

# ORCID adoption in academic communities

## Survey questions and flow

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The survey described in this document has been developed using the [Qualtrics](#) survey platform as part of an OCLC / ALISE funded study investigating the adoption of academic communities. It aims to reveal insights into participants' adoption, understanding and usage of ORCID iDs.

The creators are keen to understand the impact and re-use of this work. If you are re-using this survey, we would kindly ask you to let the creators know at [alex.voss@st-andrews.ac.uk](mailto:alex.voss@st-andrews.ac.uk).



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## Start of Block: Introduction

Q1.1 You are being invited to participate in a research study titled “Characterising the adoption of ORCID iDs in academic communities”. This study is being done by a team from the University of St Andrews. The purpose of this survey is to obtain a picture of the use of Open Researcher and Contributor IDs (ORCID iDs) amongst researchers of all disciplines at your institution. ORCID iDs are permanent researcher-identifiers owned and controlled by the individual. They facilitate unambiguous attribution of work and exchange of information with institutions, funders, publishers and professional associations. For more information, visit <https://orcid.org/>. The aim of this research is to understand how their implementation can be optimised for the benefit of researchers.

This quick survey will take you approximately 5-10 minutes to complete. You are free to omit any question. Your participation in this study is voluntary and you can withdraw at any time.

### **Note:**

All views will be treated in confidence. Survey responses will be stored without collecting IP addresses or geographical information in Qualtrics' EU data centres and subsequently on University of St Andrews managed storage. We are committed to Open Access. Therefore, survey responses will be checked and anonymised and anonymised data will be openly published for future use. Individual results or comments will not be shared with any other third party unless this is required by law, and will not be used in a manner which would allow identification of your individual responses. The raw data from this survey will be kept until the end of the project on secure University of St Andrews infrastructure. By completing this survey, you are consenting to these terms. This survey tool has been reviewed and approved by the University of St Andrews Research and Teaching Ethics committee (UTREC), approval code [xxxx].

- I agree to these terms
- I no longer wish to take part

*Skip To: End of Survey If Intro = I no longer wish to take part*

## End of Block: Introduction

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## Start of Block: Having and using an ORCID iD

Q2.1 Do you have an ORCID iD?

- Yes
- No
- Not sure

*Skip To: End of Block If Do you have an ORCID iD? = No*

*Skip To: End of Block If Do you have an ORCID iD? = Not sure*

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Q2.2 How long have you had your ORCID iD?

- less than 1 year
  - 1 -3 years
  - more than 3 years
  - Not sure
-

Q2.3 How did you hear about ORCID?

(Select all that apply)

- Information on the University website
  - A University event
  - ORCID iDs displayed in article, blog post or other publication
  - A publisher required or asked for it
  - A funder required or asked for it
  - My employer required or asked for it
  - My supervisor
  - A professional association asked for it
  - An advert or post about ORCID in a journal or professional forum
  - Recommendation from a colleague or collaborator
  - Social media
  - I heard about it otherwise: \_\_\_\_\_
- 

Q2.4 Did you get an ORCID iD because you had to?

- Yes
  - No
  - Not sure
- 

Q2.5 If you answered yes, what was the reason for having to get an ORCID iD?

\_\_\_\_\_

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Q2.6 What do you think are the most important reasons for having an ORCID iD?

|  | Not sure              | Unimportant           | Good to have          | Important             | Must have             |
|--|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Disambiguation of my name  | <input type="radio"/> |
| Attribution. Linking my ORCID iD to my publications and funding                    | <input type="radio"/> |
| Displaying a verified affiliation with my institution                              | <input type="radio"/> |
| Displaying a verified affiliation with my funder                                   | <input type="radio"/> |
| Automatically sharing information between electronic systems                       | <input type="radio"/> |
| Having a personal, permanent record of my academic identity that I own and control | <input type="radio"/> |
| Linking of all my researcher identifiers in one place                              | <input type="radio"/> |
| It saves time with providing basic information or maintaining profiles             | <input type="radio"/> |

Q2.7 Is there something else you find important about ORCID iDs?

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*Display This Question:*

*If What do you think are the most important reasons for having an ORCID iD? = Automatically sharing information between electronic systems [ Good to have ]*

*And What do you think are the most important reasons for having an ORCID iD? = Automatically sharing information between electronic systems [ Important ]*

*And What do you think are the most important reasons for having an ORCID iD? = Automatically sharing information between electronic systems [ Must have ]*

Q2.8 If you rated "Automatically sharing information between electronic systems" highly, which systems did you intend to share your ORCID iD with?

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Q2.9 Which of these topics you would like to get further information about?  
(e.g. directly from ORCID or by someone in your institution)

- Disambiguation of my name
- Attribution. Linking my ORCID iD to my publications and funding
- Displaying a verified affiliation with my institution
- Displaying a verified affiliation with my funder
- Automatically sharing information with other systems
- Having a personal, permanent record of my academic identity
- Linking of all my research identifiers in one place
- Privacy around sharing ORCID iDs
- Something else: \_\_\_\_\_
- None of the above

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Page Break

Q2.10 Have you added information in your ORCID record manually?  
(Select all that apply)

- Yes, all of it
  - Yes, some additional biographical information
  - Yes, some of my publications or funding
  - No, it's all done automatically
  - No, I only signed up and haven't added any additional information
- 

Q2.11 Have you added your ORCID iD to your institutional profile?

- Yes
- No
- Not sure

*Skip To: Q2.14 If Have you added your ORCID iD to your institutional profile? = Not sure*

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*Display This Question:*

*If Have you added your ORCID iD to your institutional profile? = Yes*

Q2.12 How did you establish the connection between your profile and your ORCID iD?

- I created my ORCID iD through our institutional system
  - I connected my existing ORCID iD by clicking on a button in the institutional system.
  - I entered my ORCID iD manually in the institutional system
- 

*Display This Question:*

*If Have you added your ORCID iD to your institutional profile? = No*

Q2.13 Does one of these options describe why your accounts are not connected?

- I did not know this could be done.
  - I could not find out how to do it.
  - I did not see a reason to connect the accounts.
  - I was concerned about giving the university permission to view and update my ORCID record.
  - Something else: \_\_\_\_\_
-

## Q2.14 How have you used your ORCID iD so far?

|  | I have used it        | I haven't used it     | I had not heard about this |
|--|-----------------------|-----------------------|----------------------------|
| Entering my ORCID iD during paper submission   | <input type="radio"/> | <input type="radio"/> | <input type="radio"/>      |
| Entering my ORCID iD during grant applications   | <input type="radio"/> | <input type="radio"/> | <input type="radio"/>      |
| Linking my ORCID iD to research data   | <input type="radio"/> | <input type="radio"/> | <input type="radio"/>      |
| Automatically updating my ORCID record by connecting it to other systems, such as Scopus, Crossref or DataCite | <input type="radio"/> | <input type="radio"/> | <input type="radio"/>      |
| Letting my university's local system update my ORCID record  | <input type="radio"/> | <input type="radio"/> | <input type="radio"/>      |
| Letting a funder or impact assessment platform collect publication information from my ORCID record            | <input type="radio"/> | <input type="radio"/> | <input type="radio"/>      |
| Creating an ORCID iD QR code for a poster  | <input type="radio"/> | <input type="radio"/> | <input type="radio"/>      |
| Adding funding information using a search wizard   | <input type="radio"/> | <input type="radio"/> | <input type="radio"/>      |
| Authenticate to ORCID using my University ID   | <input type="radio"/> | <input type="radio"/> | <input type="radio"/>      |
| Using my ORCID iD to sign-up to a website and populate my record there.  | <input type="radio"/> | <input type="radio"/> | <input type="radio"/>      |
| Using my ORCID iD to log in to a website   | <input type="radio"/> | <input type="radio"/> | <input type="radio"/>      |

## Q2.15 Have you used your ORCID iD in any other way not mentioned above?

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End of Block: Having and using an ORCID iD

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Start of Block: No ORCID

*Display This Question:*

*If Do you have an ORCID iD? = No*

*Or Do you have an ORCID iD? = Not sure*

Q3.1 Had you heard about ORCID before this survey?

Yes

No

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*Display This Question:*

*If Do you have an ORCID iD? = No*

Q3.2 Which of these reasons describes best why you don't have an ORCID iD?

I did not regard it as relevant in my field of work.

I had not needed an ORCID iD yet and was going to get one later.

I don't see the benefit.

I don't understand what it does.

I have privacy concerns.

A different reason: \_\_\_\_\_

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*Display This Question:*

*If Do you have an ORCID iD? = No*

Q3.3 Would the following motivate you to get an ORCID iD?

|   | Does not apply        | No                    | Maybe                 | Definitely            |
|---|-----------------------|-----------------------|-----------------------|-----------------------|
| If it was encouraged or required by more publishers or funders              | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| If it would make workflows within my institution easier for me.             | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| If I knew it would make it easier for others to find my research outputs    | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| If I knew more about who ORCID iDs are used by, and what for.               | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| If it was easier to sign up to ORCID and maintain the record.               | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| If it saved me time through automatic information sharing.                  | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| If I could use it on other online platforms to fill out forms.              | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| If I could be reassured about privacy concerns                              | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| If it would make it easier for me to maintain a list of my research outputs | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| If someone would show me how to use it                                      | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

*Display This Question:*

*If Do you have an ORCID iD? = No*

Q3.4 Is there anything else that would convince you to sign up for an ORCID iD?

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End of Block: No ORCID

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Start of Block: Learn out ORCID use cases

Q4.1 Which of the mentioned use cases would you like to learn more about?  
(Select all that apply)

- Associating my ORCID iD with publications
- Associating my ORCID iD with funding projects
- Linking my ORCID iD to research data
- Using my ORCID iD during submission of publications and grant applications
- Letting my university's local system update my ORCID record
- Letting a funder or impact assessment platform collect publication information from my ORCID record
- Letting a peer review system update my ORCID record
- Creating an ORCID iD QR code for a poster
- Authentication to ORCID using my University ID
- Using my ORCID iD to sign-up to a website and populate my record there
- Using my ORCID iD to log in to a website
- Something else: \_\_\_\_\_
- None of the above

End of Block: Learn out ORCID use cases

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Start of Block: Block 4

*Display This Question:*

*If Do you have an ORCID iD? = Yes*

*And Do you have an ORCID iD? = No*

*And Had you heard about ORCID before this survey? = Yes*

Q5.1 Who do you think does or could benefit from the use of ORCID iDs by researchers?

|   | Not sure              | Does not benefit      | Benefits somewhat     | Benefits greatly      |
|---|-----------------------|-----------------------|-----------------------|-----------------------|
| Early career researchers/ research students | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Mid-career researchers                      | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Senior researchers                          | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Publishers                                  | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Funders                                     | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Research managers                           | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Librarians                                  | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Professional associations                   | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Repositories                                | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Research institutions                       | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| IT Services                                 | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Services creating metrics                   | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| The research community as a whole           | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

*Display This Question:*

*If Do you have an ORCID iD? = Yes*

Q5.2 Would you recommend having an ORCID iD to a colleague?

- Yes
- No

*Display This Question:*

*If Do you have an ORCID iD? = Yes*

Q5.3 What is your main reason for recommending or not recommending having an ORCID iD?

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End of Block: Block 4

Start of Block: Comment and Demographics

Q6.1 What is your field of research?

▼ ...

1. Arts and Drama
2. Anthropology and Archaeology
3. Business/ Management
4. Computer and Information Science
5. Divinity and Philosophy
6. Education
7. Engineering
8. History and Classics
9. Law and politics
10. Life sciences
11. Linguistics and Languages
12. Mathematics and Statistics
13. Medical sciences
14. Physical Sciences
15. Social Sciences
16. Other

Q6.2 What is your professional role?

▼ ...

1. Academic Research and Teaching staff
2. Research staff
3. Teaching staff
4. Research support and professional staff
5. PhD student
6. Masters student
7. Other

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*Display This Question:*  
*If What is your professional role? = Other*

Q6.3 Which other professional position do you hold?

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Q6.4 Do you have any suggestions or comments in relation to this survey?

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Q6.5 Would you be willing to be contacted for a brief follow-up to this survey, for example by email or phone?

Note that we will treat your contact details with confidence and these will only be available to the project team involved in the survey analysis. Your contact details will only be used for the purpose of contacting you as a follow-up to this survey and be kept until the end of the study and then destroyed.

- Yes, here is my email address: \_\_\_\_\_
- No

End of Block: Comment and Demographics

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Start of Block: Goodbye

Q7.1 Please note that by submitting your answers, you are agreeing to participate in this survey. If you have agreed to be contacted for a short follow-up interview, we will contact you within the next two weeks.

If you have any questions or concerns about this survey, you can contact \_\_\_\_  
Thank you for your participation!

End of Block: Goodbye

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