A Systematic Assessment of National, Regional, and Global Levels and Trends in the

Sex Ratio at Birth

and Scenario-based Projections

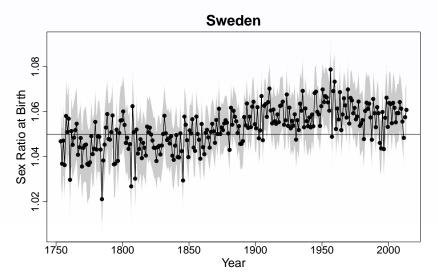
Fengqing Chao¹, Patrick Gerland², Alex Cook³, Leontine Alkema⁴

¹Institute of Policy Studies, **National University of Singapore**²Mortality Section, **United Nations Population Division**³Saw Swee Hock School of Public Health, **National University of Singapore**⁴Department of Epidemiology and Biostatistics, **University of Massachusetts, Amherst**

PAA Annual Conference Session 83 Population Projections and Forecasts Denver, CO, Apr 26th, 2018

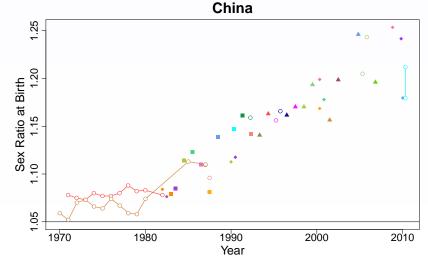
Background

Sex Ratio at Birth (SRB): ratio of male to female live births.



Background

Observed SRB from many Asian countries have been well above the biological level (varies between 1.04 and 1.07 for decades.



Objectives

No assessment of SRBs for all countries over time has been carried out using all available data and reproducible estimation methods.

- To estimate and project SRB on national, regional, and global level over time from 1950;
- To quantify the effect of SRB imbalance due to sex-selective abortion.

Data

Data source type	# obs.	(% of total)
Census	61	(1)
DHS	2,005	(20)
Other DHS	886	(9)
Others	151	(2)
VR	6,826	(69)
total	9,929	(100)

Table 1: Database for modeling. DHS: Demographic and Health Surveys. VR: Vital Registration.

- 15,354 country-years of data;
- 72 country-years of data from each of the 212 countries/areas estimated.

4 / 16

Method - Main idea

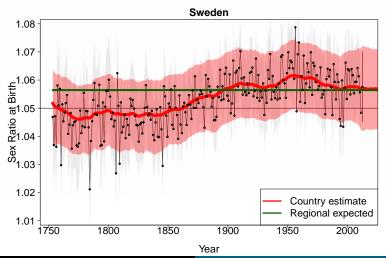
We use two models to estimate SRB for two groups of countries/areas:

- Basic model: for countries/areas without SRB inflation;
- **Extended model**: for selected countries/areas with past/current/potential future SRB inflation.

Method - Model setup

Basic model: for country-year without SRB inflation:

 $true.SRB_{country,year} = exp.SRB_{region} \times P_{country,year}$



Method - Model setup

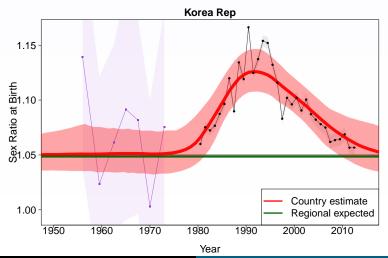
$$true.SRB_{country,year} = exp.SRB_{region} \times P_{country,year}$$

- exp.SRB_{region}: estimated using data from country-years without potential prenatal discrimination;
- P_{country,year}: estimated by a time series model;
- Sampling and non-sampling errors are taken into account in the data model.

Method - Model setup

Extended model: for country-year with SRB inflation:

$$true.SRB_{country,year} = exp.SRB_{region} \times P_{country,year} + adj_{country,year}$$



• Selection criteria for countries/areas with SRB inflation:

- Selection criteria for countries/areas with SRB inflation:
 - observed SRB is suspected to be beyond biological norm as supported by literature; OR

- Selection criteria for countries/areas with SRB inflation:
 - observed SRB is suspected to be beyond biological norm as supported by literature; OR
 - desired sex ratio at birth > 120 and/or sex ratio of last birth > 130 suggested in Bongaarts 2013¹; OR

¹John Bongaarts, "The implementation of preferences for male offspring", Population and Development Review 39.2 (2013): 185-208, Print,

- Selection criteria for countries/areas with SRB inflation:
 - observed SRB is suspected to be beyond biological norm as supported by literature; OR
 - desired sex ratio at birth > 120 and/or sex ratio of last birth > 130 suggested in Bongaarts 2013 1 ; OR
 - outlying female U5MR suggested in Alkema et al. 2014.²

¹John Bongaarts. "The implementation of preferences for male offspring". Population and Development Review 39.2 (2013): 185–208. Print.

²Leontine Alkema et al. "National, regional, and global sex ratios of infant, child, and under-5 mortality and identification of countries with outlying ratios: a systematic assessment". The Lancet Global Health 2.9 (2014): e521–e530. Print.

- Selection criteria for countries/areas with SRB inflation:
 - observed SRB is suspected to be beyond biological norm as supported by literature; OR
 - desired sex ratio at birth > 120 and/or sex ratio of last birth > 130 suggested in Bongaarts 2013 1 ; OR
 - outlying female U5MR suggested in Alkema et al. 2014.²
- 33 selected countries/areas:

¹John Bongaarts. "The implementation of preferences for male offspring". Population and Development Review 39.2 (2013): 185–208. Print.

²Leontine Alkema et al. "National, regional, and global sex ratios of infant, child, and under-5 mortality and identification of countries with outlying ratios: a systematic assessment". The Lancet Global Health 2.9 (2014): e521–e530. Print.

- Selection criteria for countries/areas with SRB inflation:
 - observed SRB is suspected to be beyond biological norm as supported by literature; OR
 - desired sex ratio at birth > 120 and/or sex ratio of last birth > 130 suggested in Bongaarts 2013¹; OR
 - outlying female U5MR suggested in Alkema et al. 2014.²
- 33 selected countries/areas:
 - Asia (17): Afghanistan, Armenia, Azerbaijan, Bangladesh, China, Georgia, Hong Kong (China), India, Iran, Jordan, Korea Rep, Nepal, Pakistan, Singapore, Taiwan (China), Turkey, Vietnam:

¹ John Bongaarts, "The implementation of preferences for male offspring", Population and Development Review 39.2 (2013): 185-208, Print,

²Leontine Alkema et al. "National, regional, and global sex ratios of infant, child, and under-5 mortality and identification of countries with outlying ratios: a systematic assessment". The Lancet Global Health 2.9 (2014): e521-e530. Print.

- Selection criteria for countries/areas with SRB inflation:
 - observed SRB is suspected to be beyond biological norm as supported by literature; OR
 - desired sex ratio at birth > 120 and/or sex ratio of last birth > 130 suggested in Bongaarts 2013 1 ; OR
 - outlying female U5MR suggested in Alkema et al. 2014.²
- 33 selected countries/areas:
 - Asia (17): Afghanistan, Armenia, Azerbaijan, Bangladesh, China, Georgia, Hong Kong (China), India, Iran, Jordan, Korea Rep, Nepal, Pakistan, Singapore, Taiwan (China), Turkey, Vietnam;
 - SSA (9): Chad, Guinea, Mali, Mauritania, Niger, Nigeria, Senegal, Tanzania, Uganda;

outlying ratios: a systematic assessment". The Lancet Global Health 2.9 (2014): e521-e530. Print.

¹John Bongaarts. "The implementation of preferences for male offspring". Population and Development Review 39.2 (2013): 185–208. Print.

²Leontine Alkema et al. "National, regional, and global sex ratios of infant, child, and under-5 mortality and identification of countries with

- Selection criteria for countries/areas with SRB inflation:
 - observed SRB is suspected to be beyond biological norm as supported by literature; OR
 - desired sex ratio at birth > 120 and/or sex ratio of last birth > 130 suggested in Bongaarts 2013¹; OR
 - outlying female U5MR suggested in Alkema et al. 2014.²
- 33 selected countries/areas:
 - Asia (17): Afghanistan, Armenia, Azerbaijan, Bangladesh, China, Georgia, Hong Kong (China), India, Iran, Jordan, Korea Rep, Nepal, Pakistan, Singapore, Taiwan (China), Turkey, Vietnam:
 - SSA (9): Chad, Guinea, Mali, Mauritania, Niger, Nigeria, Senegal, Tanzania, Uganda;
 - elsewhere (7): Albania, Algeria, Egypt, Montenegro, Morocco. Tonga.

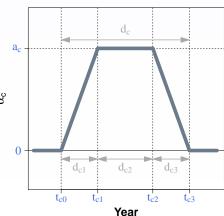
outlying ratios: a systematic assessment". The Lancet Global Health 2.9 (2014): e521-e530. Print.

¹ John Bongaarts, "The implementation of preferences for male offspring", Population and Development Review 39.2 (2013): 185-208, Print.

²Leontine Alkema et al. "National, regional, and global sex ratios of infant, child, and under-5 mortality and identification of countries with

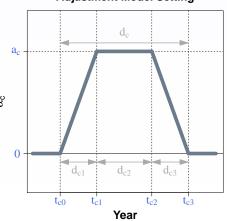
For selected country c, we use a Bayesian hierarchical model to estimate the adjustment factor.

Adjustment Model Setting



For selected country c, we use a Bayesian hierarchical model to estimate the adjustment factor.

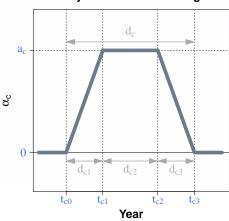
Adjustment Model Setting



 t_{c,0}: starting year of inflation period (the year when TFR hits 2.8 is used);

For selected country c, we use a Bayesian hierarchical model to estimate the adjustment factor.

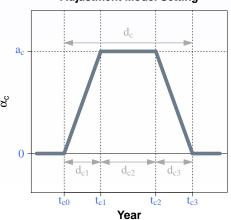
Adjustment Model Setting



- t_{c,0}: starting year of inflation period (the year when TFR hits 2.8 is used);
- d_{c,1}, d_{c,2}, d_{c,3}: period length of increase, stagnation, and decrease of inflation;

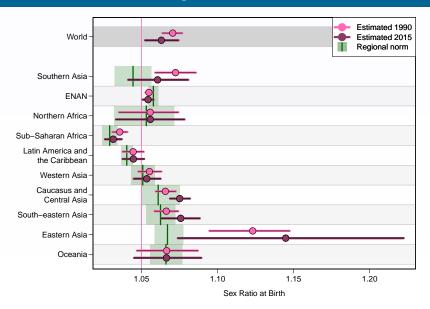
For selected country c, we use a Bayesian hierarchical model to estimate the adjustment factor.

Adjustment Model Setting

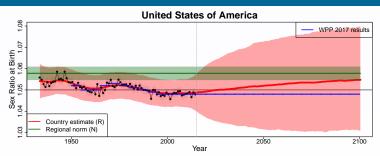


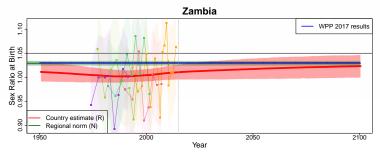
- t_{c,0}: starting year of inflation period (the year when TFR hits 2.8 is used);
- d_{c,1}, d_{c,2}, d_{c,3}: period length of increase, stagnation, and decrease of inflation;
- a_c: the maximum value that the adjustment factor could reach.

Results – Global and regional results



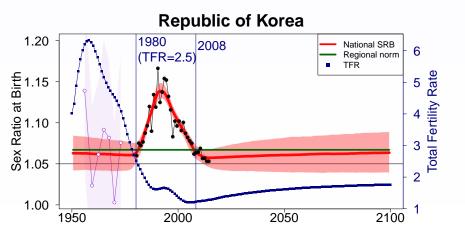
Results – National estimates & projections





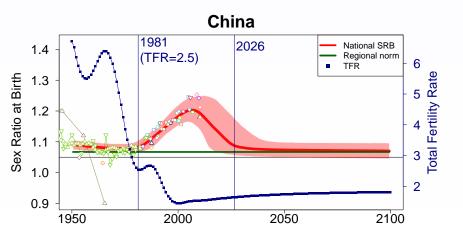
Results – National estimates & projections

For a country with past SRB inflation:



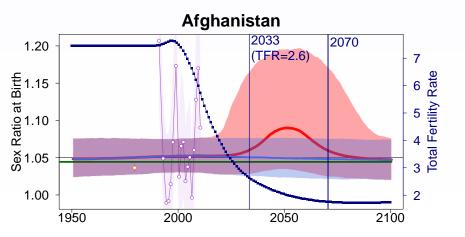
Results – National estimates & projections

For a country with current SRB inflation:



Results - National estimates & projections

For a country with potential future SRB inflation (based on model):



Summary

We constructed a country-level database for SRB, and implemented a Bayesian hierarchical time series model.

We constructed model-based national, regional, and global SRB estimations and projections up to 2100.

Ongoing research:

- How to select countries where SRBs may become distorted in the future?
- To estimate SRB, sex ratio of mortality, and under-5 sex ratios simultaneously.