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Underpotential Surface Reduction of Mesoporous CeO₂ Nanoparticle Films

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Abstract

The formation of variable thickness CeO₂ nanoparticle mesoporous films from a colloidal nanoparticle solution (approximately 1-3 nm diameter CeO₂) is demonstrated using a layer-by-layer deposition process with small organic binder molecules such as cyclohexanhexacarboxylate and phytate. Film growth is characterised by scanning and transmission electron microscopies (SEM & TEM), X-ray scattering (SAXS/WAXS), and quartz crystal microbalance (QCM) techniques.

The surface electrochemistry of CeO₂ films before and after calcination at 500 °C in air is investigated. A well-defined Ce(IV/III) redox process confined to the oxide surface is observed. Beyond a threshold potential, a new phosphate phase, presumably CePO₄, is formed during electrochemical reduction of CeO₂ in aqueous phosphate buffer solution. The voltammetric signal is sensitive to (i) thermal pretreatment, (ii) film thickness, (iii) phosphate concentration, and (iv) pH. The reversible “underpotential reduction” of CeO₂ is demonstrated at potentials positive of the threshold. A transition occurs from the reversible “underpotential region” in which no phosphate phase is formed to the irreversible “overpotential region” in which the formation of the cerium(III)phosphate phase is observed. The experimental results are rationalised based on surface reactivity and nucleation effects.

Keywords: CeO₂, nanoparticle, assembly, ITO, cyclic voltammetry, electroanalysis, electrocatalysis, underpotential reduction, sensor.

1. Introduction

Ceria, CeO_2 , is used widely as a technical material in a number of applications including polishing [1], and membrane filtration [2]. Nanoparticulate ceria has been used as a substrate for heterogeneous catalysts, as a promoter for water gas shift and steam reforming reactions [3], as a high oxygen storage/buffer material [4], and it is a component in automobile exhaust systems [5]. Further applications of CeO_2 include thin films for anti-corrosion coatings [6], and in solid oxide fuel cells [7]. In electrochromism, CeO_2 thin films have been employed in smart windows as ion storage materials or counter electrodes [8] because of a high optical transparency in the visible region and the ability to exchange cations and electrons [9]. CeO_2 may be regarded (and often is) as an inert oxide with little electrochemical activity [10,11]. However, it is shown here, that CeO_2 is electrochemically highly active and it exhibits a rich surface electrochemistry, for example in aqueous buffer media.

In order to study the surface electrochemistry of CeO_2 , mesoporous films of high surface area need to be deposited onto electrode surface. CeO_2 can be obtained commercially in the form of an aqueous sol of 1-3 nm diameter nanoparticles. These particles exhibit a positive surface charge (p.z.c. 8.1 [12]) and they are readily adsorbed onto suitable electrode surfaces such as tin-doped indium oxide (ITO). Furthermore, by applying suitable binder molecules [13], multi-layer films can be formed and the thickness of the resulting mesoporous CeO_2 surface layer can be controlled. The surface electrochemical reactions of mesoporous CeO_2 are shown to strongly depend on the solution environment. Potential applications of the surface redox processes could be envisaged in electroanalysis (based on the redox-induced

compositional changes) or, perhaps more likely, in electrocatalysis (exploiting the surface redox system to replace well-known homogeneous Ce^{4+} driven redox processes, possibly in organic solvent media).

It is shown here that the electrochemical response of CeO_2 in aqueous media is similar to those reported for TiO_2 with the important difference that CeO_2 is much more reactive towards phosphate anions. A new interfacial phosphate phase is formed during reduction of Ce(IV) but only when a negative threshold potential is reached. For the reversible electrode process associated with the potential range positive of the threshold potential the term “underpotential reduction” is proposed. A chemical interpretation for the change in reactivity at the threshold potential is suggested.

2. Experimental

2.1. Chemicals

Deionised and filtered water was taken from an Elga water purification system (Elga, High Wycombe, Bucks, UK) with a resistivity of not less than 18 M Ω cm. Ceria sol (diameter determined as ca. 1-3 nm, 20 wt.% in aqueous HNO_3 , pH 2-3) was obtained from Nyacol Nano Technologies, Inc., MA, USA and diluted 10- or 50-fold with deionised water. Phytic acid dodecasodium salt hydrate, 1,2,3,4,5,6-cyclohexanhexacarboxylic acid monohydrate, NaOH, H_3PO_4 , KCl, KOH, K_2CO_3 , K_2HPO_4 , and KH_2PO_4 were obtained commercially in analytical or the highest purity grade available and used without further purification.

2.2. Instrumentation

Voltammetric measurements were performed with a computer controlled Eco Chemie PGSTAT20 Autolab potentiostat system. Experiments were conducted in staircase voltammetry mode with a platinum gauze counter electrode and saturated calomel reference electrode (SCE, REF401, Radiometer). The working electrode was a tin-doped indium oxide (ITO) coated glass (10 mm × 60 mm, resistivity 20 Ω per square) with approximately 8% tin, obtained from Image Optics Components Ltd. (Basildon, Essex). The ITO electrode surface was cleaned by 30 minute heating at 500 °C in air, re-equilibrated to ambient conditions for at least 1 hour, and then modified with the porous cerium oxide film. Prior to conducting electrochemical experiments, all solutions were purged with argon (BOC, UK). All experiments were carried out at a temperature of 22 ± 2°C. An Elite tube furnace system was employed for cleaning ITO electrode surfaces (at 500°C in air) and for calcining metal oxide binder films (at 500°C in air).

A quartz crystal oscillator circuit (Oxford Electrodes) connected to a frequency counter (Fluke, PM6680B) allowed the resonance frequency of the ITO quartz crystal sensor to be monitored simultaneously to conducting voltammetric experiments. A Faraday cage was used to contain the quartz crystal to minimise noise interference. The analogue output of the counter was fed into the ADC input of an Autolab potentiostat system (Eco Chemie, Netherlands) and data processing was possible with GPES software (Eco Chemie, Netherlands). For data analysis the Sauerbrey equation

was employed $\frac{\Delta m}{\Delta f} = -\frac{A\sqrt{\mu_q \rho_q}}{2f_0^2}$ with the area, $A = 0.2 \text{ cm}^2$. The measured

frequency change corresponds to a mass increase with $\frac{\Delta m}{\Delta f} = -1.05 \text{ ng Hz}^{-1}$. Layer-by-layer deposition processes were monitored with the crystal suspended in air. Droplets of solution were applied to one side of the ITO-coated crystal and after rinsing and drying the frequency measured and monitored layer-by-layer.

Scanning electron microscopy images were obtained with a Leo 1530 Field Emission Gun Scanning Electron Microscope (FEGSEM) system. Prior to FEGSEM imaging, the sample surface was scratched with a scalpel blade. TEM images were obtained on a JEOL JEM1200 TEM. XRD measurements were obtained on a Bruker D8 Advance powder diffractometer fitted with a PSD detector and using Cu $K_{\alpha 1}$ radiation. A SAXS/WAXS (simultaneous small-angle X-ray scattering and wide-angle X-ray scattering) pattern of the CeO_2 films was obtained on a SAXSess system using a PW3830 X-ray generator, and the X-ray image plates were observed using a Perkin Elmer cyclone storage phosphor system. CeO_2 films on a microscopy cover plate were produced and the patterns recorded in transmission mode with Cu $K\alpha$ radiation ($1\lambda = 1.5406 \text{ \AA}$) at 40 kV and 50 mA with an exposure time of 20 min. A background pattern from a clean cover plate was subtracted and the data corrected for slit smearing before fitting.

2.3. Deposition and Electrode Preparation Procedures

Deposition of multi-layer mesoporous films of metal oxide and organic binder on ITO glass electrodes followed a layer-by-layer dip coating method [14] initially developed for polyelectrolyte systems by Decher [15]. A clean ITO surface (washed with ethanol and deionised water, dried, and treated 30 minute at 500°C in air) was

dipped into a solution of CeO₂ nanoparticles (2 wt.%) followed by rinsing with deionised water. By dipping the resulting nanoparticle deposit into a solution of binder molecule such as cyclohexanecarboxylic acid (10 mM in water) or phytic acid (40 mM in pH 3 aqueous solution) and rinsing, it is possible to reverse the surface charge. The dipping process was undertaken using a robotic Nima dip coating carousel (DSG – Carousel, Nima Technology, Coventry, UK) and repeated to give multi-layer deposits.

3. Results and Discussion

3.1. *Layer-by-Layer Deposition of CeO₂ with Molecular Binders*

In this investigation the deposition of CeO₂ nanoparticles onto a clean ITO electrode surface occurs spontaneously upon dipping the electrode into a ceria sol. Figure 1 shows a typical SEM image of the film deposit with a scratch line indicating a clean electrode surface. Additional TEM imaging of the nanoparticles reveals that the particle diameter is typically 1-3 nm (see Figure 1C). This suggests that the first “monolayer” shown in Figure 1A is actually dominated by aggregates of nanoparticles. It is possible to immerse the “monolayer” of CeO₂ particles into a solution of appropriate binder and to build up multiple CeO₂ layers on the ITO coated glass surface (see experimental). Figure 1B shows a typical 20-layer deposit after calcination (thermal removal of all organic components at 500°C) and the porous structure is clearly evident.

Figure 1. FEGSEM images of CeO₂ nanoparticles (ca. 1-3 nm diameter) deposited layer-by-layer with phytic acid binder onto the surface of an ITO doped glass slide. In (A) a single layer CeO₂ deposit with some aggregates and in (B) a 20 layer deposit after furnace treatment are shown. (C) TEM image of individual nanoparticles and aggregates.

The electron microscopy data in Figure 1 are supported by experiments with a quartz crystal oscillator balance conducted in air. Figure 2 shows the subsequent reduction of the resonance frequency of an ITO coated quartz crystal resonator during the layer-

by-layer deposition process of CeO_2 with the two binder molecules, phytate (see Figure 2A) and cyclohexanehexacarboxylate (CHHCA, see Figure 2B).

Figure 2. Plot of the resonance frequency change for an ITO coated quartz crystal during layer-by-layer deposition of (A) CeO_2 with phytic acid and (B) CeO_2 with CHHCA.

For the deposition of CeO_2 with phytic acid, each layer is consistent with approximately 72 Hz change corresponding to 76 ng (assuming a rigid film according to the Sauerbrey equation [16]). Detailed analysis gives a weight of approximately 60 ng CeO_2 and 16 ng phytic acid (molecular weight 924 g mol^{-1}). For the deposition of CeO_2 with CHHCA, each layer is consistent with a 100 Hz change corresponding to 105 ng, which splits into a weight of approximately 70 ng CeO_2 and 35 ng CHHCA (molecular weight 366 g mol^{-1}). Humidity effects are not considered in this analysis but could be substantial.

Figure 3. SAXS/WAXS data for (A) the CeO₂ nanoparticle solution (20 wt. %, aqueous) (red: experimental data; green: simulation with mean radius 0.945 nm and polydispersity 0.99; inset Schultz distribution), (B) a CeO₂ – CHHCA film deposit (red: experimental data; green: simulation with average core radius 1.093 nm, core polydispersity 1.0, and shell thickness 0.46 nm), and (C) a CeO₂ - CHHCA film deposit after calcination (red: experimental data; green: simulation with mean radius 1.847 nm and polydispersity 0.9).

The porosity of the mesoporous CeO₂ films before and after calcination was further investigated with small and wide angle X-ray scattering (SAXS/WAXS) techniques. Based on XRD data (not shown) the cubic CeO₂ (ceranite) crystal structure is

maintained during the calcination process. However, the average particle size is changing. Figure 3B shows that freshly deposited CeO_2 – CHHCA films are composed of nanoparticles with ca. 2 nm average diameter (the same average diameter is observed for solution phase nanoparticles, see Figure 3A). After calcination at 500 °C the average diameter is increased to ca. 3.9 nm although the volume fraction remains at ca. 20%. The polydispersity (Schultz) is high for all samples (see Figure 3). It is likely that a layer of amorphous hydrous CeO_2 surrounding the nanoparticles is present before the calcination step which is then converted to ceranite at 500°C.

3.2. Electrochemical Reactivity of CeO_2 Nanoparticle Mono- and Multi-layers

The monolayer of CeO_2 nanoparticles formed on ITO electrode surfaces is electrochemically active. Voltammograms shown in Figure 4 have been obtained in aqueous 0.1 M KCl (see Figure 4i-iii) and in 0.1 M phosphate buffer solution (see Figure 4iv and v). In the presence of KCl a strong reduction response starting at a potential of 0.25 V vs. SCE is clearly detected. This reduction response is reversible and upon scanning the potential positive a corresponding oxidation response is observed. The voltammetric signal remains stable over several potential cycles and is tentatively attributed to a $\text{Ce}^{4+/3+}$ process at the nanoparticle surface similar to processes observed with TiO_2 [17] or SnO_2 [18] nanoparticle films.

Figure 4. (i) Cyclic voltammogram (scan rate 100 mVs^{-1}) of a clean ITO glass electrode with no CeO_2 deposit in aqueous 0.1 M phosphate buffer solution at pH 7. (ii-iii) Cyclic voltammogram (two consecutive scans, scan rate 100 mVs^{-1}) obtained for the reduction of CeO_2 in aqueous 0.1 M KCl at a single layer CeO_2 deposited on an ITO glass electrode. (iv-v) Cyclic voltammogram (two consecutive scans, scan rate 100 mVs^{-1}) obtained for one layer CeO_2 nanoparticles absorbed onto ITO and immersed in aqueous 0.1 M phosphate buffer solution at pH 7 showing an electrochemical response at a potential of $E_{\text{mid}} = -0.28 \text{ V vs. SCE}$ characteristic for the Ce(IV/III) redox system (Process I). In the presence of phosphate, the reduction of CeO_2 is followed by a new voltammetric signal at $E_{\text{mid}} = 0.42 \text{ V vs. SCE}$. This process can be identified as an interfacial Ce(IV/III) phosphate redox system (Process II).

It is interesting to compare the charge under the reduction response with the estimated amount of CeO_2 on the electrode surface. Integration of the charge under the voltammetric response shown in Figure 4iii gives ca. $80 \mu\text{C}$. In comparison, for a monolayer of 1-3 nm diameter CeO_2 particles an expected charge for a one-electron process of 0.4 mC can be calculated. Therefore roughly about 20% of the deposit is electrochemically reduced and re-oxidised with a scan rate of 0.1 Vs^{-1} and immersed in aqueous 0.1 M KCl. It can be assumed that predominantly the surface (and possibly also a hydrous CeO_2 surface layer) of the CeO_2 nanoparticles is affected. The

degree of reduction/conversion appears to depend strongly on the applied potential but not significantly on the applied scan rate.

Cyclic voltammograms obtained in the presence of phosphate anions reveal distinct differences (see Figure 4iv-v). The initial reduction process at $E_{\text{mid}} = -0.28 \text{ V vs. SCE}$ is now considerably smaller in current (see Process I) and, following the first potential cycle, a new voltammetric response is detected at a potential of $E_{\text{mid}} = 0.42 \text{ V vs. SCE}$ (see Process II). The signal associated with Process II only occurs after reduction in Process I and a gradual change with currents for Process I decreasing and currents for Process II increasing is observed upon continuous potential cycling (see Figure 4). Therefore a slow chemical conversion step, presumably the formation of a solid cerium phosphate phase, must be responsible for this transformation. In order to prove this hypothesis cerium(III)phosphate was prepared by direct precipitation from Ce^{3+} solution with phosphate. A clean ITO electrode brought into contact with the CePO_4 precipitate, dried in air, and re-immersed in aqueous 0.1 M phosphate buffer shows voltammetric responses (not shown) only for Process II. Therefore Process II is identified as $\text{Ce}^{4+/3+}$ phosphate redox system present most likely on the surface of the CeO_2 nanoparticles.

In comparison to the similar experiments for TiO_2 films [19], the more inert nature of the TiO_2 with respect to CeO_2 allows charging and discharging processes to occur reversibly. The difference in behaviour can be explained based on differences in the crystal structure of TiO_2 (anatase) and CeO_2 (ceranite). The former anatase structure has Ti^{4+} coordinated in a distorted octahedron with bond distances of 1.96 to 2.05 Å. In contrast, in ceranite Ce^{4+} is coordinated to eight oxygen atoms in a cubic

arrangement with 2.34 Å bond length. The $\text{Ce}^{4+} - \text{O}^{2-}$ bond is therefore more labile and after reduction to Ce^{3+} more facile ligand exchange may occur.

In order to obtain more information about this complex redox system, experiments were conducted in different concentrations of phosphate and at various proton activities. Figure 5 shows the effect of phosphate concentration. The reduction response for Process I can clearly be seen to depend on the phosphate concentration. The higher the phosphate concentration the faster is this voltammetric signal diminished. Continuous potential cycling leads to a simultaneous increase in peak currents for Process II.

Figure 5. Multi-cycle voltammograms (scan rate 100 mVs^{-1}) for ITO electrodes with 1 layer CeO_2 in the presence of (A) 10 mM, (B) 1 mM, and (C) 0.1 mM phosphate buffer solution pH 7 and 0.1 M KCl. (D) Overlay showing a comparison of the final scan for (i) 100 mM, (ii) 10 mM, (iii) 1 mM, and (iv) 0.1 mM phosphate buffer in 0.1 M KCl. (E) Plot of the midpoint potential for process II vs. SCE for a single layer of CeO_2 on ITO versus the log phosphate concentration (pH 7). (F) Plot of the midpoint potential for process II vs. SCE for a single layer of CeO_2 on ITO versus the pH.

Figure 5D shows a comparison of the 15th scan of cyclic voltammograms for phosphate concentrations of 100 mM, 10 mM, 1 mM, and 0.1 mM. A clear trend is observed with higher phosphate concentrations reducing the voltammetric responses. Apparently, phosphate is accelerating the formation of cerium phosphate probably due to a more facile surface reaction in the presence of higher phosphate concentrations.

The midpoint potential for Process II is sensitive to both phosphate concentration and proton activity (see Figure 5E and F) and the slopes observed are 24 mV per decadic change in phosphate concentration and 62 mV per pH unit. This allows Process I and Process II to be tentatively assigned (see equations 1 and 2).

The product of the reduction reaction in Process I, Ce_2O_3 , is assumed to react with phosphate in the aqueous solution phase to give CePO_4 at the surface of the nanoparticle deposit. It is likely that solid structures are formed in a highly hydrated state. This can be further supported with the increase of the voltammetric response as a function of film thickness and the effect of calcination (see below).

Figure 6 shows multicycle voltammograms obtained for ITO electrodes with multi-layer CeO_2 phytate film deposits after calcining (at 500 °C in air, see experimental) in

the presence of 1 mM phosphate buffer at pH 7. As seen in the experiments without calcination, a gradual change in the peak current is observed upon scanning to negative potentials for Process I and for Process II. Process II is observed (very weak current response, not observed in Figure 6C-D) in the first cycle probably due to the presence of phosphate from phytate during calcinations. XRD powder diffraction experiments show that the cubic fluorite crystal structure of CeO_2 is not changed during the calcining process at 500°C (not shown).

Figure 6. (A) Cyclic voltammograms (scan rate 100 mVs^{-1}) for the reduction of a 2 layers CeO_2 phytate film on ITO after calcination. The electrode is immersed in 1 mM phosphate buffer at pH 7 in aqueous 0.1 M KCl. (B) Cyclic voltammograms (scan rate 100 mVs^{-1}) for the reduction of a 4 layer CeO_2 phytate film on ITO after calcination. The electrode is immersed in 1 mM phosphate buffer at pH 7 in aqueous 0.1 M KCl. The first scan and the 10 following scans are shown. Also shown are multi-layer cyclic voltammograms (scan rate 100 mVs^{-1}) for (C) a 2-layer and (D) a 4-layer deposit of $\text{CeO}_2 - \text{CHHCA}$ on ITO, after heat treatment at 500°C , and immersed in aqueous 1 mM phosphate buffer solution pH 7 and 0.1 M KCl.

By doubling the amount of CeO_2 phytate film deposited onto the ITO electrode surface, the peak current recorded is approximately doubled (see Figure 6). Calcining the metal oxide films is also shown to overall increase the current response close to the value observed for the CeO_2 mono-layer in aqueous KCl (see Figure 4, ca. $80\ \mu\text{C}$ per layer, consistent with about 20% conversion). The presence of the phosphate phase appears to be reducing the current responses (due to the electronic blocking effect of the interfacial phosphate layer, see Figure 5) but well defined responses are observed with only 1 mM phosphate buffer present. When compared to the behaviour of non-calcined films (see Figure 5B), the formation of the cerium phosphate product on calcined films appears to be significantly slower possibly due to the lack of reactive hydrous CeO_2 and a lower reactivity of the CeO_2 surface sites. In addition, an increase in electrical conductivity through the oxide may be possible as electron transport through the slightly sintered oxide structure may be enhanced due to lower inter-particle distances.

Next, a similar set of experiments was conducted using 1,2,3,4,5,6-cyclohexanhexacarboxylic acid monohydrate (CHHCA) as a binder in the place of the phytic acid. In Figures 6C and 6D the multicycle voltammograms obtained for ITO electrodes with multi-layer CeO_2 CHHCA deposits in the presence of 1 mM phosphate are shown. As with the phytic acid binder, the expected changes in peak current corresponding to process I and II are clearly being generated. This indicates that not only does CHHCA function as a good binder for metal oxide particles such as CeO_2 but also enables the study of process II without the possible interference of the

phosphate from the phytic acid binder molecules.

3.3. Underpotential (CeIV/III) Surface Reduction Processes in Aqueous Phosphate Media

In order to investigate the electrochemical phase transformation from CeO_2 to CePO_4 in more detail, voltammograms were obtained as a function of the negative switching potential. Figure 7 shows typical sets of voltammograms obtained for calcined and non-calcined CeO_2 phytate films with 4 or 8-layer thickness.

Figure 7. Cyclic voltammograms (scan rate 100 mVs^{-1}) for the reduction of (A) a 8-layer and (B) a 4-layer CeO_2 -phytate film on ITO after calcination and immersed in 0.1 M phosphate buffer at pH 7. Cyclic voltammograms (scan rate 100 mVs^{-1}) for the reduction of (C) a 8-layer and (D) a 4-layer CeO_2 -phytate film on ITO as deposited (not calcined) and immersed in 0.1 M phosphate buffer at pH 7. In all cases the effect of the negative switching potential is shown. The grey zone from +0.4 to -0.25 V vs. SCE corresponds to the “underpotential reduction” region.

Calcined CeO_2 films can be seen to convert much less rapidly when compared to the

non-calcined samples which convert to CePO_4 even during the early stages of the reduction process. It is likely that the non-calcined nanoparticles are surrounded by a shell of highly hydrated CeO_2 which after reduction is highly reactive towards phosphate. In the case of the calcined CeO_2 films, the reduction proceeds to a threshold potential of approximately -0.25 V vs. SCE without producing CePO_4 and only beyond this point the conversion becomes facile. It is possible to distinguish an underpotential reduction region (Process Ia) and an overpotential reduction region (Process Ib) (see equation 3 and 4).

Surface sites affected in the underpotential reduction region appear to be less susceptible to the formation of CePO_4 possibly due to being more strongly bound into the oxide matrix. By increasing the coverage of the oxide surface with reduced Ce(III) states, the oxide support weakens and at a sufficiently negative threshold potential the formation of CePO_4 commences (possibly associated with a nucleation step).

Figure 8. Cyclic voltammograms (scan rate 100 mVs^{-1}) for the reduction of (A) an 8-layer and (B) a 4-layer CeO_2 -CHHCA film on ITO (after calcination) immersed in 0.01 M phosphate buffer at pH 7.

Figure 8 shows cyclic voltammograms obtained for the reduction of CeO_2 – CHHCA immersed in 0.01 M phosphate buffer at pH 7. Again, the transition from chemically reversible (Process Ia) to irreversible (Process Ib) is observed this time at approximately -0.4 V vs. SCE . The “underpotential reduction” effect is very similar to the “underpotential deposition” effect in that a surface layer is formed before bulk transformation. The current responses scale with the number of deposited CeO_2 – CHHCA layers.

4. Conclusions

It has been shown that CeO_2 in the form of thin mesoporous films is electrochemically active. A reduction assigned to a $\text{Ce}^{4+/3+}$ process has been observed

and follow-up chemical processes in the presence of phosphate discovered. The interfacial formation of CePO_4 has been proven and effects of the type and thickness of deposit, the phosphate concentration and pH on the process analysed. A threshold potential and an underpotential reduction region have been observed.

In future, it will be possible to exploit the ability of CeO_2 nanoparticles to form surface species with ligands other than phosphate and to give distinct electrochemical properties to the CeO_2 nanoparticle surface e.g. for electrocatalytic processes. The importance of Ce^{4+} as an oxidation reagent in organic chemistry could lead to novel surface electrochemical processes with a heterogeneous recyclable Ce(IV) system.

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