**Attachment A**

Welcome to the survey entitled: AN INVESTIGATION OF RESEARCHERS’ EXPERIENCE OF ACADEMIC AND SCIENTIFIC AUTHORSHIP IN SOUTH AFRICA, INCLUDING INFLUENCING FACTORS.

Thank you for agreeing to participate in our study. Please click on ‘next’ to begin the survey.

Exit

Next

**DEMOGRAPHIC INFORMATION**

**Gender**

|  |  |
| --- | --- |
|  | Male |
|  | Female |

**Age**

|  |  |
| --- | --- |
|  | 20 to 30 years |
|  | 31 to 40 years |
|  | 40 to 50 years |
|  | 51 to 64 years |
|  | 65 years and older |

**Race**

|  |  |
| --- | --- |
|  | Black (African) |
|  | Asian / Indian |
|  | Coloured |
|  | White |
|  | Other (Please specify in the space provided below) |
|  |  |

**Home language**

|  |  |
| --- | --- |
|  | English |
|  | Afrikaans |
|  | isiXhosa |
|  | isiNdebele |
|  | isiZulu |
|  | siSwati |
|  | Setswana |
|  | Tshivenda |
|  | Sesotho |
|  | Sesotho sa Leboa |
|  | Xitsonga |
|  | Other (Please specify in the space provided below) |
|  |  |

**Nationality (Country of birth OR country of permanent residence)**

|  |  |
| --- | --- |
|  | South African |
|  | Other (Please specify in the space provided below |
|  |  |

**Highest qualification (completed)**

|  |  |
| --- | --- |
|  | First Bachelor’s degree |
|  | Honours degree / Postgraduate diploma |
|  | Master’s degree |
|  | Doctoral degree |
|  | Other (Please specify in the space provided below) |
|  |  |

**Please indicate your broad discipline**

|  |  |
| --- | --- |
|  | Agricultural Sciences |
|  | Arts |
|  | Biological Sciences |
|  | Chemical Sciences |
|  | Earth and Marine Sciences |
|  | Economic and Management Sciences |
|  | Engineering Sciences |
|  | Health Sciences |
|  | Humanities |
|  | Information And Computer Sciences |
|  | Mathematical Sciences |
|  | Medical Sciences: Basic |
|  | Medical Sciences: Clinical |
|  | Physical Sciences |
|  | Social sciences |
|  | Other (Please specify in the space provided below) |
|  |  |

**Your current South African institutional affiliation**

|  |
| --- |
|  |

**RESEARCH EXPERIENCE**

**Please indicate in which year you published your first peer-reviewed academic publication (including peer-reviewed articles, books, book chapters, and conference proceedings):**

**Please indicate the total number of academic publications that you have published (peer-reviewed articles, books (weight = 5), book chapters, conference proceedings):**

|  |  |
| --- | --- |
|  | **0-5** |
|  | **6-10** |
|  | **11-20** |
|  | **21-49** |
|  | **50-99** |
|  | **100+** |

**Please indicate the number of your articles in peer-reviewed academic journals:**

|  |  |
| --- | --- |
|  | 1 |
|  | 2-5 |
|  | 6-10 |
|  | 11-20 |
|  | 21-49 |
|  | 50-99 |
|  | 100+ |

**FAMILIARITY WITH GUIDELINES ON AUTHORSHIP**

**With which of the following guidelines that define the role of authors and contributors are you familiar?**

|  |  |
| --- | --- |
|  | Guidelines of the International Committee of Medical Journal Editors (ICMJE) |
|  | Guidelines of the Committee on Publication Ethics (COPE) |
|  | Guidelines of the Council of Science Editors |
|  | Guidelines of the Chemistry, Physics, and Mathematics |
|  | Guidelines of the American Sociological Association (ASA) |
|  | Authorship guidelines of the relevant journal |
|  | None |
|  | Other (please specify): |
|  |  |

**RESPONDENTS’ VIEWS OF PRINCIPLES AND CRITERIA FOR AUTHORSHIP**

**Please indicate your agreement or disagreement with the following criterion for authorship.**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | Strongly agree | Agree | Neither agree nor disagree | Disagree | Strongly disagree |
| Each author must make a substantial contribution to the work which leads to the academic publication. |  |  |  |  |  |

**Please indicate to what extent you see the following as examples of a substantial contribution to an academic publication**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Definitely an example of a substantial contribution | Possibly an example of a substantial contribution | Not an example of a substantial contribution | Don’t know or not applicable |
| The conceptualisation of the idea or design of the publication |  |  |  |  |
| The acquisition of the data for the underlying study of the publication |  |  |  |  |
| The analysis of the data for the publication |  |  |  |  |
| The interpretation of the data for the publication |  |  |  |  |
| Securing funding for the project/study that resulted in the publication and participating in the specific work leading to the publication |  |  |  |  |
| Securing funding for the project/study that resulted in the publication but not participating in the specific work leading to the publication |  |  |  |  |
| Academic rank (e.g. professor or lecturer) of the contributing individual |  |  |  |  |
| The academic leadership provided by the contributing individual |  |  |  |  |
| General supervision of the research group by the contributing individual |  |  |  |  |
| Supervision of a postgraduate student by the contributing individual |  |  |  |  |

**Please indicate your agreement or disagreement with the following criteria for authorship.**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | Strongly agree | Agree | Neither agree nor disagree | Disagree | Strongly disagree |
| Each author should be involved in drafting the manuscript for publication |  |  |  |  |  |
| Each author should make a contribution to critically revising the manuscript for important intellectual content |  |  |  |  |  |
| Each author should provide final approval of the version to be submitted for publication |  |  |  |  |  |
| Each author should agree to accept accountability for all aspects of the manuscript |  |  |  |  |  |
| Contributors who do not meet the criteria for authorship should be listed in an acknowledgments section |  |  |  |  |  |
| Authors should be ordered alphabetically except for the first and last authors |  |  |  |  |  |
| A graduate student should be the first author of articles published from his/her thesis |  |  |  |  |  |
| A postdoctoral fellow should be the first author of articles published from her/his work |  |  |  |  |  |
| Authorship order in your collaborative research publication should be determined by the principal investigator |  |  |  |  |  |
| Authorship order in your collaborative research publication should be determined by the lead author |  |  |  |  |  |
| Authorship order in your collaborative research publication should be determined by all contributing authors |  |  |  |  |  |

**Please indicate your agreement or disagreement with the following statements.**

|  |  |  |
| --- | --- | --- |
|  | Yes | No |
| I have encountered disagreement regarding whether people qualify to be named as authors on an academic article, chapter, or book |  |  |
| I have encountered disagreement regarding authorship order |  |  |

**Disagreements about authorship and authorship order are (often) the result of:**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | Strongly agree | Agree | Neither agree nor disagree | Disagree | Strongly disagree |
| Different disciplinary practices |  |  |  |  |  |
| Different ways of valuing or measuring the importance of the contribution |  |  |  |  |  |
| Unclear process or criteria of authorship |  |  |  |  |  |
| Differences between the team’s authorship practices and those of the journal |  |  |  |  |  |
| A lack of discussion and/or agreement within the team |  |  |  |  |  |
| Other (Please specify in the space provided below) |  |  |  |  |  |
|  |  |  |  |  |  |

**How easy or difficult is it for you to implement the principles and criteria for authorship and authorship order?**

|  |  |  |
| --- | --- | --- |
| Easy to implement | Difficult to implement | Don’t know |
|  |  |  |

**Do you have any additional comments about authorship and authorship order? Please use as much space as you like to give examples of difficulties and how they have or have not been resolved.**

|  |
| --- |
|  |

**If you are willing to participate in a semi-structured interview on authorship and incentive issues, please provide your name, email address and/or a telephone number in the space below. We will not reveal your identity in reporting on our research.**

|  |
| --- |
|  |

Thank you for participating in the survey.