

1 **Factors Influencing Initial Cup Stability in Total Hip Arthroplasty**

2
3 Farid Amirouche^{1,2}, Ph.D.
4 Giovanni Solitro², Ph.D.
5 Stefanie Broviak², M.S.
6 Mark Gonzalez², M.D., M.S.
7 Wayne Goldstein^{2,3}, M.D.
8 Riad Barmada², M.D.

- 9 1. Department of Mechanical Engineering
10 University of Illinois at Chicago
11 Chicago, Illinois, USA.
- 12 2. Department of Orthopaedics
13 University of Illinois at Chicago,
14 Chicago, Illinois, USA.
- 15 3. Illinois Bone and Joint Institute
16 Morton Grove, Illinois, USA.
17
18

19
20
21 Please address all correspondence to: Farid Amirouche, PhD
22 Professor and Director of Orthopedics Research
23 Department of Orthopaedics
24 University of Illinois at Chicago
25 835 S. Wolcott Ave., Room E270
26 Chicago, IL 60612, USA
27 Phone: (312) 996-7161
28 Fax: (312) 996-9025
29 Email: amirouch@uic.edu

30
31 Abstract: 244 words
32 Main text: 3674 words
33 Number of Tables: 2
34 Number of Figures: 10
35

36

37 **Abstract**

38 Background: One of the main goals in total hip replacement is to preserve the integrity of the hip
39 kinematics, by well positioning the cup and to make sure its initial stability is congruent and
40 attained. Achieving the latter is not trivial.

41 Methods: A finite element model of the cup-bone interface simulating a realistic insertion and
42 analysis of different scenarios of cup penetration, insertion, under reaming and loading is
43 investigated to determine certain measurable factors sensitivity to stress-strain outcome. The
44 insertion force during hammering and its relation to the cup penetration during implantation is also
45 investigated with the goal of determining the initial stability of the acetabular cup during total hip
46 arthroplasty. The mathematical model was run in various configurations to simulate 1 and 2 mm
47 of under-reaming at various imposed insertion distances to mimic hammering and insertion of cup
48 insertion into the pelvis. Surface contact and micromotion at the cup-bone interface were evaluated
49 after simulated cup insertion and post-operative loading conditions.

50 Findings: The results suggest a direct correlation between under-reaming and insertion force used
51 to insert the acetabular cup on the micromotion and fixation at the cup-bone interface.

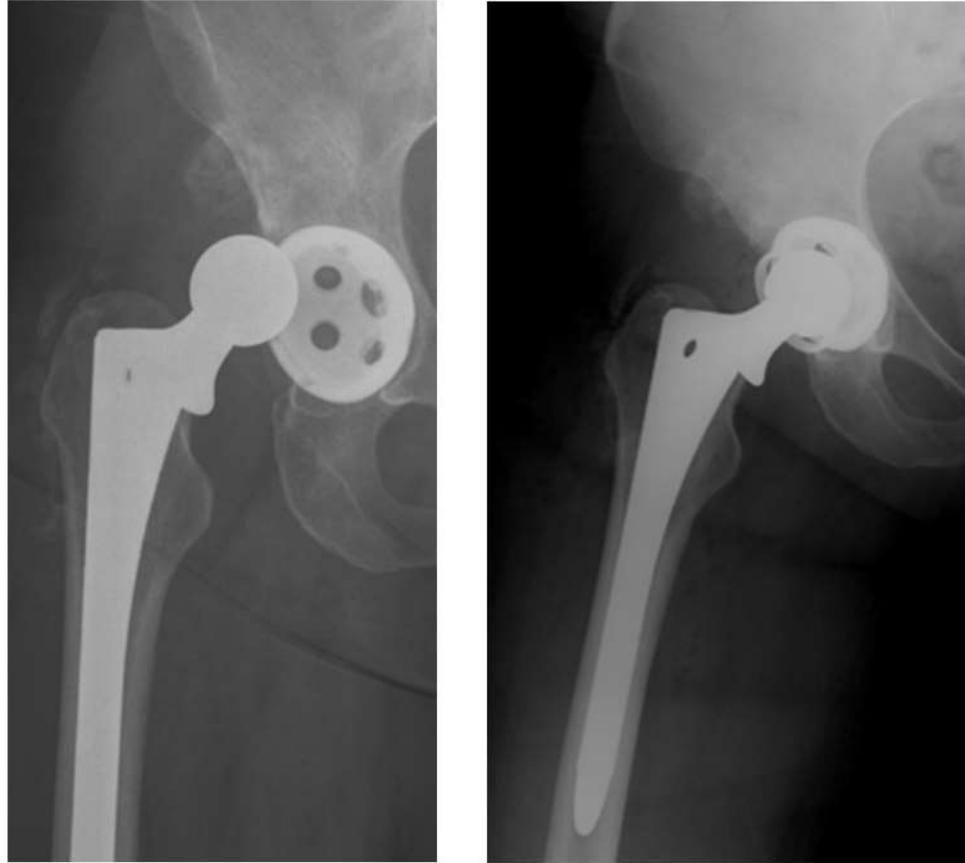
52 Interpretation: while increased under-reaming and insertion force result in an increase amount of
53 stability at the interface, approximately the same percentage of surface contact and micromotion
54 reduction can be achieved with less insertion force. We need to exercise caution to determine the
55 optimal configuration which achieves a good conformity without approaching the yield strength
56 for bone.

57 **Keywords:** total hip replacement, cup fixation, interface conformity, micromotion, finite element
58 analysis

59 **1. Introduction**

60 Each year, as many as 200,000 total hip replacements (THR) are performed in the United
61 States [1], and approximately 7% of those require revision arthroplasty within 8 years of the initial
62 procedure [2]. Revision of hip arthroplasty occurs in up to 25% of all arthroplasties performed in
63 the US and has a less favorable outcome than primary THR [3]. It's worth mentioning that THA
64 survivorship at 15 years for revision is at 69% [4]. It is also important to note that Total hip
65 replacement is a successful and cost effective procedure that offers immediate relief of pain and
66 considerable improvement of life daily function to patients suffering with osteoarthritis of the hip
67 [5–13]. Risk factors for THR revision are patient-related (e.g., gender, neuromuscular disorder
68 status, bone quality) or surgery-related (e.g., surgical approach of primary THA, orientation of the
69 cup, component malpositioning, femoral head size, neck head offset, and surgeon experience) [14–
70 18]. Callanan et al [19] reported an increased risk of acetabular cup malposition, particularly for
71 minimally invasive approaches, low volume surgeons, and obese patients. Wetters [20] found that
72 40% of the patients with at least one episode of instability after revision THA were subject to
73 recurrent instability. (see Figure 1 and 2 for discoloration and instability related to THA). Osteolysis
74 and aseptic loosening are the most common reasons for revision hip arthroplasty [3], and prosthetic
75 loosening is most likely related to the technique used for implant fixation [21,22].

76 Many orthopedic surgeons agree that stability and duration of the implant depend more
77 on the implantation technique than the type of implant used [23–26]. Several studies have
78 compared the effect of various implantation methods, such as degree of under-reaming [27] or
79 the use of screw fixation [28,29], on cup stability. Although the amount of under-reaming is left
80 to the discretion of the surgeon, most surgeons under-ream the acetabulum by 1.0 or 2.0 mm
81 [30,31].



82

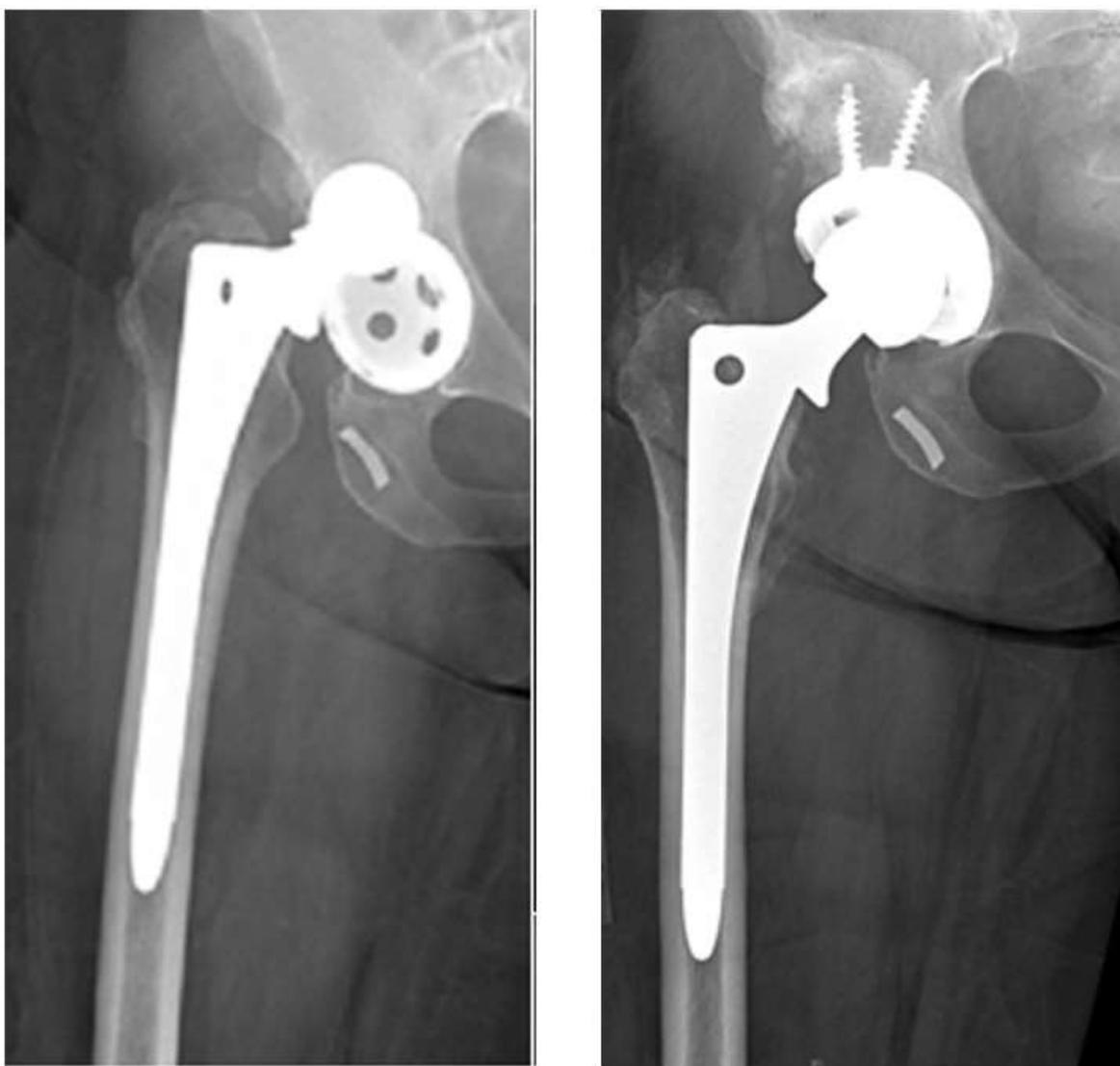
83 **Fig. 1. Dislocation of the THA due to a vertically placed acetabulum component B. Acetabular shell**
84 **maintained converted into a constrained liner.**

85

86 For greater amounts of under-reaming, such as 3.0 or 4.0 mm, no significant difference in
87 stability has been reported; however, in cases of 4.0-mm or greater under-reaming, press-fit
88 insertion of acetabular cups has resulted in acetabular wall fracture [32,33]. For cementless
89 acetabular cups without screw fixation, one study reported polar gap distances at the apex of the
90 cup in 17.8% of patients immediately following surgery [34]. Excessive retroversion may result in
91 posterior dislocation whereas excessive abduction may result in lateral dislocation [35,36].
92 Radiographic assessment may not be sufficient to evaluate hip prosthesis positioning and
93 measurement of anteversion. THA instability continues to be a major issue [17,37,38] and is

94 critically important to patient outcome; however, we do not fully understand the influence of the
95 cup-bone interface, reaming conditions, bone quality (osteoporosis), and initial conditions
96 surrounding cup penetration and insertion hammering forces on cup stability and THR outcome.
97 In this study, our objective was to investigate the mechanics of the cup/bone interface, reaming,
98 and insertion forces on the stability of the cup under different loading conditions.

99



100

101 **Fig. 2. Dislocation of the hip with failure of constrained liner (broken locking ring) B. Final revision**

102 acetabular shell placed in anatomic position with unconstrained liner (the constrained liner didn't address
103 the pathology of the initial placed vertical cup).

104

105 We developed a finite element model that would allow for patient-specific analysis of cup insertion
106 factors and cup stability, and then validated the model in vitro. Cup stability was measured as a
107 function of polar gap distance, surface conformity, and micromotion at the cup-bone interface
108 immediately following THR.

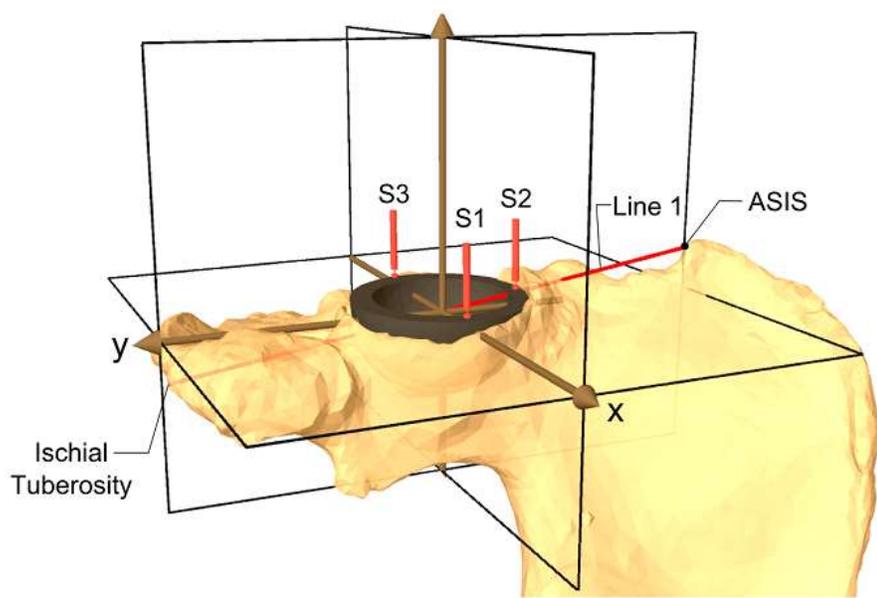
109

110 **2. Methods**

111 *2.1 In Vitro Experimental Study*

112 Five pelvis were obtained from fresh frozen cadavers, stored at approximately -20°C. No
113 bony or musculoskeletal abnormalities were noted upon visual inspection prior to hip prosthesis
114 implantation. Each pelvis was dissected using a posterolateral approach to expose the acetabular
115 rim. The acetabulum was sequentially reamed by 1-mm increments until cartilage was fully
116 removed and cancellous bone was clearly exposed and visible within the acetabulum. A Titanium
117 Pinnacle hemispherical acetabular cup (Johnson & Johnson, DePuy, Warsaw, IN) with a diameter
118 1 mm greater than the final reaming diameter was press-fit inserted. Following cup insertion, all
119 soft tissue was removed and the pelvis was divided at the sacral and pubic joints to preserve the
120 integrity of the two hemi-portions. The hemi-pelvis was mounted in Bondo polyester resin (Bondo
121 Corp., Atlanta, GA) so that the ilium was fully constrained and the ischium was constrained with
122 an adjustable steel stand to mimic the physiological constraints of the pubic symphysis and the
123 sacro-iliac joint.

124 A reference system using the bony landmarks of the hemi-pelvis was utilized to ensure that
 125 load application and sensor placement was well-documented. The acetabulum was divided into a
 126 four-quadrant system defined by Wasielewski [39], with the anterior superior iliac spine (ASIS)
 127 marking the starting point of Line 1, which extends through to the ischial tuberosity. The \overline{XY} plane
 128 lies parallel to the rim of the cup and the y-axis lies parallel to the projection of Line 1 onto the \overline{XY}
 129 plane. The z-axis lies perpendicular to \overline{XY} and extends through the center of the acetabular cup.
 130 Finally, the x-axis was created using the cross product of vectors along the x- and y-axis (Figure
 131 3).



132
 133 **Fig. 3. Reference System adopted with Origin in the cup center, Y axis defined as the projection on the plane**
 134 **of the cup of the line joining the ASIS and the Ischial tuberosity and XY plane containing the cup edge.**

136 Bergman [40] reported peak contact values ranging from 2.42 to 2.50 BW for slow and
 137 fast walking speed, for our study we used the peak forces observed during fast walking and
 138 assumed a body weight of 61 Kg to correspond to our specimen. A loading electromechanical

139 system (Instron Model 5569, Instron Corp., Canton, MA) was used to apply a force from 0 N to
140 1500 N in increments of 100 N at a speed of 5mm/min for a total of 5 cycles along the z-axis with
141 a moment arm along the x-axis of 30 mm to mimic immediate post-operative loading conditions.
142 Data was collected using AC gauging linear variable differential transformers (LVDT) (333
143 Miniature AC gauging LVDT sensors, Trans-tek, Ellington, CT). Sensors 1, 2, and 3 were placed
144 in contact with the rim of the acetabular cup perpendicular to the \overline{XY} plane to record micromotion
145 along the z-axis [41]. Micromotion recorded by the LVDTs was transmitted to a data acquisition
146 system designed in LabVIEW Virtual Instrument (VI) to register and record the micromotion
147 registered in real time.

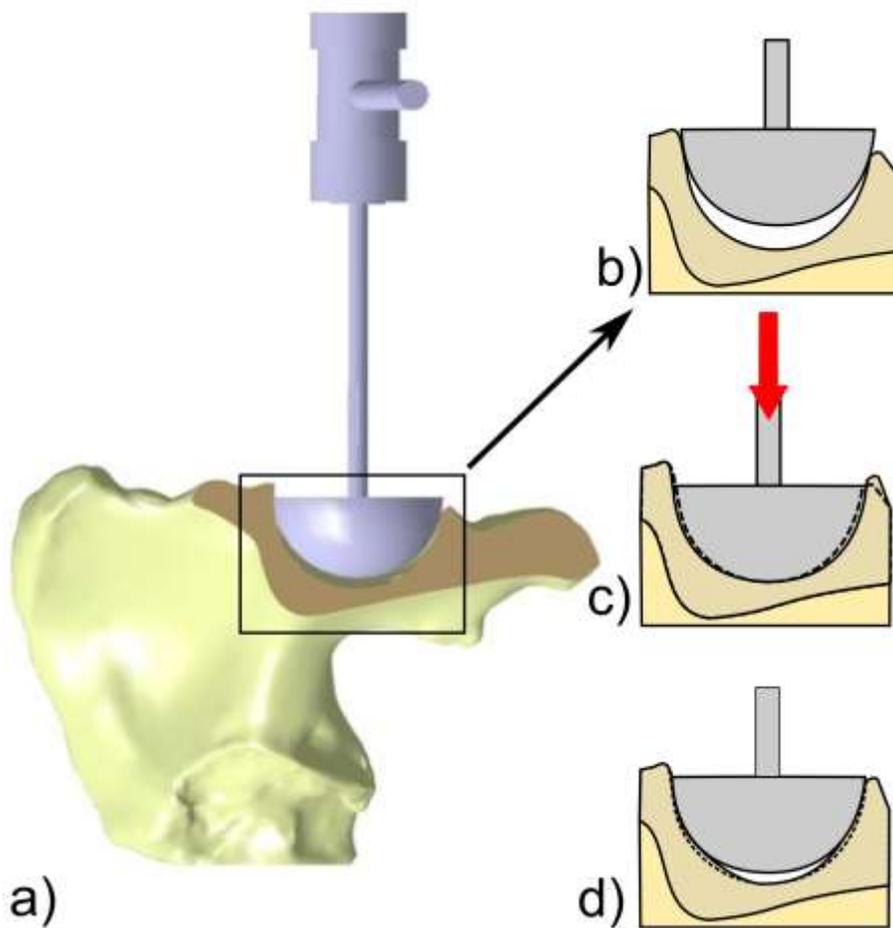
148

149 *2.2 Patient-Specific Finite Element Model Development*

150 Diagnostic images were acquired with CT scanning using a BrightSpeed (GE Medical
151 Systems) scanner (slice thickness of 0.625 mm, pixel size of 0.422 mm, field view of 216 mm);
152 images were taken of the complete cadaveric pelvis prior to cup implantation in order to develop
153 a patient-specific 3D reconstruction of the intact hemi-pelvis geometry, unaltered by the presence
154 of the titanium acetabular cup. The 3D reconstructions of the five hemi-pelvis were built using the
155 segmentation tools of the Mimics Suite (Materialise, Leuven, Belgium) and material property
156 segmentation was conducted using the local bone mineral density between cortical and
157 subchondral bone.

158 For each modeled pelvis, using a tridimensional reconstruction, we have measured five
159 morphological parameters reported in Table 1. The morphological data of the sample with the
160 closest values to the calculated average has been selected to create the model used for the proposed
161 Input Parameter Variation Study.

162 Of the selected sample, an additional CT scan was performed after biomechanical testing
163 to create a 3D reconstruction of the final geometry of the bone and cup. A fitting procedure was
164 conducted to match the peripheral surfaces of the bone between the two models. Assuming that
165 the acetabular floor of the model that was reconstructed from the tested specimen was unaltered
166 by cup insertion, a best fit sphere was created using points located in this region, and used to under-
167 ream the acetabulum of the intact model (Figure 4).
168



169
170 **Fig. 4. Positioning and hammering steps in THA: a) Rendering of a Cup-bone interface and cross section of**
171 **pelvis wall; b) Initial setting before hammering; c) Hammering and bottoming of the cup; d) Bouncing back**
172 **at equilibrium position.**

173

174 The relative position of the cup prior to testing and after cup implantation in relation to the
175 unaltered reconstruction was obtained by relocating the cup to a position dictated by the values
176 obtained from the LVDT sensors, taking into account the permanent deformation recorded at the
177 end of the experiment. The equilibrium position of the cup at this stage was defined as the location
178 of the cup after insertion but before any post-operative load had been applied. The polar gap
179 distance between the apex of the cup and the floor of the reamed acetabulum was measured on the
180 reconstructed model and determined to be 0.681 mm during cup equilibrium (Figure 5). A possible
181 hammering distance was achieved by moving the cup along a line of action perpendicular to the
182 plane of the cup rim until minimal contact was achieved between the cup and acetabular wall. The
183 initial polar gap distance before implantation between the apex of the cup and the floor of the
184 reamed acetabulum was 1.606 mm.

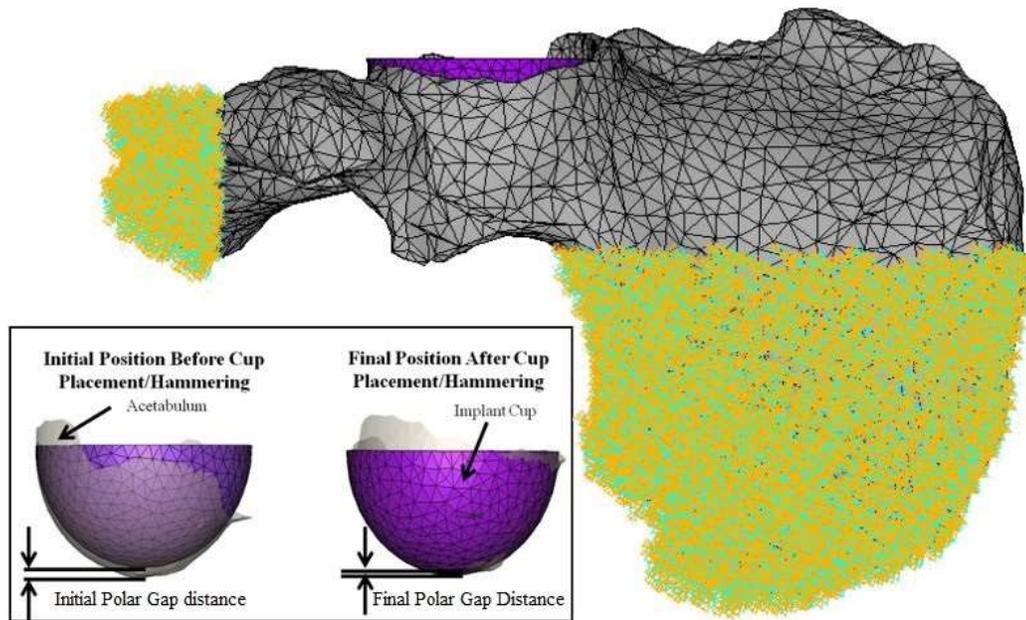
185 The resulting 10,940 tetrahedral solid (SOLID72) elements (3,466-node) model of the
186 hemi-pelvis, and 3,466-element (865-node) model of the acetabular cup was imported into ANSYS
187 13.0 (Ansys Inc., Canonsburg, PA). All materials were assumed to be linear elastic with a
188 Poisson's ratio of 0.3 and values of Young Modulus of 0.07 GPa for cancellous bone adopted from
189 Barreto et al, [42] and assigned the cortical bone Young Modulus using the power relationship
190 used by Taddei for the femur [43] with imposed value of 17 GPa for the highest values of
191 Hounsfield Unit found. The average value of young modulus for cortical bone was of 13.8 GPa
192 with a lower limit of 11.13 GPa. The metal alloy cup (Ti-6Al-4V) had an elastic modulus of 110
193 GPa with a Poisson's ratio of 0.3 [44]. A nonlinear, asymmetric, frictional, surface-to-surface
194 contact interface was created between the bone and cup implant with 784 elements (CONTA 173)

195 and 598 target elements (TARGET 170). An augmented Lagrange method was chosen to solve
196 the contact model, with a coefficient of friction of 0.5 [45].

197

198 *2.3 Parameter Fitting Characterization and Model Validation*

199 A parameter fitting method was utilized to optimize the imposed hammering distance
200 during insertion for the model such that it accurately represented the in vitro experiment. Input
201 values of imposed hammering distances to the cup were sequentially varied to achieve the
202 equilibrium polar gap distance from the in vitro experiment. The iliac crest and a portion of the
203 ilium were constrained in all directions to mimic the conditions of the mechanical testing and to
204 avoid translational movement of the bone, as shown in Figure 5. The simulation was done in two
205 load step phases, the first of which mimicked cup insertion/hammering due to imposed hammering
206 distance. The boundary conditions on the cup were such that it was allowed freedom of movement
207 in only the direction it was to be displaced. In the second phase, all boundary conditions were
208 removed from the cup, at which point the cup was able to rebound and adjust to an optimal
209 conformity, finding the equilibrium position.



210

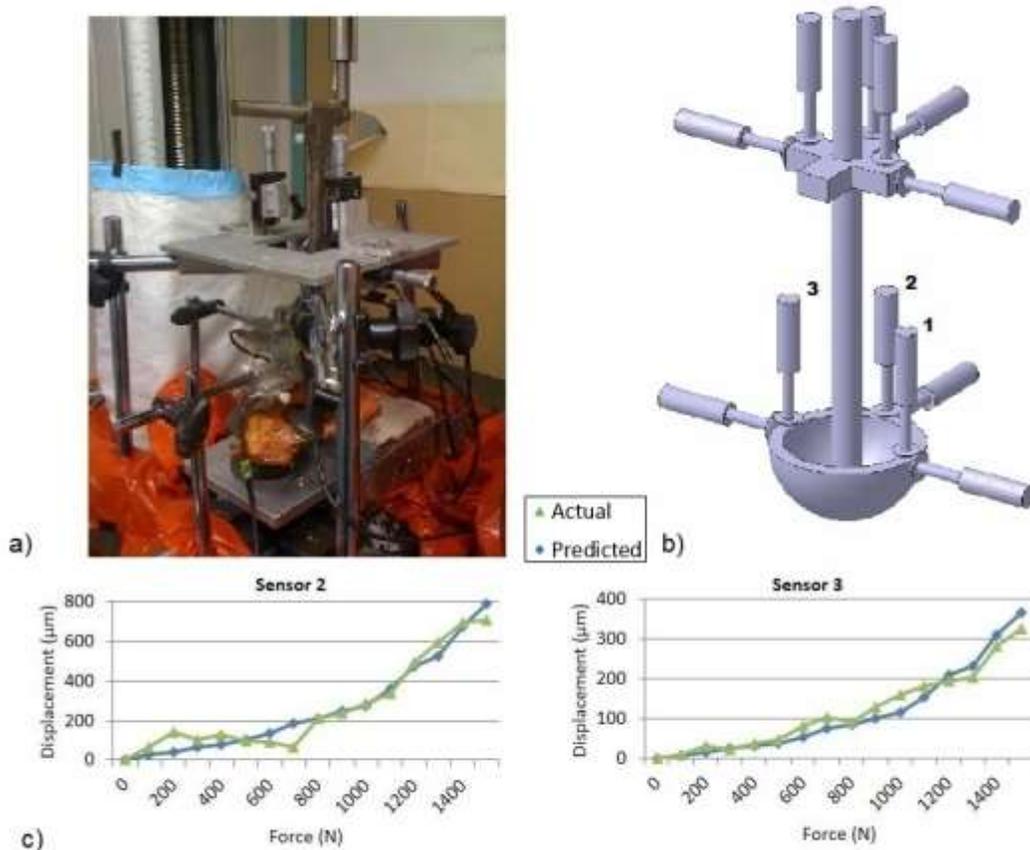
211 **Fig. 5. Finite element model of the hemi-pelvis with constraints at the pubic symphysis and the sacro-iliac**
 212 **joint. Boundary constraints of the hemi-pelvis with the ilium and a portion of the ischium fully constrained**
 213 **and the gap distance of cup-bone interface before and after cup placement shown.**

214

215 The location of the cup at the end of the second load step was regarded as the equilibrium
 216 position of the cup following press-fit implantation. The mathematical model was run, varying the
 217 imposed hammering distance until the predicted polar gap distance matched the experimental polar
 218 gap distance with less than 2.0% error. The polar gap distance was measured as the distance along
 219 the z-axis between a pair of nodes located at the apex of the cup and the floor of the reamed
 220 acetabulum. The imposed hammering distance to match the experimental results within 2% was
 221 1.55 mm, which achieved a polar gap distance of 0.691 mm.

222 Following parameter fitting of the imposed hammering distance to accurately predict the
 223 equilibrium polar gap distance, the model was validated using displacement values of selected

224 nodes located in the same position as the sensors from the in vitro experiment. The reference
 225 system defined was used to select the appropriate nodes. Validation was conducted in three load
 226 step phases. The first two load steps mimicked the insertion and equilibrium phases, respectively.
 227 In the third and final load step, a compressive load from 0 N to 1500 N along the z-axis and a
 228 moment arm of 30.38 mm was placed on the cup, as in the in vitro experiment. Throughout loading,
 229 the predicted values of micromotion matched the actual values with about 12% error or less.
 230 Furthermore, the final values of cup displacement at 1500 N of the three nodal points representing
 231 sensors 1, 2 and 3 were predicted with a percent error of 11%, 12%, and 2%, respectively (Figure
 232 6).



233
 234 **Fig.6. THA Experiment Setup: a) execution; b) Layout of the sensors adopted c) Comparison of predicted**
 235 **and experimental values from 0 to 1500 N.**

236

237

238

239 *2.4 Input Parameter Variation*

240 Following model validation, we evaluated the influence of varying input parameters and
241 the effect on stresses, surface conformity, polar gap distance, and micromotion at the interface.
242 Three load steps were used to mimic the press-fit insertion, equilibrium, and loading phases. The
243 nodes at the pubic symphysis and the sacro-iliac joint were constrained in all degrees of freedom
244 to simulate in vivo conditions at each step. Various configurations were run as the first load step
245 to account for 1 mm and 2 mm of under-reaming for press-fit insertion with varying hammering
246 distance depths in order to achieve different amounts of contact at the cup-bone interface. During
247 the second load step, constraints were removed from the cup to allow for equilibrium, and during
248 the third load step, a force of 1500 N was applied to the cup to mimic post-operative loading.

249

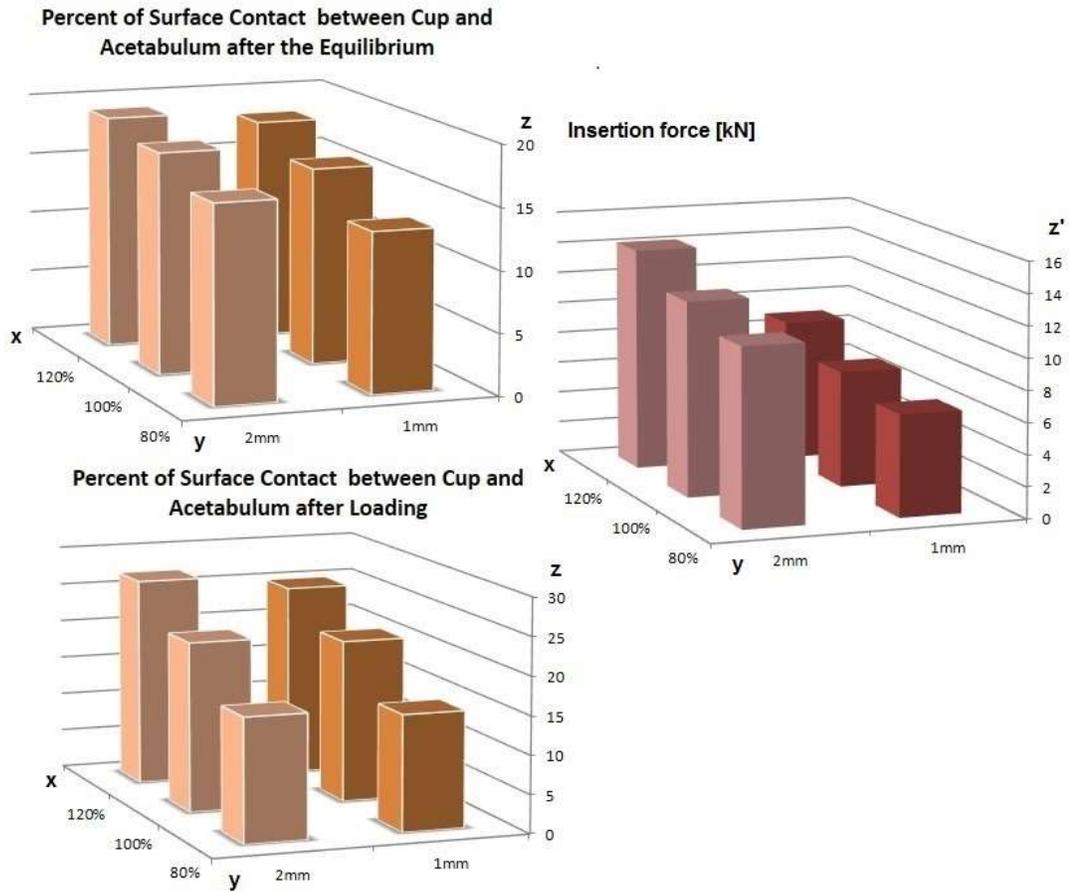
250 **Results**

251 The distribution of von Mises stress throughout the entire hemi-pelvis was predicted
252 following press-fit insertion of the cup for various configurations. High stresses were predicted in
253 the superior region of the acetabular wall as well as a portion of the ischium.

254 Following the first phase of cup insertion, the insertion force of the cup was evaluated to
255 observe the influence of the force needed to insert under-reamed cups set to varying target
256 locations, as specified by the hammering distance imposed on each cup. The aim was to determine
257 in which configuration a minimal force can be used to establish a stable contact at the cup-bone

258 interface. The results show that insertion force increases as a function of the amount of under-
 259 reaming, as well as increasing target insertion distances Figure 7.

260



261

262 **Fig. 7. Percent of contact surface at cup-bone interface (z) before and after cup insertion and Insertion force**
 263 **(z') as function of x (hammering distance expressed as percentage of the initial polar gap distance) and y**
 264 **(value of under-reaming).**

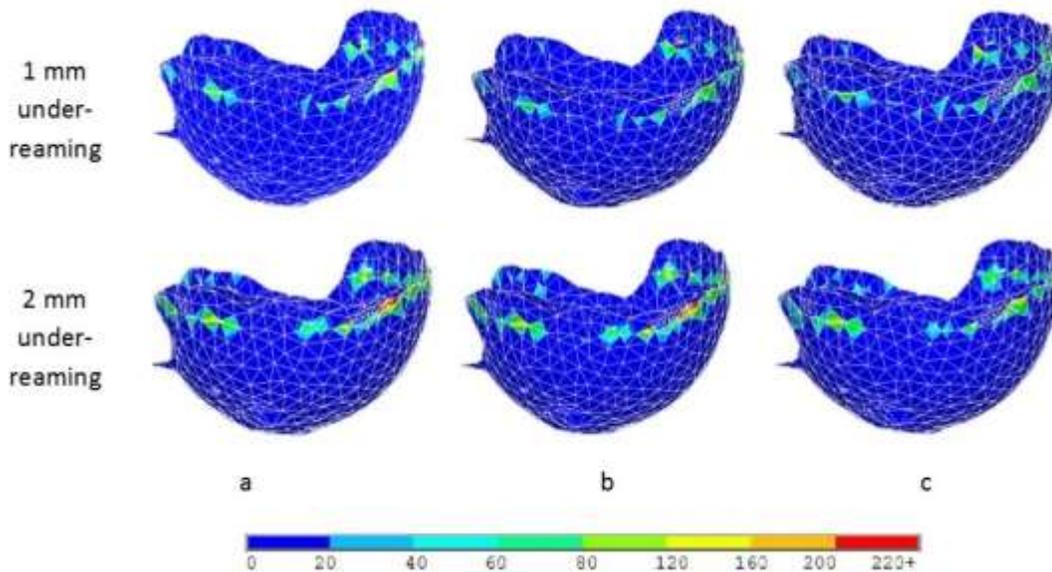
265

266 In order to evaluate the surface conformity at the cup-bone interface, the percentage of
 267 nodes with a contact penetration depth of less than 0.1E-6 mm at the interface was calculated at
 268 the end of each load step. Despite the degree of under-reaming, the hammering distance and

269 therefore the insertion force needed to press-fit the cup had a greater influence on the amount of
270 surface conformity at the interface. Under-reaming by 2 mm achieved only a slight increase in
271 good surface conformity immediately following cup insertion. An adjustment of the conformity of
272 the peripheral bone of the acetabular wall due to over-sized cup insertion was observed, while the
273 bone at the floor of the reamed acetabulum remained largely unaffected by cup insertion. Gap
274 distances at the apex of the cup and the nearest point of the floor of the reamed acetabulum were
275 also compared and were consistent with the results of clinical cases, in which polar gap distances
276 of 0.5 mm were reported following the implantation of press-fit cups. Larger polar gap distances
277 were noted for configurations with a greater amount of under-reaming. A comparison of three
278 imposed hammering scenarios between the 1 and 2 mm under-reaming conditions is shown in
279 Figure 7 as function of percentage of surface in contact before and after the equilibrium phase and
280 insertion force.

281 For 1 mm of under-reaming the percentage of cup surface in contact with the bone varied from
282 14.5 to 25.1% for the imposed target distances. These values were reduced to 12.9 and 18.13%
283 after the equilibrium phase was reached.

284 For the 2 mm under-reaming the percentages of surface in contact were slightly greater with values
285 ranging from 16.2 to 26.9% after loading and 15.8 to 19.35% after the cup reached the equilibrium
286 phase. Between the first and second phases of cup insertion, the percentage of surface in contact
287 decreased by an average of 3% for all configurations. For each configuration, higher stresses were
288 present on the peripheral rim of the acetabular cup and bone (Figure 8), with the highest stresses
289 noted at the superior region of the acetabular wall.

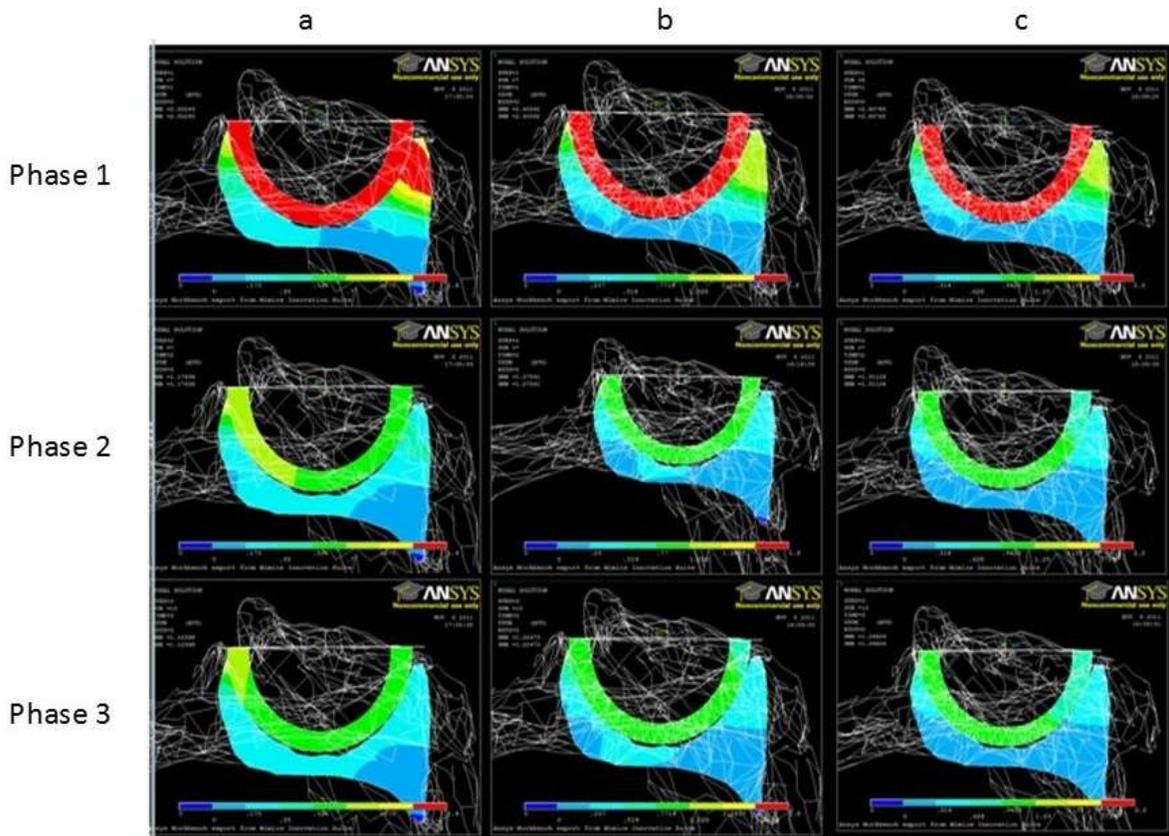


290

291 **Fig. 8. Total stress (MPa) on the acetabular wall at the cup-bone interface for 1 and 2 mm of under-reaming**
 292 **during (a) 80% (b)100%, and (c) 120% of initial gap distance.**

293

294 In the cases presented with 1 mm of under-reaming, 95% of elements at the interface experienced
 295 a stress less than 20 MPa, with no elements exceeding a stress of 220 MPa. Under-reaming by 2
 296 mm resulted in 92% of stress less than 20 MPa, with 0.17% of elements depicting a peak stress
 297 greater than 220 MPa. Because 95% of the stress varies between 0 and 55 MPa at the interface, we
 298 conclude that the contact at the interface is nearly homogenous (Table 2). In addition to a decrease
 299 in surface conformity during the equilibrium phase, the gap distance at the apex of the cup almost
 300 doubled, despite the hammering force or decrease in under-reaming. Up to a loading of 1500 N,
 301 the surface of contact decreased by less than 1% and the gap distance at the apex of the cup
 302 decreased by 2% providing further evidence that the cup- bone interface is stable during the
 303 loading phase (Figure 9).



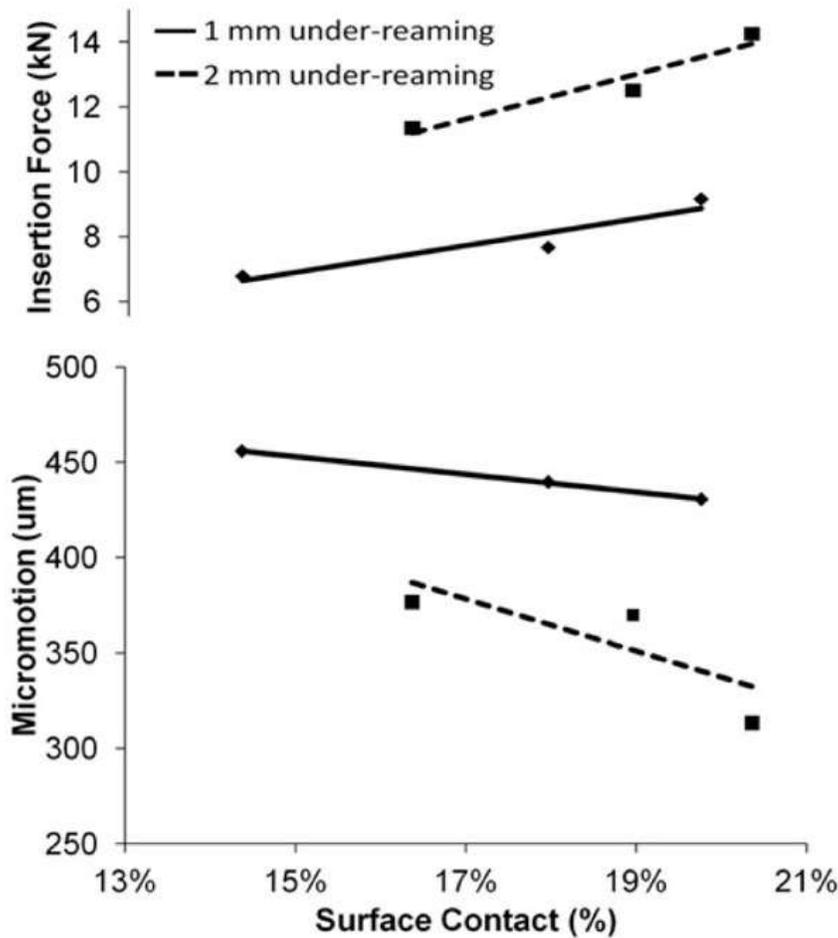
304

305 **Fig. 9. Cross section view of the acetabular cup with 2mm of underreaming during the three loading phases for**
 306 **three imposed hammering distances a,b and c of 80,100 and 120% of initial gap distance.**

307

308 The criterion for surface conformity at the interface was evaluated as a function of the
 309 percentage of surface contact at the interface, as well as the displacement of the cup due to loading.
 310 Insertion force was evaluated using the reaction force on the acetabular cup due to insertion by the
 311 imposed hammering distance. The results indicate that force needed to insert the acetabular cup
 312 increased as a function of increasing percentage of surface in contact before loading, as well as
 313 under-reaming (Figure 10).

314



315

316 **Fig. 10. Relation between insertion force, displacement, and percentage of surface contact at 1500 N of**
 317 **loading for 1 and 2 mm of under-reaming.**

318

319

320 For the 1 mm of under-reaming 14.4% of contact was achieved with an insertion force
 321 increase of 19.7% going from 6.8 kN to 9.15 kN. On the other hand a 2mm under-reaming showed
 322 an increase from 16.3% to 20.1% with a change in insertion force from 11.3 to 14.3 kN.
 323 Conversely, displacement of the cup decreased as a function of increasing surface contact and a
 324 greater amount of under-reaming. While the movement of the cup remained relatively stable for 1

325 mm of under-reaming, ranging from 430 to 455 μm , a sharp decrease in displacement occurred
326 from 378 to 313 μm for the case of 2 mm of under-reaming.

327

328 **Discussion**

329 Some of the factors influencing THR can be seen in a recent registry study of 35,140 THRs,
330 where women had a 29% higher risk of implant failure than men in a 3-year follow-up period [46].
331 Researchers have found that, men device survival was significantly higher than in women, and
332 women had a significantly higher risk of all-cause revision, aseptic revision, and septic revision.
333 This gender disparity was postulated to be associated with differences in muscle mass and soft-
334 tissue properties, which are related to genetic and hormonal differences between the sexes [46].
335 We speculate that the initial positioning of the cup and its subsequent loading conditions may lead
336 to different stress conditions in men and women, which then determine THR outcome and the need
337 for revision.

338 In this study, we determined the influence of cup insertion factors, particularly the amount
339 of under-reaming and insertion force, on initial cup stability during THR. The roles of various
340 parameters on the behavior and stability of the cup were varied and evaluated, and each had a
341 strong influence on the stability and conformity of the cup-bone interface following cup insertion
342 and loading.

343 The force used to insert the acetabular cup for various imposed hammering distances was
344 determined for two different degrees of bone under-reaming. The average insertion force was
345 approximately $10.4 \text{ kN} \pm 3.9 \text{ kN}$, which is on the same order of magnitude as reported in the
346 literature [47]. Considering that the differences in recorded insertion force can be attributed to

347 differences in loading parameters, the forces needed to insert the cup that were predicted in this
348 study fell within the same range of magnitude as those reported in literature.

349 The degree of under-reaming and insertion force used for cup placement plays a significant
350 role in the amount of surface contact and micromotion of the cup at the interface. Increased surface
351 contact, measured by evaluating the number of nodes in contact at the interface, showed a positive
352 correlation with increasing levels of under-reaming, as well as increasing force needed for cup
353 insertion. This phenomenon was visible throughout loading, and was more pronounced with 2 mm
354 of under-reaming, which showed a sharper decrease in micromotion with increasing insertion
355 force. However, it is interesting to note that approximately the same percent of surface contact can
356 be achieved with 1 mm of under-reaming and less insertion force. For example, under-reaming by
357 2 mm requires an insertion force 22.5% greater than the force needed to seat a cup with 1 mm
358 under-reaming, but achieves only a 13% reduction in micromotion with nearly the same surface
359 contact.

360

361 **Conclusion**

362

363 Our analysis of the polar gap observed following cup insertion has important clinical
364 relevance. The occurrence of such gaps is difficult to observe in practice, but can be easily
365 investigated with the tools of finite element analysis. While a greater insertion force used during
366 cup insertion resulted in a slightly greater amount of surface conformity at the interface, a polar
367 gap was still present for both cases of under-reaming despite the insertion force used. Furthermore,
368 because a very high insertion force would be needed to achieve full surface conformity, the stresses
369 on the bone are likely to increase as well, which may result in fracture of the acetabular wall.

370 The data show a significant correlation between the degree of under-reaming and the
371 resulting total stress at the cup-bone interface, as well as the distribution of von Mises stress
372 throughout the entire bone. However, while increased under-reaming results in higher stresses,
373 especially at the interface, it is clear that greater under-reaming also results in a more rigid fixation
374 between the cup and bone. The results suggest that because greater than 90% of elements predicted
375 a stress ranging from 0 to 55 MPa, with just a small region of higher predicted stress exceeding
376 the elastic range of bone, fracture of the acetabular wall will not likely occur during cup insertion
377 or loading. It is important to note that because the stresses seen for 2 mm of under-reaming
378 approached the yield strength for bone in some regions, caution should be taken during cup
379 insertion to avoid fracture of the acetabular wall, especially for higher amounts of under-reaming.

- 381 [1] S. Kurtz, K. Ong, E. Lau, F. Mowat, M. Halpern, Projections of primary and revision hip
382 and knee arthroplasty in the United States from 2005 to 2030., *J. Bone Joint Surg. Am.* 89
383 (2007) 780–785. doi:10.2106/JBJS.F.00222.
- 384 [2] S. Kurtz, K. Ong, J. Schmier, F. Mowat, K. Saleh, E. Dybvik, et al., Future clinical and
385 economic impact of revision total hip and knee arthroplasty., *J. Bone Joint Surg. Am.* 89
386 Suppl 3 (2007) 144–51. doi:10.2106/JBJS.G.00587.
- 387 [3] S.D. Ulrich, T.M. Seyler, D. Bennett, R.E. Delanois, K.J. Saleh, I. Thongtrangan, et al.,
388 Total hip arthroplasties: what are the reasons for revision?, *Int. Orthop.* 32 (2008) 597–
389 604. doi:10.1007/s00264-007-0364-3.
- 390 [4] H.M. Kremers, J.L. Howard, Y. Loechler, C.D. Schleck, W.S. Harmsen, D.J. Berry, et al.,
391 Comparative long-term survivorship of uncemented acetabular components in revision
392 total hip arthroplasty., *J. Bone Joint Surg. Am.* 94 (2012) e82. doi:10.2106/JBJS.K.00549.
- 393 [5] M.H. Liang, K.E. Cullen, M.G. Larson, M.S. Thompson, J.A. Schwartz, A.H. Fossel, et
394 al., Cost-effectiveness of total joint arthroplasty in osteoarthritis., *Arthritis Rheum.* 29
395 (1986) 937–943.
- 396 [6] B. Jonsson, S.E. Larsson, Functional improvement and costs of hip and knee arthroplasty
397 in destructive rheumatoid arthritis., *Scand. J. Rheumatol.* 20 (1991) 351–357.
398 doi:10.3109/03009749109096811.
- 399 [7] P. Rissanen, S. Aro, P. Slätis, H. Sintonen, P. Paavolainen, Health and quality of life
400 before and after hip or knee arthroplasty., *J. Arthroplasty.* 10 (1995) 169–175.
- 401 [8] I. Wiklund, B. Romanus, A comparison of quality of life before and after arthroplasty in
402 patients who had arthrosis of the hip joint., *J. Bone Joint Surg. Am.* 73 (1991) 765–769.
- 403 [9] M.A. Ritter, M.J. Albohm, E.M. Keating, P.M. Faris, J.B. Meding, Comparative outcomes
404 of total joint arthroplasty., *J. Arthroplasty.* 10 (1995) 737–741. doi:10.1016/S0883-
405 5403(05)80068-3.
- 406 [10] F.X. McGuigan, W.J. Hozack, L. Moriarty, K. Eng, R.H. Rothman, Predicting quality-of-
407 life outcomes following total joint arthroplasty. Limitations of the SF-36 Health Status
408 Questionnaire., *J. Arthroplasty.* 10 (1995) 742–747.
- 409 [11] D. Choi, Y. Park, Y.-S. Yoon, B.A. Masri, In vitro measurement of interface micromotion
410 and crack in cemented total hip arthroplasty systems with different surface roughness.,
411 *Clin. Biomech. (Bristol, Avon).* 25 (2010) 50–5. doi:10.1016/j.clinbiomech.2009.08.008.

- 412 [12] J.K. Choi, J.A. Geller, R.S. Yoon, W. Wang, W. Macaulay, Comparison of total hip and
413 knee arthroplasty cohorts and short-term outcomes from a single-center joint registry., *J.*
414 *Arthroplasty.* 27 (2012) 837–41. doi:10.1016/j.arth.2012.01.016.
- 415 [13] D. et al Hamilton, Comparative outcomes of total hip and knee arthroplasty: a prospective
416 cohort study, *Postgrad. Med. J.* 88 (2012) 627–631.
417 <http://pmj.bmj.com/cgi/content/long/postgradmedj-2011-130715v1> (accessed August 08,
418 2014).
- 419 [14] G.M. Alberton, W.A. High, B.F. Morrey, Dislocation after revision total hip arthroplasty :
420 an analysis of risk factors and treatment options., *J. Bone Joint Surg. Am.* 84-A (2002)
421 1788–1792.
- 422 [15] C. Van Sikes, L.P. Lai, M. Schreiber, M.A. Mont, R.H. Jinnah, T.M. Seyler, Instability
423 after total hip arthroplasty: treatment with large femoral heads vs constrained liners., *J.*
424 *Arthroplasty.* 23 (2008) 59–63. doi:10.1016/j.arth.2008.06.032.
- 425 [16] R.S. Kotwal, M. Ganapathi, A. John, M. Maheson, S.A. Jones, Outcome of treatment for
426 dislocation after primary total hip replacement., *J. Bone Joint Surg. Br.* 91 (2009) 321–
427 326. doi:10.1302/0301-620X.91B3.21274.
- 428 [17] B.A. Krenzel, M.E. Berend, R.A. Malinzak, P.M. Faris, E.M. Keating, J.B. Meding, et al.,
429 High preoperative range of motion is a significant risk factor for dislocation in primary
430 total hip arthroplasty., *J. Arthroplasty.* 25 (2010) 31–35. doi:10.1016/j.arth.2010.04.007.
- 431 [18] D.E. Padgett, H. Warashina, The unstable total hip replacement., *Clin. Orthop. Relat. Res.*
432 (2004) 72–79. doi:10.1097/01.blo.0000122694.84774.b5.
- 433 [19] M.C. Callanan, B. Jarrett, C.R. Bragdon, D. Zurakowski, H.E. Rubash, A.A. Freiberg, et
434 al., The John Charnley Award: risk factors for cup malpositioning: quality improvement
435 through a joint registry at a tertiary hospital., *Clin. Orthop. Relat. Res.* 469 (2011) 319–
436 329. doi:10.1007/s11999-010-1487-1.
- 437 [20] N.G. Wetters, T.G. Murray, M. Moric, S.M. Sporer, W.G. Paprosky, C.J. Della Valle,
438 Risk factors for dislocation after revision total hip arthroplasty., *Clin. Orthop. Relat. Res.*
439 471 (2013) 410–6. doi:10.1007/s11999-012-2561-7.
- 440 [21] J.-T. Hsu, C.-H. Chang, H.-L. Huang, M.E. Zobitz, W.-P. Chen, K.-A. Lai, et al., The
441 number of screws, bone quality, and friction coefficient affect acetabular cup stability.,
442 *Med. Eng. Phys.* 29 (2007) 1089–1095. doi:10.1016/j.medengphy.2006.11.005.
- 443 [22] L.M. Kwong, D.O. O’Connor, R.C. Sedlacek, R.J. Krushell, W.J. Maloney, W.H. Harris,
444 A quantitative in vitro assessment of fit and screw fixation on the stability of a cementless
445 hemispherical acetabular component., *J. Arthroplasty.* 9 (1994) 163–170.
446 doi:10.1016/0883-5403(94)90065-5.

- 447 [23] W. Brodner, A. Grübl, R. Jankovsky, V. Meisinger, S. Lehr, F. Gottsauner-Wolf, Cup
448 inclination and serum concentration of cobalt and chromium after metal-on-metal total hip
449 arthroplasty., *J. Arthroplasty*. 19 (2004) 66–70. doi:10.1016/j.arth.2004.09.003.
- 450 [24] H. DeWal, E. Su, P.E. DiCesare, Instability following total hip arthroplasty., *Am. J.*
451 *Orthop.* (Belle Mead. NJ). 32 (2003) 377–382.
- 452 [25] D.D. D’Lima, A.G. Urquhart, K.O. Buehler, R.H. Walker, C.W. Colwell, The effect of the
453 orientation of the acetabular and femoral components on the range of motion of the hip at
454 different head-neck ratios., *J. Bone Joint Surg. Am.* 82 (2000) 315–321.
- 455 [26] B. Jolles, Factors predisposing to dislocation after primary total hip arthroplasty, *J.*
456 *Arthroplasty*. 17 (2002) 282–288. doi:10.1054/arth.2002.30286.
- 457 [27] I. Zivkovic, M. Gonzalez, F. Amirouche, The effect of under-reaming on the cup/bone
458 interface of a press fit hip replacement., *J. Biomech. Eng.* 132 (2010) 041008.
459 doi:10.1115/1.2913228.
- 460 [28] M.A. Mont, D.R. Marker, J.M. Smith, S.D. Ulrich, M.S. McGrath, Resurfacing is
461 comparable to total hip arthroplasty at short-term follow-up., 2009. doi:10.1007/s11999-
462 008-0465-3.
- 463 [29] C. Röder, B. Bach, D.J. Berry, S. Eggli, R. Langenhahn, A. Busato, Obesity, age, sex,
464 diagnosis, and fixation mode differently affect early cup failure in total hip arthroplasty: a
465 matched case-control study of 4420 patients., *J. Bone Joint Surg. Am.* 92 (2010) 1954–
466 1963. doi:10.2106/JBJS.F.01184.
- 467 [30] J.J. Callaghan, Periprosthetic fractures of the acetabulum during and following total hip
468 arthroplasty., *Instr. Course Lect.* 47 (1998) 231–5.
469 <http://www.ncbi.nlm.nih.gov/pubmed/9571423>.
- 470 [31] G.J. Haidukewych, D.J. Jacofsky, A.D. Hanssen, D.G. Lewallen, Intraoperative fractures
471 of the acetabulum during primary total hip arthroplasty., *J. Bone Joint Surg. Am.* 88
472 (2006) 1952–6. doi:10.2106/JBJS.E.00890.
- 473 [32] M.J. Curtis, R.H. Jinnah, V.D. Wilson, D.S. Hungerford, The initial stability of
474 uncemented acetabular components., *J. Bone Joint Surg. Br.* 74 (1992) 372–376.
- 475 [33] P.F. Sharkey, W.J. Hozack, J.J. Callaghan, Y.S. Kim, D.J. Berry, A.D. Hanssen, et al.,
476 Acetabular fracture associated with cementless acetabular component insertion: a report of
477 13 cases., *J. Arthroplasty*. 14 (1999) 426–431. doi:10.1016/S0883-5403(99)90097-9.
- 478 [34] A. Roth, T. Winzer, K. Sander, J.O. Anders, R.-A. Venbrocks, Press fit fixation of
479 cementless cups: how much stability do we need indeed?, *Arch. Orthop. Trauma Surg.*
480 126 (2006) 77–81. doi:10.1007/s00402-005-0001-9.

- 481 [35] M.A. Ali Khan, P.H. Brakenbury, I.S. Reynolds, Dislocation following total hip
482 replacement, *J Bone Jt. Surg Br.* 63-B (1981) 214–218.
483 <http://www.ncbi.nlm.nih.gov/pubmed/7217144>.
- 484 [36] J.G. Kennedy, W.B. Rogers, K.E. Soffe, R.J. Sullivan, D.G. Griffen, L.J. Sheehan, Effect
485 of acetabular component orientation on recurrent dislocation, pelvic osteolysis,
486 polyethylene wear, and component migration., *J. Arthroplasty.* 13 (1998) 530–534.
487 doi:10.1016/S0883-5403(98)90052-3.
- 488 [37] W.M. Goldstein, T.F. Gleason, M. Kopplin, J.J. Branson, Prevalence of dislocation after
489 total hip arthroplasty through a posterolateral approach with partial capsulotomy and
490 capsulorrhaphy., *J. Bone Joint Surg. Am.* 83-A Suppl (2001) 2–7.
- 491 [38] M. Rogers, A.W. Blom, A. Barnett, A. Karantana, G.C. Bannister, Revision for recurrent
492 dislocation of total hip replacement., *Hip Int.* 19 (n.d.) 109–13.
493 <http://www.ncbi.nlm.nih.gov/pubmed/19462366> (accessed February 18, 2014).
- 494 [39] R.C. Wasielewski, L.A. Cooperstein, M.P. Kruger, H.E. Rubash, Acetabular anatomy and
495 the transacetabular fixation of screws in total hip arthroplasty., *J. Bone Joint Surg. Am.* 72
496 (1990) 501–508.
- 497 [40] G. Bergmann, G. Deuretzbacher, M. Heller, F. Graichen, A. Rohlmann, J. Strauss, et al.,
498 Hip contact forces and gait patterns from routine activities, *J. Biomech.* 34 (2001) 859–
499 871. doi:10.1016/S0021-9290(01)00040-9.
- 500 [41] F. Amirouche, G.F. Solitro, Challenges in modeling total knee arthroplasty and total hip
501 replacement, *Procedia IUTAM.* 2 (2011) 18–25. doi:10.1016/j.piutam.2011.04.003.
- 502 [42] S. Barreto, J. Folgado, P.R. Fernandes, J. Monteiro, The influence of the pelvic bone on
503 the computational results of the acetabular component of a total hip prosthesis., *J.*
504 *Biomech. Eng.* 132 (2010) 054503. doi:10.1115/1.4001031.
- 505 [43] F. Taddei, L. Cristofolini, S. Martelli, H.S. Gill, M. Viceconti, Subject-specific finite
506 element models of long bones: An in vitro evaluation of the overall accuracy., *J. Biomech.*
507 39 (2006) 2457–67. doi:10.1016/j.jbiomech.2005.07.018.
- 508 [44] M. Long, H.J. Rack, Titanium alloys in total joint replacement--a materials science
509 perspective., *Biomaterials.* 19 (1998) 1621–1639.
- 510 [45] D. Janssen, R.E. Zwartelé, H.C. Doets, N. Verdonschot, Computational assessment of
511 press-fit acetabular implant fixation: the effect of implant design, interference fit, bone
512 quality, and frictional properties., *Proc. Inst. Mech. Eng. H.* 224 (2010) 67–75.
513 doi:10.1243/09544119JEIM645.

- 514 [46] M.C.S. Inacio, C.F. Ake, E.W. Paxton, M. Khatod, C. Wang, T.P. Gross, et al., Sex and
515 risk of hip implant failure: assessing total hip arthroplasty outcomes in the United States.,
516 JAMA Intern. Med. 173 (2013) 435–41. doi:10.1001/jamainternmed.2013.3271.
- 517 [47] A. Fritsche, C. Zietz, S. Teufel, W. Kolp, I. Tokar, C. Mauch, et al., In-vitro and in-vivo
518 investigations of the impaction and pull-out behavior of metal-backed acetabular cups, J.
519 Bone Jt. Surgery, Br. Vol. 93-B (2011) 406.
520 http://www.bjjprocs.boneandjoint.org.uk/content/93-B/SUPP_IV/406.2.abstract (accessed
521 February 18, 2014).

522 **FIGURE LEGENDS**

523 Fig. 1. Dislocation of the THA due to a vertically placed acetabulum component B. Acetabular
524 shell maintained converted into a constrained liner.

525 Fig. 2. Dislocation of the hip with failure of constrained liner (broken locking ring) B. Final
526 revision acetabular shell placed in anatomic position with unconstrained liner (the constrained
527 liner didn't address the pathology of the initial placed vertical cup).

528 Fig. 3. Reference System adopted with Origin in the cup center, Y axis defined as the projection
529 on the plane of the cup of the line joining the ASIS and the Ischial tuberosity and XY plane
530 containing the cup edge.

531 Fig. 4. Positioning and hammering steps in THA: a) Rendering of a Cup-bone interface and
532 cross section of pelvis wall; b) Initial setting before hammering; c) Hammering and bottoming of
533 the cup; d) Bouncing back at equilibrium position.

534 Fig. 5. Finite element model of the hemi-pelvis with constraints at the pubic symphysis and the
535 sacro-iliac joint. Boundary constraints of the hemi-pelvis with the ilium and a portion of the
536 ischium fully constrained and the gap distance of cup-bone interface before and after cup
537 placement shown.

538 Fig.6. THA Experiment Setup: a) execution; b) Layout of the sensors adopted c) Comparison of
539 predicted and experimental values from 0 to 1500 N.

540 Fig. 7. Percent of contact surface at cup-bone interface (z) before and after cup insertion and
541 Insertion force (z') as function of x (hammering distance expressed as percentage of the initial
542 polar gap distance) and y (value of under-reaming).

543 Fig. 8. Total stress (MPa) on the acetabular wall at the cup-bone interface for 1 and 2 mm of
544 under-reaming during (a) 80% (b)100%, and (c) 120% of initial gap distance.

545 Fig. 9. Cross section view of the acetabular cup with 2mm of undereaming during the three
 546 loading phases for three imposed hammering distances a,b and c of 80,100 and 120% of initial
 547 gap distance.

548 Fig. 10. Relation between insertion force, displacement, and percentage of surface contact at
 549 1500 N of loading for 1 and 2 mm of under-reaming

550 **TABLES**

551 Table 1. Details of the specimens investigated

age	sex	Diameters [mm]			Distance PSIS-ASIS [mm]
		head of femur	acetabulum	cup	
85	male	47.8	53.0	56	163.9
73	male	54.4	57.9	64	177.6
85	female	38.6	45.5	50	160.3
69	male	46.9	53.7	60	164.4
85	female	46.1	52.4	56	164.7
na	na	40.3	46.9	50	154.3
averages		45.7	51.6	56	162.9

552
 553 Table 2. Percentage of elements predicting a range of Total stress values at the cup-bone
 554 interface for 1 and 2 mm of under-reaming during cup insertion.
 555

Total Stress (MPa)	Imposed Target Hammering Distance					
	80% of Initial Gap	Initial Gap	120% of Initial Gap	80% of Initial Gap	Initial Gap	120% of Initial Gap
0-55	94.94%	94.43%	93.89%	89.66%	89.06%	88.48%
56-110	3.35%	3.57%	3.84%	5.88%	6.26%	6.49%
111-165	0.95%	1.19%	1.38%	2.07%	2.18%	2.26%
166-220	0.34%	0.32%	0.34%	1.20%	1.24%	1.42%
220+	0.42%	0.49%	0.55%	1.19%	1.26%	1.35%
1 mm under reaming			2 mm under reaming			

556