Revision 1

Behavior at high pressure of Rb₇NaGa₈Si₁₂O₄₀·3H₂O (a zeolite with EDI topology): A combined experimental-computational study

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Abstract

The high-pressure behavior and the P-induced structural evolution of a synthetic zeolite Rb₇NaGa₈Si₁₂O₄₀·3H₂O (with edingtonite-type structure) was investigated both by in-situ synchrotron powder diffraction (with a diamond anvil cell and the methanol:ethanol:water = 16:3:1 mixture as pressure-transmitting fluid) up to 3.27 GPa and by ab-initio first-principles computational modelling. No evidence of phase transition or pressure-induced penetration of P-fluid hydration was observed within the P-range investigated. The isothermal equations of state was determined; V_0 and K_{T0} refined with a second-order Birch–Murnaghan equation of state are V_0 = 1311.3(2) Å³ and K_{T0} = 29.8(7) GPa. The main deformation mechanism (at the atomic scale) in response to the applied pressure is represented by the cooperative rotation of the secondary building units (SBU) about their chain axis (*i.e.*, [001]). The direct consequence of SBU anti-rotation on the zeolitic channels parallel to [001] is the increase of pore ellipticity with pressure, in response to the extension of the major axis and to the contraction of the minor axis of the elliptical channel. The effect of the applied pressure on the bonding configuration of the extra-framework content is only secondary. A comparison between the P-induced main deformation mechanisms observed in Rb₇NaGa₈Si₁₂O₄₀·3H₂O and those previously found in natural fibrous zeolites, is carried out.

Keywords: zeolite, Rb₇NaGa₈Si₁₂O₄₀·3H₂O, high pressure, compressibility, first-principles computational modelling.

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Introduction

The fibrous zeolites group is probably the most investigated one under extreme conditions. In particular, a series of experiments were devoted to this group of open-framework silicates at high pressure, in order to describe the elastic behavior and the *P*-induced structural and chemical evolution at the atomic scale of these zeolites.

The crystal structure of "fibrous zeolites" is built on the 4=1 "secondary building units" (SBU, Gottardi and Galli 1985; Armbruster and Gunter 2001; Berlocher et al. 2001), also known as "T₅O₁₀ tetrahedral units". These units form chains running along [001] with tetragonal topological symmetry (Fig. 1), with different possible connectivity patterns, giving rise to the NAT (*i.e.*, natrolite-type), THO (*i.e.*, thomsonite-type) and EDI (*i.e.*, edingtonite-type) topology (Smith 1983; Armbruster and Gunter 2001; Baerlocher et al. 2001; Gatta 2005). All the fibrous zeolites show a 8-membered ring channels system (hereafter 8mR[001]) parallel to the SBU-chain direction; additional 8-membered ring channels lie in the plane perpendicular to the SBU axis (hereafter 8mR[110]).

The sodium member of this group, i.e. natrolite (ideally Na₁₆Al₁₆Si₂₄O₈₀·16H₂O), was the first zeolite that showed unambiguously the so-called "pressure-induced-hydration effect", through selective sorption of additional H₂O molecules from the P-transmitting fluid in response to the applied pressure (at ~ 1 GPa, using the methano:ethanol:water = 16:3:1 mixture as P-transmitting fluid, Lee et al. 2002a). This phenomenon leads to formation of a new super-hydrated form of natrolite, with ideal chemical formula: Na₁₆Al₁₆Si₂₄O₈₀·32H₂O. Recent investigations extended this discovery into other monovalent and divalent cation-analogues of natrolites to establish that pressure-induced hydration is a systematic property of the natrolite framework materials (Seoung et al. 2013, 2015). On the basis of the compressibility paths of (natural) natrolite, scolecite (ideally Ca₈Al₁₆Si₂₄O₈₀·24H₂O), thomsonite (ideally Na₄Ca₈Al₂₀Si₂₀O₈₀·24H₂O), orthorhombic and tetragonal edingtonite (ideally Ba₂Al₄Si₆O₂₀·8H₂O) obtained by in-situ experiments, Gatta (2005) reported a comparative study on lattice compressibility, structural deformation mechanisms and the role played by the framework (Si/Al-distribution, cross-linking of the building unit chains) and extra-framework content on the high-pressure behavior of fibrous zeolites. Fitting the pressurevolume data to an isothermal Birch-Murnaghan equation of State (Birch 1947), Gatta (2005) obtained an "average bulk modulus" of the fibrous zeolite (FZ) group: $K_{T0} = 50 \pm 10$ GPa. The bulk modulus value changes as a function of the extra-framework content, with: $K_{T0}(Ba-$ FZ)> K_{T0} (Ca-FZ)> K_{T0} ((Ca+Na)-FZ)> K_{T0} (Na-FZ) (Gatta 2005). In addition, comparing the in-situ structure refinements available at that time (i.e., Lee et al. 2002a, 2002b, 2004; Gatta et al. 2003,

2004a, 2004b) and the structure models obtained by computational modelling (*e.g.*, Ballone et al. 2002; Gatta et al. 2004c), Gatta (2005) showed how all the fibrous zeolites react with one main deformation mechanism in response to the applied pressure: the cooperative rotation (anti-rotation) of the SBU. This mechanism strongly reduces the free volume of the 8-membered ring channels parallel to the SBU-chain direction (Fig. 1).

Lee et al. (2000) reported the synthesis protocol and the crystal structures of gallium- and germanium-variants of the fibrous zeolites with the NAT, EDI and THO topology. In particular, the compound with ideal chemical formula Rb₇NaGa₈Si₁₂O₄₀·3H₂O showed the EDI-type structure, on basis of a single-crystal X-ray structure refinement. The structure model of Rb₇NaGa₈Si₁₂O₄₀·3H₂O reported by Lee et al. (2000), described in the space group P-42₁c with α =9.773(1) and c=13.141(3) Å (Fig. 1), consists of four independent tetrahedral sites (i.e., Ga(1), Ga(2), Si(3), Si(4), following the labelling scheme of Lee et al. 2000), five independent framework oxygen sites (i.e., O(1), O(2), O(3), O(4), O(5)), three independent rubidium sites with partial site occupancy (s.o.) (i.e., Rb(1) with s.o. 0.641(6), Rb(1A) with s.o. 0.093(4), Rb(2) with s.o. 0.278(7)), one sodium site (i.e., Na(2) with s.o. 0.139(8)), and two independent H₂O sites (i.e., OW(1) with s.o. 0.17(2), OW(2) with s.o. 0.33(2)). Evidence of partial Si-Ga disorder was reported by Lee et al. (2000). The Ga-O bond distances were found ranging between 1.773(5) and 1.800(5) Å, whereas the Si-O distances between 1.616(5) and 1.648(5) Å. More complex is the reported coordination configuration of the extra-framework population: i) With a maximum Rb(1)-O distance of 3.336(6) Å, the coordination number (C.N.) of Rb(1) is 10 (i.e., 8 framework oxygen sites and 2 H₂O molecule); ii) Rb(1A) is coordinates by 4 framework oxygen sites, with a maximum Rb(1A)-O = 2.77(2) Å; iii) The C.N. is 7 for the Rb(2) site, with 6 framework oxygen sites and 1 H₂O molecule, with Rb(2)-O_{max} = 3.125(5) Å; iv) The C.N. of Na(2) is 6, with 4 framework oxygen sites and 2 H_2O molecules, with Na(2)- $O_{max} = 3.02(5)$ Å. The C.N. of the Rb(1A) was likely underestimated by Lee et al. (2000), as its coordination shell might be better described with a longer Rb(1A)-O_{max} bond distance.

On the basis of our previous investigations on the high-pressure behaviour of fibrous zeolites, the aim of this study is to investigate the compressibility and the *P*-induced deformation mechanisms (at the atomic scale) of Rb₇NaGa₈Si₁₂O₄₀·3H₂O by in-situ synchrotron X-ray powder diffraction with a diamond anvil cell and ab-initio computational modelling. A comparison between the *P*-induced main deformation mechanisms observed in Rb₇NaGa₈Si₁₂O₄₀·3H₂O and those previously found in natural fibrous zeolites, is carried out.

Experimental methods

The high-pressure experiments on $Rb_7NaGa_8Si_{12}O_{40} \cdot 3H_2O$ were conducted using a polycrystalline sample synthetized by Lee et al. (2000). More details about the synthesis protocols and the crystallochemical characterization of this compound are given in Lee et al. (2000). In-situ high-pressure synchrotron X-ray powder diffraction data were collected using a Merrill-Bassett type diamond-anvil cell (DAC) at the X14A beamline at the National Synchrotron Light Source (NSLS) at Brookhaven National Laboratory (BNL). The primary white beam from a bending magnet was horizontally monochromatized by Si(111) double crystals, in order to produce monochromatic X-ray with wavelength of 0.7745 Å. The polycrystalline sample was loaded into a 400 μ m diameter sample chamber in a pre-indented stainless steel gasket, along with a few small ruby spheres used as pressure markers. The mix methanol:ethanol:water = 16:3:1 was used as a (potentially penetrating) hydrostatic pressure-transmitting medium (Angel et al. 2007, Gatta 2008). The pressure at the sample was measured by detecting R_1 emission line of the ruby spheres in the DAC, following the protocol of Mao et al. (1986) (error in pressure estimated to be \pm 0.1 GPa). High-pressure data were collected up to 3.27 GPa.

Unit-cell parameters were refined by Le Bail full-profile fit using the GSAS package (Le Bail et al. 1988, Larson and Von Dreele 2004) (Table 1). The diffraction patterns were fitted using the pseudo-Voigt profile function of Thomson et al. (1987), and the background curve were refined with a Chebyshev polynomial. The quality of the high-pressure diffraction patterns hindered any attempt of structural refinement by Rietveld method (Rietveld 1969), and this led to use the abinitio computational modelling to describe the structure evolution (at the atomic scale) in response to the applied pressure.

Data collected after decompression showed that the compressional behavior within the *P*-range investigated was completely reversible.

Computational modeling

First-principles molecular dynamics simulations were performed in order to study, at the atomistic level, the effects of compression on the title compound. The stoichiometry of the simulation cell is $Rb_7NaGa_8Si_{12}O_{40}\cdot 3H_2O$.

The models were built by expanding the ambient conditions structure (described by Lee et al. 2000 in the space group P-42 $_1c$) to P1, based on the unit-cell parameters measured at 0.0001, 0.94, 1.61 and 3.27 GPa, with: twenty independent tetrahedral sites (*i.e.*, here labelled as T1a, T2a, T3a, T3b, T4a, T4b, T4c, T4d, T4e, T4f, T4g, T4h occupied by Si; T1b, T2b, T2c, T2d, T2e, T2f,

T2g, T2h occupied by Ga); forty framework oxygen sites (i.e., O1a-1h, O2a-2h, O3a-3h, O4a-4h, O5a-5h); seven rubidium sites (i.e., Rb1a-1e, Rb2, Rb3); one sodium site (i.e., Na); three H₂O sites (i.e., W1, W2a-2b); six hydrogen sites (i.e., H1-6) (see the CIFs, deposited as supplementary materials). The simulations were carried out at 300 K, with the Car-Parrinello approach (Car and Parrinello 1985). The electron-electron interactions were calculated by adopting the PBE approximation (i.e., Perdew-Burke-Ernzerhof) to the Density Functional Theory (Perdew et al. 1996). Electron-nuclei interactions were accounted for via pseudopotential. In particular, normconserving pseudopotentials were adopted for Ga, Na, Rb and Si atoms (Troullier and Martins 1993), while ultra-soft pseudopotentials were adopted for O and H atoms (Vanderbilt 1990). Electronic wavefunctions were expanded in planewaves up to a kinetic energy cutoff of 25 Ry. A cutoff of 200 Ry was adopted for the representation of the electronic density. Simulations were performed in the canonical ensemble at fixed number of particles, fixed volume and fixed temperature. A Nose-Hoover thermostat was used for the temperature control (Hoover 1985). A time step of 5 atomic time unit was adopted for the integration of the equation of motion, a fictitious inertia parameter of 500 atomic mass unit was adopted for the electronic wavefunction coefficients dynamics (e.g., Car and Parrinello 1985). All atoms in the simulations were considered independent and only the unit-cell parameters were kept fixed at the experimental values. Such an approach was successfully used not only for the simulations of zeolitic and non zeolitic systems at ambient conditions (e.g., Fois et al. 2008a, 2010a, 2012, 2013; Gamba et al. 2009; Gigli et al. 2014; Tabacchi et al. 2015) and at high temperature regimes (e.g., Ceriani et al. 2004; Fois et al. 2010b), but also for the investigation of high-pressure induced processes in both natural and synthetic zeolities (e.g., Ferro et al. 2002; Arletti et al. 2003; Fois et al. 2005, 2008b; Betti et al. 2007). In the present simulations, for each compression degrees, we have equilibrated the systems by performing molecular dynamics runs of 5 ps, while data were gathered and averaged from production runs of 12 ps. All calculations were performed using the CPMD computer code (CPMD, 2015).

Results: Compressional behavior

High-pressure unit-cell parameters of $Rb_7NaGa_8Si_{12}O_{40}\cdot 3H_2O$ collected between 0.0001 and 3.27 GPa are summarized in Table 1, and their evolution with pressure is shown in Fig. 2. No phase transition was observed within the P-range investigated, despite the nominally penetrating hydrous pressure medium was used in this experiment. We can therefore exclude any interference of the P-transmitting fluid on the P-behaviour of this zeolite. More specifically, any P-induced overhydration effect (*sensu* Lee et al. 2002a, Gatta 2008) or penetration of methanol or ethanol

molecules (from the *P*-transmitting fluid) can be ruled out. In fibrous zeolites, the *P*-induced penetration of external molecules through the channels leads to a drastic unit-cell volume expansion (Gatta et al. 2005, Gatta and Lee 2014), which is not observed in this study.

Volume Eulerian finite strain vs. normalised stress plots $(f_E - F_E \text{ plot}; f_E = [(V_0/V)^{2/3} - 1] / 2, F_E = P/[3f_E(1+2f_E)^{5/2}])$ Angel 2000) is shown in Fig. 2. The weighted linear regressions through the volume data points yield an almost horizontal trend, indicating that the compressional behaviour of this zeolite within the P-range investigated can be adequately described with a second-order Birch-Murnaghan Equation of State (II-BM-EoS; Birch 1947, Angel 2000), as follows:

$$P(f_{\rm E}) = 3K_{\rm T0}f_{\rm E}(1+2f_{\rm E})^{5/2},$$

where f_E is the Eulerian finite strain and K_{T0} is the bulk modulus, defined as the reciprocal of the volume compressibility coefficient (β): $\beta = 1/K_{T0} = V^{-1} \partial V/\partial P$.

P-V data were fitted with the EOS-FIT5.2 computer program (Angel 2000). The II-BM-EoS parameters obtained, using the weighted data by the uncertainties in P-V, are: $V_0 = 1311.3(2) \text{ Å}^3$, $K_{T0} = 29.8(7) \text{ GPa}$, $\beta = 1/K_{T0} = V^1 \partial V/\partial P = 0.0336(9) \text{ GPa}^{-1}$. A further fit performed without the measured V_0 gives: $V_0 = 1318(4) \text{ Å}^3$, $K_{T0} = 28(2) \text{ GPa}$. The second fit produces a better agreement between the observed and calculated P-V values, and reflects the different operating conditions of the experiments: V_0 was measured without any P-medium in the DAC, and all the other V values at different pressures were measured with the P-fluid in the DAC. Similar evidence were often reported in the literature (e.g., Gatta 2005 and references therein).

The "axial bulk moduli" were calculated with "linearized" BM-EoS (Angel 2000), simply by substituting the cube of the individual lattice parameter (in this case: a^3 and c^3) for the volume. The refined elastic parameters obtained using a II-BM-EoS are: $a_0 = 9.963(1)$ Å, $K_{T0}(a) = 24.8(7)$ GPa, $\beta(a) = l^1 \frac{\partial l}{\partial P} = (3K_{T0}(a))^{-1} = 0.0134(4)$ GPa⁻¹ [fit without the measured a_0 gives: $a_0 = 9.988(13)$ Å, $K_{T0}(a) = 22(1)$ GPa]; $c_0 = 13.211(1)$ Å, $K_{T0}(c) = 48(1)$ GPa, $\beta(c) = l^1 \frac{\partial l}{\partial P} = (3K_{T0}(c))^{-1} = 0.0069(2)$ GPa⁻¹ [fit without the measured c_0 gives: $c_0 = 13.218(8)$ Å, $K_{T0}(c) = 46(2)$ GPa]. The elastic anisotropy of Rb₇NaGa₈Si₁₂O₄₀·3H₂O within the *P*-range investigated is: $K_{T0}(a)$: $K_{T0}(c) \approx 1$: 2.

The diffraction data collected during decompression show that the structural modifications induced by the applied pressure are completely reversible (Table 1).

Results: Pressure-induced structure evolution

The pressure increase produces a slight variation on the intra-tetrahedral bond distances and angles, as deducible by the CIFs content. For example, the average T1a-O distance is 1.637 Å at 0.0001 GPa and 1.629 Å at 3.27 GPa (T1a was modelled as populated by Si); the average T2b-O

distance is 1.721 Å at 0.0001 GPa and 1.718 Å at 3.27 GPa (T2b was modelled as populated by Ga). The tetrahedra distortion is however pronounced at any pressure, with differences between the longest and the shortest bond distances of ~ 0.05 Å.

The shape of the 8mR[110] is nearly circular: its ellipticity ratio at room pressure approaches the unity (*i.e.*, 0.939, Table 2). The evolution of $\varepsilon_{8mR[110]}$ is shown in Fig. 3, with a significant decrease of the ellipticity with increasing P. In other words, there is a regularization of the [110] channel shape with pressure: at the maximum pressure achieved in this experiment, the shape of 8mR[110] is not far away from the circularity (*i.e.*, $\varepsilon_{8mR[110]}$ = 0.953 at 3.27 GPa, Table 2). There is, therefore, an opposite behaviour of the two channel systems in Rb₇NaGa₈Si₁₂O₄₀·3H₂O structure with P: the 8mR[001]-channel tends to increase its ellipticity, whereas the 8mR[110]-channel tends to decrease its ellipticity.

The effect of the applied pressure on the bonding configuration of the extra-framework content is only secondary, with no change of the coordination number.

Discussion and Conclusions

The main deformation mechanism of Rb₇NaGa₈Si₁₂O₄₀·3H₂O under hydrostatic compression reflects the *P*-induced structural evolution observed in all the fibrous zeolites (Gatta 2005, 2010; Gatta and Lee 2014), and is represented by the anti-rotation of the SBU about the SBU chain axis (*i.e.*, [001]). This finding corroborates the conclusion of Gatta (2005): the SBU anti-rotation mechanism is independent on the nature of framework (*i.e.*, elements that populate the tetrahedral sites and their ordering) and extra-framework content, and of the SBU-chains cross-

linking geometry. The cooperative rotation of the SBU shows, in turn, that the flexibility of the tetrahedral framework under hydrostatic compression is mainly governed by tilting of (quasi-rigid) tetrahedra around oxygen atoms that behave as hinges within the framework, as observed in several classes of open-framework materials (Gatta 2010 and references therein). Due to the moderate *P*-range of this experiment, the tetrahedra compression and deformation are expected to be negligible.

As observed by Gatta et al. (2004b) in orthorhombic and tetragonal edingtonite, pressureinduced hydration effect is likely hindered by the extra-framework population in this case: the 8mR[001]- and 8mR[110]-channels are already well stuffed at ambient conditions, leaving no room for additional H₂O (or methanol molecules) potentially able to penetrate through the channels. In contrast, in Na-, K- and Ca-bearing fibrous zeolites, the pressure-induced hydration effect occurs (e.g., Lee et al. 2002a, 2002b; Colligan et al. 2005; Likhacheva et al. 2006, 2007), along with the Pinduced penetration of other atoms or molecules (i.e., Ar, Xe or CO₂, Lee et al. 2010, 2011; Seoung et al. 2014). In this light, the extra-framework population does not control the main deformation mechanism of the framework, but can govern the general compressibility of the structure and the penetration of external atoms or molecules in response to the applied pressure. As a matter of fact, the bulk modulus of Rb₇NaGa₈Si₁₂O₄₀·3H₂O (*i.e.*, $K_{T0} \sim 29$ GPa) is significantly lower than those observed for the natural fibrous zeolites (i.e., 43(2) for natrolite, 54.6(6) for scolecite, 49(1) for thomsonite, 59.3(4) for orthorhombic and tetragonal edingtonite; Gatta 2005). The higher compressibility of Rb₇NaGa₈Si₁₂O₄₀·3H₂O would only partially be attributed to the presence of Ga (replacing Al) in the tetrahedral framework: the channel content is likely responsible for the higher deformation of the structure under hydrostatic compression, if compared to the natural fibrous zeolites.

An interesting difference between the structural deformation mechanisms of Rb₇NaGa₈Si₁₂O₄₀·3H₂O and the isotypic (natural) edingtonite concerns the 8mR[110]. In Rb₇NaGa₈Si₁₂O₄₀·3H₂O, there is a decrease of the ellipticity (*i.e.*, a tendency to the circularity) with increasing pressure, whereas in the natural orthorhombic and tetragonal edingtonite an increasing in ellipticity is observed with pressure (Gatta et al. 2004b). This difference is also ascribable to the different channel population (and its bonding configuration) between Rb₇NaGa₈Si₁₂O₄₀·3H₂O and the natural edingtonite.

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Table 1. Unit-cell parameters of $Rb_7NaGa_8Si_{12}O_{40}\cdot 3H_2O$ measured at different pressure (*P*-uncertainty: \pm 0.1 GPa; unit-cell parameters at 0.0001 GPa after decompression differ by less than 4σ from those measured at room condition before compression). The wR_p of the Le Bail full-profile fits are listed.

P (GPa)	a (Å)	c (Å)	$V(\text{Å}^3)$	wR_p
0.0001	9.9630(5)	13.2108(6)	1311.3(2)	0.0546
0.31	9.9405(4)	13.1924(5)	1303.5(1)	0.0523
0.94	9.8686(4)	13.1344(5)	1279.1(1)	0.0489
1.61	9.7716(4)	13.0616(5)	1247.2(1)	0.0645
2.39	9.6938(6)	13.0157(7)	1223.1(2)	0.0616
3.27	9.6121(4)	12.9480(5)	1196.3(1)	0.0486

Table 1. Average φ angle (defined as: $\varphi = [180 - (\langle O5_i - O5_j - O5_i \rangle)]/2$, where $\langle O5_i - O5_j - O5_i \rangle$ is the average value of the $O5_i - O5_j - O5_i$ angles described by two connecting SBU), ellipticity ratio of the 8-membered ring channels running along [001] (*i.e.*, $\varepsilon_{8mR[001]}$) and along [110] (*i.e.*, $\varepsilon_{8mR[110]}$). See text for further details.

P (GPa)	φ (°)	&mR[001]	€8mR[110]
0.0001	4.64	0.852	0.939
0.94	9.28	0.720	0.941
1.61	10.18	0.698	0.945
3.27	14.39	0.592	0.953

Figure 1. Two views of the crystal structure of $Rb_7NaGa_8Si_{12}O_{40}\cdot 3H_2O$ (*i.e.*, down [001] and [110]), based on the structure model of Lee et al. (2000), along with the representation of the cooperative anti-rotation of the secondary building units in response to the applied pressure. The ϕ angle is also shown (see text for details).

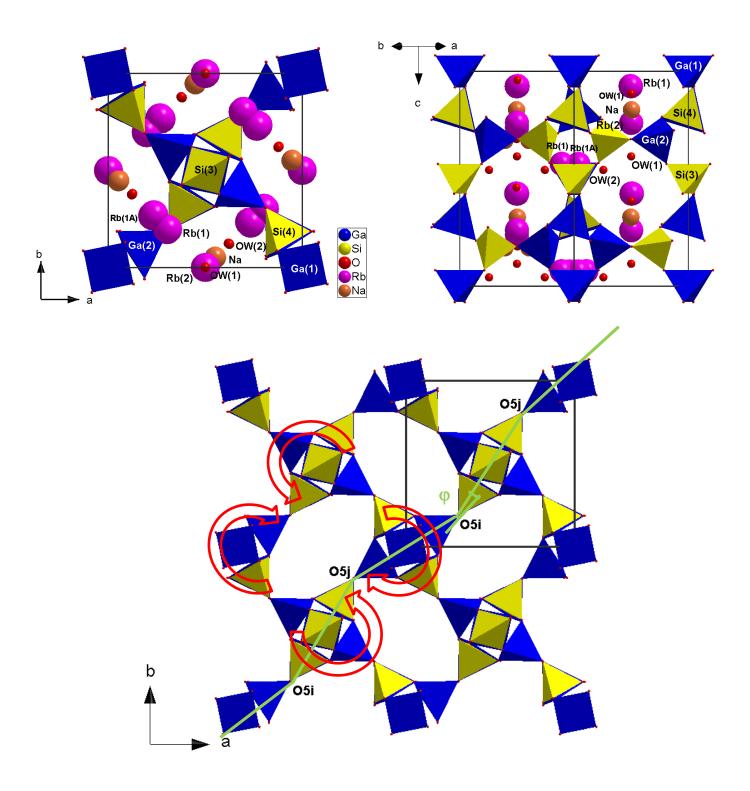


Figure 2. Evolution of the unit-cell parameters of Rb₇NaGa₈Si₁₂O₄₀·3H₂O with pressure and Eulerian finite strain vs. normalised stress plots (f_E – F_E plot). For the unit-cell parameters, the solid lines represent the Birch-Murnaghan Equation of State fits; the dotted line for the unit-cell volume represents the fit without the measured V_0 (see text for further details).

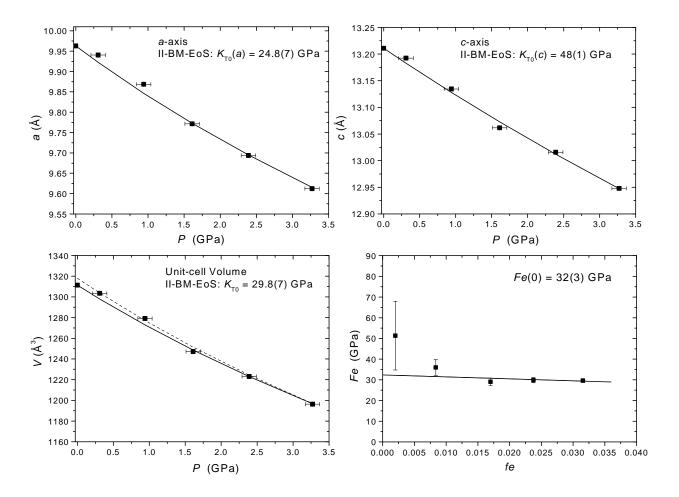


Figure 3. Evolution of φ , $\varepsilon_{8mR[001]}$ and $\varepsilon_{8mR[110]}$ with pressure. The error bars represent the *P*-uncertainty.

