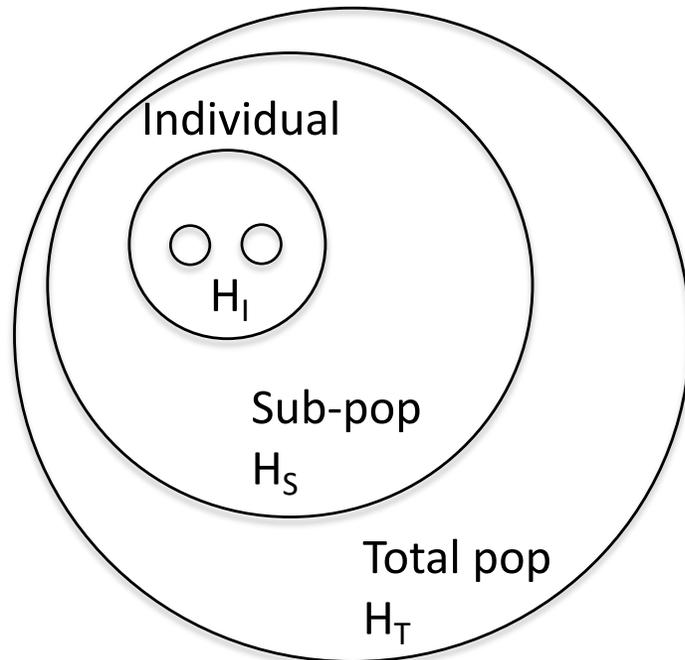


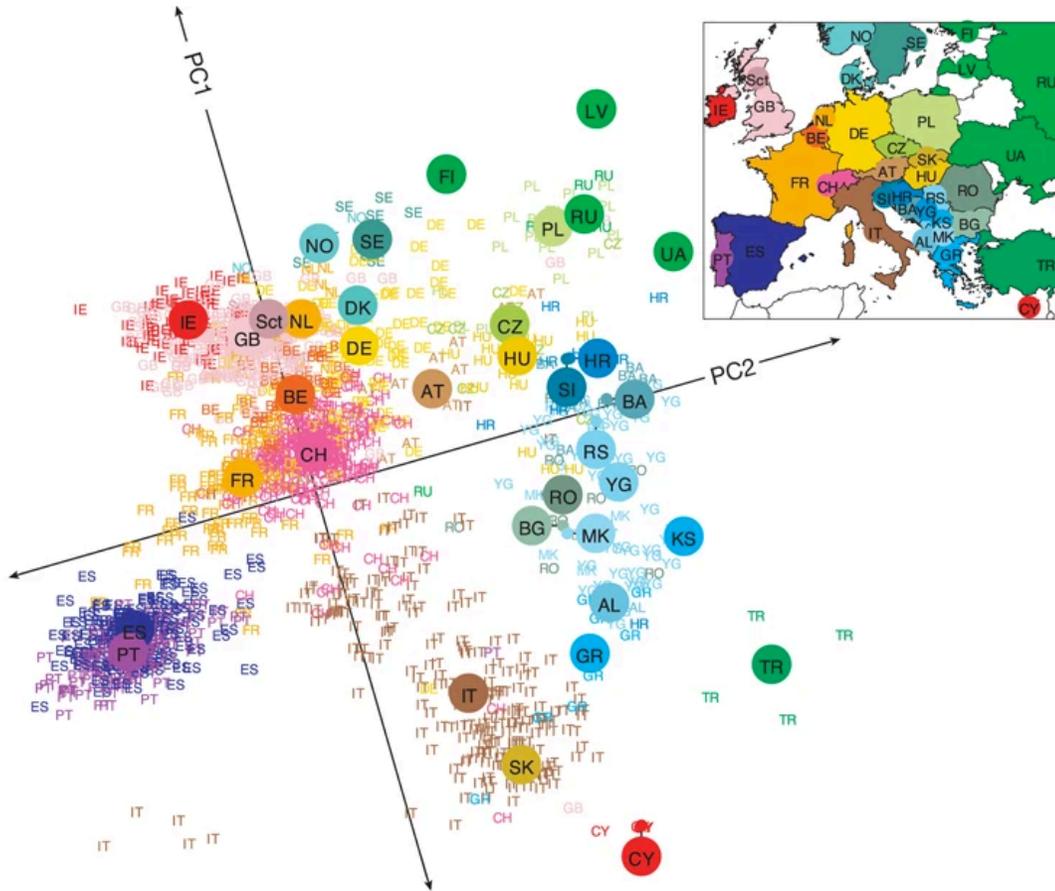
# Coop, Chapter 3: Intro-3.0.1

## Population Structure and Correlations Among Loci

*Inbreeding as a summary of population structure*



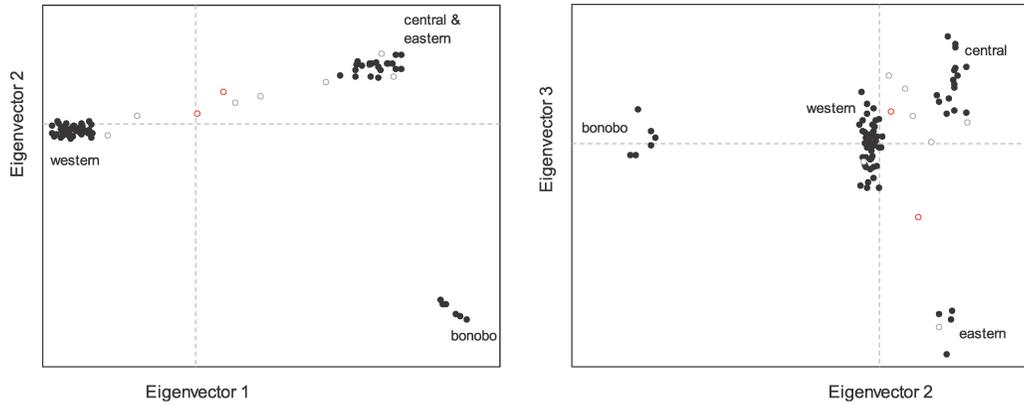
### 3: Introduction



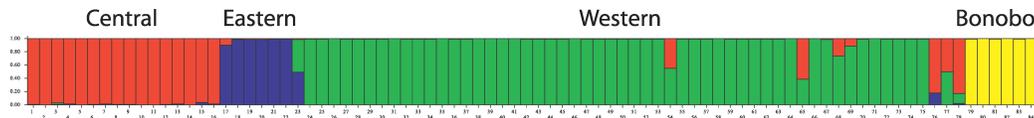
Novembre et al. 2008

- Individuals rarely mate randomly; for example individuals from Spain are more likely to mate with others from Spain than with those from the Ukraine
- This form of non-random mating is called **population structure** and has profound affects on the distribution of genetic variation in populations

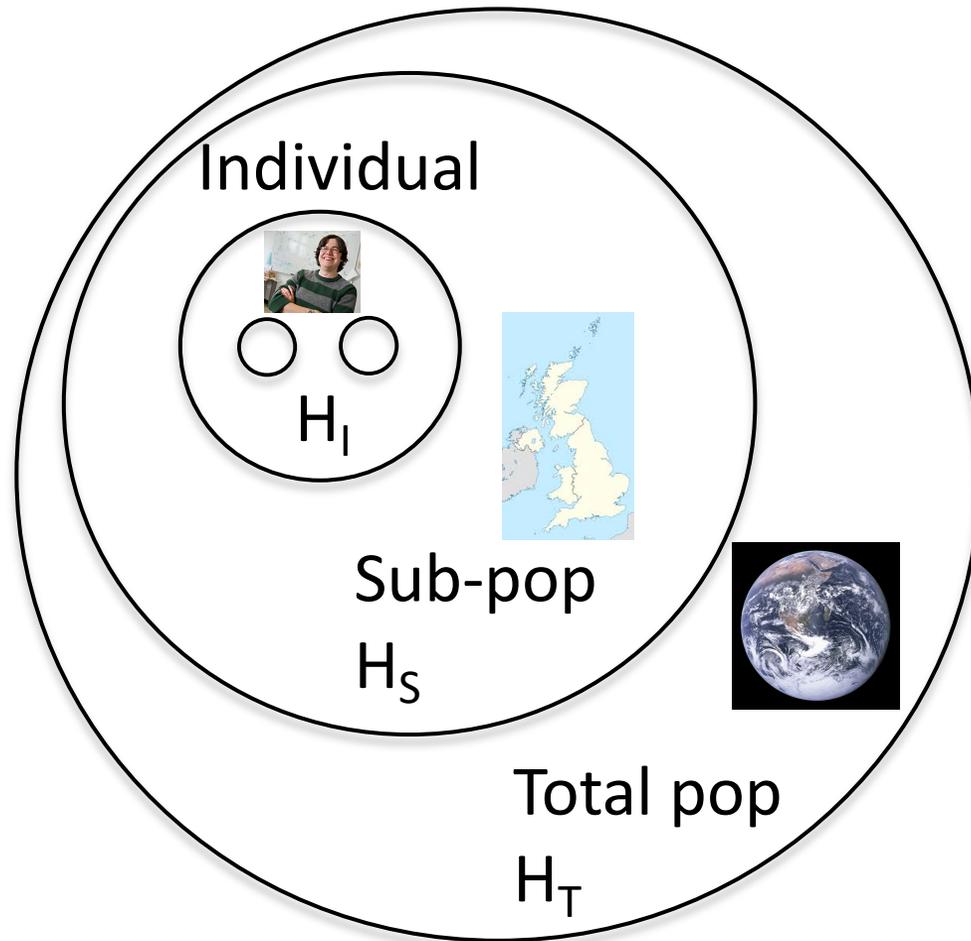
# 3: Introduction



- This chapter walks through common ways to summarize and visualize population structure
- Toward the end of the chapter, we'll also consider how population structure drives correlations in allele state across “linked” loci
- Characterization of structure is often one of the first steps in a population genomic study

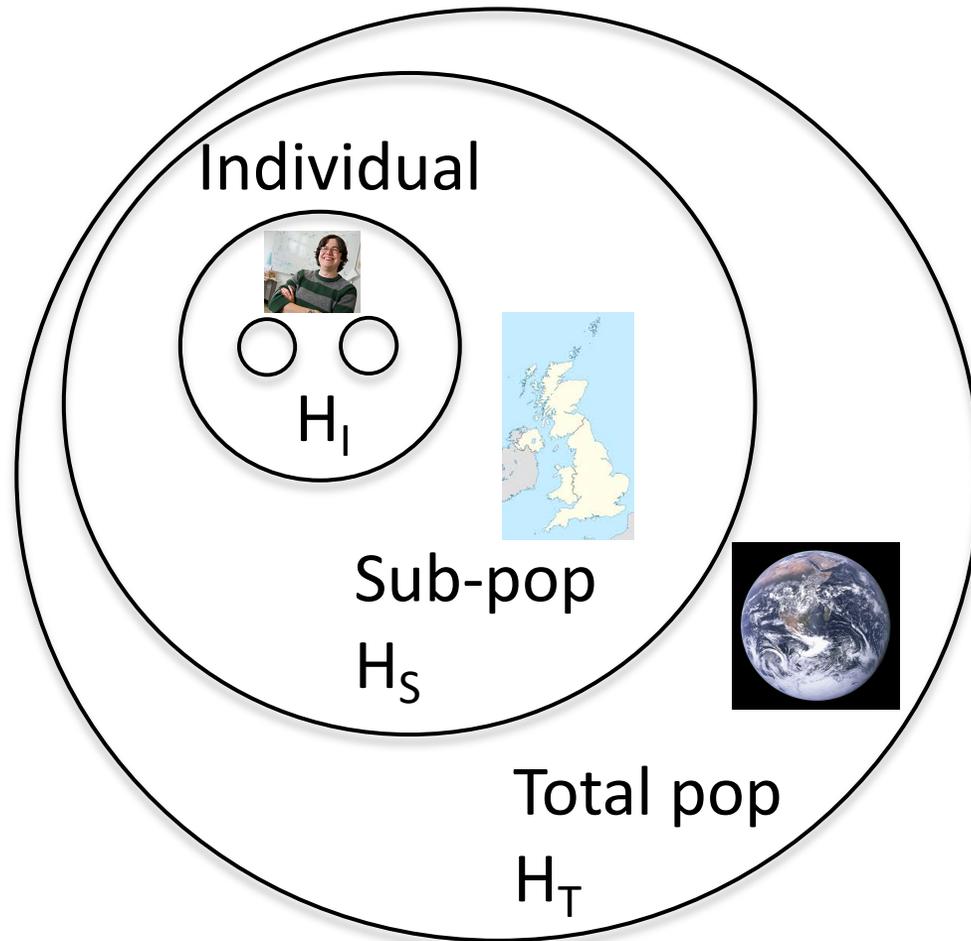


### 3.0.1: Inbreeding as a summary of population structure



- Inbreeding and inbreeding coefficients are one natural entry point for considering population structure
- Inbreeding: whether an individual's parents are more closely related than random draws from a reference population
- Choice of reference matters: Dr. Coop's parents may have been random draws from the UK, but likely not from the world

### 3.0.1: Inbreeding as a summary of population structure

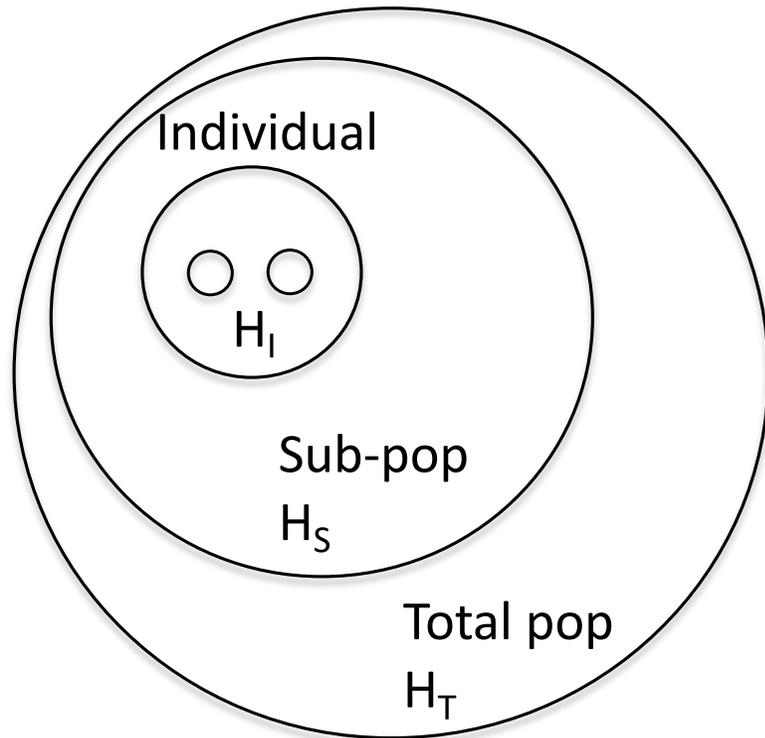


- Dr. Coop's coefficient of inbreeding ( $F_{ij}$ ) would be nearly 0 if calculated with respect to individuals in the UK, but slightly higher with respect to the entire world's population
- There is lower heterozygosity within the UK's population than in the world's

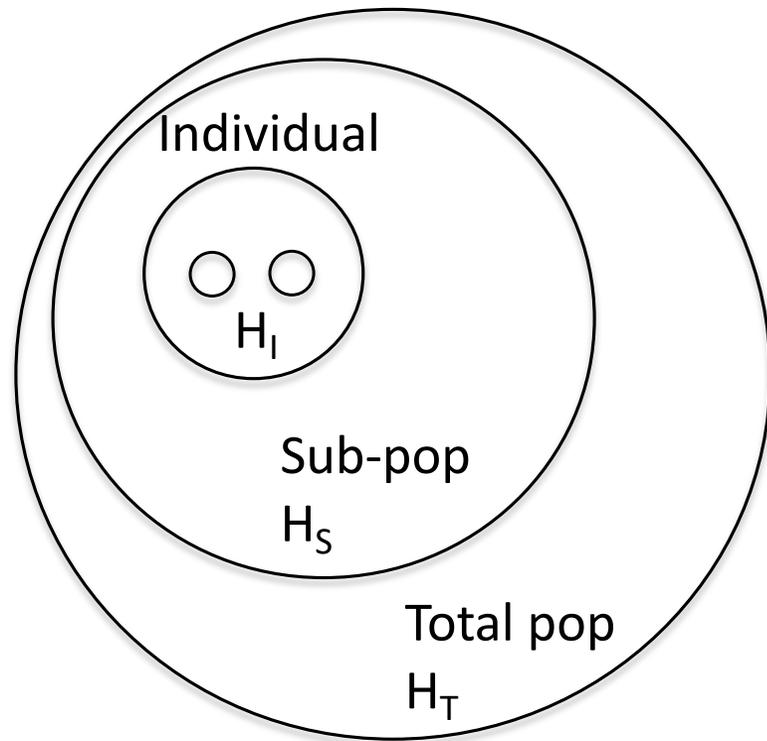
### 3.0.1: Inbreeding as a summary of population structure



- Sewall Wright devised a set of  $F$ -statistics (*i.e.*, fixation indices) to consider inbreeding with respect to population structure
- $F_{XY}$ : correlation between random gametes drawn from the same level  $X$  relative to level  $Y$
- For example:  $F_{IS}$  = inbreeding coefficient of individual ( $I$ ) relative to subpopulation ( $S$ )



### 3.0.1: Inbreeding as a summary of population structure



- Consider a locus where in subpopulation (S), a proportion  $H_I = f_{12}$  of individuals are heterozygous:

- The frequency of  $A_1$  is  $p_S$  and expected heterozygosity is:

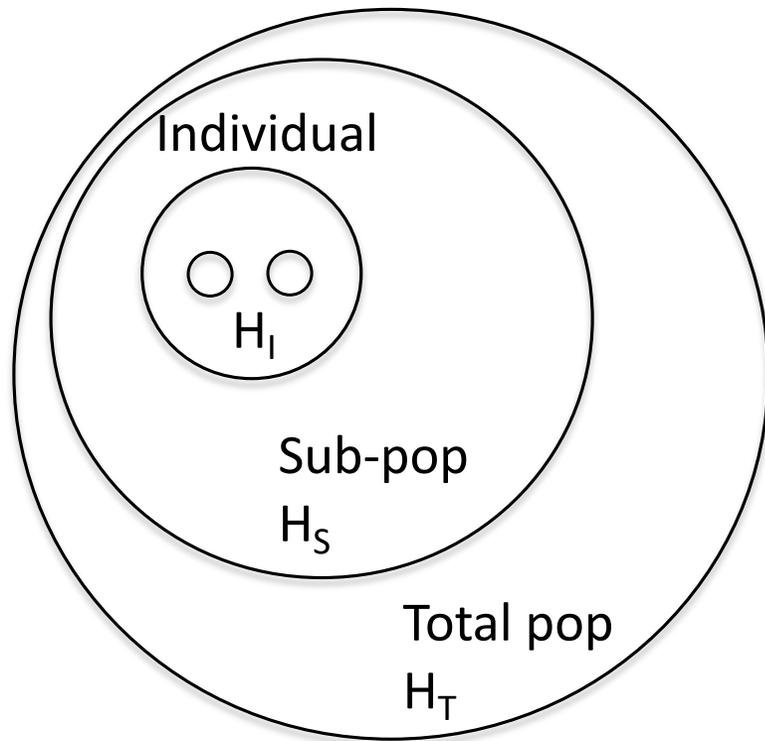
$$H_S = 2p_S(1 - p_S)$$

- Therefore:

$$F_{IS} = 1 - \frac{H_I}{H_S} = 1 - \frac{f_{12}}{2p_Sq_S}, \quad (3.1)$$

- $F_{IS}$  is the relative difference between observed and expected heterozygosity due to deviations from random mating in a subpopulation

### 3.0.1: Inbreeding as a summary of population structure



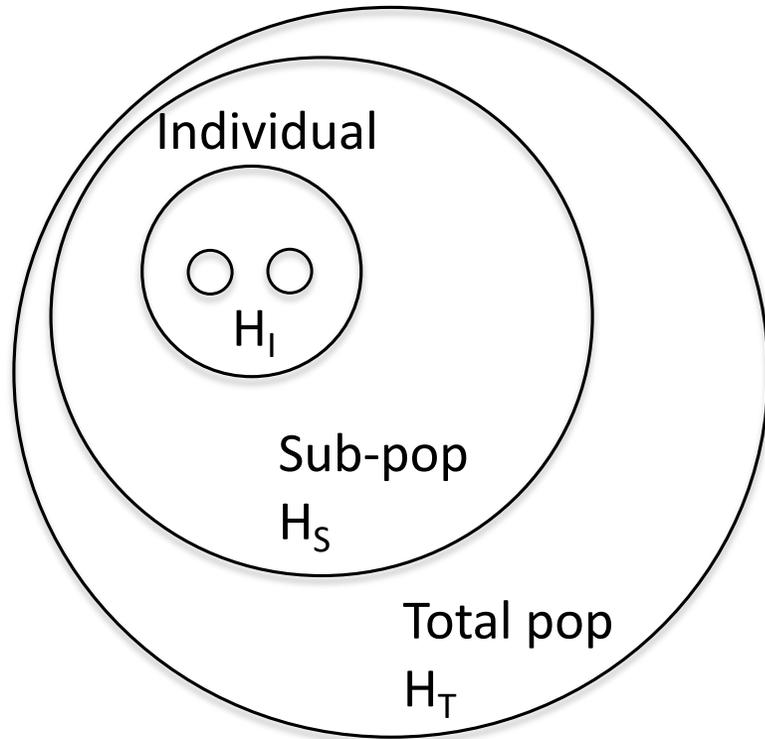
- We can also compare other levels within this hierarchy
- Deviations in observed and expected heterozygosity in individuals ( $H_I$ ) vs. the total population ( $H_T$ ):

$$F_{IT} = 1 - \frac{H_I}{H_T} = 1 - \frac{f_{12}}{2p_T q_T}, \quad (3.2)$$

- Deviations in expected heterozygosity in subpopulations ( $H_S$ ) vs. the total population ( $H_T$ ):

$$F_{ST} = 1 - \frac{H_S}{H_T} = 1 - \frac{2p_S q_S}{2p_T q_T}. \quad (3.3)$$

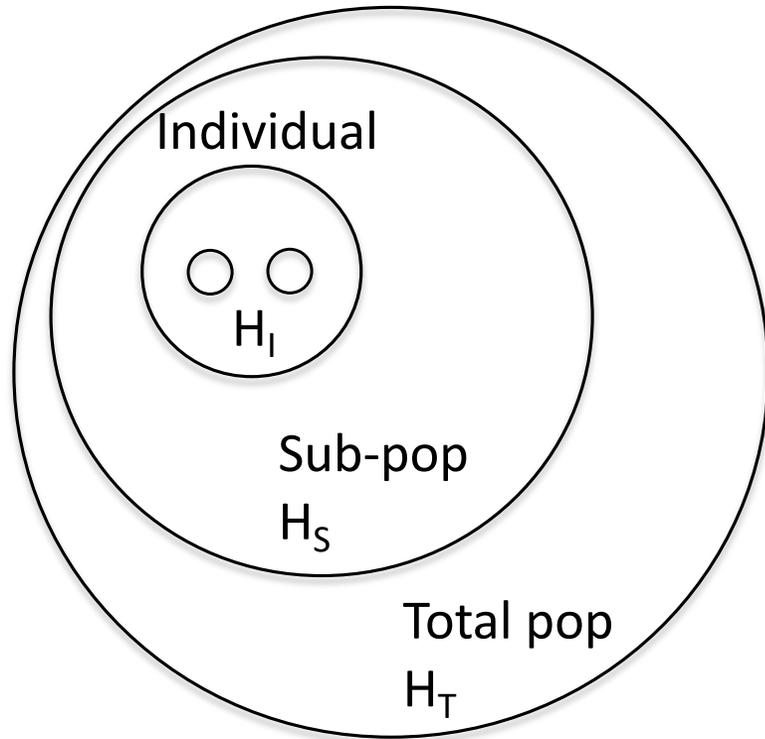
### 3.0.1: Inbreeding as a summary of population structure



- We can also show that the decrease in heterozygosity between the individual and total population levels can be decomposed into its component parts:

$$(1 - F_{IT}) = \frac{H_I}{H_S} \frac{H_S}{H_T} = (1 - F_{IS})(1 - F_{ST}). \quad (3.4)$$

### 3.0.1: Inbreeding as a summary of population structure



- $F_{ST}$  can also be calculated as an average across multiple subpopulations:

$$F_{ST} = 1 - \frac{\bar{H}_S}{H_T}, \quad (3.5)$$

with each  $H_S$  calculated using allele frequencies from each subpopulation

- measurements of  $F_{ST}$  can also be based on many loci across the genome by using average values of  $H_I$ ,  $H_S$ , and  $H_T$  across loci

## 3.0.1: Inbreeding as a summary of population structure

- Let's consider an empirical example of how  $F_{ST}$  can vary across a genome by looking at blue- and golden-winged warblers
- Native to eastern North America, with the golden-winged warbler having a more northerly distribution
- Where their ranges overlap, these species readily hybridize

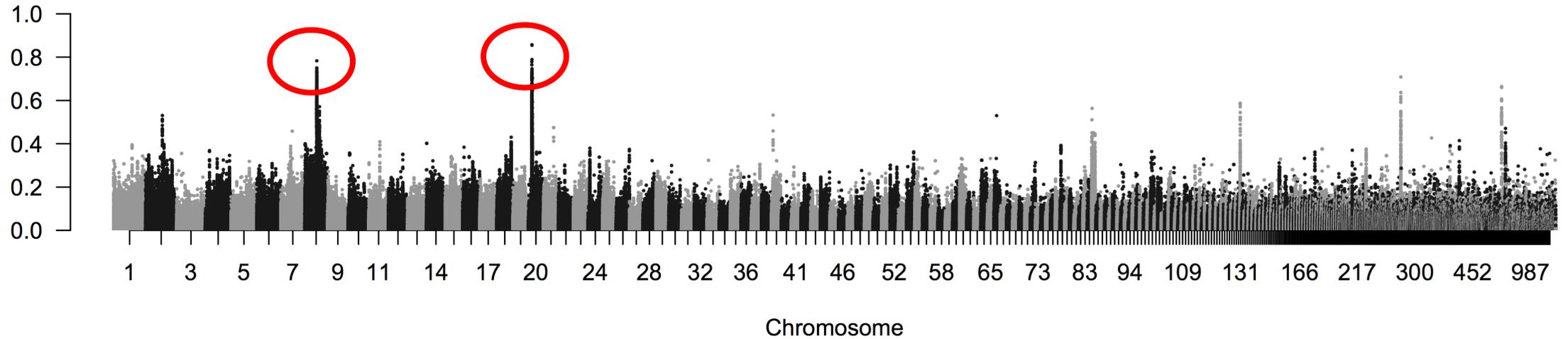


## 3.0.1: Inbreeding as a summary of population structure

- The golden-winged warbler is listed as a threatened species in Canada and has received population genetic study motivated by conservation
- After sequencing thousands of loci across the genome, Toews and co-authors (2016) found that the genome-wide average of  $F_{ST}$  was very low (0.0045) between the two species
- Even at the species level, these warblers appeared to be randomly mating
- However...

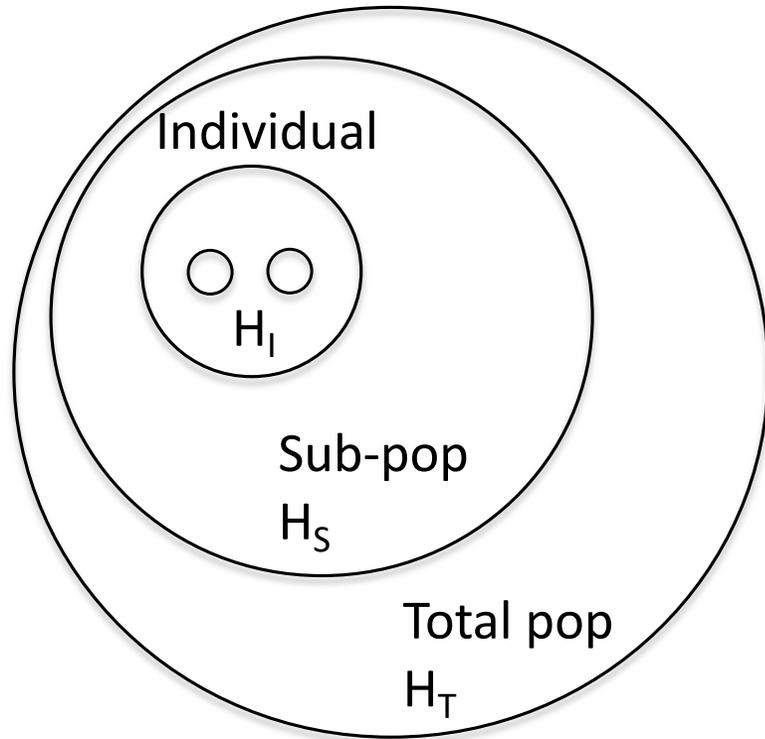


### 3.0.1: Inbreeding as a summary of population structure



- When the landscape of  $F_{ST}$  was plotted across the genome, certain high  $F_{ST}$  regions were identified
- These corresponded to genes underlying plumage coloration

### 3.0.1: Inbreeding as a summary of population structure

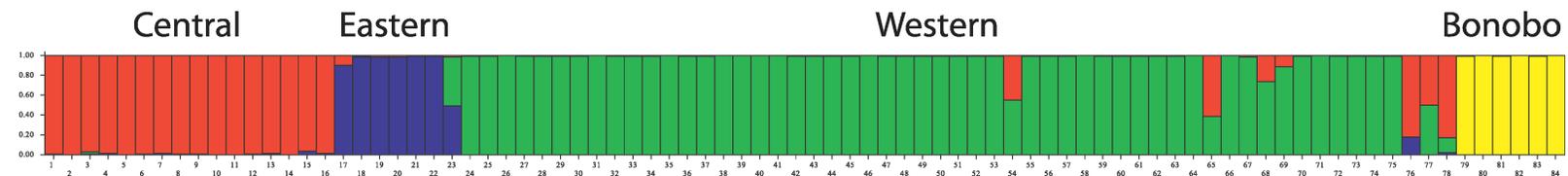
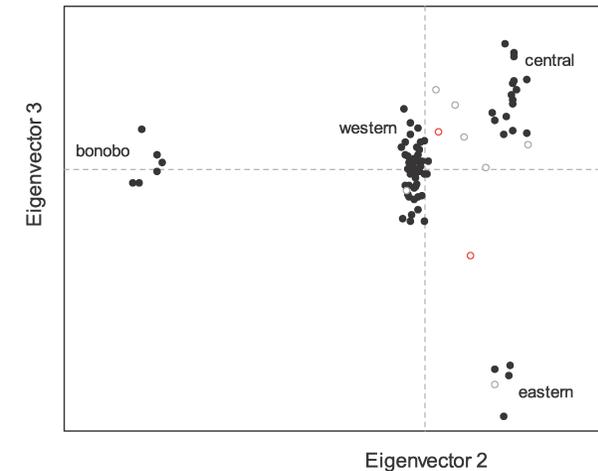
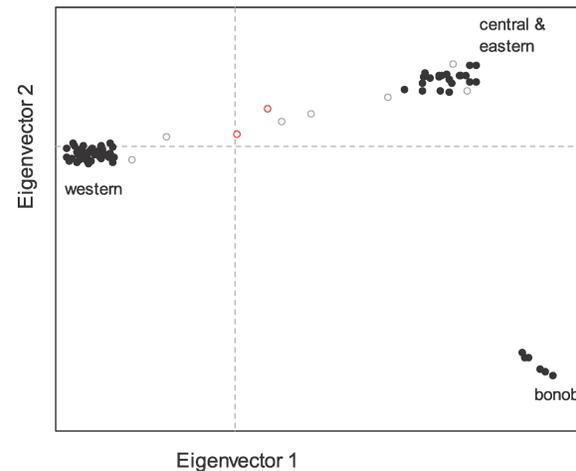
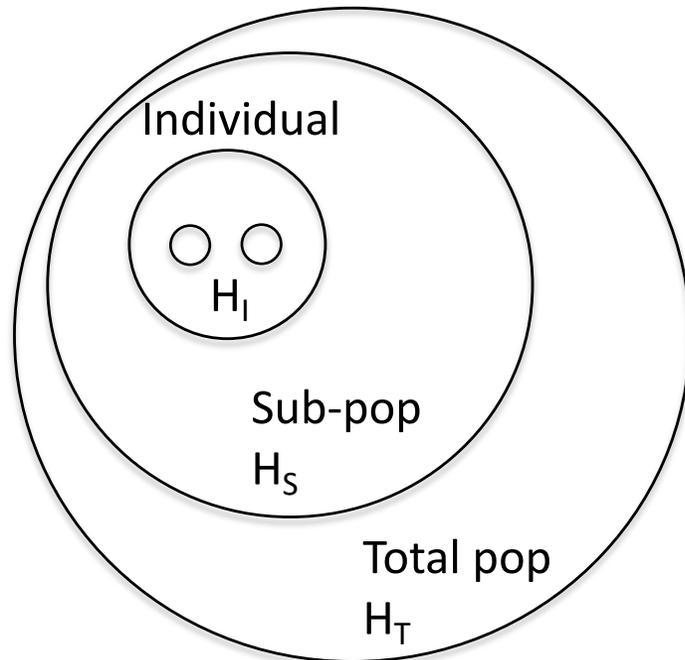


- Based on further derivations of  $F$ -statistics, Coop demonstrates that they can be viewed as:
  1. The correlation between alleles drawn from a population or individual beyond that expected by chance.
  2. The proportion of variance explained by, for example, subpopulation labels in the case of  $F_{ST}$

# Coop, Chapter 3: 3.0.2-3.0.3

## Population Structure and Correlations Among Loci

### Assignment Methods



### 3.0.3 Assignment Methods

In conservation genetics, often the goal is to determine where an individual came from (e.g., poaching)

- $P(\text{pop } K \mid \text{ind.}) = \text{Probability of specific population } K \text{ being the source of origin for the genotyped individual, given this individual's genotype}$



Pan troglodytes; © Ian Nichols

### 3.0.3 Assignment Methods

Say you have genotyped an individual from an unknown population at a single locus. The probability of this individual's genotype at locus **l**, given that the individual comes from population **k**, is:

$$P(g_l | \text{pop } k) = \begin{cases} (1 - p_{k,l})^2 & g_l = 0 \\ 2p_{k,l}(1 - p_{k,l}) & g_l = 1 \\ p_{k,l}^2 & g_l = 2 \end{cases} \quad (3.9)$$

Where:

$g_l$  = unknown individual's genotype **g** at locus **l**

$p_{k,l}$  = allele frequency of allele **p** in population **k** at locus **l**

$1 - p_{k,l}$  = allele frequency of allele **q** in population **k** at locus **l**

### 3.0.3 Assignment Methods

Say you have genotyped an individual from an unknown population at a single locus. The probability of this individual's genotype at locus  $l$ , given that the individual comes from population  $k$ , is:

$$P(g_l | \text{pop } k) = \begin{cases} (1 - p_{k,l})^2 & g_l = 0 \\ 2p_{k,l}(1 - p_{k,l}) & g_l = 1 \\ p_{k,l}^2 & g_l = 2 \end{cases} \quad (3.9)$$

\*This is just Hardy-Weinberg genotype frequencies for a specific population\*

### 3.0.3 Assignment Methods

As we often have multiple loci, the probability of the individual's genotype across all loci genotyped, given the individual comes from population  $k$ , is:

$$P(\text{ind.}|\text{pop } k) = \prod_{l=1}^S P(g_l|\text{pop } k) \quad (3.10)$$

Which is the product of the probabilities of the genotypes at each locus given population  $k$

### 3.0.3 Assignment Methods

As we often have multiple loci, the probability of the individual's genotype across all loci genotyped, given the individual comes from population  $k$ , is:

$$P(\text{ind.}|\text{pop } k) = \prod_{l=1}^S P(g_l|\text{pop } k) \quad (3.10)$$

In other words,

$$P(g_1|\text{pop } k) \times P(g_2|\text{pop } k) \times P(g_3|\text{pop } k) \dots \times P(g_l|\text{pop } k)$$

### 3.0.3 Assignment Methods

Now we can calculate the probability that the individual is from population  $k$ , given the individual's genotype at multiple loci, using Bayes' rule:

$$P(\text{pop } k | \text{ind.}) = \frac{P(\text{ind.} | \text{pop } k)P(\text{pop } k)}{P(\text{ind.})} \quad (3.11)$$

Where:

$$P(\text{ind.} | \text{pop } k) = \prod_{l=1}^S P(g_l | \text{pop } k)$$

$P(\text{pop } k) = 1/K$ , and  $K$  is the number of populations

$$P(\text{ind.}) = \sum_{k=1}^K P(\text{ind.} | \text{pop } k)P(\text{pop } k) \quad (3.12)$$

### 3.0.3 Assignment Methods

$P(\text{pop } k | \text{ind.})$  = posterior probability that our new individual comes from each of our  $K$  populations

$$P(\text{pop } k | \text{ind.}) = \frac{P(\text{ind.} | \text{pop } k)P(\text{pop } k)}{P(\text{ind.})} \quad (3.11)$$

### 3.0.3 Assignment Methods

#### Question 2:

Returning to our chimp example, imagine that we have genotyped a set of individuals from the Western and Eastern populations at two SNPs (we'll ignore the central population to keep things simpler). The frequency of the capital allele at two SNPs ( $A/a$  and  $B/b$ ) is given by

Population	locus A	locus B
Western	0.1	0.85
Eastern	0.95	0.2

Sequenced individual's genotype is  $AA/bb$ . Is it from the Eastern or Western population?

### 3.0.3 Assignment Methods

Question 2:

Population	locus A	locus B
Western	0.1	0.85
Eastern	0.95	0.2

Genotype is AA/bb

Calculate:

1.  $P(ind. | pop\ k)$  for  $k = \text{Western}$  and  $k = \text{Eastern}$  populations

Where:

$$P(ind. | pop\ k) = \prod_{l=1}^S P(g_l | pop\ k)$$

In words: we're finding the product of the probabilities of the genotypes of this individual at independent loci coming from each population

From the Western pop.:  $(0.1)^2 \times (0.15)^2 = 0.000225$

From the Eastern pop.:  $(0.95)^2 \times (0.8)^2 = 0.5776$

### 3.0.3 Assignment Methods

Question 2:

Population	locus A	locus B
Western	0.1	0.85
Eastern	0.95	0.2

Genotype is AA/bb

Calculate:

2.  $P(ind.)$

Where:

$$P(ind.) = \sum_{k=1}^K P(ind.|pop\ k)P(pop\ k)$$

$P(pop\ k) = 1/K$ , and K is the number of populations

In words: we're finding the sum of the probabilities of the chimp coming from each population under the prior that both populations are equally likely

$$0.000225(0.5) + 0.5776(0.5) = 0.2889$$

### 3.0.3 Assignment Methods

Question 2:

Population	locus A	locus B
Western	0.1	0.85
Eastern	0.95	0.2

Genotype is AA/bb

Calculate:

3. Use Bayes' Rule to find probability of individual belonging to each population

$$P(\text{pop } k|\text{ind.}) = \frac{P(\text{ind.}|\text{pop } k)P(\text{pop } k)}{P(\text{ind.})} \quad (3.11)$$

From the Eastern pop.:  $(0.5776)0.5/0.2889 = 0.9997$

From the Western pop.:  $(0.000225)0.5/0.2889 = 0.0003$

Our individual was from the Eastern population

### 3.0.3 Assignment Methods

When we want to assign individuals based on their genotypes into K populations:

1. Randomly assign individuals to K populations
2. Estimate allele frequencies at all loci for each of K populations
3. Reassign each individual to a population k with a probability equal to:

$$P(ind. | pop k) = \prod_{l=1}^S P(g_l | pop k)$$

4. Repeat steps 2-3.

## 3.0.3 Assignment Methods

- Bayesian clustering algorithm
- [STRUCTURE Webpage](#)
- Cited > 28,000 times!

[\[HTML\] Inference of population structure using multilocus genotype data](#)

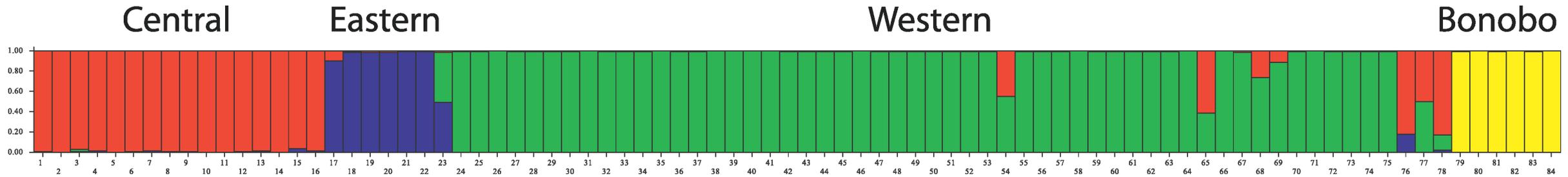
[JK Pritchard, M Stephens, P Donnelly - Genetics, 2000 - Genetics Soc America](#)

We describe a model-based clustering method for using multilocus genotype data to infer population structure and assign individuals to populations. We assume a model in which there are  $K$  populations (where  $K$  may be unknown), each of which is characterized by a set ...

☆ [🔗](#) Cited by 28197 [Related articles](#) [All 51 versions](#)

# 3.0.3 Assignment Methods

Becquet et al. 2007 STRUCTURE Analysis (K=4):



# 3.0.3 Assignment Methods

Becquet et al. 2007 STRUCTURE Analysis (K=4):

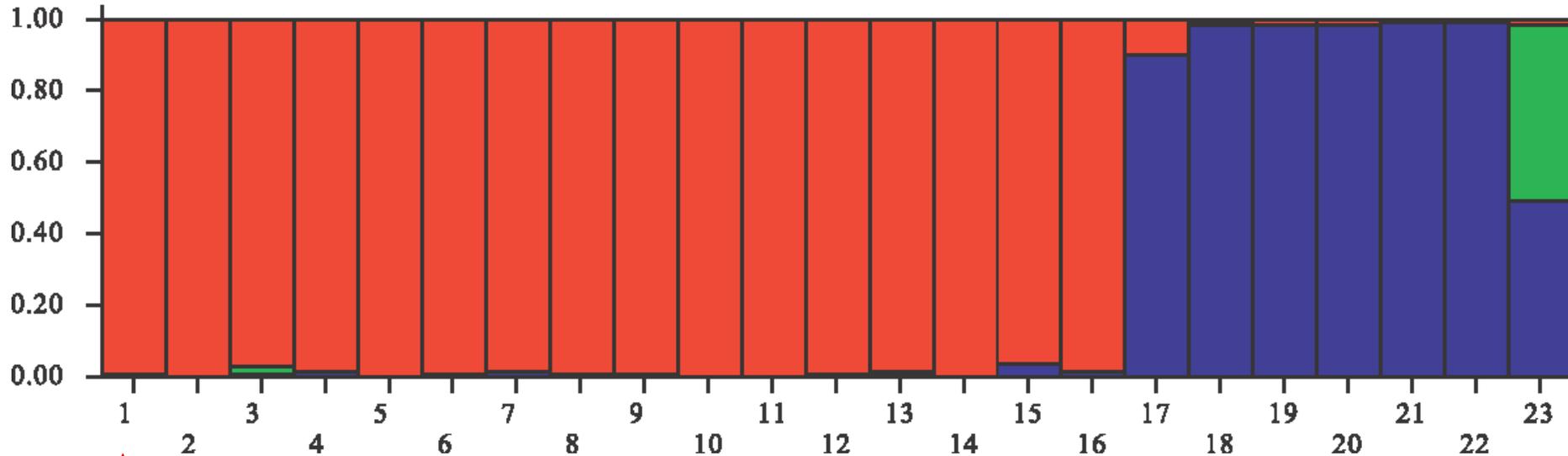


### 3.0.3 Assignment Methods

Becquet et al. 2007 STRUCTURE Analysis (K=4):

Central

Eastern



Individual 1: Columns are individuals

### 3.0.3 Assignment Methods

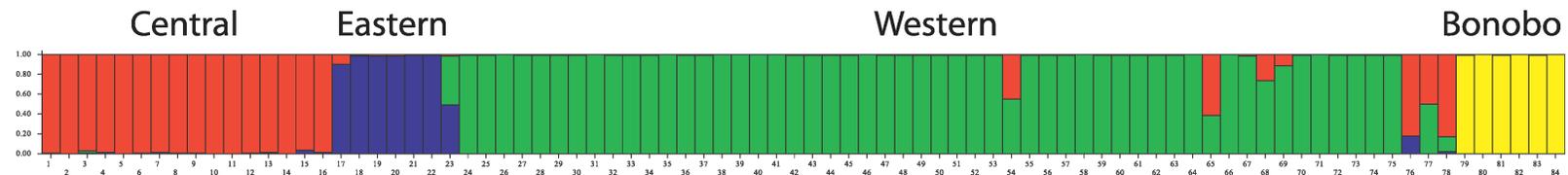
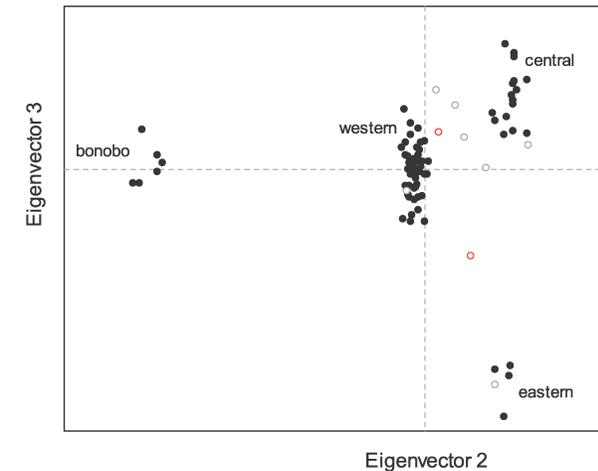
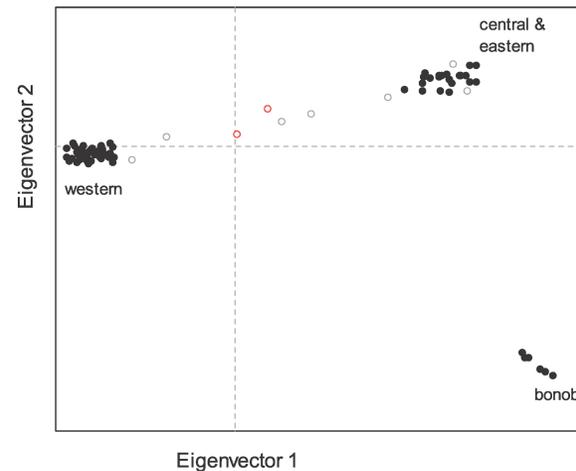
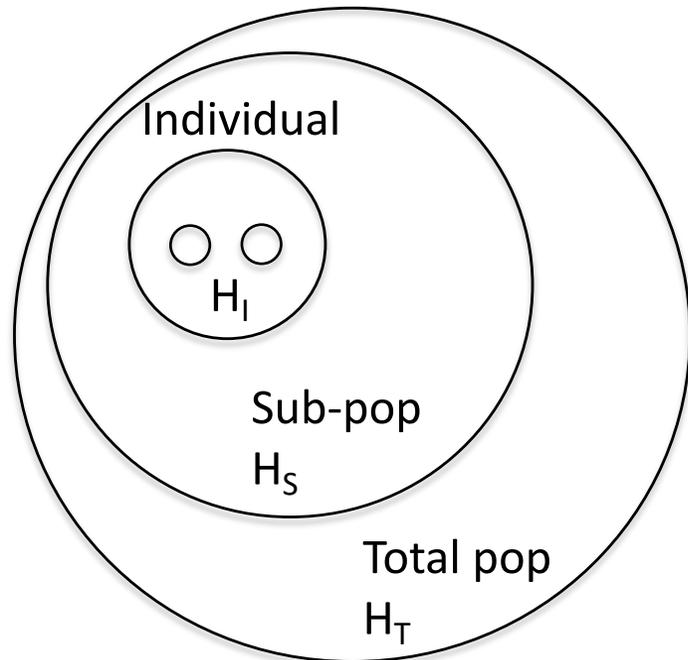
Important notes:

- STRUCTURE alone does not tell you the best K
- The colors denoted on the plot do not tell you that these clusters are 'pure' ancestral populations

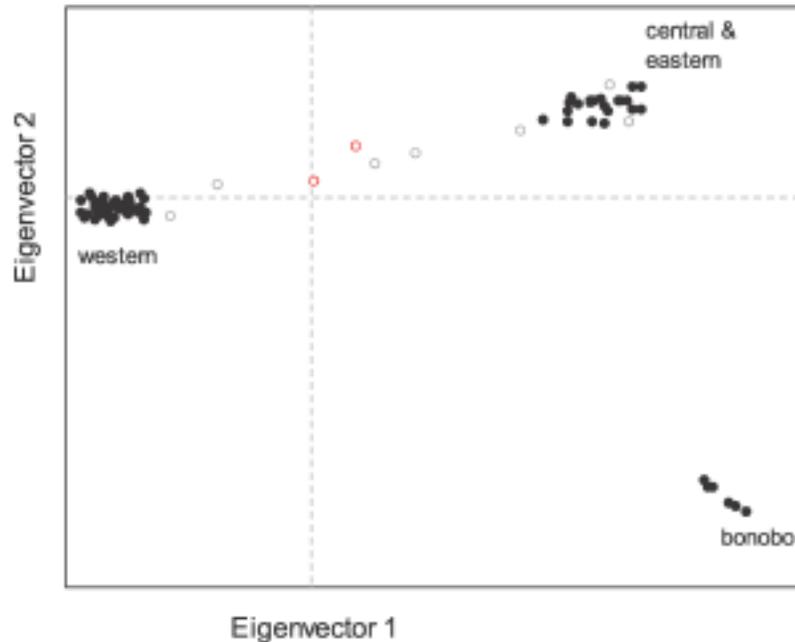
# Coop, Chapter 3: 3.0.4

## Population Structure and Correlations Among Loci

### *Principal Components Analysis*

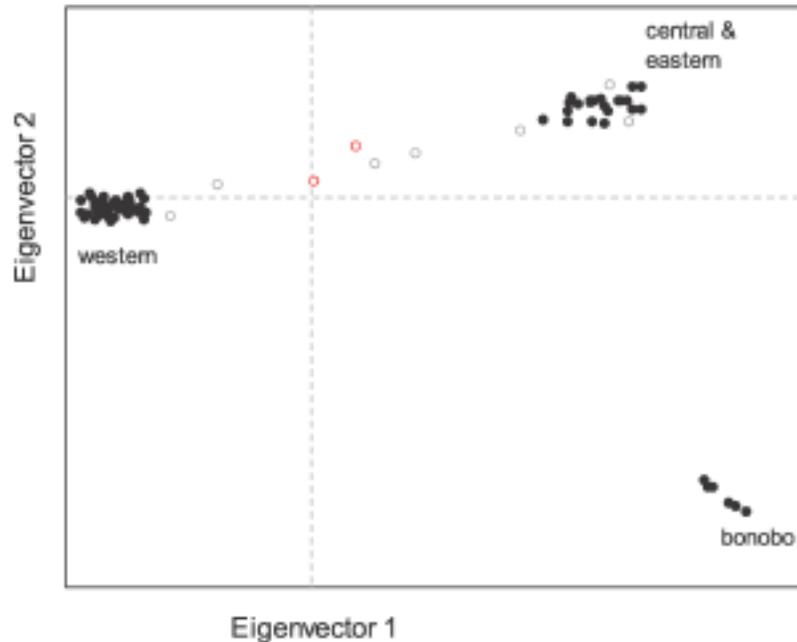


## 3.0.4 Principal Components Analysis



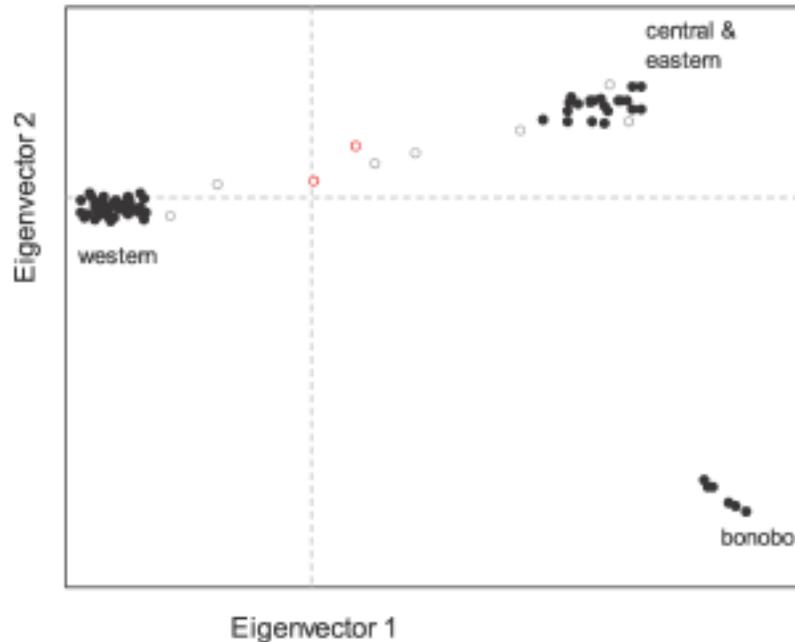
- PCA is a common statistical approach to “reduce the dimensionality” of complex data sets
- For example, we can take genetic relatedness from 1000’s of SNPs and reduce this down to two axes
- PC1 describes the most amount of variation, PC2 second-most, etc.

## 3.0.4 Principal Components Analysis



- Let's think about a data set with  $N$  individuals genotyped at  $S$  loci
- The  $i^{th}$  individual's genotype at locus  $l$  is  $g_{i,l} = 0, 1, \text{ or } 2$  based on the number of copies of the  $A_1$  allele
- These values are placed in an  $N \times S$  matrix

## 3.0.4 Principal Components Analysis

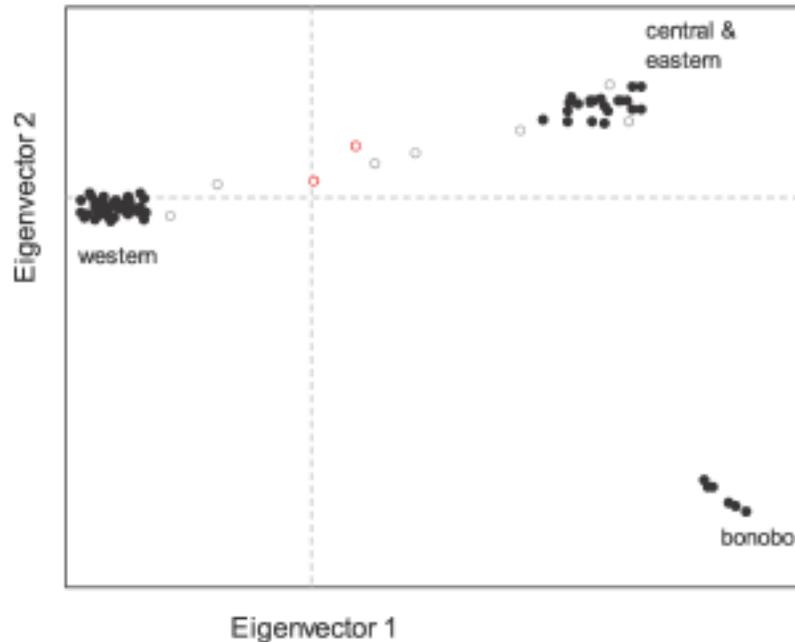


- Genotypes are then standardized:

$$\frac{g_{i,\ell} - 2p_\ell}{\sqrt{2p_\ell(1 - p_\ell)}} \quad (3.14)$$

- where  $p_\ell$  is the mean frequency of SNP  $\ell$  and the denominator is the square root of the expected variance under binomial sampling
- These normalized values are then used for the PCA

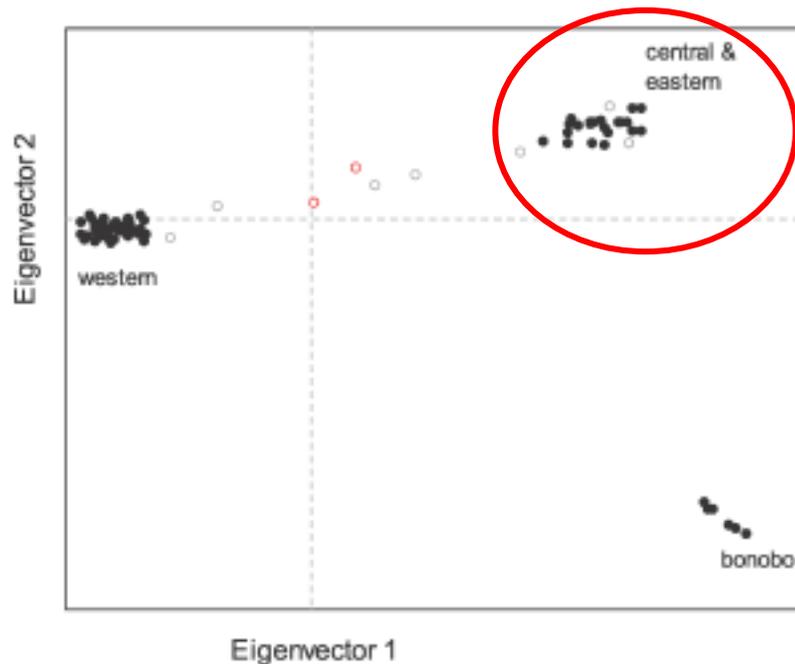
## 3.0.4 Principal Components Analysis



- Equation 3.15 tells us that we are looking for covariance between samples above what we might expect if they were drawn randomly from the total population:

$$\frac{1}{S-1} \sum_{\ell=1}^S \frac{(g_{i,\ell} - 2p_\ell)(g_{j,\ell} - 2p_\ell)}{2p_\ell(1-p_\ell)} \quad (3.15)$$

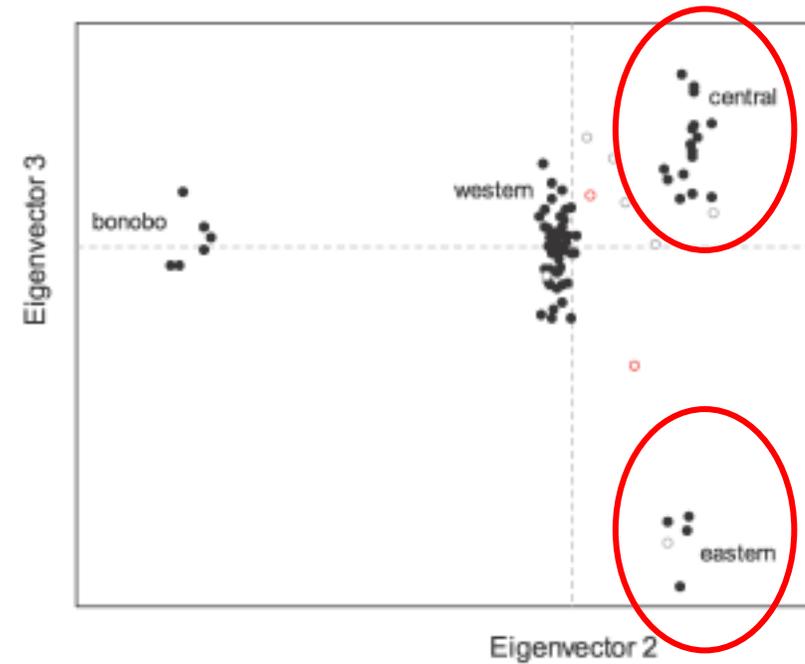
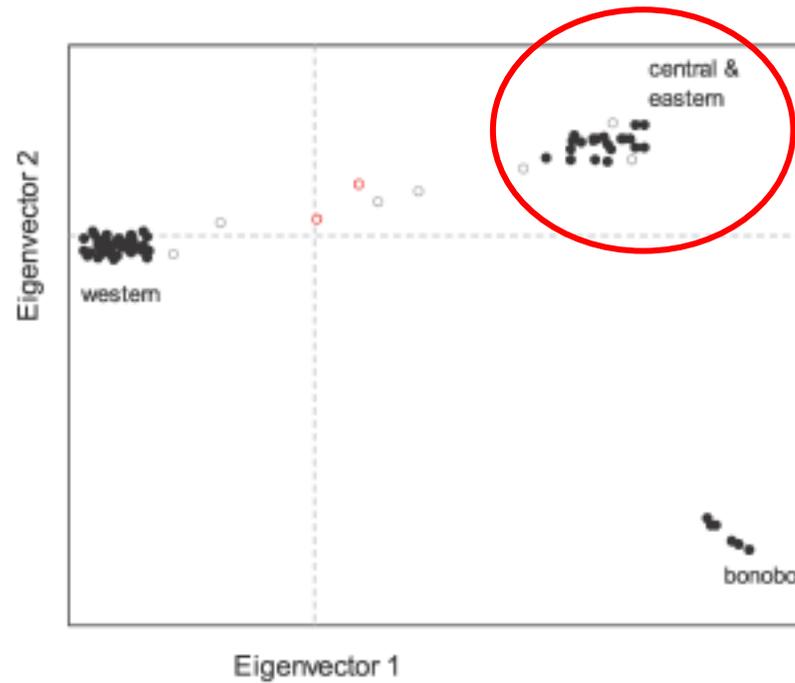
## 3.0.4 Principal Components Analysis



- Let's focus on interpretation, going back to the chimpanzee data
- Based on Eigenvectors (PCs) 1 & 2, would you say that the central and eastern populations are distinct?
- Now let's look at additional axes...

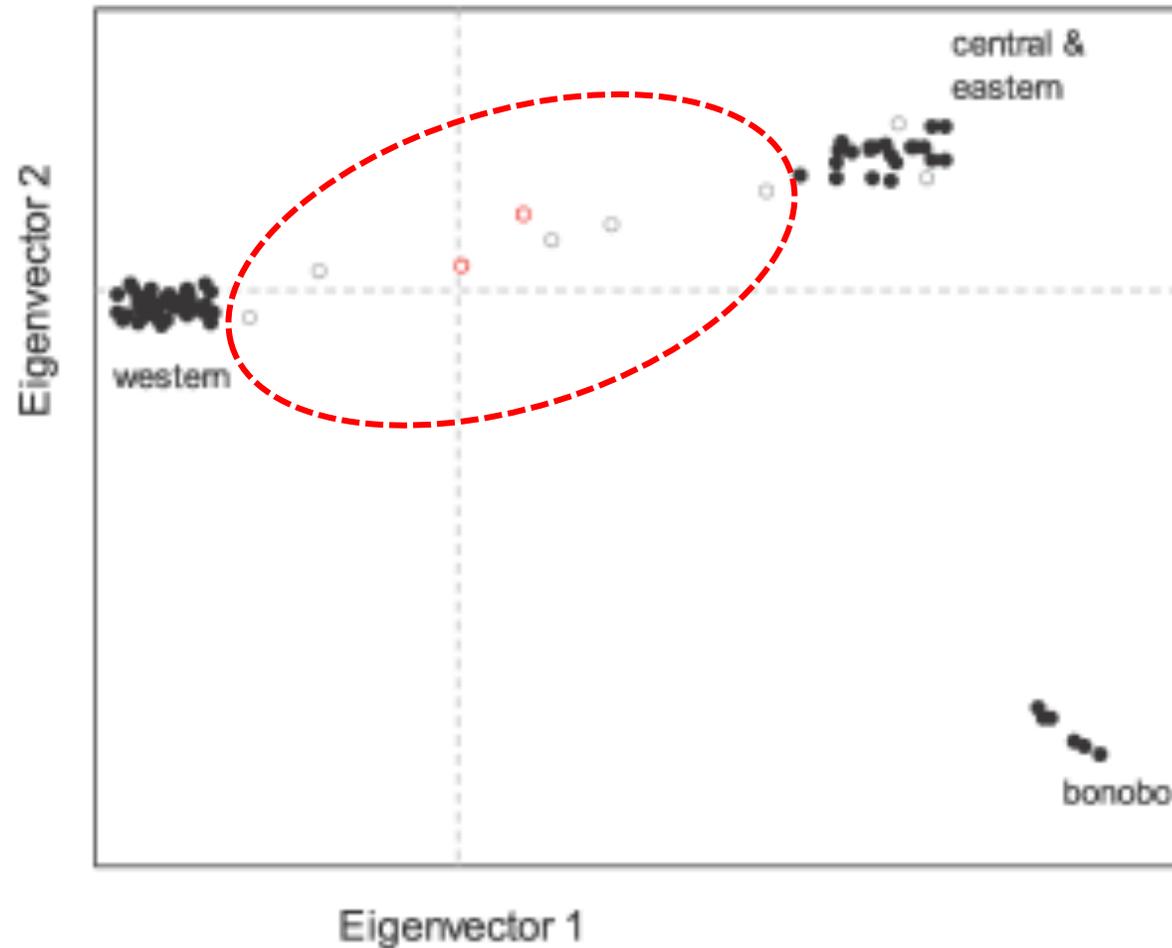
## 3.0.4 Principal Components Analysis

What about now?



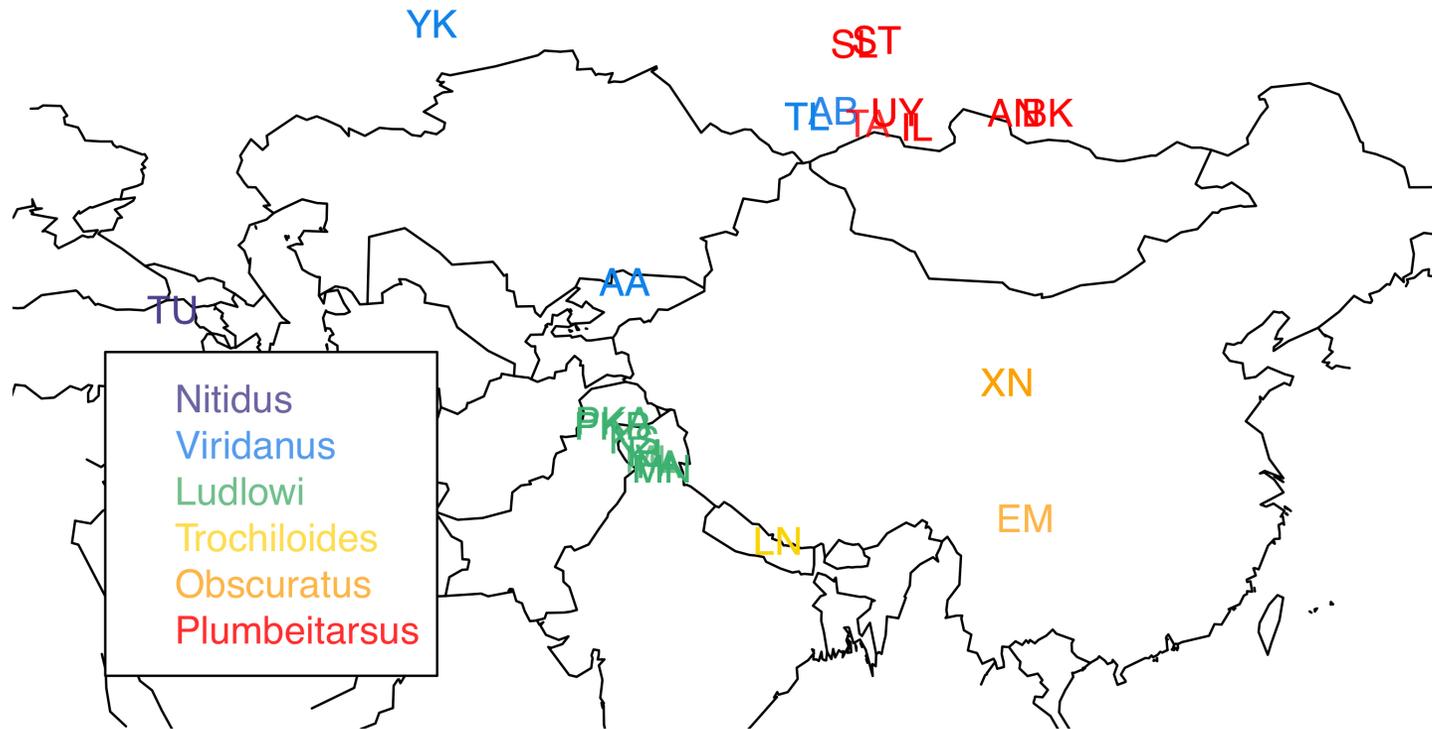
### 3.0.4 Principal Components Analysis

Thoughts about what might be going on with these individuals?

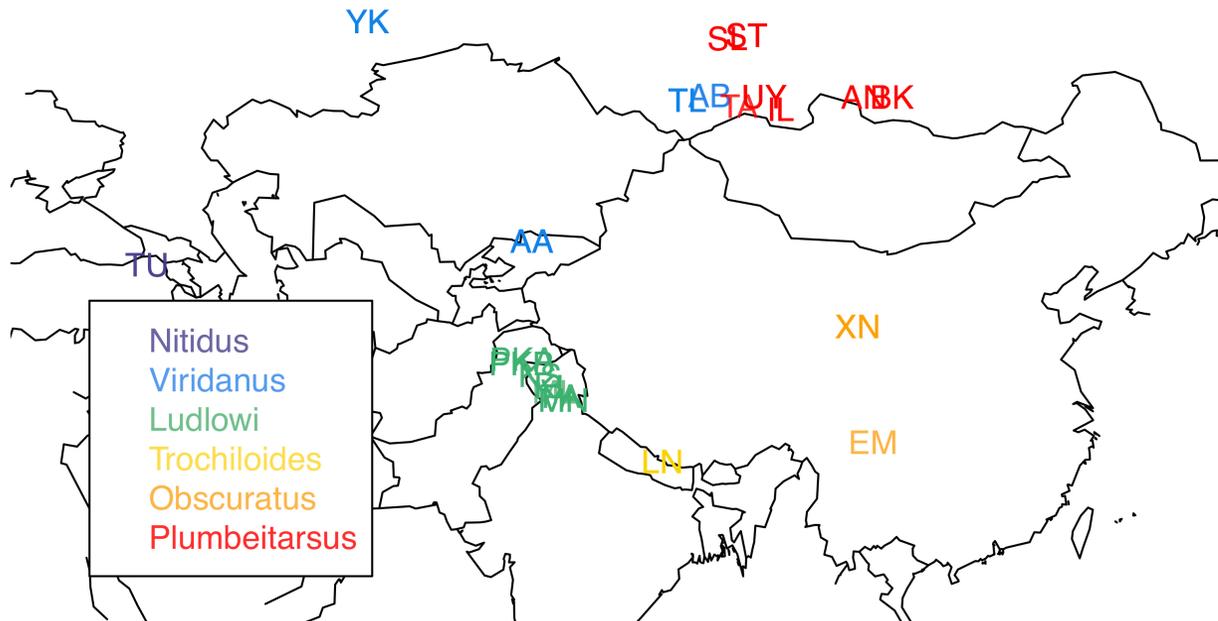


## 3.0.4 Principal Components Analysis

Let's consider another empirical example, the greenish warbler, a putative ring species:

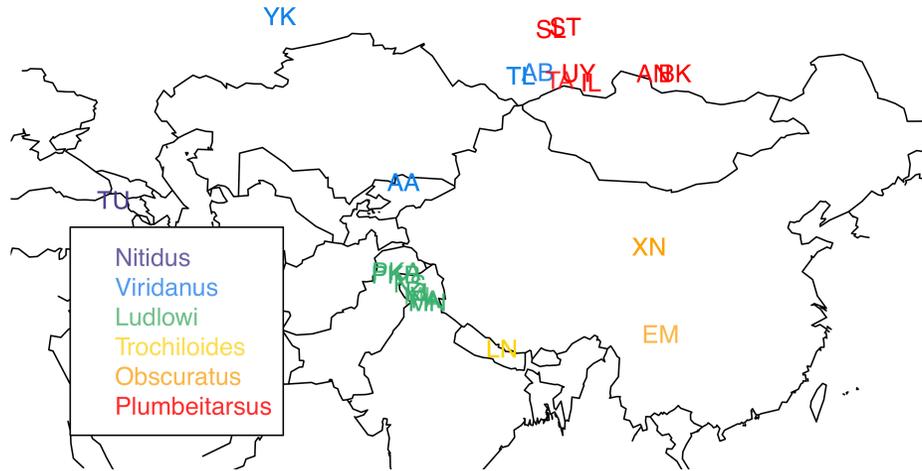


## 3.0.4 Principal Components Analysis

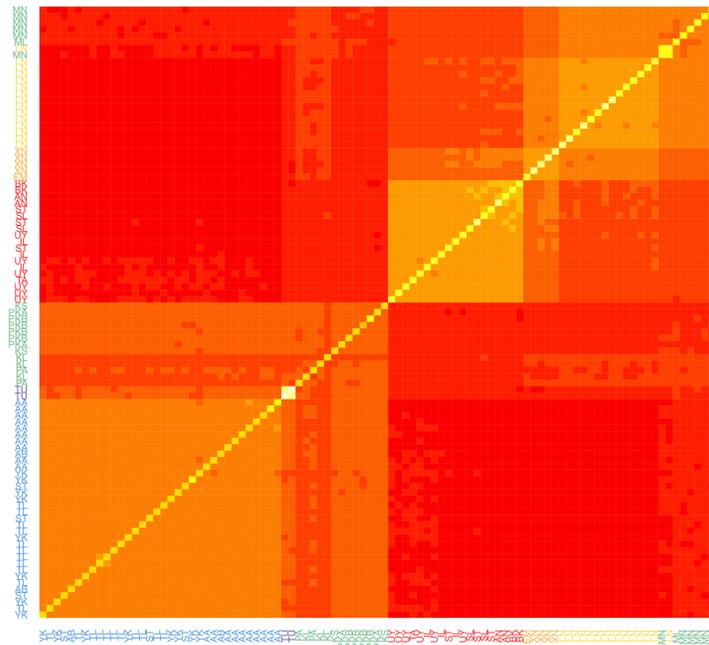


- Alcaide *et al.* (2014) collected 95 birds from 22 sites and genotyped them for 2,334 SNPs
- This species is thought to have originated in south Asia and then dispersed in a ring around the inhospitable Himalayas
- Given this history, what would be your expectations regarding relatedness and population structure?

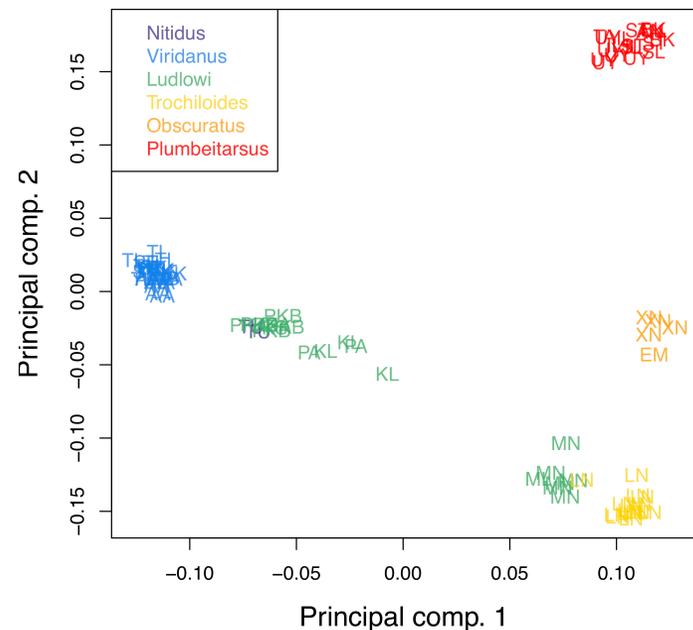
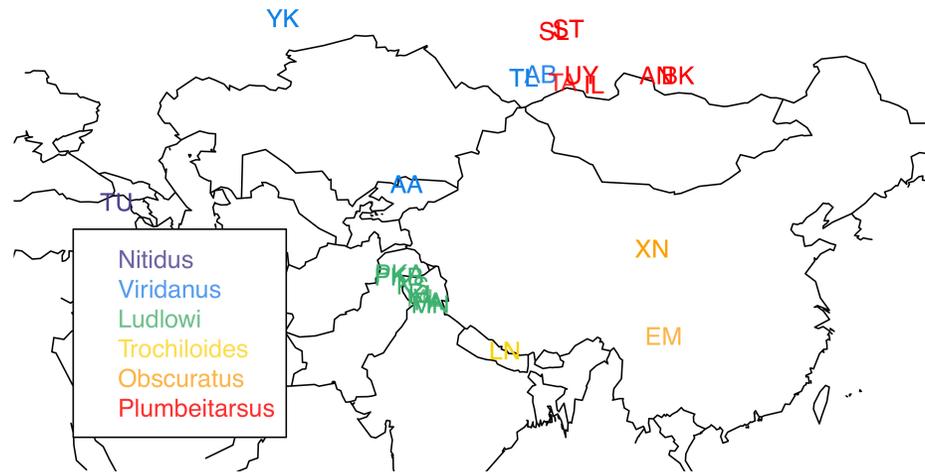
## 3.0.4 Principal Components Analysis



- The covariance matrix here shows the level of relatedness between individuals across populations
- Lighter colors indicate greater kinship
- Green and blue individuals show relatedness (western route around Himalayas) as do yellow and red individuals (eastern route)

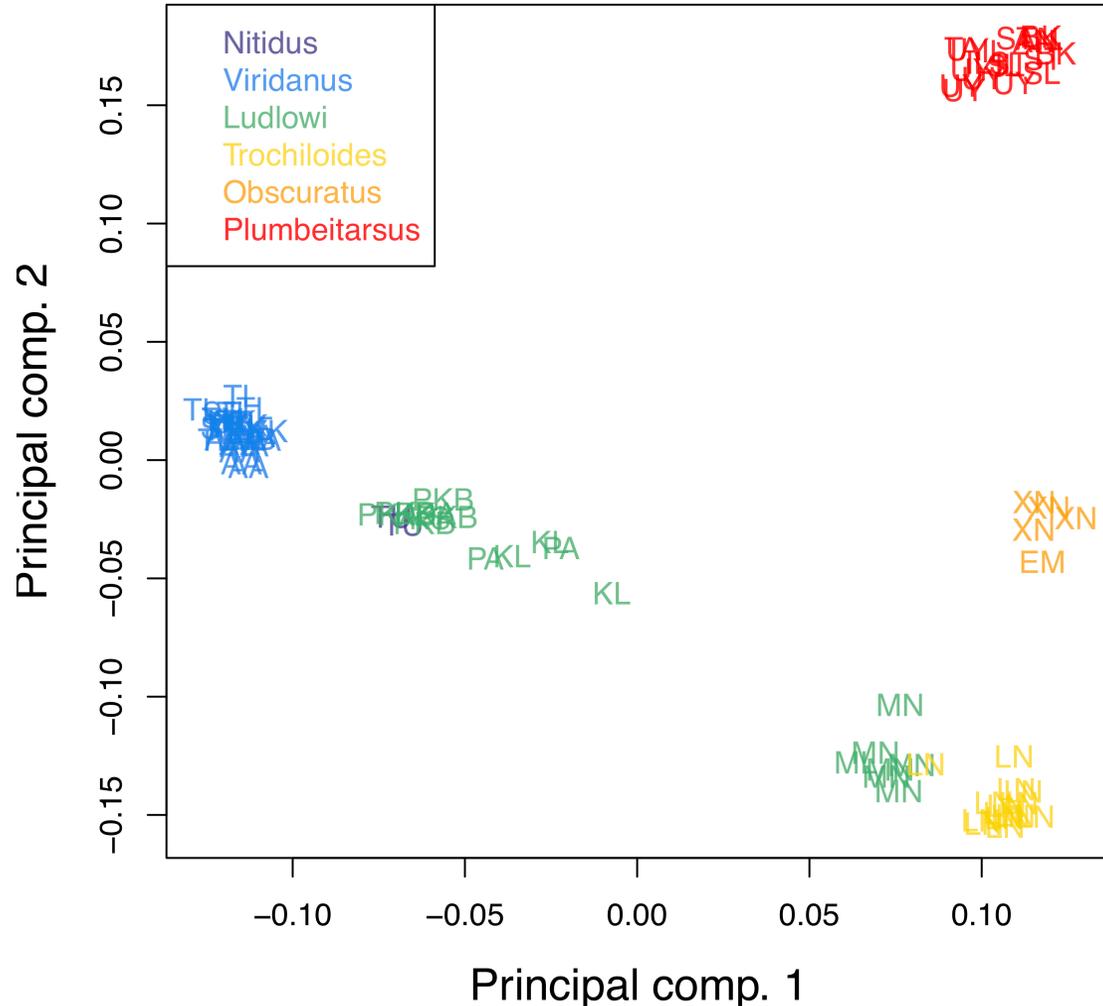


## 3.0.4 Principal Components Analysis



- The PCA shows two diverging sets of populations: the western route of migration around the Himalayas and the eastern route
- Even though the blue and red populations are close geographically, they are the most distant populations genetically due to their history
- Interesting break in the green Ludlowi species suggests local barriers to migration

## 3.0.4 Principal Components Analysis

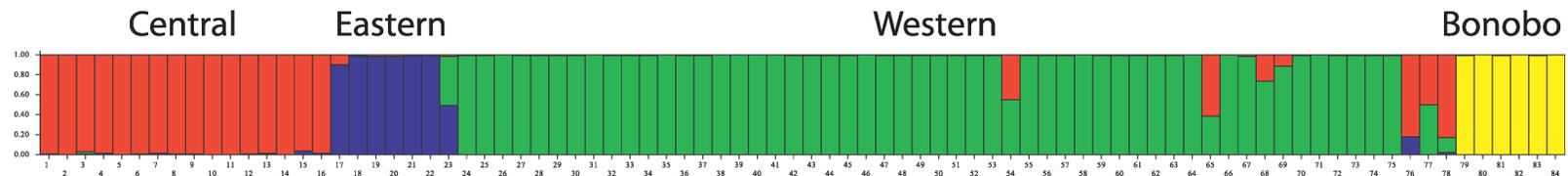
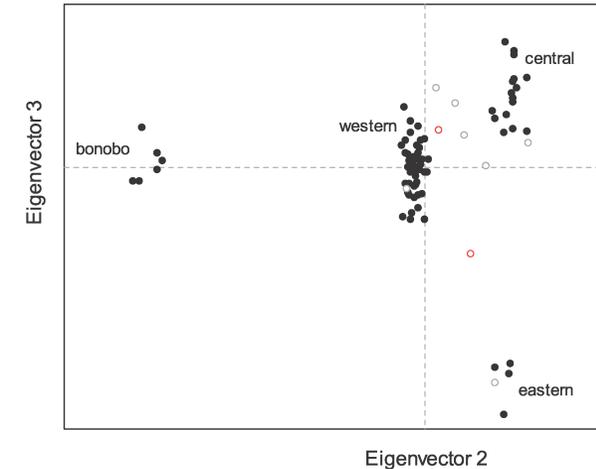
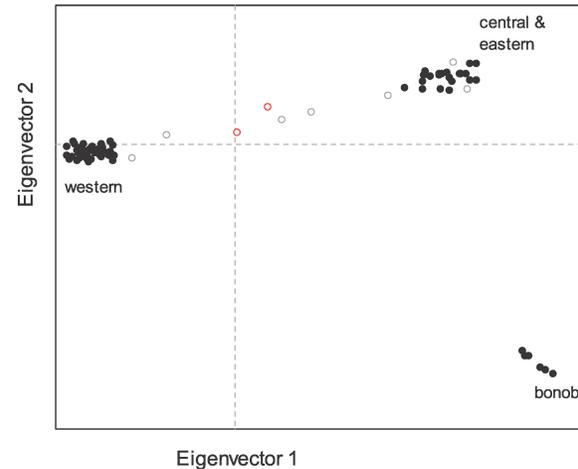
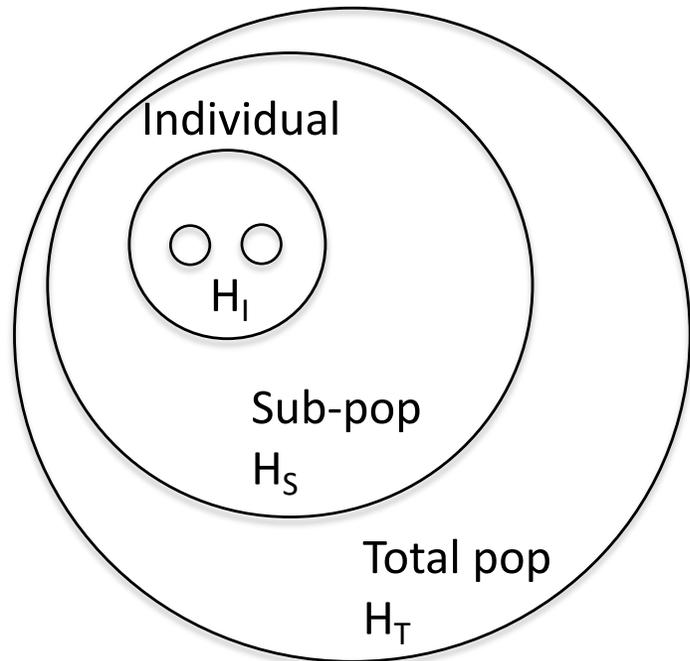


- While PCA can be an excellent tool for interpreting structure in genetic data, care needs to be taken in interpretation
- Complex geometric structures can arise in PC space even under simple geographic models, for example, when populations are arrayed along a line
- Multiple tools should be used to clarify the geographic and population-genetic history of a species

# Coop, Chapter 3: 3.0.5

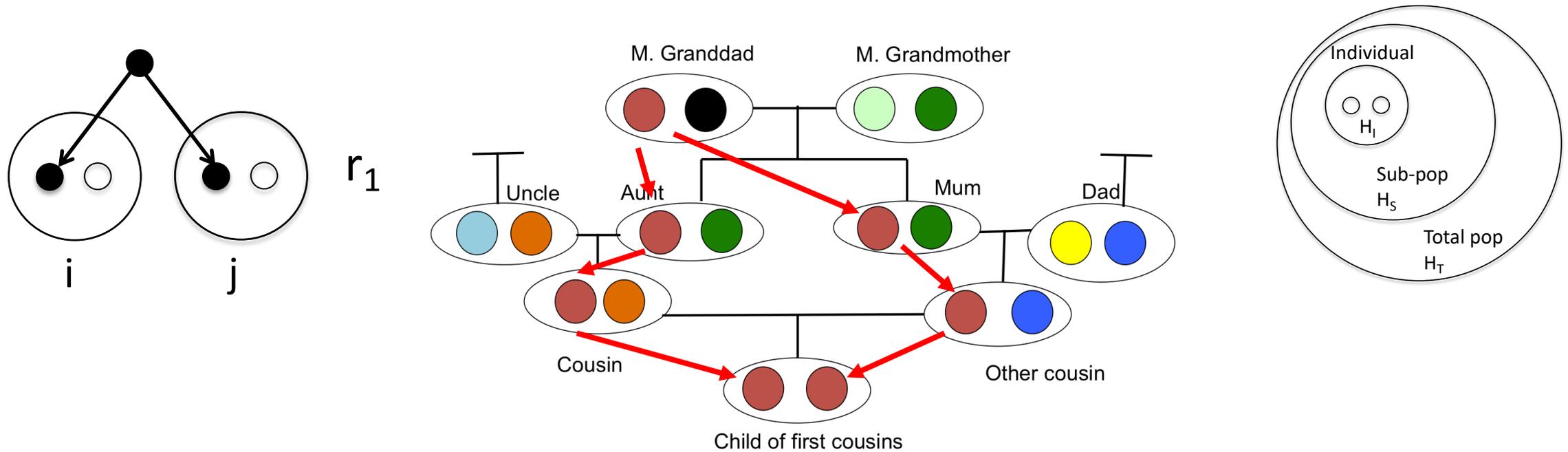
## Population Structure and Correlations Among Loci

*Correlations between loci, linkage disequilibrium, and recombination*



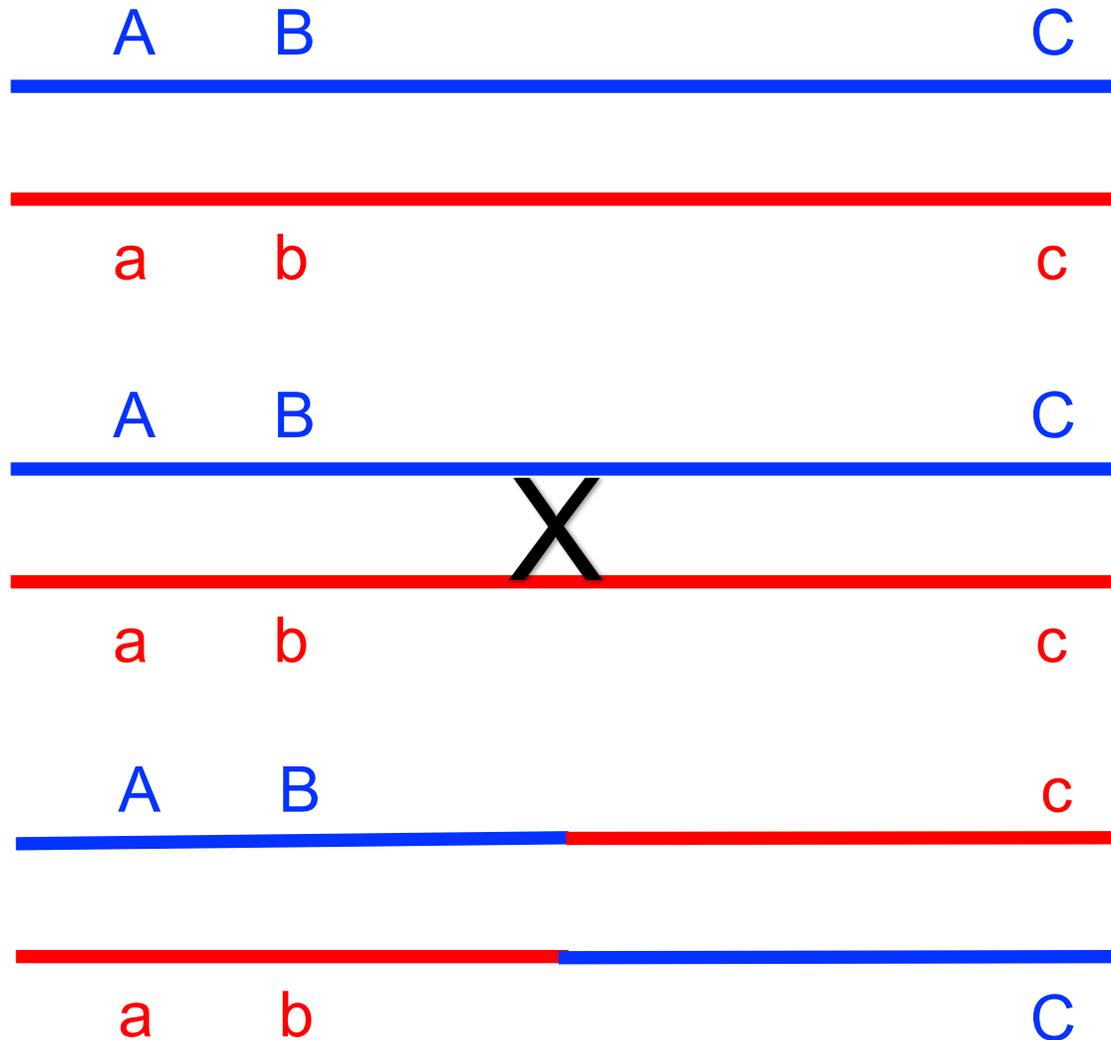
### 3.0.5 Correlations between loci

Up until now we have been looking at correlations at a single locus, within an individual (inbreeding) or between individuals (relatedness)



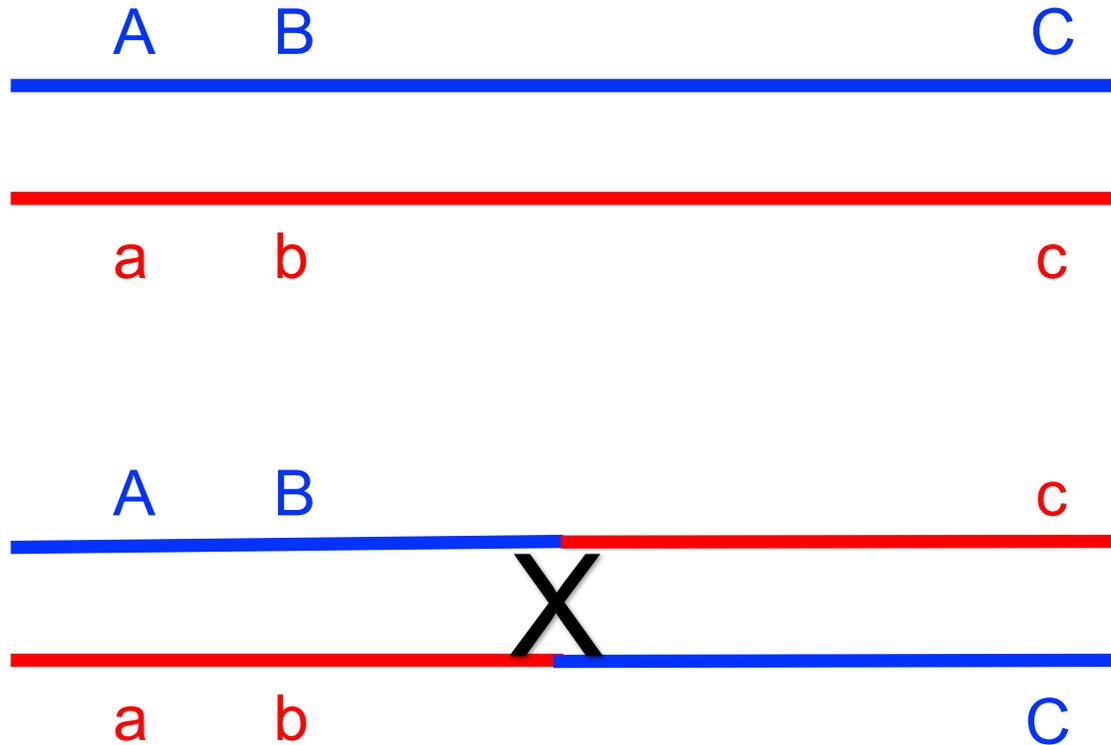
Now we'll consider correlations across loci...

### 3.0.5 Correlations between loci



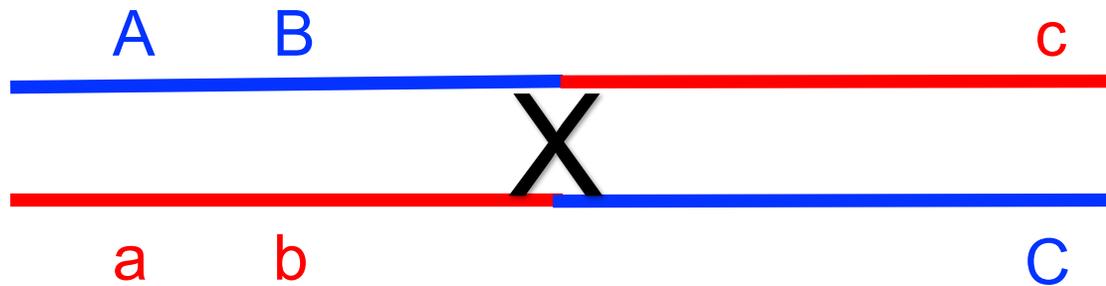
- Imagine a diploid organism with two chromosomes distinct at loci A, B, and C
- If recombination occurs at random spots along the chromosome, just by chance, it is more likely in the interval between B and C
- Alleles at A and B are therefore more correlated than those at B and C

### 3.0.5 Correlations between loci



- When recombination does not occur, offspring receive alleles intact from their parents
- When recombination does occur, alleles are shuffled into unique combinations not found in parental chromosomes, creating novel variation

## 3.0.5 Correlations between loci



$r_{BP}$  = recombination rate (in Morgans) per basepair

$L$  = Number of basepairs between loci

- Recombination, therefore, breaks up correlation/association between loci.
- The **recombination fraction ( $r$ )** is the probability of an odd number of crossing over events between loci in a single meiosis
- Typically, we'll be talking about very short chromosomal regions and recombination is rare:

$$r = r_{BP}L \ll \frac{1}{2}$$

## 3.0.5 Correlations between loci

- The term **linkage disequilibrium** (as Dr. Coop mentions, this is an awful, non-intuitive term) refers to the statistical non-independence of alleles at different loci within a population
- Let's consider two, biallelic loci that segregate for the alleles  $A/a$  and  $B/b$
- We can represent the frequency of the two-locus haplotype  $AB$ , for example, as  $p_{AB}$  (and can do the same for the other 3 possible haplotypes)
- If these loci ( $A$  and  $B$ ) are independent, then  $p_{AB} = p_A p_B$ , otherwise  $p_{AB} \neq p_A p_B$
- The covariance between the  $A$  and  $B$  alleles is:

$$D_{AB} = p_{AB} - p_A p_B \quad (3.16)$$

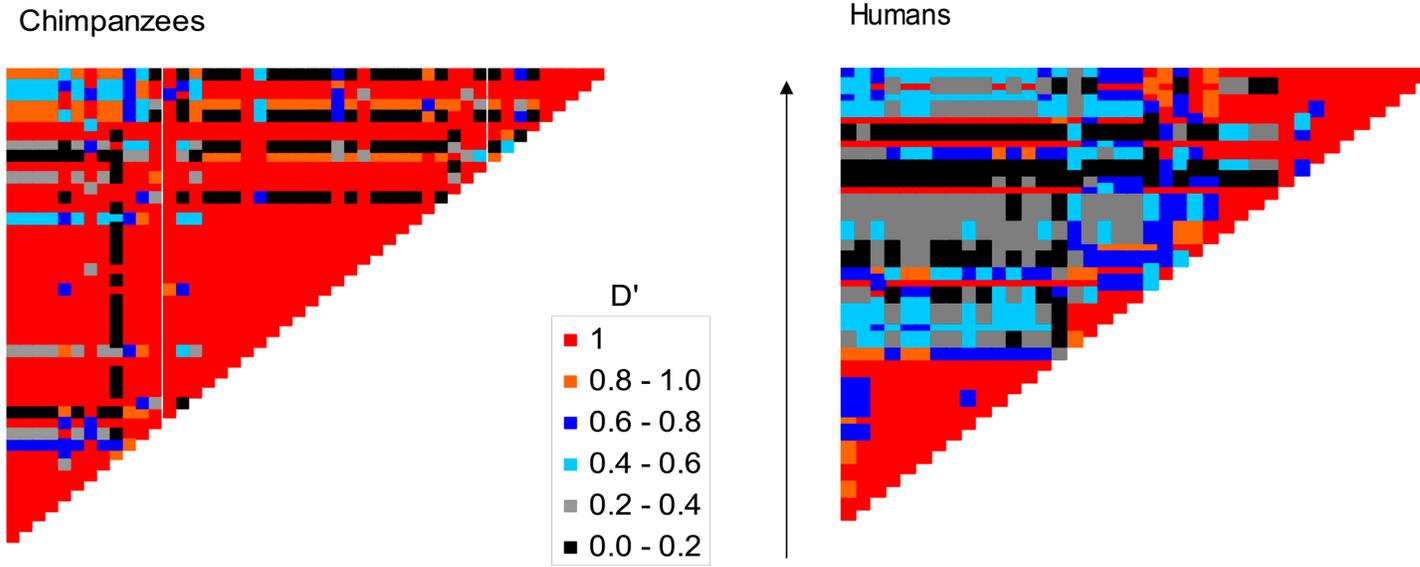
### 3.0.5 Correlations between loci

- The gametes that form with unrecombined alleles ( $AB$  and  $ab$ ) are **coupling** gametes; those with recombined alleles ( $Ab$  and  $aB$ ) are **repulsion** gametes
- Therefore, our  $D$  statistic measures the excess of coupling relative to repulsion gametes
- Only one  $D$  ( $D_{AB}$ ,  $D_{ab}$ , etc...) needs to be known to know them all, so we'll simplify this to  $D$

$$p_{AB} = p_A p_B + D. \quad (3.17)$$

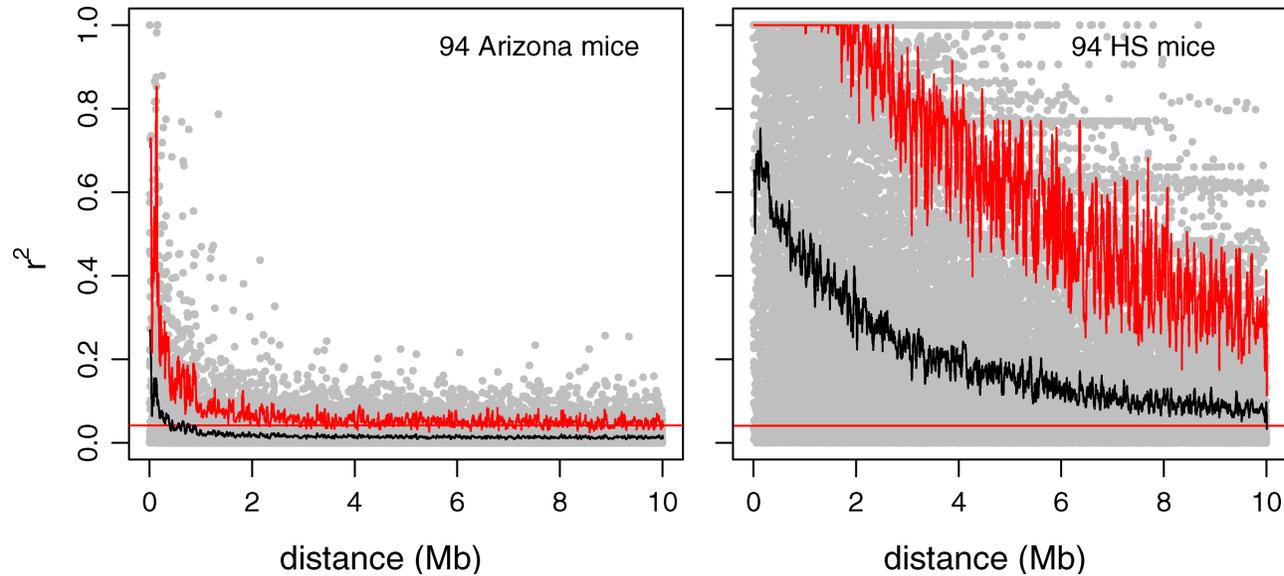
- If  $D$  is anything other than 0, there is linkage disequilibrium (LD) in our population
- Be aware that LD can also occur between loci on different chromosomes (more later...)

### 3.0.5 Correlations between loci



- $D$  can vary dramatically across loci due to relative frequencies of alleles
- To address this,  $D$  is normalized to  $D'$  by dividing by its maximum value given frequency;  $D'$  varies between -1 to 1
- Example from the *TAP2* locus

## 3.0.5 Correlations between loci



- Another very commonly used statistic to measure LD is the squared correlation coefficient,  $r^2$  (note that this is **NOT** the recombination fraction  $r$ )

$$r^2 = \frac{D^2}{p_A(1 - p_A)p_B(1 - p_B)} \quad (3.18)$$

- This is an example from mouse showing the decay of LD based on  $r^2$  over distance along a chromosome

### 3.0.5 Correlations between loci

- Linkage disequilibrium can be created by **natural selection** favoring two alleles co-occurring, **genetic drift** randomly causing two alleles to co-occur, or through **gene flow** between populations with distinct combinations of alleles
- Let's consider, however, how LD can break down in the absence of these when only recombination is occurring
- Let's consider the frequency of the  $AB$  haplotype in the next generation to be  $p'_{AB}$
- We lose a fraction  $r$  of our  $AB$  haplotypes per generation due to recombination, but gain a fraction  $rp_Ap_B$  due to the alleles recombining together. Thus:

$$p'_{AB} = (1 - r)p_{AB} + rp_Ap_B \quad (3.19)$$

## 3.0.5 Correlations between loci

- A bit of rearranging and substituting shows that the  $\Delta p_{AB}$  is:

$$\Delta p_{AB} = p'_{AB} - p_{AB} = -rp_{AB} + rp_{ApB} = -rD \quad (3.20)$$

- Recombination will decrease the frequency of  $p_{AB}$  when the  $AB$  haplotype is common ( $D > 0$ ) and increase the frequency when  $AB$  haplotypes are rare ( $D < 0$ )
- $D$  in the next generation can be calculated as:

$$\begin{aligned} D' &= p'_{AB} - p'_A p'_B \\ &= (p_{AB} + \Delta p_{AB}) - (p_A + \Delta p_A)(p_B + \Delta p_B) \\ &= p_{AB} + \Delta p_{AB} - p_{ApB} \\ &= (1 - r)D \end{aligned} \quad (3.21)$$

### 3.0.5 Correlations between loci

- The change in  $D$  across generations can then be written as:

$$D_t = (1 - r)^t D_0 \quad (3.22)$$

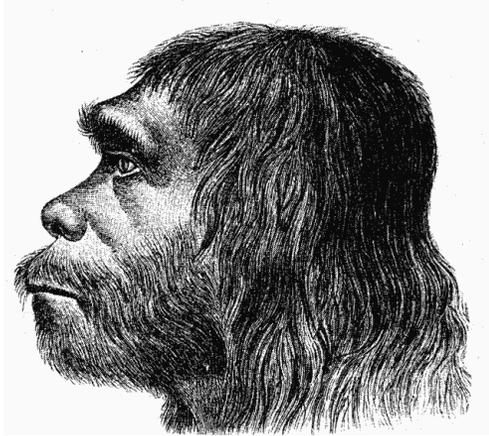
- This shows that recombination is acting to decrease LD, and this occurs geometrically at a rate of  $(1 - r)$ .
- Since  $r$  is typically very small ( $r \ll 1$ ), this can be approximated with an exponential:

$$D_t \approx D_0 e^{-rt} \quad (3.23)$$



## 3.0.5 Correlations between loci

*Empirical example: using LD to infer human history*



Neanderthal 1 by Hermann Schaaffhausen

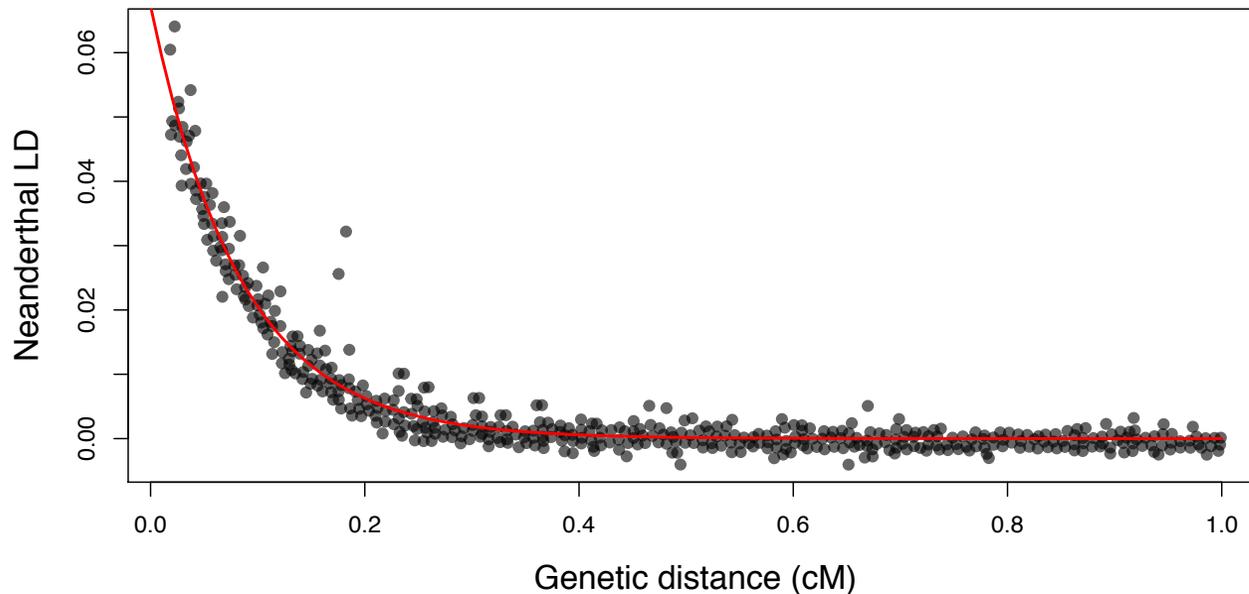


Skullcap of Neanderthal 1  
Musée de l'Homme, Paris

- When modern humans migrated out of Africa, they encountered and intermated with Neanderthals that were broadly distributed in Europe and Asia at that time
- Over time, these modern human-Neanderthal hybrids continued to mate with modern humans and Neanderthal tracts of DNA were broken up and reduced within the genome
- Humans from outside Africa typically have a small percentage of their genome that can be traced to Neanderthals

## 3.0.5 Correlations between loci

*Empirical example: using LD to infer human history*



- Sankararaman and colleagues (2012) were able to show the decay of LD in Neanderthal DNA in human genomes
- Based on this information, the human recombination rate  $r$ , and the use of equation 3.23, Coop estimates the timing of interbreeding was approximately 1200 generations in the past or about 35,000 BP
- Recombination has slowly broken up associations

### 3.0.5 Correlations between loci

*Empirical example: using LD to infer human history*

A video overview of Neanderthal population genetic research:

<https://www.sciencelearn.org.nz/videos/1603-palaeogenomics-and-interbreeding>