

1 **Supplementary Information for**

2 ***“Computational identification of preneoplastic***
3 ***cells displaying high stemness and risk of***
4 ***cancer progression”***

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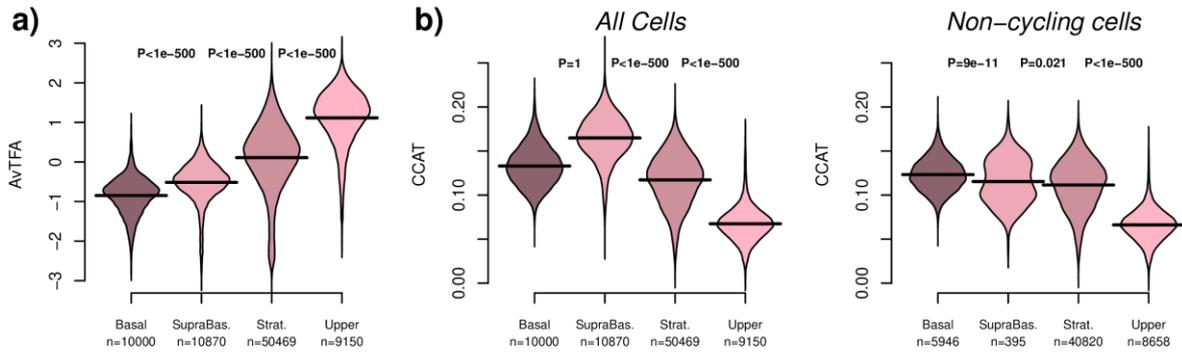
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9 **Supplementary Figures**

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13 **Validation of esophageal TF-regulons. a)** Left panel: Plots of sensitivity (SE) vs the fraction of
14 cells in a given tissue expressing the TF (MCF), assuming 50 tissue-specific TFs and an average
15 fold-change (AvFC) of expression equal to 8, as estimated from FACS purified datasets. Power
16 curves are displayed for 4 different tissue-types in GTEX, with the number of samples in each
17 tissue type as indicated. Total number of GTEX samples is 8555. Middle panel: Plots of
18 sensitivity (SE) vs number of tissue samples for two choices of MCF at an AvFC=8. Right panel:
19 Plots of sensitivity (SE) vs the average Fold-Change (avFC) for two choices of MCF and for a
20 sample size of 686 corresponding to the 686 esophageal samples in GTEX. **b)** Boxplot of
21 regulatory activity, averaged over the 43 esophageal-specific TFs, across the tissue-types from
22 the Protein Atlas RNA-Seq dataset. Tissues have been ranked in decreasing order of mean
23 activity. Lower left boxplot displays all tissues other than esophagus as one group (“Other”).
24 The number of samples in each group is indicated below. P-value is from a one-tailed Wilcoxon
25 rank sum test. Lower right boxplot displays the regulatory activity of each of the 43 esophageal
26 TFs, now averaged over all esophageal samples and averaged over all other tissues. P-value is
27 from a one-tailed Wilcoxon rank sum test. **c)** As b), but for the Roth multi-tissue mRNA
28 expression dataset. **d)** Enrichment of ChIP-Seq binding targets among esophageal TF-regulons.
29 Upper panel: Barplot displaying for each of the esophageal-specific TFs, the number of genes
30 in its regulon (nREG), and the number of regulon genes that are ChIP-Seq targets of the given
31 TF within +/-1kb, +/-5kb and +/-10kb of the TSS of the gene. Only TFs for which there is
32 available ChIP-Seq data in the ChIP-Seq atlas (<http://chip-atlas.org>) were used. Lower panels:
33 Threshold independent enrichment analysis using a Wilcoxon rank sum test, assessing
34 whether the regulon-genes of a given TF have a higher ChIP-Seq binding intensity for that TF
35 compared to genes not bound by the given TF. The Area Under the Curve (AUC) derives from
36 the statistic of the Wilcoxon test, and the P-value is one-sided to test for overenrichment.

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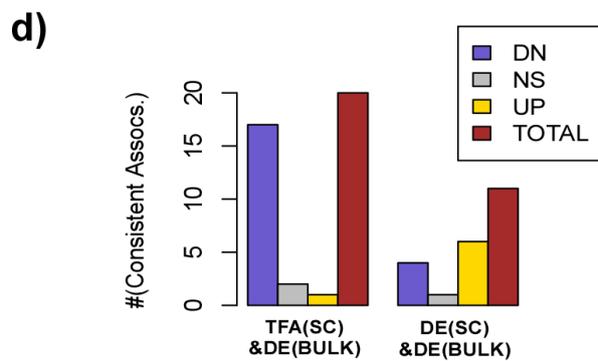
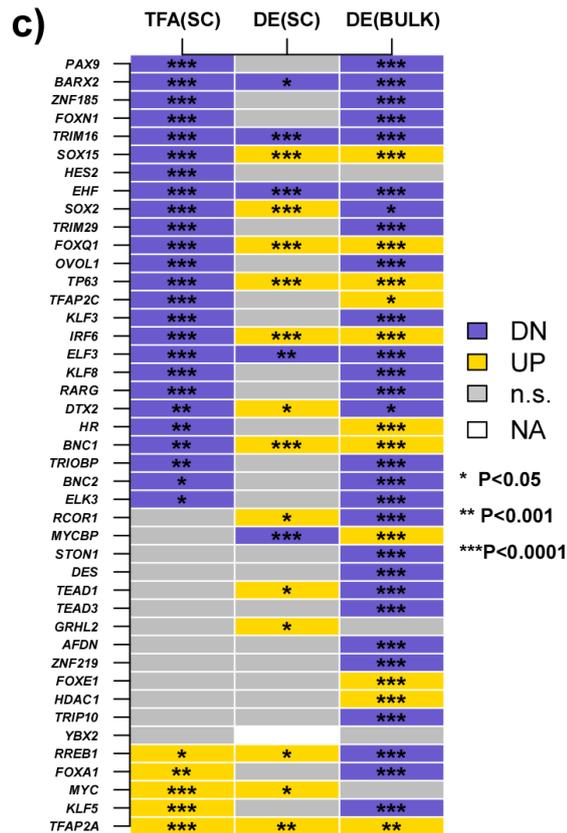
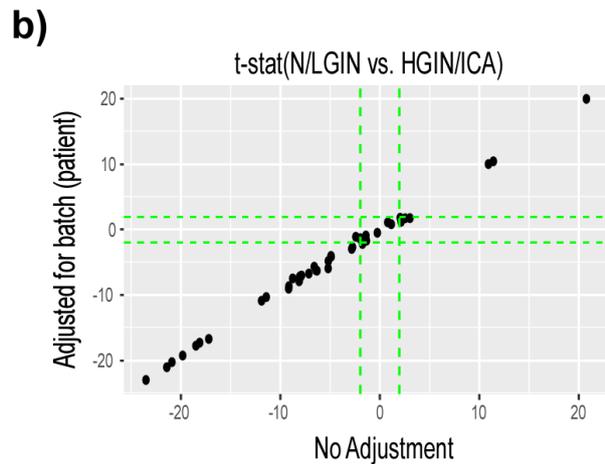
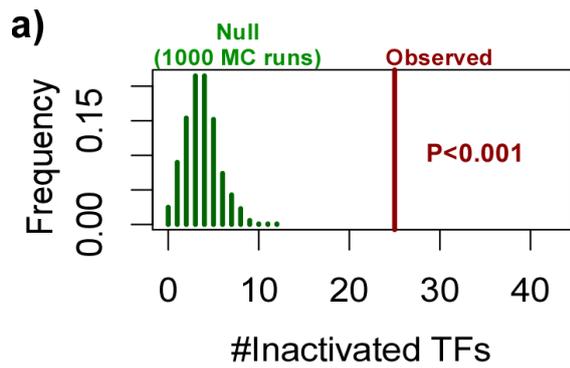
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45 **Supplementary Fig.S2: Differentiation activity and potency within the unipotent lineage of**
 46 **the esophagus. a)** Violin plots of the average TFA over the 43 esophageal-specific TFs against
 47 epithelial subtype. Number of cells is given below each violin plot. P-values are derived from
 48 a one-tailed Wilcoxon rank sum test comparing the average TFA between basal and suprabasal,
 49 between suprabasal and stratified, and finally between stratified and upper epithelium. **b)** As
 50 a), but now for the CCAT potency measure, using all cells (left) and restricting to non-cycling
 51 cells only (right).

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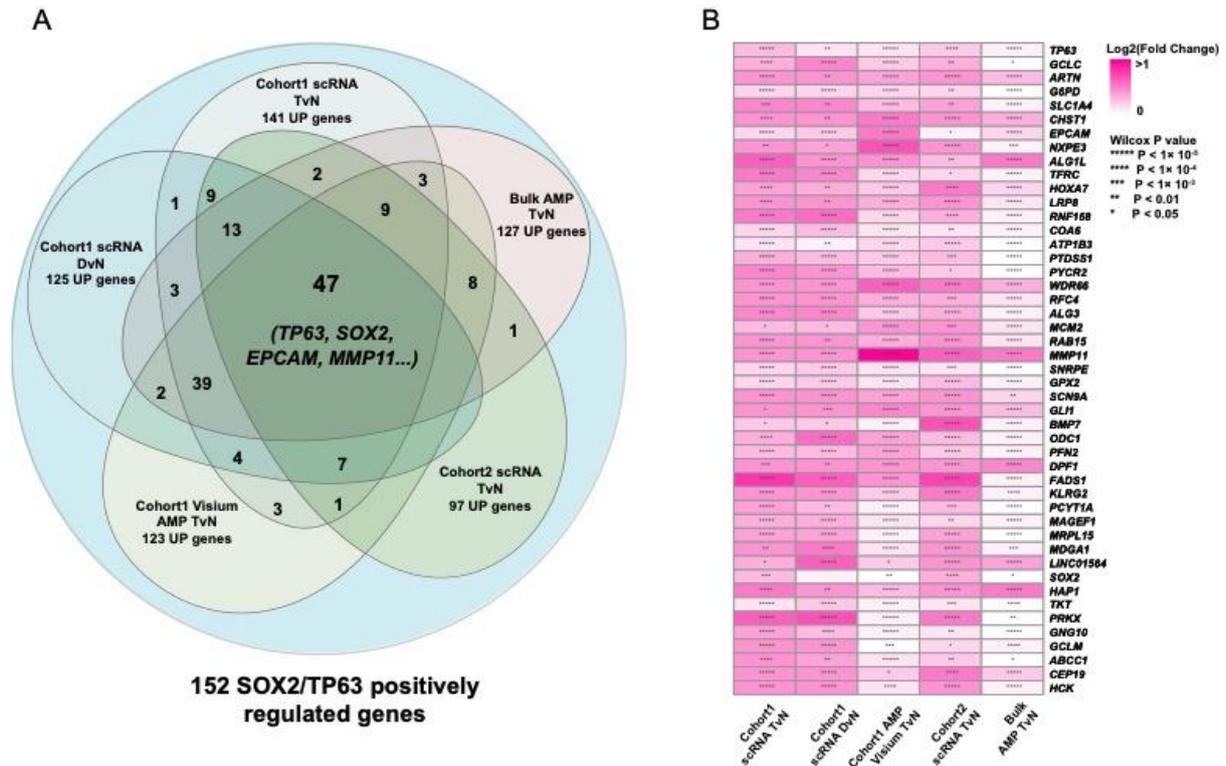


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56 **Supplementary Fig.S3: Statistical significance of TF inactivation events & consistency with**
 57 **bulk expression. a)** Histogram of the number of inactivated esophageal specific TFs in ESCC
 58 cells compared to normal cells (Cohort-1), obtained when the genes within the TF-regulons
 59 are randomized, keeping the number of positive and negative targets within a regulon fixed.
 60 A total of 1000 Monte-Carlo runs were performed. Red line denotes the observed number, i.e.
 61 23. **b)** Scatterplot of t-statistics derived from a linear model correlating TFA to disease stage
 62 (x-axis, No adjustment) vs. the corresponding t-statistics from a linear model that also adjusts
 63 for patient (y-axis, Adjusted for batch). There are 43 datapoints, one for each of our
 64 esophageal-specific TFs. Green dashed lines mark the boundaries of statistical significance
 65 ($P < 0.05$). **c)** Heatmap displaying the significant pattern of change between normal and ESCC
 66 cells of the 43 esophageal-specific TFs, as determined by differential TFA activity of the single
 67 cells [TFA(SC)], differential expression of the single cells [DE(SC)] and differential expression of

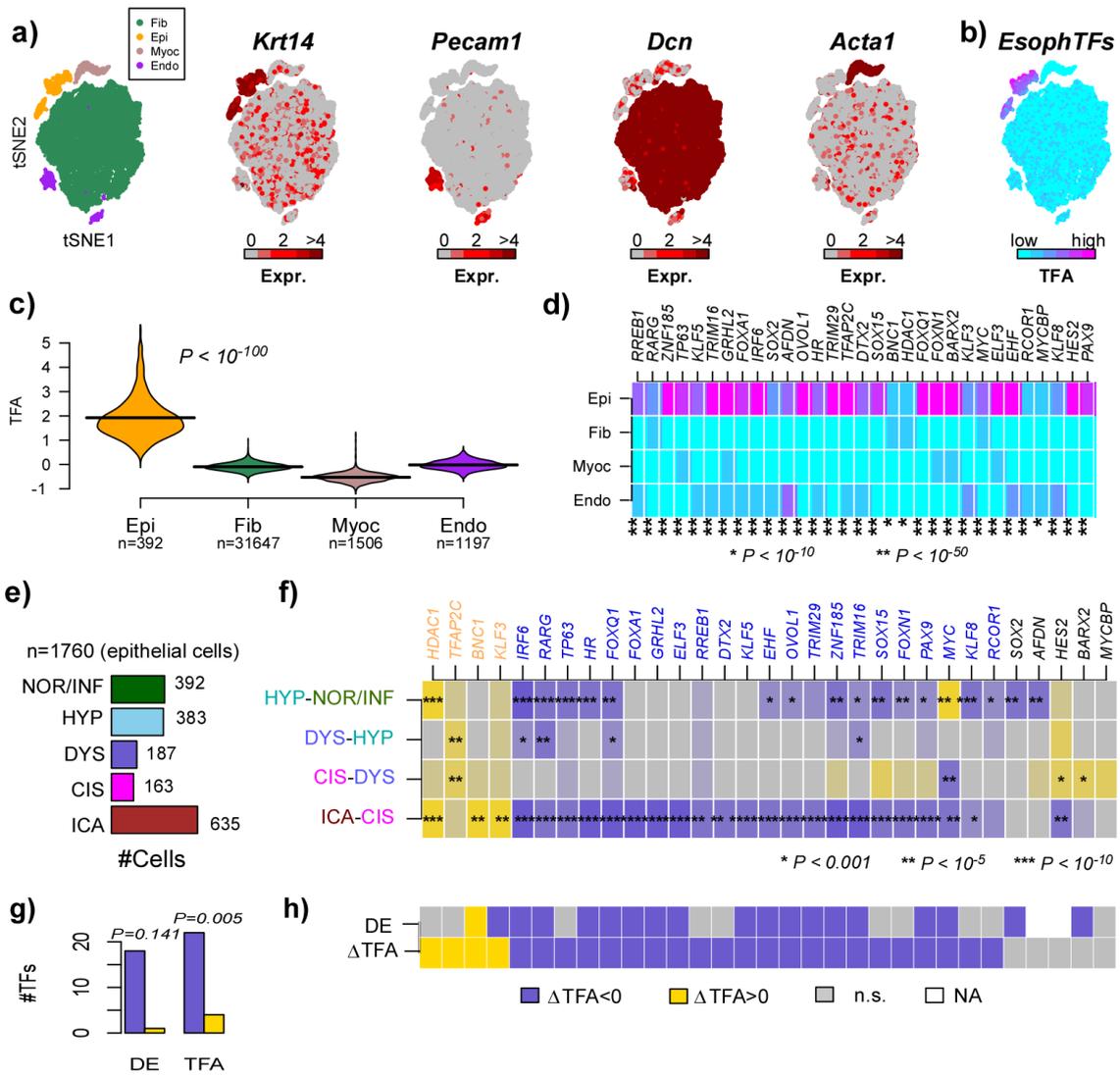
68 bulk tissue [DE(BULK)]. DN=inactivated/downregulated, UP=activated/overexpressed,
69 n.s.=not significant. In the case of TFA, P-values derived from a linear regression of TFA vs
70 disease stage (N=0, LGIN=1, HGIN=2, ICA=3). In the case of DE(SC), P-values derive from a
71 Spearman rank correlation between the TF-expression level and disease stage. In the case of
72 the bulk tissue we ran Wilcoxon rank sum tests between normal and ESCC bulk tissue. **d)**
73 Number of consistent associations (y-axis) between differential TFA analysis in scRNA-Seq data
74 with bulk differential expression (DE), and between DE analysis in scRNA-Seq data with bulk
75 DE.

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Supplementary Fig.S4: Targets exerting oncogenic function of TP63 and SOX2 display increased expression in ESCC. A) Venn diagram of 152 SOX2/TP63 target genes publicly reported to be positively regulated (from RNA-seq data) or gaining new binding sites (from CHIP-seq data) in ESCC across five datasets included in this study. T=tumor, N=normal. **B)** Heatmap displaying the log2 Fold Changes of 47 significantly up-regulated genes in all five datasets (core of the Venn diagram in panel A). Wilcox P value is displayed in each cell.



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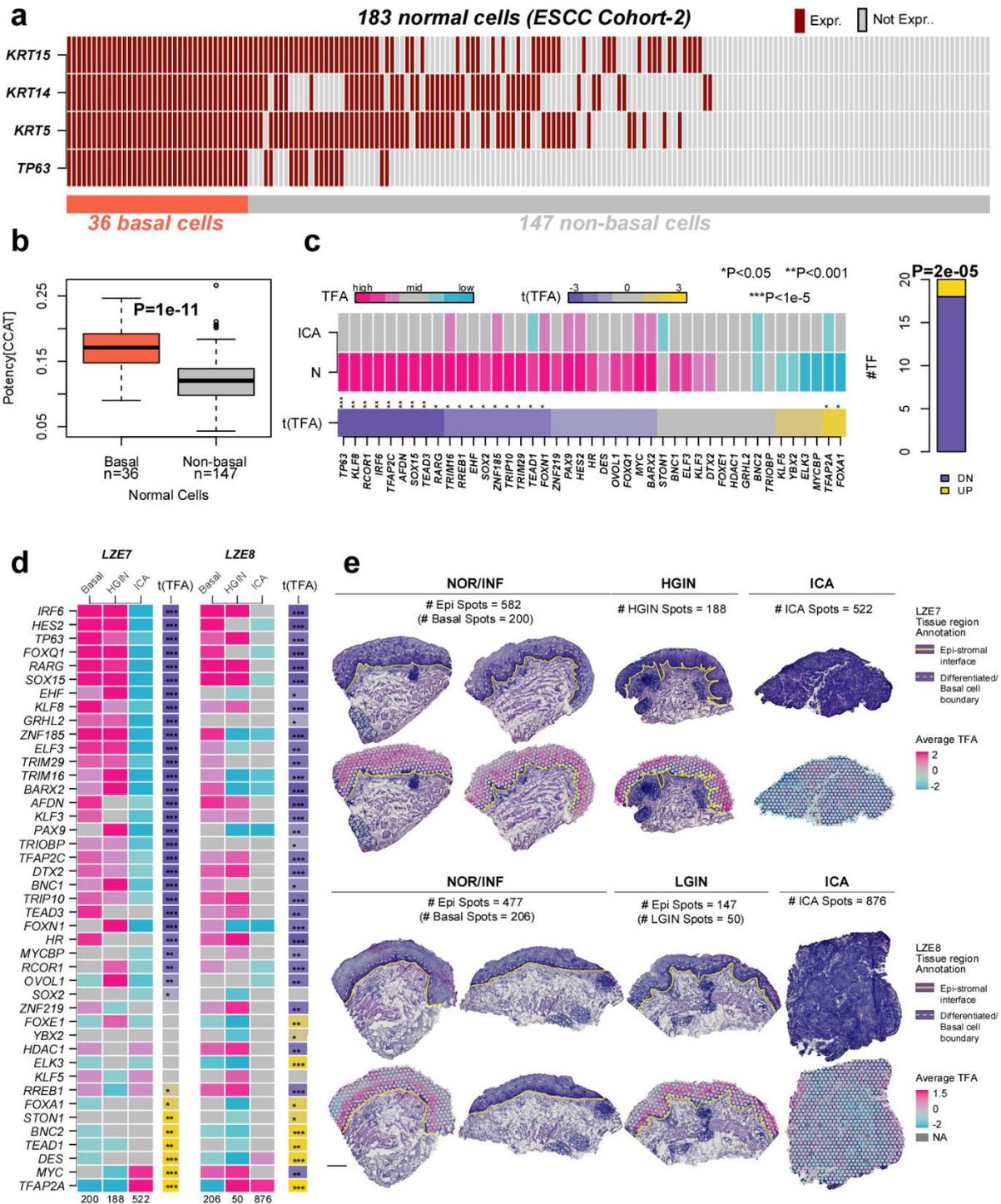
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Supplementary Fig.S5: Validation in mouse model of ESCC development. **a)** tSNE diagrams depicting 6 main clusters and 4 main cell-types (epithelial, fibroblast, endothelial and myocytes), with the four tSNE plots to the right displaying the expression level of corresponding marker genes. **b)** Same tSNE plot but now displaying the average TFA over the 43 esophageal TFs. **c)** Violin plot displaying the average TFA over the 43 esophageal-specific TFs in the four normal cell-types, with the number of cells in each cell-type given below x-axis. P-value is from a one-tailed Wilcoxon rank sum test comparing the normal epithelial cells to all other cell-types. **d)** Heatmap of TFA activity for 31 esophageal-specific TFs that exhibit a significantly higher regulatory activity in epithelial cells. In the heatmap the average TFA over cells of a given cell-type was taken. P-values derive from a one-tailed Wilcoxon rank sum test. **e)** Distribution of epithelial cells from the five different disease stages (NOR/INF=normal/inflammatory, HYP=hyperplasia, DYS=dysplasia, CIS=carcinoma in-situ, ICA=invasive cancer). **f)** Heatmap displaying dynamic differentiation activity (TFA) changes between the epithelial cells from successive disease stages for the 31 esophageal TFs in d). P-values derive from a two-tailed t-test. **g)** Barplot comparing the number of significantly

119 downregulated and upregulated TFs according to differential expression (DE), versus the
120 corresponding numbers obtained by considering differential TFA. Significance was assessed
121 using a linear regression of TFA against disease stage (encoded as an ordinal variable,
122 1=normal,...6=ICA), whereas in the case of DE we used the Spearman rank correlation, and
123 significant associations were defined using a Bonferroni adjusted $P < 0.05$ level. The P-values
124 in the barplots derive from a one-tailed Binomial test to assess if the skew towards
125 downregulation/inactivation is significant. **H)** Heatmap depicts the specific pattern of
126 differential TFA and DE for each of the 31 TFs.

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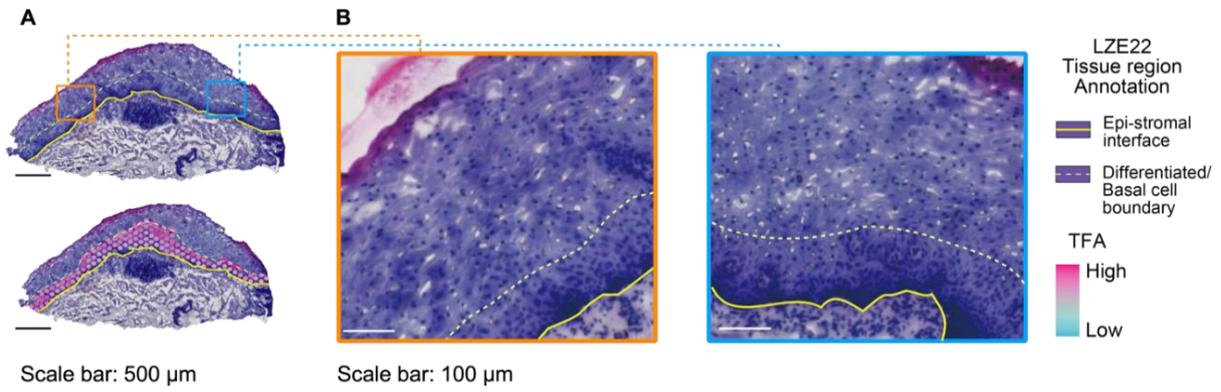
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Supplementary Fig.S6: Reduced TFA in cancer cells compared to basal cells. **a)** Identification of basal cells among the 183 normal esophageal epithelial cells from Cohort-2. Heatmap displays in which cells specific basal markers are expressed. Color bar at the bottom defines the basal cells as those expressing all 4 basal markers. **b)** Comparison of potency of the basal cells identified in a) to those of all other non-basal esophageal epithelial cells from Cohort-2. P-value is from a one-tailed Wilcoxon rank sum test. **c)** Heatmap displaying the average TFA values for all 43 esophageal TFs in the normal basal cells (N) and invasive cancer (ICA), as well as the t-statistics of differential TFA between ICA and normal basal cells, as indicated. Barplot

138 to the right compares the relative number of TFs displaying reduced differentiation activity in
139 the cancer cells compared to the normal basal ones. **d)** Heatmaps displaying the average TFA
140 of the esophageal TFs among spots (Visium 10X) annotated as normal-basal (Basal), high or
141 low grade intraepithelial neoplasia (HGIN/LGIN) and invasive cancer (ICA) for 2 ESCC patients
142 (LZE7, LZE8). The color-bar to the right of each heatmap depicts the t-statistics of differential
143 TFA as derived from a linear model encoding basal as 0, HGIN/LGIN as 1 and ICA as 2. Color-
144 schemes shown are as in panel c). *** $P < 1e-10$, ** $P < 1e-5$, * $P < 0.05$. **e)** Images showing
145 histology with annotated ST spots mapped to corresponding epithelial tissue types derived
146 from two patient, LZE7 and LZE8. Epithelial region (separated from stromal region with yellow
147 solid lines) and basal region (area between yellow dashed and solid lines) were annotated
148 after pathological review. Average TFA of each ST spot is displayed in color scale in relative
149 measures (low=aqua; high=fuchsia). The number of spots in each category is indicated. P-
150 values were computed with an unpaired Student's t-test. Scale bar: 500 μm .

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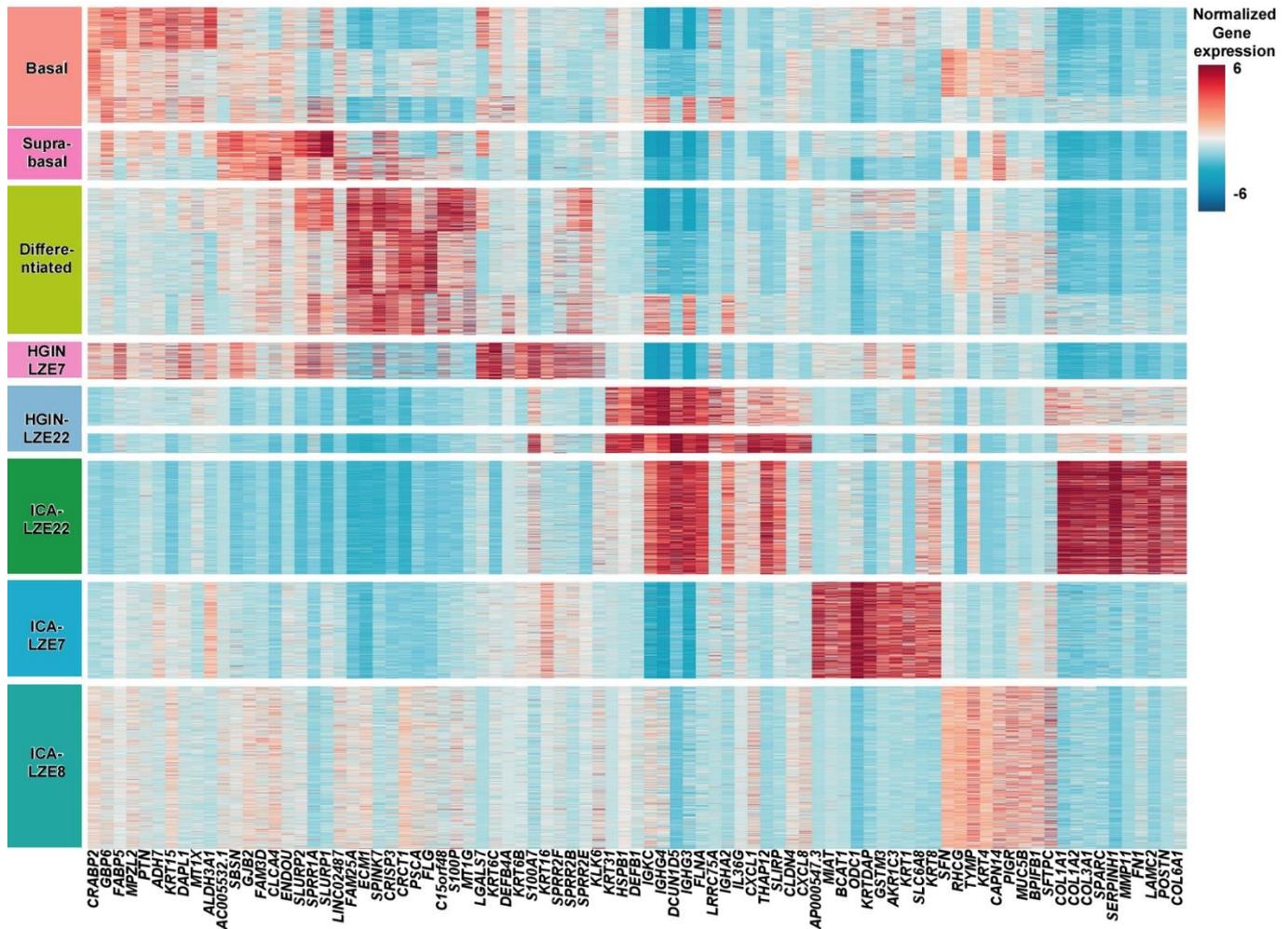
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171 **Supplementary Fig.S7: A)** As in Fig 4a, shows histology of normal esophageal epithelium with
 172 annotated ST spots (bottom) mapped to corresponding epithelial tissue types derived from
 173 LZE22 patient. Epithelial region (separated from stromal region with yellow solid lines) and
 174 basal region (area between yellow dashed and solid lines) were annotated after pathological
 175 review (Scale bar: 500 μm). **B)** Higher resolution (Scale bar: 100 μm) display of the tissue
 176 histology marked in A). Specifically, normal basal epithelial spots were recognized as located
 177 adjacent to epithelium basal membrane or around papillae.

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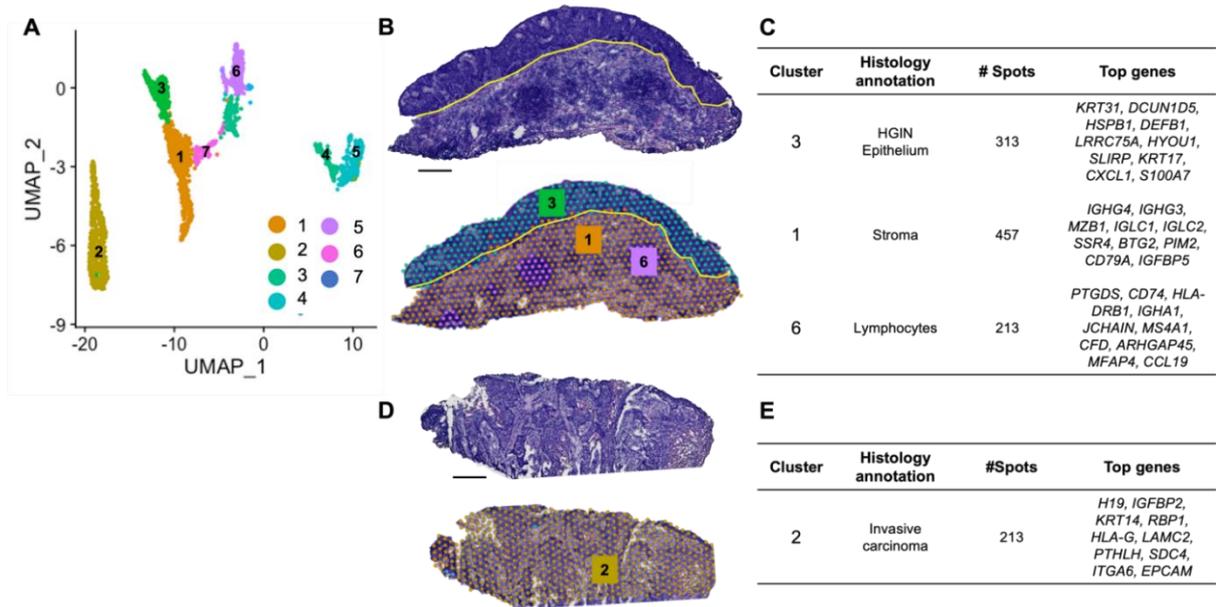
182 **Supplementary Fig.S8: Annotation of Visium 10X spots using ST expression.** Heatmap
 183 displays normalized expression of top 10 genes of each epithelial spot type. Epithelial spot
 184 types are annotated to the left with gene names labeled at the bottom of the heatmap.

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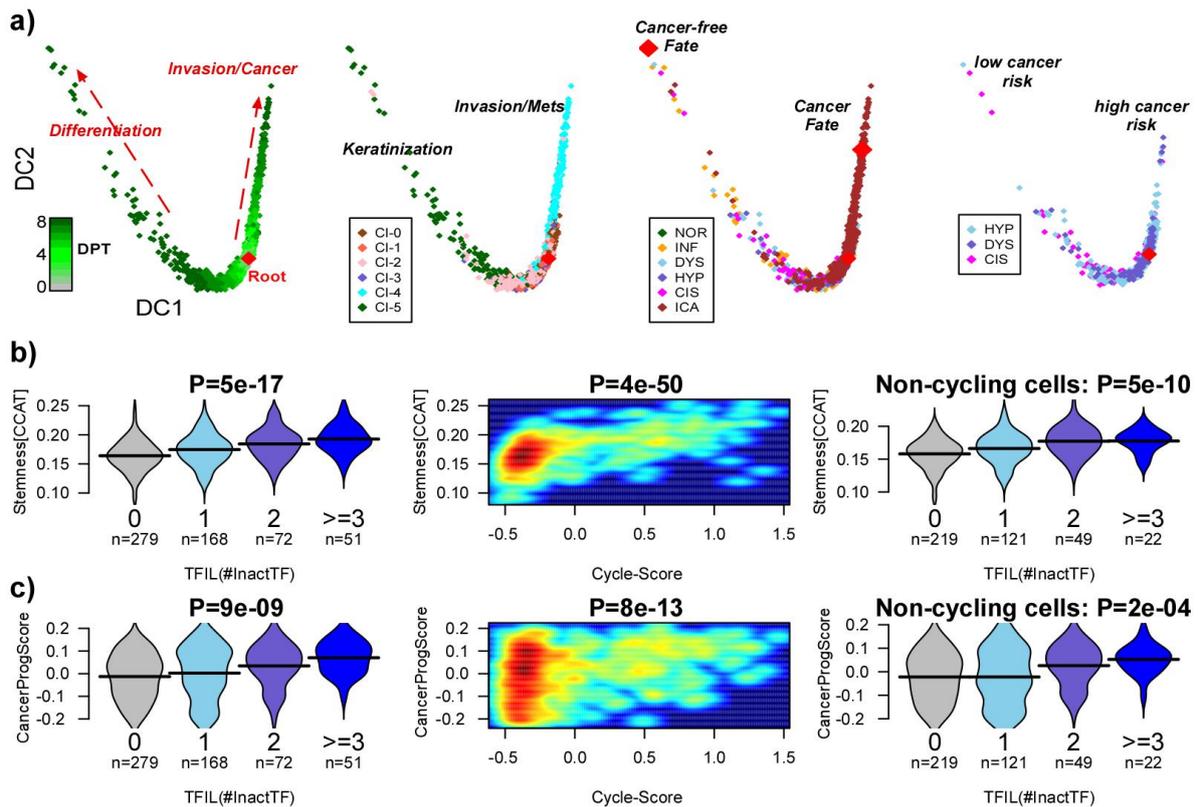


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190 **Supplementary Fig.S9: Unsupervised clustering of Visium 10X spots. A)** UMAP plot and
 191 unsupervised clustering for all LZE22 spots, including epithelial and non-epithelial spots. **B)**
 192 Overlay of clusters in HGIN tissue (cluster 3 = HGIN epithelium, cluster 1 = HGIN stroma, cluster
 193 6 = HGIN lymphocytes). Epithelial region (separated from stromal region with yellow solid
 194 lines). **C)** Corresponding histology annotation, number of spots, and top marker genes. **D-E)**
 195 Spatial map of invasive cancer spots from LZE22.

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199 **Supplementary Fig.S10: Validation in ESCC mouse model of triple association between TFIL,**

200 **Stemness and Cancer-risk. a)** Three left panels: Diffusion maps labeled with pseudotime (DPT),

201 cluster and disease stage, revealing two major biological processes, one defining

202 keratinization or normal differentiation, and another defining invasion. Right panel: replotting

203 of the diffusion map retaining only cells in the dysplasia, hyperplasia and CIS stages,

204 identifying high and low cancer risk regions by comparison to the tip points representing the

205 invasive/cancer stage, and an alternative non-cancer fate. **b)** Left panel: Violin plot depicting

206 the correlation between stemness (as measured by CCAT) and the TFIL. P-value is from a linear

207 regression. Middle panel: Smoothed density scatterplot between stemness and the cell-cycle

208 score. P-value is from a linear regression. Right panel: Violin plot depicting the correlation

209 between stemness (as measured by CCAT) and the TFIL but using only non-cycling cells. P-

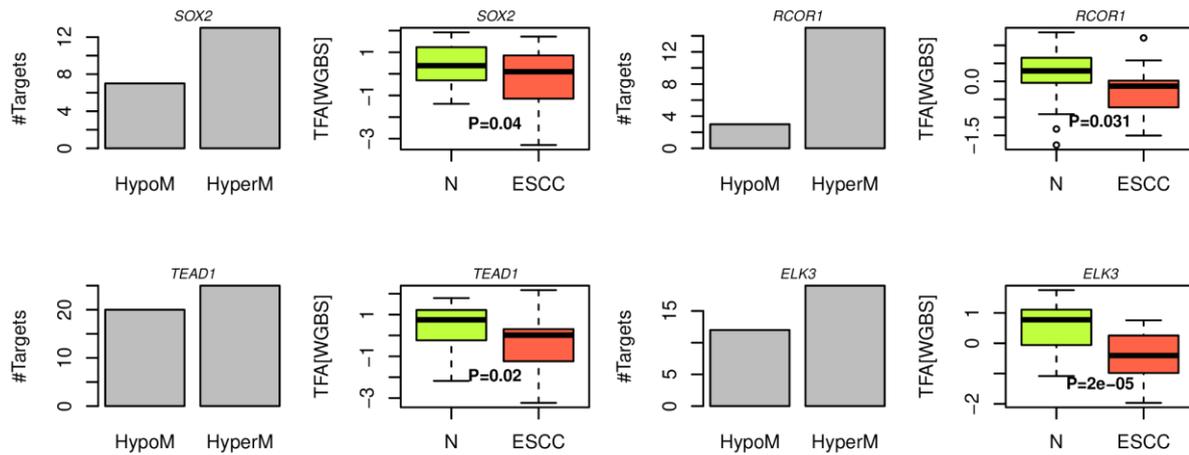
210 value is from a linear regression. **c)** As b), but for the cancer progression score instead of

211 stemness.

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216 **Supplementary Fig.S11: Differential TFA according to differential DNAm at the promoters of**

217 **TF-regulon target genes.** Barplots display the numbers of hypermethylated and

218 hypomethylated regulon targets for 4 TFs. DNAm levels derive from WGBS summarized at

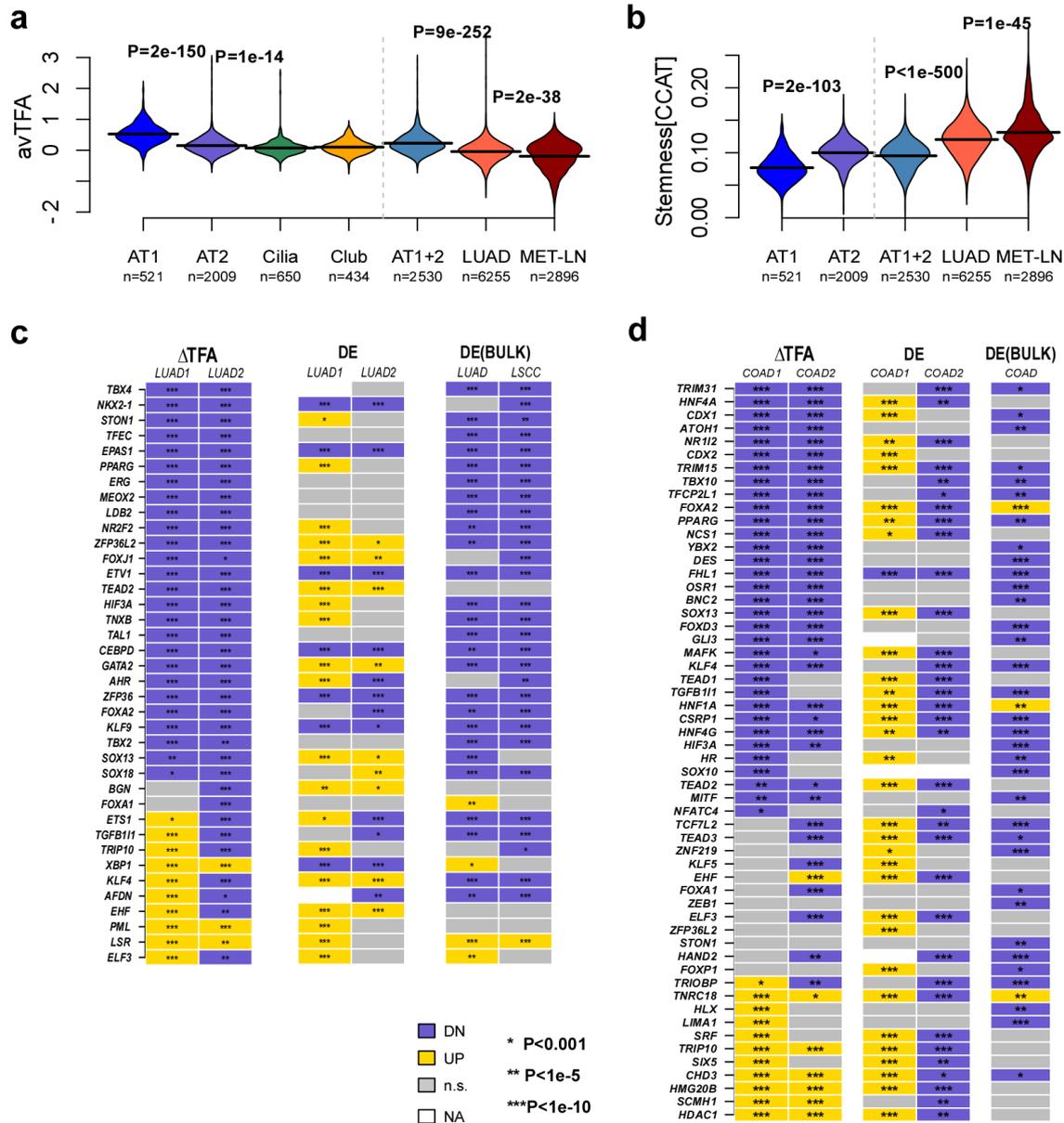
219 gene-promoter levels and hypermethylation means higher methylation in the 26 ESCC

220 samples compared to the 26 matched normal-adjacent ones, as assessed using a paired

221 Wilcoxon rank sum test. Boxplots compare the TFA values derived from running SEPIRA on the

222 WGBS profiles (summarized at gene promoters). The P-values shown derived from a paired

223 two-tailed t-test.



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226 **Supplementary Fig.S12: Inactivation of tissue-specific TFs in lung and colon cancer.** **a)** Violin
 227 plots displaying the average TFA over 38 lung-specific TFs in a 10X scRNA-Seq dataset profiling
 228 normal and cancer lung epithelial cells. P-values shown derive from a one-tailed Wilcox rank
 229 sum tests comparing (from left to right): (1) alveolar-type-1 (AT1) to AT2+cilia+club cells, (2)
 230 AT2 to cilia+club cells, (3) combined AT1&AT2 to lung adenocarcinoma (LUAD) + metastatic
 231 lymph node (MET-LN) cells, and (4) LUAD cells to MET-LN cells. **b)** Violin plots displaying the
 232 CCAT stemness index in the same 10X dataset. P-values shown derive from a one-tailed Wilcox
 233 rank sum tests comparing (from left to right): (1) AT1 to AT2 cells, (2) AT1&AT2 to LUAD, and
 234 (3) LUAD to MET-LN cells. **c)** Heatmaps of differential TFA activity and differential expression
 235 (DE) for 38 lung-specific TFs, as derived from the 10X scRNA-Seq lung cancer datasets LUAD1
 236 and LUAD2. The third heatmap displays the statistics of differential expression in the bulk

237 tissue LSCC and LUAD TCGA datasets. In the latter case, statistics and P-values derive from
238 limma (Empirical Bayes Linear model). In the case of differential TFA in the scRNA-Seq sets,
239 we used a linear model of TFA against normal/cancer status, whereas in the case of differential
240 expression in the scRNA-Seq sets we used a Wilcoxon rank sum test. **d)** As c) but for 56 colon-
241 specific TFs in the two colorectal adenocarcinoma 10X scRNA-Seq datasets, and in the bulk
242 tissue COAD TCGA mRNA expression dataset.

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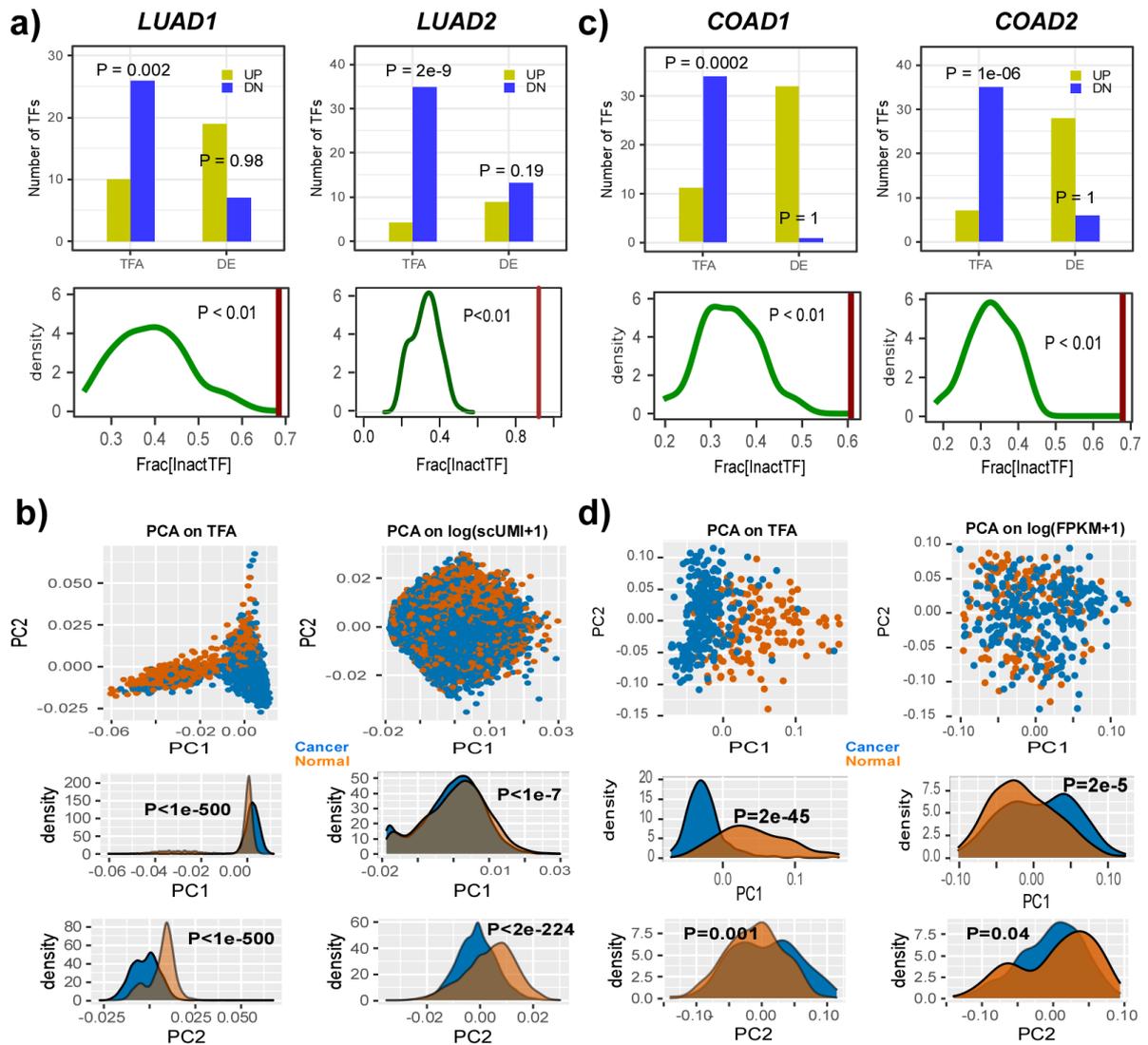
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Supplementary Fig.S13: Differential TFA and differential expression of tissue-specific TFs in lung and colorectal adenocarcinoma (LUAD & COAD). **a)** Barplots displaying the relative numbers of lung-specific TFs (total number is 38) that are significantly inactivated/downregulated (DN) and significantly overactivated/overexpressed (UP) in the two separate scRNA-Seq LUAD cohorts. P-values derive from a corresponding one-tailed Binomial test. Density curves below barplots depict the null distributions of the fraction of inactivated TFs obtained by randomizing the TF-regulons (100 Monte-Carlo runs). Red vertical line denotes the observed fraction without randomization. **b)** PCA scatterplots obtained on the TFA-matrix (left) and the corresponding TF-expression matrix (right) of LUAD1 scRNA-Seq dataset. Density curves below PCA scatterplots contrast the distributions of PC1 and PC2 weights for cancer and normal cells respectively. P-values derive from a two-tailed Wilcoxon rank sum test. **c-d)** As a-b) but for a scRNA-Seq dataset profiling normal and COAD cells from Li et al Nat Genet.2017.

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