## APPENDIX

# **Table of Contents**

- 1. Data Collection Instruments
- 2. Example Feedback
- 3. Figure: Frequency of Patients seen by Physician
- 4. Table: Unadjusted Effect of Feedback on Components of Sepsis Guideline

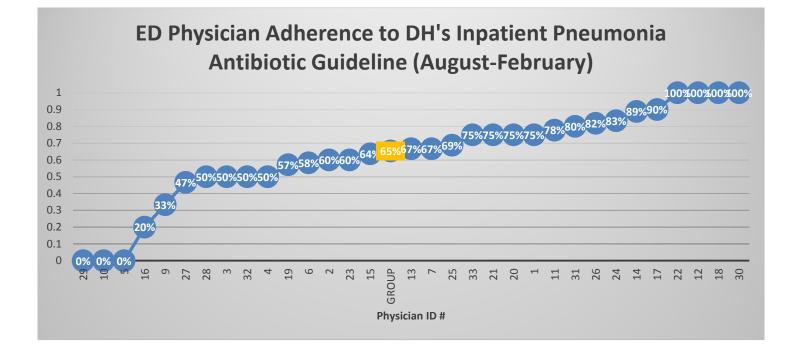
#### **EXAMPLE FEEDBACK: Pneumonia**

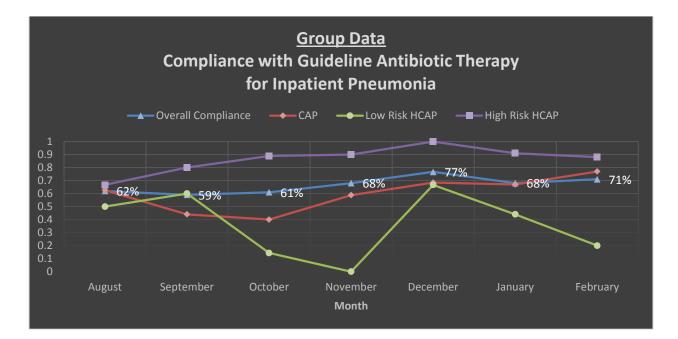
Dear Provider:

Below is a table detailing your compliance with Denver Health's antibiotic guideline for inpatient pneumonia. Overall, your compliance is excellent (82%) as compared to your peers (65%). In the figures below, you are provider # 26. Additionally, please see the attached reference outlining Denver Health' guideline for inpatient antibiotics for pneumonia.

|    | Date      | Type of        | Admitting |                                  |            |
|----|-----------|----------------|-----------|----------------------------------|------------|
| MR | Encounter | Pneumonia      | Floor     | Antibiotics                      | Compliance |
|    |           | High Risk HCAP | Floor     | Cefepime / Vancomycin            | Yes        |
|    |           | CAP            | Floor     | Ceftriaxone / Azithromycin       | Yes        |
|    |           | High Risk HCAP | ICU       | Aztreonam/Vancomycin/Clindamycin | Yes        |
|    |           | CAP            | ICU       | Cefepime/Vanc                    | No         |
|    |           | High Risk HCAP | ICU       | Cefepime                         | Yes        |
|    |           | CAP            | Floor     | Ceftriaxone/Azithro              | Yes        |
|    |           | High Risk HCAP | Floor     | Cefepime/Vanc                    | Yes        |
|    |           | High Risk HCAP | Floor     | Cefepime/Vanc                    | Yes        |
|    |           | High Risk HCAP | Floor     | Cefepime/Vanc                    | Yes        |
|    |           | CAP            | Floor     | Ceftriaxone/Azithromycin         | Yes        |
|    |           | Low Risk HCAP  | ICU       | Cefepime/Vanc                    | No         |
|    |           |                |           |                                  | 82%        |

# **Guideline Compliance for Adult Inpatient Pneumonia Treatment**





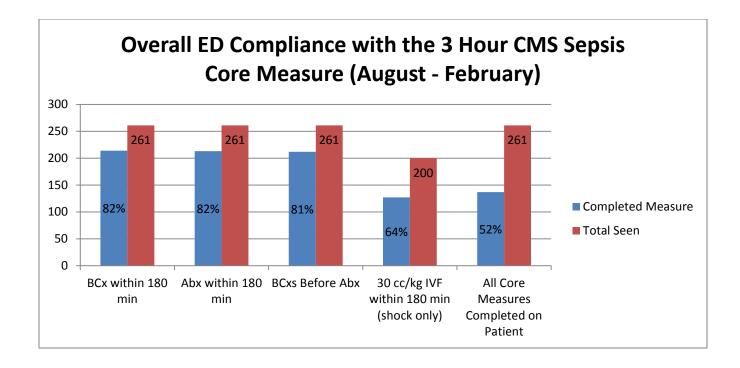
#### **EXAMPLE FEEDBACK: Severe Sepsis**

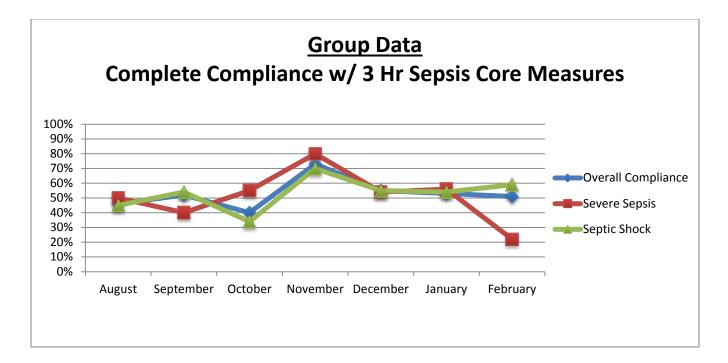
Dear Provider:

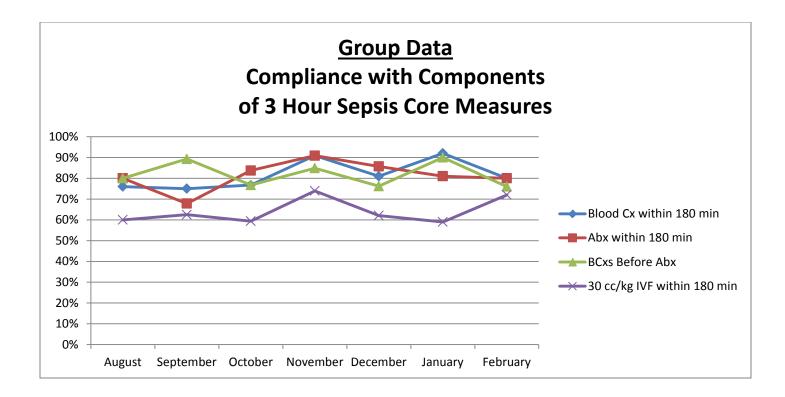
Below is a table detailing your compliance with CMS' Sepsis Core Measure (3 hours). While your compliance with the composite core measure is only 47%, your compliance with the each component ranges from 67% to 87%. Your compliance is below average (47%) as compared to your peers (52%) (see figure below). Additionally, please see the attached reference outlining the CMS Sepsis Core Measure.

|    | Date      | BCx<br>< 180 | Abx <  | BCx<br>Before | 30mL/kg<br>in 180 |   |
|----|-----------|--------------|--------|---------------|-------------------|---|
| MR | Encounter | min          | 180min | Abx           | min               | Notes/Comments                                |
|    |           | Yes          | Yes    | Yes           | Yes               |   |
|    |           | No           | No     | Yes           | Yes               | ABx and BCx > 4 hrs                           |
|    |           | Yes          | Yes    | Yes           | Yes               |   |
|    |           | Yes          | Yes    | No            | No                | ABx given 40 minutes before BCx; only 1L      |
|    |           | Yes          | Yes    | Yes           | Yes               |   |
|    |           | Yes          | Yes    | No            |                   | ABx given 40 minutes before BCx               |
|    |           | No           | Yes    | No            |                   | no blood cultures drawn                       |
|    |           | Yes          | Yes    | Yes           | Yes               |   |
|    |           | Yes          | Yes    | No            | No                | ABx given immediately on arrival.             |
|    |           |              |        |               |                   | 3L NS ordered but only 2L given               |
|    |           | Yes          | Yes    | Yes           | Yes               |   |
|    |           | Yes          | No     | Yes           | •                 | ABx given 4.5 hours into ED stay              |
|    |           | Yes          | Yes    | Yes           | No                | 2500cc in total ordered.                      |
|    |           |              |        |               |                   | 2L ordered w/in 2 hours of ED stay but took 3 |
|    |           |              |        |               |                   | hours to complete 2L (5 hours from triage)    |
|    |           | Yes          | Yes    | Yes           | Yes               |   |
|    |           | Yes          | Yes    | No            |                   | ABx given5 minutes before BCx                 |
|    |           | Yes          | Yes    | Yes           | Yes               |   |
|    |           | 87%          | 87%    | 67%           | 73%               | Component Compliance                          |
|    |           |              |        |               | 47%               | Overall Compliance                            |

#### SEVERE SEPSIS / SHOCK CORE MEASURES







## Audit and Feedback of Adherence to Clinical Practice Guidelines for Community Acquired Pnemonia in the ED

Data Collection Instrument PI: Stacy Trent, MD MPH

| Patient Identifiers   | Provider Identifiers  |
|---|---|
| MR #:   | ED Attending:   |
| Encounter #:  |   |
| Date of Admission: D/C:   |   |
| Step 1: Does the patient meet criteria for inclus   | sion?   |
| Inclusion Criteria:<br>(1) Age ≥ 18   | YES NO  |
| (2) Hospital discharge diagnosis of pneumonia   |   |
| (3) ED diagnosis or initiated treatment for the abov  | e diagnoses   |
| (4) Admission to the hospital from the ED   |   |
| Exclusion Criteria:<br>(1) Transfer from another facility   |   |
| Step 2: Review the medical record and answer         What was the date / time of ED arrival?         How did the patient arrive to the ED?         Patient's age Gender Race L         What was the patient's chief complaint in the ED?         What was the primary diagnosis in the ED?         What service admitted the patient?         Medicine [         What type of floor was the patient admitted to?         Did the patient have any of the following comorbid         (review ED note, inpatient H&P, or another note f         diabetes       AIDS         ESLD       alcohol a         COPD / structural lung disease | anguage Insurance<br>Other<br>Floor IICU<br>lities?<br>from < 1 year from admission)<br>immunosuppressed (e.g. meds / cancer) |
| <ul> <li>Step 3: Risk Factors for HCAP</li> <li>What are the patient's risk factors for HCAP? <ul> <li>a) Hospitalization in past 3 months ( # day</li> <li>b) Antibiotics in past 6 months</li> <li>c) Immunosuppression</li> <li>d) Poor functional status</li> <li>e) SNF</li> <li>f) Chronic hemodialysis</li> <li>g) Home infusion or wound care therapy</li> </ul> </li> </ul>  | s)  |

## Audit and Feedback of Adherence to Clinical Practice Guidelines for Community Acquired Pnemonia in the ED

Data Collection Instrument PI: Stacy Trent, MD MPH

#### Step 4: CAP or HCAP

How many risk factors for HCAP does the person have? \_\_\_\_\_

(1) Was the patient admitted to the floor? \_\_\_\_\_

(2) Did the patient receive the appropriate antibiotics based on type of pneumonia and floor status? What antibiotics did the patient receive? Date/time antibiotics given?

| Table 1: Recommended Antibiotic Selection |                             |                               |  |  |  |  |
|---|-----------------------------|-------------------------------|--|--|--|--|
| CAP (Floor and ICU)                       | Low Risk HCAP               | High Risk HCAP                |  |  |  |  |
| Ceftriaxone (1gm) + Azithro               | Ceftriaxone (1gm) + Azithro | Vancomycin + Cefepime (2gm)   |  |  |  |  |
| (500mg IV or PO)                          | (500mg IV or PO)            | OR                            |  |  |  |  |
| OR  | ÔR                          | Vancomycin + Levaquin (750mg) |  |  |  |  |
| Levaquin (750mg IV)                       | Levaquin (750mg IV)         |                               |  |  |  |  |
| + Vanc (only if ICU)                      | + Vanc (only if ICU)        |                               |  |  |  |  |

Low Risk HCAP = 1 Risk Factor HCAP

High Risk HCAP = >2 Risk Factors HCAP or > 5 days hospitalization within 3 months

## Audit and Feedback of Adherence to Clinical Practice Guidelines For Severe Sepsis and Septic Shock in the ED

Data Collection Instrument PI: Stacy Trent, MD MPH

| Patient Identifiers  | Provider Identifiers            |  |  |  |  |  |
|--|---------------------------------|--|--|--|--|--|
| MR #:  | ED Attending:                   |  |  |  |  |  |
| Encounter #:   |                                 |  |  |  |  |  |
| Date of Admission: D/C:  |                                 |  |  |  |  |  |
| Step 1: Does the patient meet criteria for inclu<br>Inclusion Criteria:  | sion?                           |  |  |  |  |  |
| (1) Age ≥ 18   |                                 |  |  |  |  |  |
| (2) Hospital discharge diagnosis of sepsis, sever  | e sepsis or septic shock YES NO |  |  |  |  |  |
| (3) ED diagnosis or initiated treatment for the above  | re diagnoses YES NO             |  |  |  |  |  |
| (4) Admission to the hospital from the ED  | YES NO                          |  |  |  |  |  |
| Exclusion Criteria:<br>(1) Transfer from another facility  |                                 |  |  |  |  |  |
| Step 2: Review the medical record and answer the question below.         What was the date/time of ED arrival?         What service admitted the patient?         Medicine       Other         What type of floor was the patient admitted to?       Floor         ICU |                                 |  |  |  |  |  |
| Step 3: Review the medical record to answer the Did the patient meet sepsis criteria?<br>What was the presumed source of infection<br><u>Circle the additional criteria met</u>  | □ YES □ NO □ ?                  |  |  |  |  |  |
| Temp < 36 or > 38.3 (max temp or min temp if < 36)<br>HR > 90 (max HR)<br>RR > 20 (max RR)<br>WBC < 4 or > 12 (WBC)  |                                 |  |  |  |  |  |
| Altered mental status (in absence of chroni<br>Glucose > 140 mg/dL (in absence of diabe  | -                               |  |  |  |  |  |

If the patient does not meet sepsis criteria in the ED, STOP.

# Audit and Feedback of Adherence to Clinical Practice Guidelines

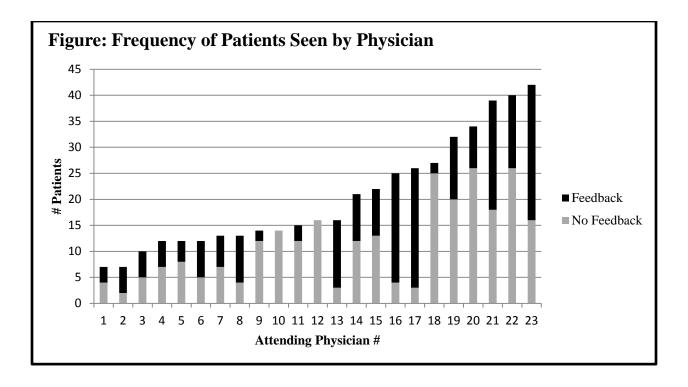
For Severe Sepsis and Septic Shock in the ED

Data Collection Instrument

PI: Stacy Trent, MD MPH

## Step 4: Review the medical record to answer the following questions.

| (1) Was a lactate ordered in the ED?  | YES NO ?       |
|---|----------------|
| What was the initial lactate?   |                |
| What was date / time of initial lactate?  |                |
| How much IV fluid was given prior to lactate being drawn?   |                |
| (2) Did the patient meet criteria for severe sepsis in the ED?  |                |
|   |                |
| Circle the end-organ that was <b>acutely</b> dysfunctional  |                |
| SBP < 90 mmHg or MAP < 65 mmHg  |                |
| SBP decrease > 40 mmHg from baseline  |                |
| Kidneys (Cr > 2 mg/dL)  |                |
| Lungs (acute hypoxia)   |                |
| Liver (bilirubin > 2mg/dL)  |                |
| Coags (INR > 1.5 in absence of coumadin)  |                |
| Platelets (< 100 k/uL)  |                |
| Cellular (lactate >2 mmol/L) (4) $M_{cell}$ the initial lactate > Areas $M_{cell}$ the CDD = 00 mmol/L) |                |
| (4) Was the initial lactate $\geq$ 4mmol/L or the SBP < 90mmHg?   | YES NO ?       |
| What was the initial lactate?   |                |
| What was the initial systolic BP?   |                |
| (5) Were antibiotics given in the ED?   | □ YES □ NO □ ? |
| What antibiotics were given?  |                |
| At what time were antibiotics given?  |                |
| (6) How much IVFs were initially ordered?   |                |
| Time Order?Start?Stop?  |                |
| How much total fluid was ordered?   |                |
| When were all fluids completed?   |                |
|   | Carro I al an  |
| If the initial lactate $\geq$ 4mmol/L or the SBP < 90mmHg at any time, cont                             |                |
| (7) Were blood cultures obtained in the ED?   |                |
| (8) Was a minimum of 30mL/kg of IVFs given?   |                |
| Was a weight documented either in the ED or in the inpatient setting                                    |                |
| If no weight, was at least 3L NS given? Stop?   |                |



| Table: Unadjusted Effect of Feedback on Adherence to Components of Sepsis Guideline |                |     |             |          |          |                 |            |             |
|---|----------------|-----|-------------|----------|----------|-----------------|------------|-------------|
|   |                |     | No Feedback |          | Feedback |                 | Median     |             |
|   | $N^{\ddagger}$ | Ν   | Median      | (95% CI) | Ν        | Median (95% CI) | Difference | (95% CI)    |
| Lactate Collected (%)   | 245            | 145 | 100         | (96-100) | 145      | 100 (97-100)    | 0          | (-4 to 3)   |
| Time to BCx (min)   | 229            | 138 | 62          | (32-118) | 91       | 56 (33-102)     | -6         | (-26 to 15) |
| BCx before ABx (%)  | 245            | 145 | 81          | (74-87)  | 100      | 82 (73-88)      | 1          | (-10 to 10) |
| Time to ABx (min)   | 242            | 143 | 97          | (54-156) | 99       | 119 (73-173)    | 22         | (-4 to 48)  |
| 30mL/kg IVF Given <sup>†</sup> (%)  | 181            | 116 | 110         | (80-138) | 65       | 103 (83-135)    | -7         | (-17 to 4)  |

BCx = blood cultures, ABx = antibiotics, min = minutes; CI = confidence interval † septic shock patients only ‡ excludes 16 patients who did not receive blood cultures and 3 patients who did not receive antibiotics