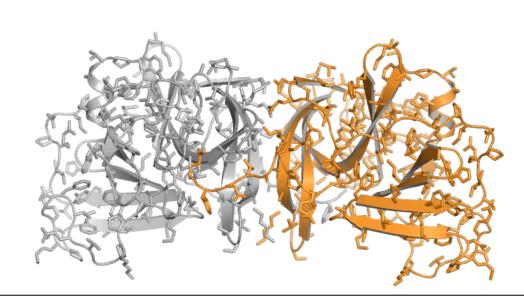


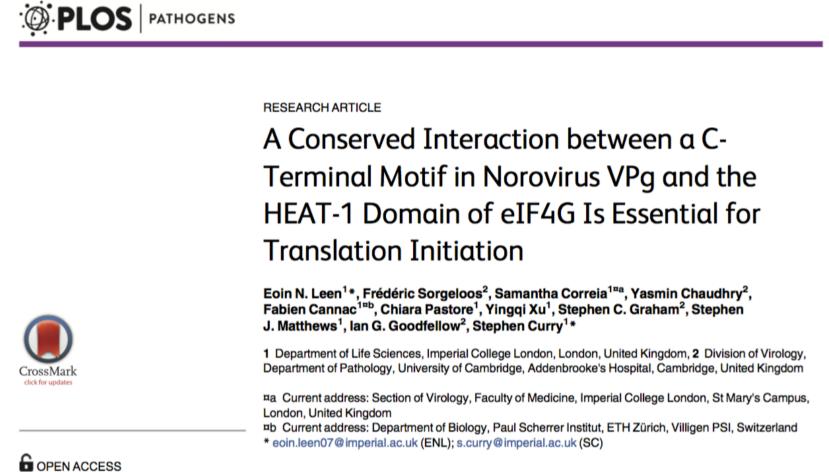
Let me introduce myself...

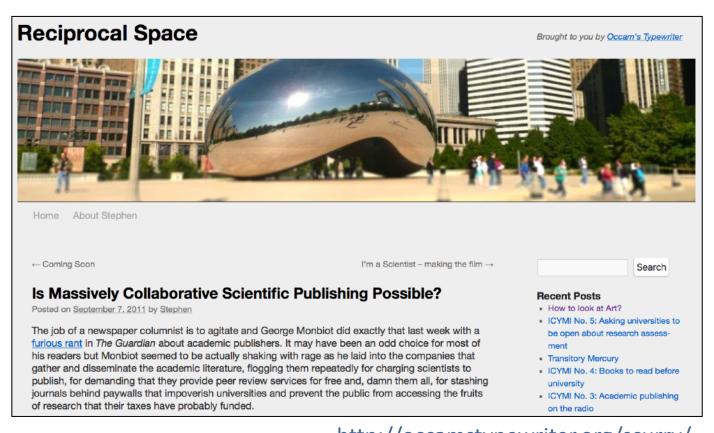
Stephen Curry

Department of Life Sciences
Professor of Structural Biology
Director of Undergraduate Studies (retd.)
Assistant Provost (Equality, Diversity & Inclusion)

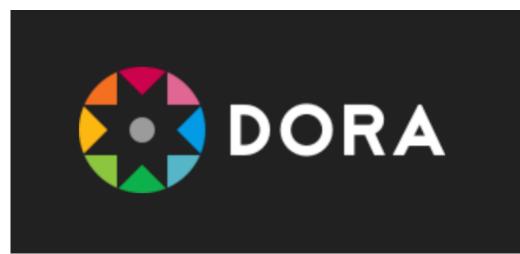
Science blogger/writer
Vice-chair, Science is Vital
Board member, CaSE
Member, HEFCE Metrics Review
Chair, Declaration on Research Assessment (DORA)









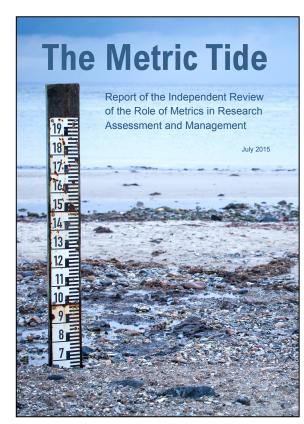




http://www.theguardian.com/profile/stephen-curry









Open Science: One Term, Five Schools of Thought

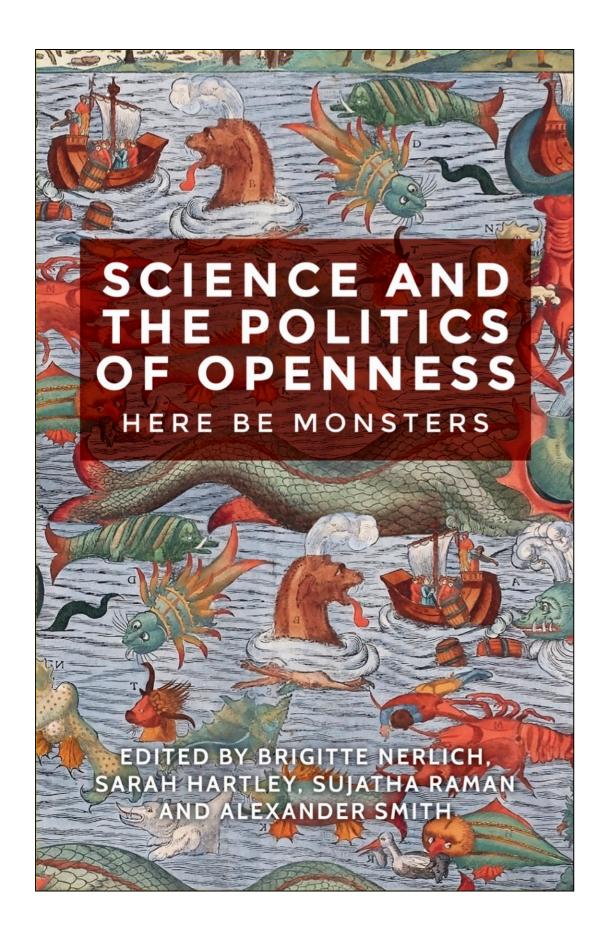
Benedikt Fecher and Sascha Friesike

Abstract Open Science is an umbrella term encompassing a multitude of assumptions about the future of knowledge creation and dissemination. Based on a literature review, this chapter aims at structuring the overall discourse by proposing five Open Science schools of thought: The *infrastructure school* (which is concerned with the technological architecture), the *public school* (which is concerned with the accessibility of knowledge creation), the *measurement school* (which is concerned with alternative impact measurement), the *democratic school* (which is concerned with access to knowledge) and the *pragmatic school* (which is concerned with collaborative research).

5 schools

There is scarcely a scientist who has not stumbled upon the term 'Open Science' of late and there is hardly a scientific conference where the word and its meaning are not discussed in some form or other. 'Open Science' is one of the buzzwords of the scientific community. Moreover, it is accompanied by a vivid discourse that apparently encompasses any kind of change in relation to the future of scientific knowledge creation and dissemination; a discourse whose lowest common denominator is perhaps that science in the near future somehow needs to open up more. In fact, the very same term evokes quite different understandings and opens a multitude of battlefields, ranging from the democratic right to access publicly funded knowledge (e.g. Open Access to publications) or the demand for a better bridging of the divide between research and society (e.g. citizen science) to the development of freely available tools for collaboration (e.g. social media platforms

Open access – freedom and responsibility



Open access: the beast that no-one could – or should – control?

Stephen Curry

'The main thing, it seems to me, is to remember that technology manufactures not gadgets, but social change,' declared science historian and broadcaster James Burke in a lecture given in 1985 (Burke, 2005). This was several years before the rise of the personal computer and the internet. But history's knack of repeating itself means that the words are no less true of the digital transformation of the world in the last two decades. The recasting of information into digital forms that can be replicated and transmitted instantly across the globe has changed our relationship with it in myriad ways. This poses commercial challenges in some industries – music, film and newspapers, for example – but at the same time has given rise to whole new businesses such as search engines, social networking and online retailing. It has also created opportunities for the public to access public information, which is changing the provision of government services and opening up new avenues for democratic dialogue.

Rick Anderson: Does academic freedom include the right to choose *where* to publish?

Richard Poynder: Is the linkage of OA policy to the REF "coercive"?

Open access is important – but not to everyone?



Why open access makes no sense

There can be no such thing as free access to academic research, says Robin Osborne in Debating Open Access essays - research is a process that universities teach and charge for

Robin Osborne

Mon 8 Jul 2013 15.48 BST



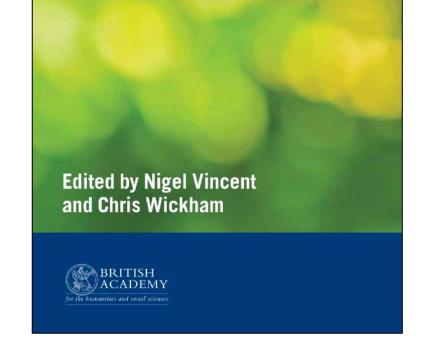




The fundamental argument for providing open access to academic research is that research that is funded by the tax-payer should be available to the taxpayer. Those who have paid for the research, it is urged, should not have to pay a second time for access to the publication of that research. Proponents of what has come to be called 'open access' claim that this is simply obvious, but in fact this argument mistakes the fundamental nature of academic research, it mistakes nature and process of academic publication, and it mistakes what is involved in providing access to academic research. I shall limit my claims here

"Academic research is not something to which free access is possible. Academic research is a process – a process which universities teach (at a fee)..."

"For those [others] who wish to have access, there is an admission cost: they must invest in the education prerequisite to enable them to understand the language used."



OPEN

ACCESS

Academic freedom is important – but not for everyone?



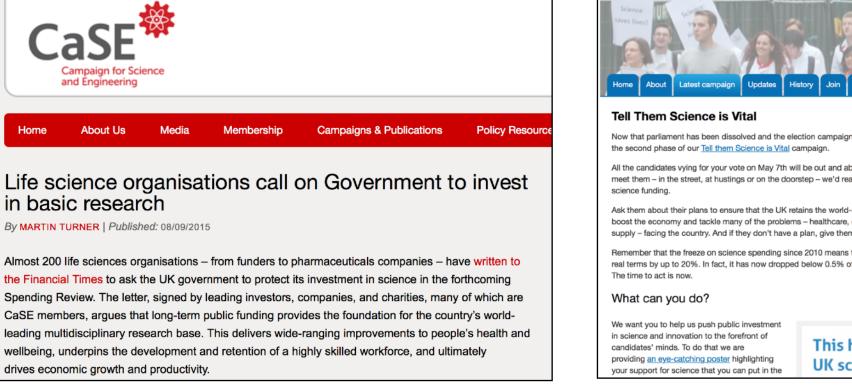
Saving Science

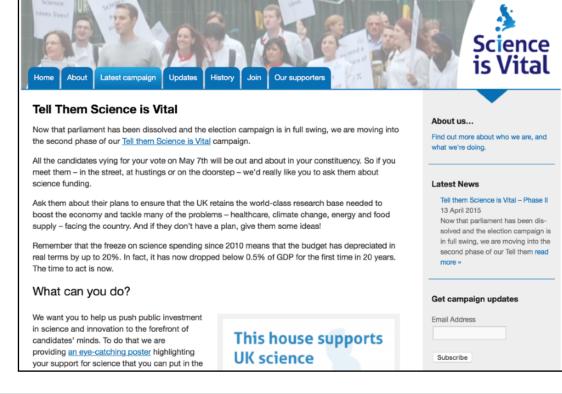
Science isn't self-correcting, it's self-destructing. To save the enterprise, scientists must come out of the lab and into the real world.

Daniel Sarewitz

The story of how things got to this state is difficult to unravel, in no small part because the scientific enterprise is so well-defended by walls of hype, myth, and denial. But much of the problem can be traced back to a bald-faced but beautiful lie upon which rests the political and cultural power of science. This lie received its most compelling articulation just as America was about to embark on an extended period of extraordinary scientific, technological, and economic growth. It goes like this:

Scientific progress on a broad front results from the free play of free intellects, working on subjects of their own choice, in the manner dictated by their curiosity for exploration of the unknown.





Some school systems in Europe are highly segregated, the Belgian one

especially. Poorer standards of education



On being open with the public



"People in this country have had enough of experts." Michael Gove, MP



"too often [public engagement initiatives] fail to build meaningful relationships between science and the public, preferring instead to act as fluffy PR agents for the scientific establishment. We badly need more projects [...] that share the benefits of expertise and lets people feel part of driving science and engineering."

Alice Bell

"One reason there is not enough truth in the public square is that we have taken academia's contribution in scholarly journals and locked it up behind paywalls where the rest of the world cannot see it. That simply has to end, not because of a moral crusade but because we need that truth, out in the open, fighting for us."

William Cullerne-Bown

https://www.theguardian.com/science/political-science/2016/jul/06/ https://research.unity.ac/content/rr/S1gKZQaAl why-science-needs-progressive-voices-more-than-ever

Academic prestige as a drag on openness

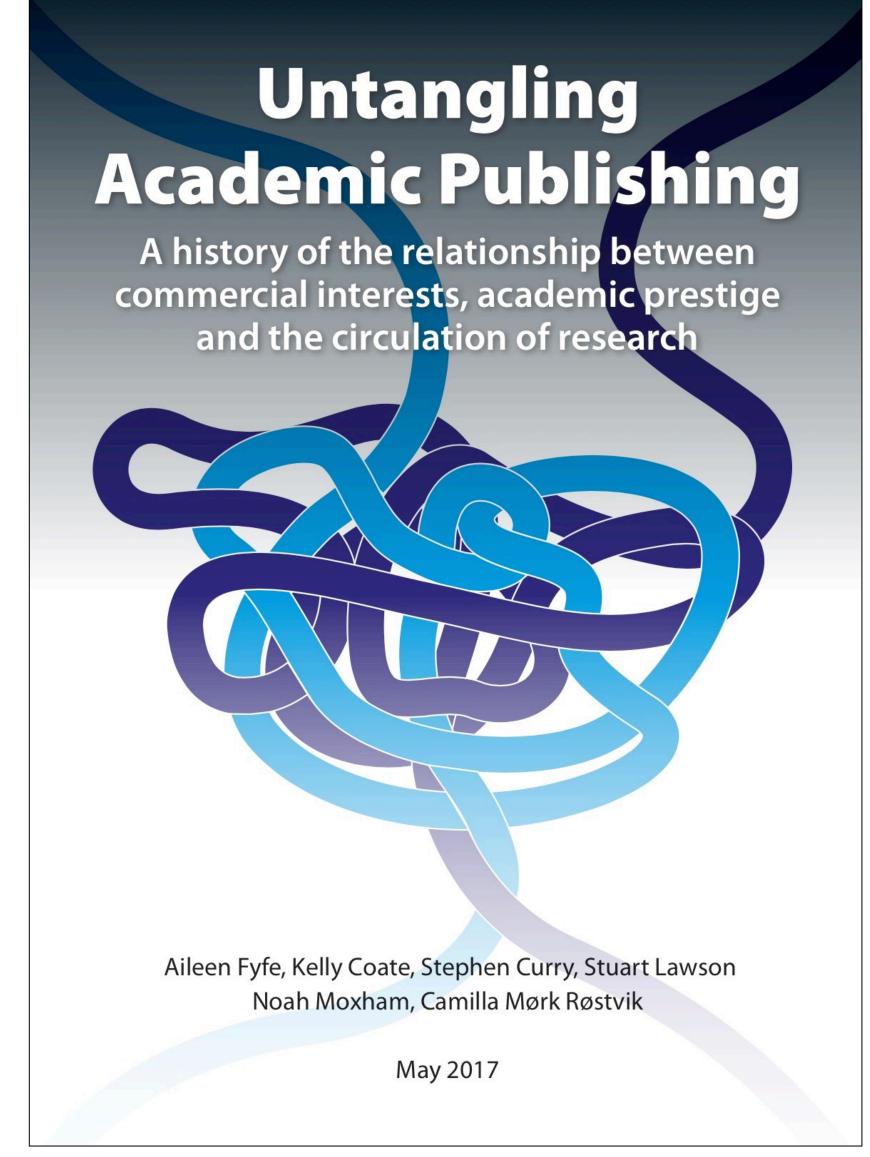


Saving Science

Science isn't self-correcting, it's self-destructing. To save the enterprise, scientists must come out of the lab and into the real world.

Daniel Sarewitz

"The professional incentives for academic scientists to assert their elite status are perverse and crazy, and promotion and tenure decisions focus above all on how many research dollars you bring in, how many articles you get published, and how often those articles are cited in other articles."



"Since the Second World War, academic publishing practices have had to cope with enormous changes in the **scale** of the research enterprise, in the culture and management of higher education, and in the ecosystem of scholarly publishers. The pace of change has been particularly rapid in the last twenty-five years, thanks to digital technologies. This has also been a time of growing divergence between the different roles of academic publishing: as a means of disseminating validated knowledge, as a form of symbolic capital for academic career progression, and as a profitable business enterprise."

Negative effects of over-reliance on metrics based on academic papers

Sick of Impact Factors

Posted on August 13, 2012 by Stephen

I am sick of impact factors and so is science.

The impact factor might have started out as a good idea, but its time has come and gone. Conceived by Eugene Garfield in the 1970s as a useful tool for research libraries to judge the relative merits of journals when allocating their subscription budgets, the impact factor is calculated annually as the mean number of citations to articles published in any given journal in the two preceding years.



http://occamstypewriter.org/scurry/2012/08/13/sick-of-impact-factors/

- slows publication & reduces productivity
- positive bias in the literature
- JIF correlates with retraction rate
- impact on reliability & public trust?



is that authors are overselling their work."

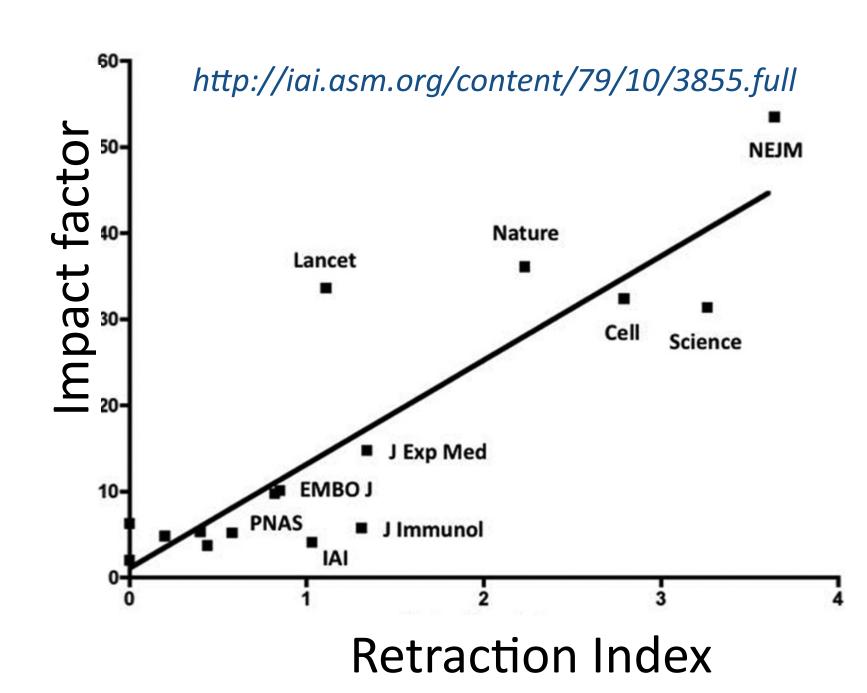
Jan 2015

"Our people know how to get the Nature papers..."

Faculty Dean (University of X)

"I'm really excited. We just had a big paper in Cell...!"

Postdoc (University of Y)



Negative effects of over-reliance on metrics based on academic papers

- devaluation of other important activities
- growing cynicism among academics?
- stress on the individual

THE CULTURE OF SCIENTIFIC RESEARCH IN THE UK

- In some cases the culture of scientific research does not support or encourage scientists' goals and the activities that they believe to be important for the production of high quality science.
- There seem to be widespread misperceptions or mistrust among scientists about the policies of those responsible for the assessment of research.



Stem-cell scientists mourn loss of brain engineer

A famous name in regenerative medicine, Yoshiki Sasai was found dead on 5 August.

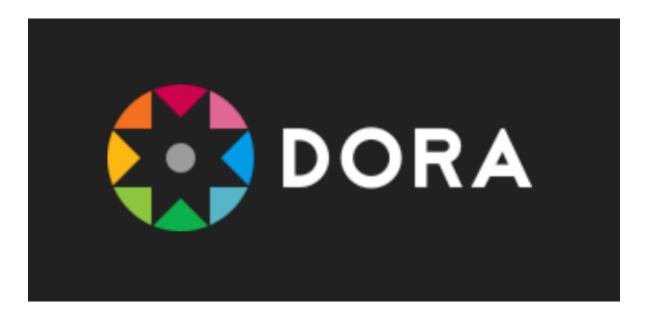
"...metrics favour basic research over fields of research that are closer to practice...

Despite personal ideals and good intentions, in this incentive and reward system researchers find themselves pursuing not the work that benefits public or preventive health or patient care the most, but work that gives most academic credit and is better for career advancement."

Frank Miedema

https://blogs.bmj.com/openscience/2018/01/24/setting-the-agenda-who-are-we-answering-to/

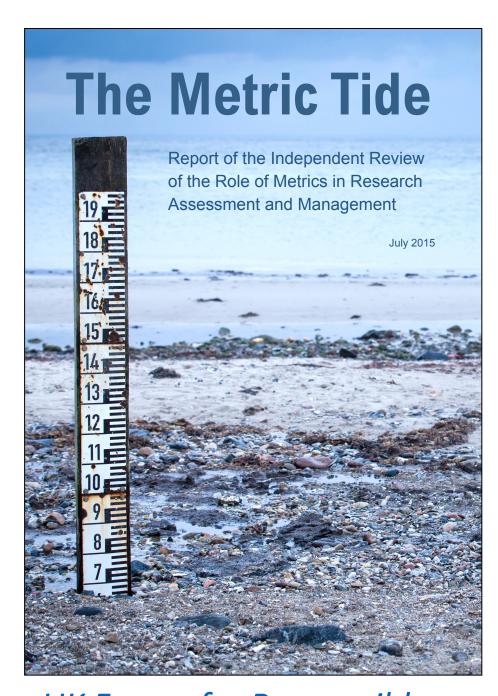
Can we swim against the metric tide?



http://sfdora.org



http://www.leidenmanifesto.org



UK Forum for Responsible Research Metrics

Researcher assessment at UMC Utrecht

- 1. Research, publications, grants
- 2. Managerial & academic duties
- 3. Mentoring & teaching
- 4. Clinical work (if applicable)
- 5. Entrepreneurship & outreach

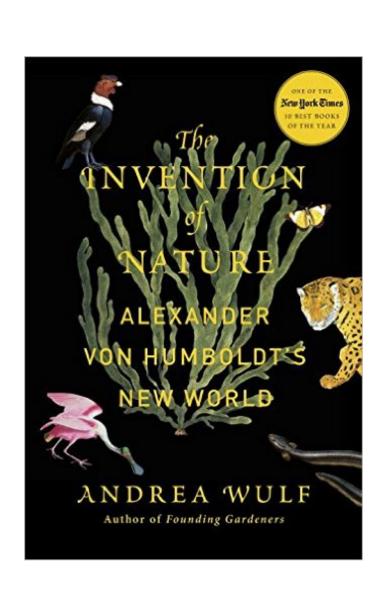


Fewer numbers, better science

Scientific quality is hard to define, and numbers are easy to look at. But bibliometrics are warping science — encouraging quantity over quality.

Leaders at two research institutions describe how they do things differently.

Can the openness of our scientific heritage help us?



Maths, objective observation and controlled experiments paved this path of reason across the western world. Scientists became citizens of their self-proclaimed 'republic of letters', an intellectual community that transcended national boundaries, religion and language. As their letters zigzagged across Europe and the Atlantic, scientific discoveries and new ideas spread. This 'republic of letters' was a country without borders, ruled by reason and not by monarchs.

Does the republic of letters still exist?

Is our amateur (and open) ethos *still* one of the norms of the academy?

Openness as a good in itself: a path to greater scientific integrity, impact and public trust



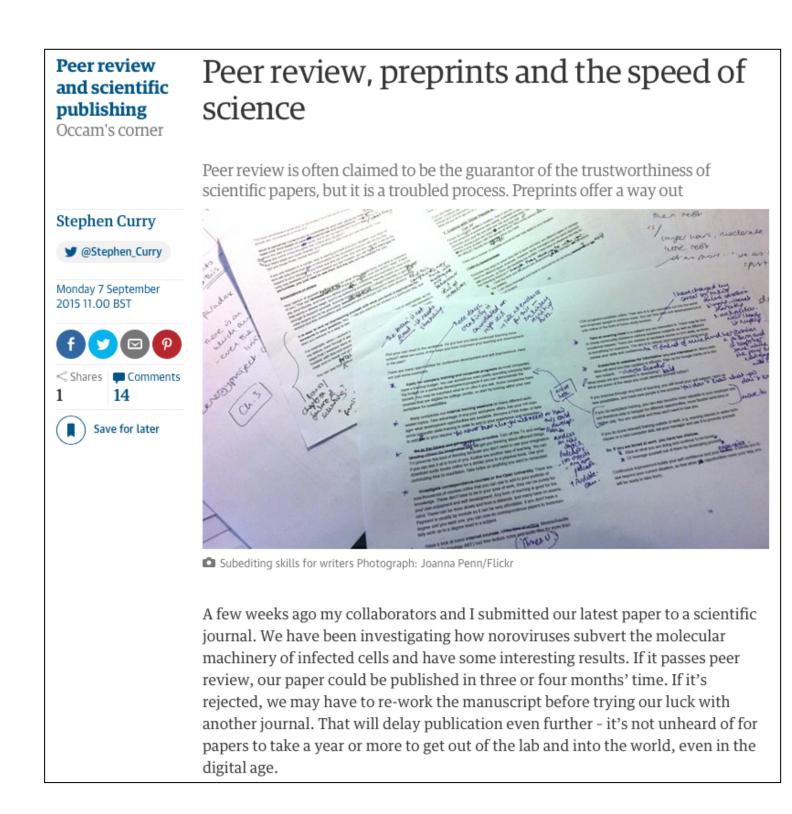
"The principle that the results of research that has been publicly funded should be freely accessible in the public domain is a compelling one, and fundamentally unanswerable."

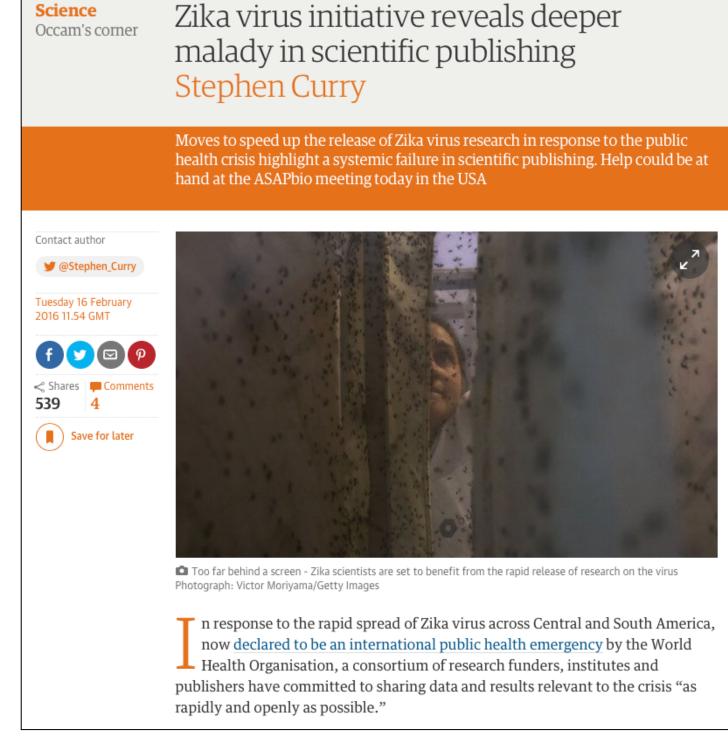
Dame Janet Finch (2012)

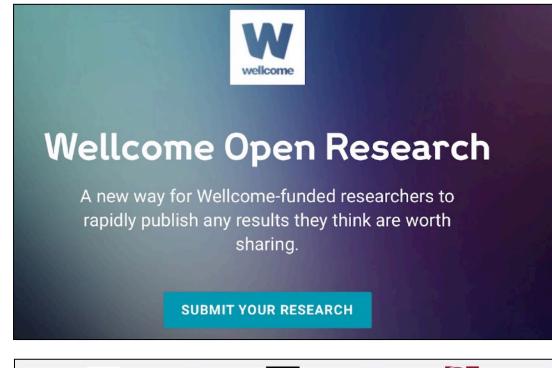
Declarations are not enough...

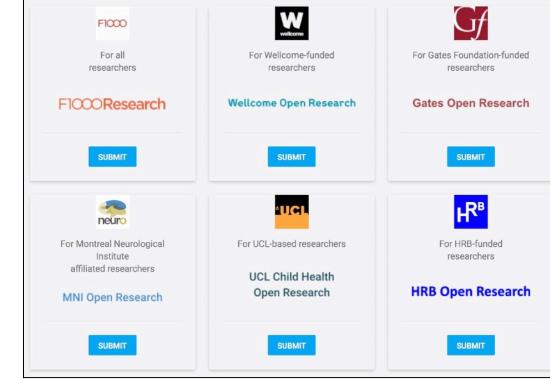
Positive moves:

- **Funder mandates**
- Funder support for preprints & OA mega-journals: faster, open, better...
- Largest possible audience (sharing & scrutiny = public trust)
- Fosters open peer review
- Focus on the content, not the container ('objective' peer review)
- Support for data and code-sharing

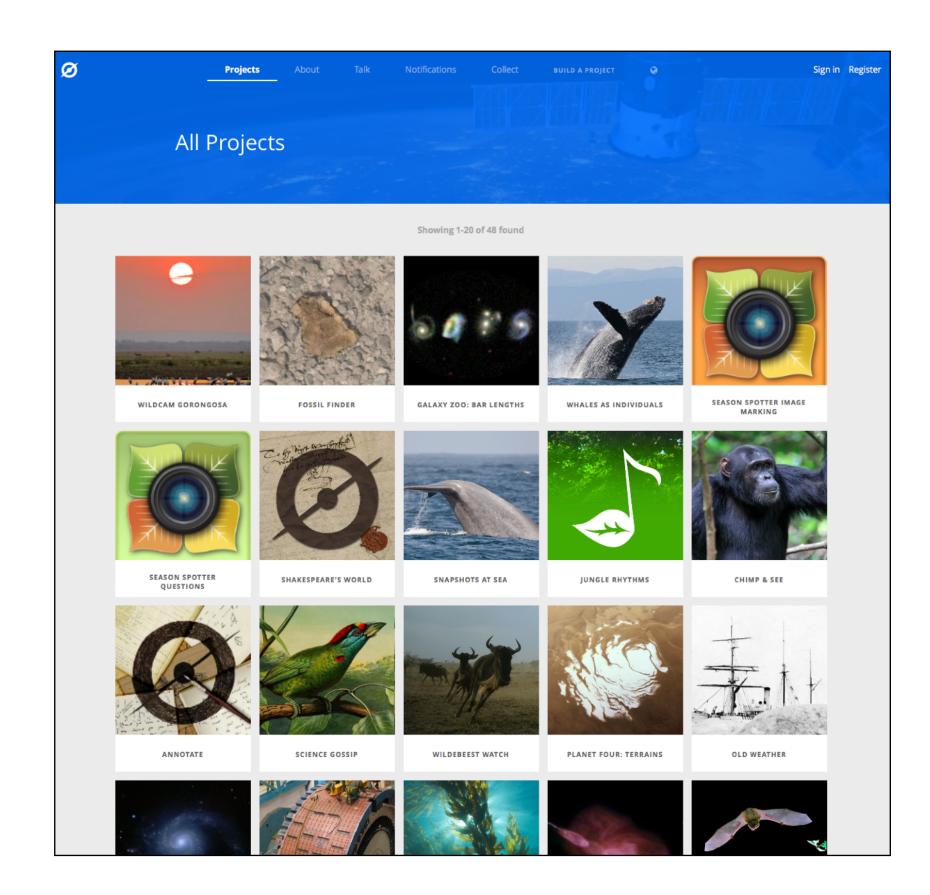


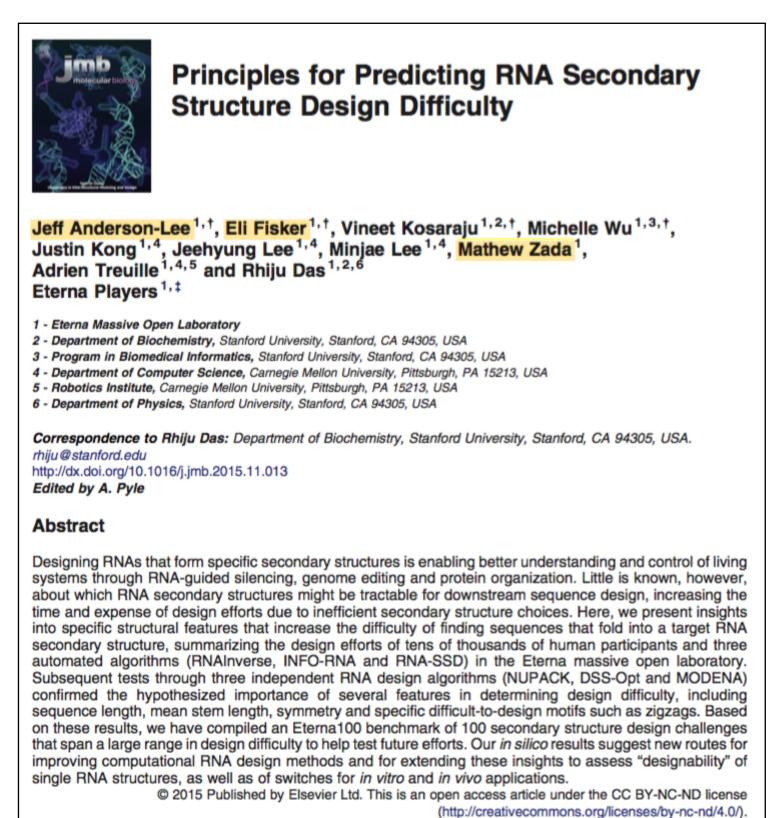






Openness as a good in itself: a path to greater scientific integrity, impact and public trust





Citizen science teaches researchers about new (non-traditional) audiences & scientists

Communication + Participation = Public Trust



Our open future in Europe...?

https://publications.europa.eu/en/publication-detail/-/publication/47a3a330-c9cb-11e7-8e69-01aa75ed71a1/language-en

Evaluation of Research Careers fully acknowledging Open Science Practices

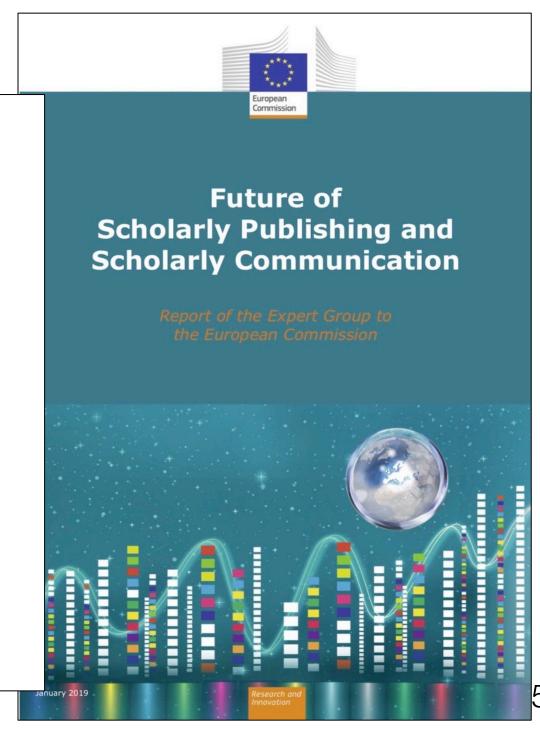
Rewards, incentives and/or recognition for researchers practicing Open Science

EXECUTIVE SUMMARY

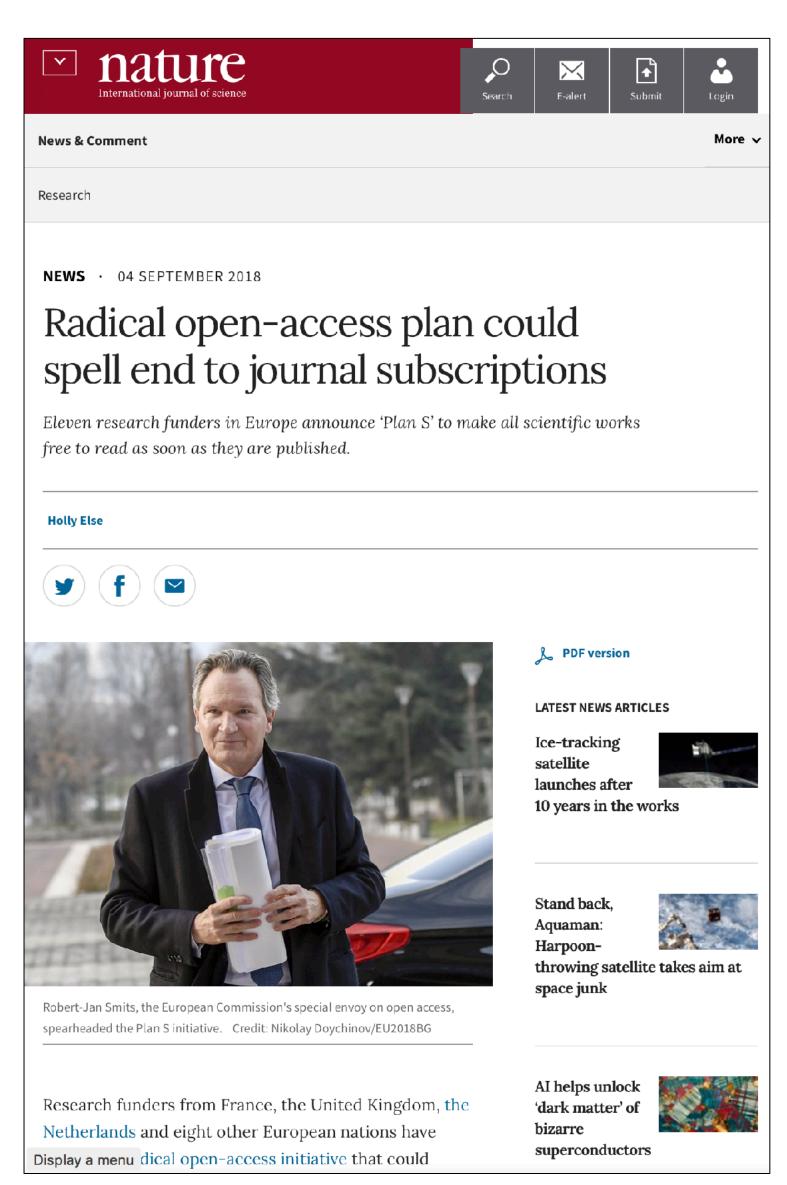
Open Science represents an approach to research that is collaborative, transparent and accessible¹. There are a wide range of activities that come under the umbrella of Open Science that include open access publishing, open data, open peer review and open research. It also includes citizen science, or more broadly, stakeholder engagement, where non specialists engage directly in research. Open Science goes hand in hand with research integrity and requires legal and ethical awareness on the part of researchers. A driver for Open Science is improving the transparency and validity of research as well as in regards to public ownership of science, particularly that which is publicly funded.

The conclusion is actually simple: the evaluation of research is the keystone, and it has already been identified by scholars around the world, and by various expert groups within the European Commission, as structuring a global research architecture characterised by an unlimited quest for rankings. The ranking imperative affects all levels of the research structure, and it tends to constrain change for nearly all actors. This is true of individual researchers, of research groups, of whole research institutions, and even of whole countries. Symmetrically, publishers design their marketing strategies around journal rankings. But they too have become prisoners of this strategy, even though they benefit from it, and they have difficulties seeing beyond it.

Funding agencies also use rankings, sometimes abundantly. However, unlike the other actors, private funding charities are not ranked, and public, national, funders are ranked only indirectly, through their own country. As a result, funders in general enjoy more latitude than the other actors in scholarly communication and publishing. The European



Plan S and research evaluation



"We also understand that researchers may be driven to do so by a misdirected reward system which puts emphasis on the wrong indicators (e.g. journal impact factor). We therefore commit to fundamentally revise the incentive and reward system of science, using the San Francisco Declaration on Research Assessment (DORA) as a starting point.

https://www.scienceeurope.org/coalition-s/

News | 5 November 2018

Wellcome is updating its open access policy

Following a six-month review, we're updating our open access (OA) policy. The changes will apply from 1 January 2020. Robert Kiley, Head of Open Research, explains what will be different and why.

"5. Wellcome-funded organisations must sign or publicly commit to the San Francisco Declaration on Research Assessment (DORA), or an equivalent. We may ask organisations to show that they're complying with this as part of our organisation audits. This is a new requirement to encourage organisations to consider the intrinsic merit of the work when making promotion and tenure decisions, not just the title of the journal or publisher."

Plan S: the debate

A Response to Plan-S from Academic Researchers: Unethical, Too Risky!

Summary

Open access (OA) publishing in general has many advantages over traditional subscription, or toll access (TA), publishing: it not only makes science accessible to a larger public, but also expands the reach of individual researchers and the potential impact of their research. Plan S is a noble effort

Academic freedom and responsibility: why Plan S is not unethical

Posted on October 1, 2018 by Stephen

Since its <u>announcement</u> on 4th September the European Commission's plan to make a radical shift towards open access (OA) has caused <u>quite a stir</u>. Backed by eleven* national funding agencies, the plan aims to make the research that they support free to read as soon as it is published. This is a major challenge to the status quo, since the funders are effectively placing subscription journals off limits for their researchers, even if the journals allow green OA (publication of the author-accepted

manuscript) after cases where jou "admirably stromaspects. Others academics is the is published and

On Academic Freedom and Responsibility

Posted on October 1, 2018 by jbrittholbrook

Today, Stephen Curry published a piece on his blog on "Academic freedom and responsibility: why Plan S is not unethical," and I want to offer a response to some of his arguments here.

The first thing to say is that I think Curry and I agree on quite a few points. We especially agree that to speak of academic freedom means we should also to speak of academic responsibility. For six years (2012-2018), I was a member of the American Association for the Advancement of Science (AAAS) Committee on Scientific Freedom and Responsibility. I fully support the AAAS Statement on Scientific Freedom and Responsibility, which the Committee co-authored:

Reaction of Researchers to Plan S; Too far, too risky?

An Open Letter from Researchers to European Funding Agencies, Academies, Universities, Research Institutions, and Decision Makers

We support open access (OA) and Plan S is probably written with good intentions. However, Plan S¹, as currently presented by the EU (and several national funding agencies) goes too far, is unfair for the scientists involved and is too risky for science in general. Plan S has far-reaching consequences, takes insufficient care of the desires and wishes of the individual scientists and creates a range of unworkable and undesirable situations:

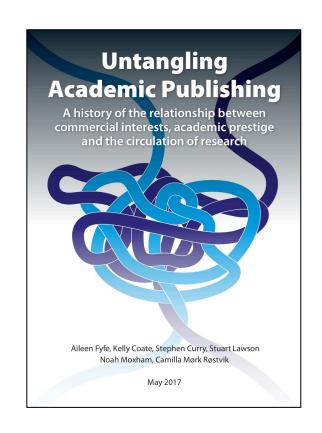


The Open Letter: Reaction of Researchers to Plan S: too far, too risky.

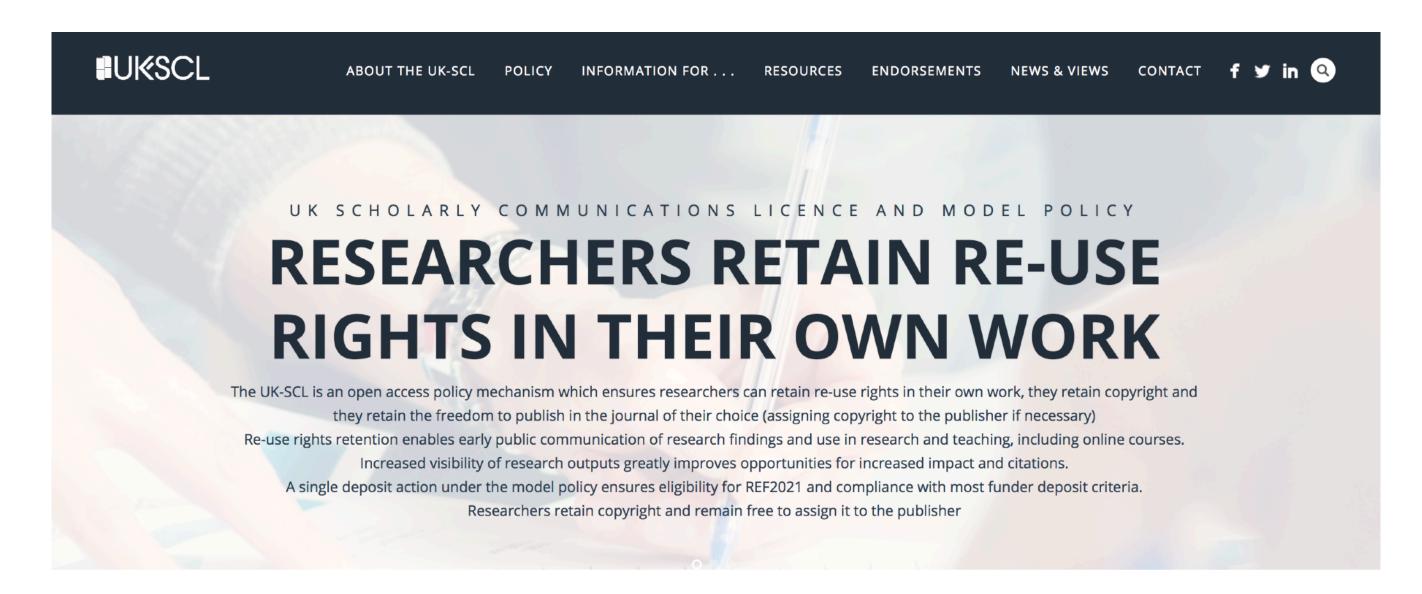
A response of the Fair Open Access Alliance

We write to provide a counter view to the recent open letter ("Plan S: Too Far, Too Risky"), 1 partly based on our FOAA recommendations for the implementation of Plan S. 2 We are glad to note that the researchers who have signed the open letter support open access as their very first principle. However, the letter itself goes on to make a number of highly problematic and logically fallacious statements with which we strongly disagree and here contest.

Our open future: the responsibility of researchers



"We would ask scholars to consider the responsibilities that sit alongside academic freedom and to reflect on whether they might re-prioritise the duty to communicate rapidly and widely in the face of the reputational credit that is earned through publication. Given the crucial role that academics play in peer review, we occupy a central and influential position."



https://ukscl.ac.uk

Good (open) practices don't spread by themselves

Why was anaesthesia adopted more rapidly than antisepsis?

"First, one combatted a visible and immediate problem (pain); the other combatted an invisible problem (germs) whose effects wouldn't be manifest until well after the operation.

"Second, although both made life better for patients, only one made life better for doctors."

"People talking to people is still the way that norms and standards change."

ANNALS OF MEDICINE JULY 29, 2013 ISSUE

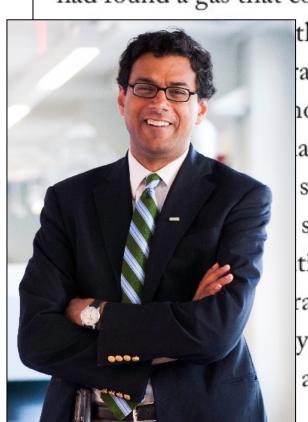
SLOW IDEAS

Some innovations spread fast. How do you speed the ones that don't?



By Atul Gawande

Why do some innovations spread so swiftly and others so slowly? Consider the very different trajectories of surgical anesthesia and antiseptics, both of which were discovered in the nineteenth century. The first public demonstration of anesthesia was in 1846. The Boston surgeon Henry Jacob Bigelow was approached by a local dentist named William Morton, who insisted that he had found a gas that could render



the pain of ramatic claim. In nor tooth ating. Without surgeons learned speed. tients down as rashed, until they



We yearn for frictionless, technological solutions. But people talking to people is still the way that norms and standards change.

ILLUSTRATION BY HARRY CAMPBEL

y. Nothing ever tried had made much difference. agreed to let Morton demonstrate his claim.

Thank you

s.curry@imperial.ac.uk
@Stephen_Curry