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Keeping pace with marine heatwaves

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1		Keeping pace with marine heatwaves
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28 Abstract

29

30 Marine heatwaves (MHWs) are prolonged extreme oceanic warm water events. They can

31 have devastating impacts on marine ecosystems — for example, causing mass coral

- 32 bleaching and substantial declines in kelp forests and seagrass meadows with
- 33 implications for the provision of ecological goods and services. Effective adaptation and
- 34 mitigation efforts by marine managers can benefit from improved MHW predictions, which
- 35 at present are inadequate. In this Perspective, we explore MHW predictability on short-
- 36 term, interannual to decadal, and centennial timescales, focusing on the physical processes
- that offer prediction. While there may be potential predictability of MHWs days to years in
- advance, accuracy will vary dramatically depending on the regions and drivers. Skilful MHW
 prediction has the potential to provide critical information and guidance for marine
- 40 conservation, fisheries and aquaculture management. However, to develop effective
- 41 prediction systems, better understanding is needed of the physical drivers, subsurface
- 42 MHWs, and predictability limits.
- 43

44 [H1] Introduction

- 45
- 46 Prolonged extreme ocean warming events also known as marine heatwaves (MHWs) can
- 47 severely impact marine ecosystems and the services they provide^{1–6}. Yet despite their
- 48 significance, dedicated and coordinated research only became prominent following the
- 49 extreme event off Western Australia in 2011^{7,8}. Indeed, it was during this event that the
- 50 term 'marine heatwave' was first used to characterise an extensive, persistent and extreme 51
- ocean temperature event ⁹ (Box 1), spurring a new wave of research into their physical
 processes and corresponding impacts.
- 53
- 54 Since 2011, MHWs have been observed and analysed both retrospectively and
- 55 contemporaneously, and are now recognised to occur over various spatio-temporal scales.
- 56 For example, given the ocean's heat capacity and dynamical scales, MHW events can persist
- 57 for weeks to years ^{10–16}. They further vary in spatial extent and depth depending on the
- 58 processes that cause and maintain them, as well as the geometry of the regions in which
- 59 they occur. For instance, MHWs can be locally confined to individual bays ¹⁷, around small
- 60 islands or along short sections of coastline, or be broadly distributed over regional seas ^{10,18},
- ocean basins ^{15,19}, or even spanning multiple oceans ^{20,21} (for a map of major MHW events,
 see Fig. 1).
- 63
- 64 As well as the physical drivers, the ecological impacts of MHWs have also been studied in 65 considerable depth. The effects include biodiversity loss and changes in species behaviour
- 66 or performance^{3,7}, loss of genetic diversity and adaptive capacity ²², economic impacts from
- 67 changes in fishery catch rates ^{1,23–25}, and mortality or altered performance of farmed
- 68 aquaculture species ¹³. The impacts of MHWs are particularly evident on coral reefs
- 69 (promoting widespread bleaching, including pan-tropical events ²⁶), kelp forests (driving
- ⁷⁰ significant loss of kelp forest habitats off the coast of Western Australia, New Zealand,
- 71 Mexico and the North Atlantic ^{7,27–30}), and seagrass meadows (wherein substantial declines
- 72 have been observed ³¹). At higher trophic levels, MHWs have also impacted economically
- 73 important species including lobster and snow crab in the northwest Atlantic ^{1,32}, lobster,
- 74 crabs, abalone and scallops off Western Australia ^{24,33}, and numerous species in the

75 northeast Pacific ³⁴. In some cases, MHWs have even been linked with increased whale

- 76 entanglements ³⁵.
- 77

78 Given the evidence for potentially devastating impacts resulting from MHWs, there is a 79 critical need for skilful prediction to inform effective response and adaptation strategies. 80 This urgency is amplified by anthropogenic warming which has increased MHW occurrences by 50% over the past several decades ³⁶, a change which is also projected to increase in the 81 82 future ^{37,38} (Fig. 2). Despite improved process-based understanding ¹⁴, knowledge of MHW 83 predictability and present MHW prediction systems are in their infancy. Hence, there is a 84 compelling need to understand and improve MHW predictability in order to guide marine 85 conservation, fisheries management and aquaculture practises in a warming world. In this 86 Perspective, we explore the mechanisms and potential for MHW predictability across a 87 range of time scales. We first consider the physical mechanisms that cause MHWs, before 88 then exploring the importance of MHW event monitoring as an activity to improve our 89 understanding of MHW precursors, processes, and forecasts. Using this knowledge, we 90 subsequently outline the potential for MHW predictability. Finally, we address future 91 challenges and opportunities for MHW research, including those arising from climate change.

92 93

94 [H1] Physical mechanisms

95

96 A range of physical mechanisms can lead to the warming of ocean waters (Fig. 3). These 97 include enhanced solar radiation into the ocean, supressed latent and sensible heat losses 98 from the ocean to the atmosphere, shoaling of the mixed layer due to increased 99 stratification, increased horizontal transport (advection) of heat, and reduced vertical heat transport associated with supressed mixing, reduced coastal upwelling or Ekman pumping -100 101 processes that bring cool deep water to the surface (see ref ¹⁴ for more in-depth discussion). 102 Furthermore, elevated upper ocean heat content or the re-emergence of warm anomalies 103 from the subsurface can precondition increased likelihood of MHW occurrence. The 104 amplification or suppression of these processes, either in isolation or collectively, can promote or inhibit MHW development driven by local air-sea interactions and feedbacks, 105 106 and large-scale modes of climate variability acting locally or remotely. Here, we detail these 107 physical processes and discuss their potential for predicting MHW occurrences on a range of 108 timescales.

109 110

111 [H2] Coupled air-sea interactions and atmospheric preconditioning.

112

113 Many of the iconic extratropical MHWs (e.g. The Blob, central South Pacific) have been 114 associated with persistent high-pressure systems (or blocking highs) over the ocean and 115 their resulting air-sea interactions. Atmospheric blocking reduces cloud cover, enhances 116 insolation and suppresses surface wind speeds, resulting in hot, dry weather. Collectively 117 these conditions reduce sensible and latent ocean heat loss, but increase solar radiative 118 heating, in turn warming sea surface temperatures (SSTs) ^{14,19,39,40}. Given that blocking 119 highs have large spatial scales and can persist for weeks to months, they have the potential 120 to substantially raise ocean temperatures over a large geographic region for a considerable 121 duration, as reflected in the characteristics of MHWs they promote. For example, key events occurred during 2003 in the Mediterranean Sea ^{10,41}, 2009/10 in the central South Pacific ¹⁹,
2012 in the northwest Atlantic ^{12,42}, 2013/14 in the northeast Pacific ³⁹, and 2017/18 in the
Tasman Sea ^{43,44} (Fig. 1).

125

126

127 While these events are related to atmospheric blocking, the specific mechanisms vary. The 128 2009/10 MHW in the central South Pacific was generated by Rossby wave-related 129 atmospheric anomalies arising from the Central Pacific El Niño¹⁹. By contrast, the 2003 Mediterranean Sea ^{10,45} and 2017/18 Tasman Sea MHWs ^{43,44} formed through enhanced 130 131 radiative heat fluxes caused by concurrent atmospheric heatwaves. In the case of the 2012 132 northwest Atlantic ^{12,42} and 2013/2014 northeast Pacific MHWs ^{15,39}, atmospheric 133 preconditioning was important. Specifically, persistent atmospheric weather patterns 134 through the winter reduced wintertime heat loss from the ocean to the atmosphere, 135 keeping the upper ocean warmer and preconditioning it to increased MHW likelihood in the 136 following seasons. The 2013 North Pacific blocking pattern was so extreme and persistent that it was given the nickname the "ridiculously resilient ridge" ⁴⁶, referring to a large and 137 138 unusual region of high sea level pressure that was unprecedented since at least the 1980s³⁹.

139 140

141 [H2] Oceanic preconditioning

142

143 Oceanic preconditioning of warm temperature anomalies can result from the process of re-144 emergence ⁴⁷: if heat anomalies form during winter when the mixed layer is deep, 145 subsurface anomalies can become uncoupled from the surface ocean in summer when the 146 mixed layer shoals. When the mixed layer deepens again during the subsequent winter, the 147 persistent subsurface anomalies are re-entrained into the mixed layer, making the surface ocean warmer ⁴⁷. Mixed layer depths are also important for modulating the response of the 148 149 surface ocean to heat fluxes. For example, when mixed layers are shallower than normal, 150 they will warm faster for a given input of heat ⁴⁸. Indeed, an anomalously shallow mixed 151 layer when net heat fluxes are into the ocean could increase the likelihood of summer 152 MHWs, even in the absence of anomalously large surface heat fluxes ⁴⁹. However, we also 153 note the identification of a separate measure of oceanic preconditioning based on ocean 154 heat content over greater depths and longer time scales, due to ocean circulation changes. 155 Specifically, an analysis of Argo data and model results in the Tasman Sea indicates that 156 interannual to decadal time scale variations in ocean heat content to 2000 m depth, as a 157 measure of the background state, can precondition the development of MHWs, requiring 158 less surface heating to develop a MHW when superimposed on an already warm ocean ⁵⁰. 159 160 [H2] Modulation by climate modes and teleconnections 161 162 Modes of climate variability - which operate on time scales from intra-seasonal (Madden-163 Julian Oscillation (MJO)), through interannual (El Niño – Southern Oscillation (ENSO), Indian 164 Ocean Dipole (IOD)), to decadal) – are known to modulate the frequency, intensity and

165 duration of MHWs^{14,36,51}. These modes can modulate ocean temperatures, including the

166 development of regional MHWs, directly or remotely via atmospheric or oceanic

167 teleconnections which reverberate the effects globally ^{14,52}.

On intra-seasonal timescales, the MJO influences atmospheric circulation by suppressing
 convection and increasing Ekman pumping off northwest Australia, specifically during MJO
 phases 2-5 ⁵³. This process preferentially supports warmer SSTs and increases the likelihood
 of MHWs off Western Australia ⁵⁴. Conversely, the MJO has also been associated with

of MHWs off Western Australia ⁵⁴. Conversely, the MJO has also been associated with
 enhanced convection, capable of exciting a Rossby wave train through to the extratropics

- 174 that effectively sets up a blocking high, which forces MHWs in the southwest Atlantic Ocean
- 175

40.

176

177 On interannual timescales, ENSO events play a substantial role in influencing MHW 178 likelihood, not only in the tropical Pacific but also in regions remote to ENSO's centre-of-179 action. El Niño events are associated with increased SSTs in the central and eastern tropical 180 Pacific, resulting in MHWs through the dynamic response of the thermocline to wind stress 181 changes at the surface, Kelvin wave propagation across the Pacific, and reduced upwelling¹⁴. 182 El Niño events have also been associated with reduced strength of the subtropical north-183 easterly trade winds which, in turn, reduce evaporation, increase local SSTs, and trigger a positive thermodynamic wind-evaporation-SST feedback¹⁵. This feedback subsequently 184 185 activates meridional modes, which propagate and amplify SST from the subtropics into the 186 central equatorial Pacific. There, the positive SST anomalies favour the development of El 187 Niño and tropical convection, exciting atmospheric Rossby waves which teleconnect to the 188 extratropics, that aid persistence ¹⁵. Conversely, La Niña events can remotely elevate SSTs 189 off Western Australia via the propagation of oceanic Kelvin waves and by strengthening heat 190 transport through the Leeuwin Current, increasing the likelihood of MHWs ^{48,55}. Thus, the 191 phase of ENSO (along with other modes) is important in enhancing or suppressing MHWs in 192 different regions across the globe ^{14,36}.

193

194 On longer time scales, oceanic Rossby waves can propagate westward for years to decades 195 across ocean basins and modulate ocean heat content and the local vertical structure along 196 their path. In particular, it has been shown that oceanic Rossby waves generated by wind 197 changes in the interior South Pacific can modulate poleward transport through the Tasman 198 Sea ⁵⁶ and enhance MHW event likelihoods there ⁵⁷. This likelihood is increased despite the 199 fact that the East Australian Current Extension region is eddy-rich, with high-frequency 200 variability occurring on timescales of weeks to months. This oceanic teleconnection process 201 provides an additional ocean heat content modulation mechanism to effectively 'load the 202 dice' for increased MHW potential predictability in the Tasman Sea.

203

204 205

206 [H1] Monitoring marine heatwaves

207

208 Coupled with understanding of the physical processes contributing to MHW development,

209 ocean temperature monitoring programs are crucial for their identification and

210 categorisation. The near real-time monitoring of MHWs requires resources to deliver

211 temperature data on a range of spatial scales and depths. In this regard, satellite sensors

212 provide a suite of global and regional ocean surface information, including SST, sea level,

213 currents, and winds. Near real-time in-situ data from Argo floats, gliders, and moorings

214 provide information on subsurface conditions, such as mixed layer depth and heat content.

- 216 Integrated ocean data systems that incorporate these multiple data streams can offer
- 217 region-specific information for monitoring MHWs. For example, Australia's Integrated
- 218 Marine Observing System (IMOS) provides near real-time summaries of surface currents and
- 219 SST which, when referenced against climatology data, indicates the presence of MHWs
- 220 around Australia representing valuable information for the public, aquaculture industries,
- tourism operators in the marine environment, and local communities.
- 222

223 [H2] Event-based monitoring

224

Event-based monitoring can offer targeted information for marine stakeholders once a
 MHW event has commenced. For example, identifying properties of a MHW, such as its
 vertical extent, may provide information on its persistence or potential disruption to marine
 ecosystems (Table 1); a shallow MHW may be more likely to weaken if strengthening winds
 lead to deep mixing, whereas a deep MHW offshore would persist even if winds intensified.

- 230
- 231 During a MHW, rapid deployment of specific equipment can augment standard and
- 232 integrated systems and may target regions where infrastructure is not present or does not
- 233 meet the needs for near real-time monitoring. For instance, existing technology such as
- autonomous underwater vehicles, vertical profiling instruments and undulating towed
- vehicles can be manoeuvred to resolve a MHW's vertical structure and investigate
- contributing physical processes. An IMOS program to examine the emergence, maintenanceand decay phases of the 2018/19 Tasman Sea MHW, for example, revealed the potential for
- and decay phases of the 2018/19 Tasman Sea MHW, for example, revealed the potential for
 such monitoring approaches. During this program, Slocum gliders deployed off Tasmania
- provided high temporal and spatial sampling over the continental shelf, informing the depth
- and characteristics of the anomalously warm water event (**Fig. 4**). Near real-time data were
- shared with regional stakeholders, including local marine industries such as salmon and
- 242 oyster aquaculture, stimulating interest and intensifying demand for predictive capability.
- 243 Indeed, such real-time information, achieved through event-based monitoring, can inform
- 244 adaptation responses for multiple stakeholders, demonstrating the importance of
- 245 translating raw data streams into visual results.
- 246

247 [H2] Monitoring subsurface MHWs

248

249 While remote sensing, in combination with surface drifting buoys and ship underway data, 250 provides high resolution SST data for both historical and real-time analyses of MHW surface 251 characteristics, it is not only surface properties that need attention. MHWs can also exhibit 252 considerable depth penetration, or exist at depth with no surface expression, necessitating subsurface data ^{58,59}. Yet, the ability to characterise subsurface MHWs in both the open 253 254 ocean⁵⁹ and coastal regions⁵⁸ is challenged by the sparsity of observations and the absence 255 of continuous, long-term time-series in the historical record (such as data from eXpendable 256 BathyThermograph (XBT), conductivity, temperature and depth (CTD), gliders, and Argo 257 profiles). 258

- 259 These challenges hinder the development of robust and spatially complete subsurface
 - 260 temperature climatologies needed for statistical assessments of MHWs. Indeed, while some
 - 261 datasets exist^{60,61}, they do not extend to coastal regions owing to an absence of Argo
 - 262 profiles⁶². Nevertheless, analyses of MHW vertical structure and corresponding processes

have been attempted through the use of long-term mooring sites^{20,58}, autonomous floats in

- regional seas (such as the western Tasman Sea⁵⁹), and dynamical ocean models or
- reanalyses that assimilate ocean observations ^{63,64}. Each of these approaches have known
- limitations; mooring sites provide information for single points in space, and reanalysis dataare based on model-synthesised sparse observations, meaning the products are only as
- 268 good as the quality and quantity of observations they assimilate, and their distribution.
- 269 Consideration of how to identify MHWs using sub-optimal data is, therefore, important for
- 270 future work ⁶⁵. Better understanding of the relevant time scales of subsurface MHWs, which
- 271 can be longer than those at the surface ⁵⁹, may alleviate some of the demands on high
- temporal frequency sampling. It is clear, however, that without improved subsurface
- characterisation of MHWs with bearing on surface recharge, heat storage and mixing –
 their prediction potential remains limited.
- 275

276 [H1] Predicting MHWs

277

278 As discussed previously, MHW occurrences can depend on modes of climate variability 279 ^{14,36,51}, the background ocean state (heat content, mixed layer depth) ^{49,50}, ocean circulation ¹³, remote teleconnections ^{14,15,40,57}, and the presence of weather systems such as 280 atmospheric blocking ^{39,40,43}. In many instances, these drivers are themselves at least 281 partially predictable, especially in regard to climate modes ⁶⁶, suggesting that MHW events 282 are potentially predictable many months ahead ^{14,18,57}. Here we outline the need for 283 284 understanding MHW predictability, their timescales, and the development of forecast 285 systems.

286

287 288

289 [H2] The benefit and need for MHW prediction

290

291 Skilful prediction of MHW events, and their intensity, duration, depth and spatial extent, is 292 expected to be of great value to marine resource users, and managers of fisheries, 293 aquaculture and conservation ^{66–68}. For instance, short-term forecasts of a few days to 294 weeks ⁶⁹ would allow for active management strategies to be implemented, such as 295 harvesting or relocating farmed species in aquaculture industries that would likely suffer 296 mortality under MHW conditions. With predictive capabilities, it may also be possible to 297 ameliorate stressful conditions through short-term active interventions such as cooling or 298 shading, as is currently implemented in Australian fishery and aquaculture sectors in 299 response to seasonal forecasts of adverse conditions (e.g., water temperature, rainfall, and 300 air temperature) ⁷⁰. Indeed, on seasonal timescales, forecasts can be used to inform 301 strategic fisheries management decisions (target species, quotas, timings) or to implement 302 temporary protected areas. While most applications of MHW predictions seek to support 303 mitigation of detrimental ecological consequences, short- to medium-term prediction of 304 MHWs could also bring opportunities. For example, the 2011 MHW in Western Australia led 305 to the temporary appearance of marine megafauna (whale sharks, manta rays, tiger sharks, 306 turtles) and recreationally important fish species well outside their normal range⁹, 307 providing a short-term business opportunity for local tour operators. 308

Anticipating regions that may be affected by decadal and longer-term MHW intensification
 would also guide placement of permanent fully protected areas (such as within climatic
 refugia ⁷¹), as well as inform fisheries management approaches by future-proofing target
 species for fisheries and aquaculture ²⁴. Moreover, longer term prediction can help focus
 conservation efforts such as assisted evolution or early restoration in sensitive habitats and
 regions ³¹. Skilful prediction can identify areas where mitigation strategies might have

- 315 limited utility as it may not be economically feasible or technically possible to mitigate all
- the impacts on marine ecosystems ⁷².
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- 318

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321 [H2] Predictability timescales

322

323 The degree to which MHWs are predictable requires knowledge of how the relevant 324 physical drivers and processes interact in time, from days (SST persistence), to weeks 325 (blocking systems and atmospheric teleconnections), to months (oceanic preconditioning), 326 and years (low frequency climate modes and oceanic teleconnections). Given the heat 327 capacity, persistence and propagation timescales of oceanic processes (such as from oceanic 328 Rossby waves) are much larger than those for the atmosphere (for instance, from persistent 329 blocking), MHW development is expected to have longer predictability lead times in regions 330 where oceanic processes dominate (Table 1, Fig. 5).

331

332 For example, MHW forecasts with lead times of 7-10 days may be possible when air-sea 333 interactions (such as from a blocking event) dominate MHW development. However, at 334 week-to-month leads, preconditioning factors from mixed later depth (MLD) or ocean heat content enhance predictability potential ^{49,50}. For example, if the MLD in boundary current 335 336 and extension regions is relatively shallow leading into summer, anomalously warm SSTs 337 may be expected in the summer season also ⁴⁹. Information on ocean advection processes 338 and internal variability (from large-scale eddies, for example), might also improve MHW 339 forecast potential on similar timescales, as has been found for seasonal forecasts ⁷³ (Table 340 1). Atmospheric and oceanic circulations are recognised in describing MHW types along the 341 eastern Tasmanian shelf region, where persistence and intensity are related to the relative 342 contribution of the East Australian Current and atmospheric heat input ⁶³.

343

344 Climate modes and their teleconnections are also expected to influence MHW predictability 345 on subseasonal to seasonal ^{18,40,54,74} and interannual to decadal timescales ^{14,57}. Most 346 347 climate modes have some degree of predictability, or at least persistence, and can therefore 348 provide potential sources of MHW predictability; for example, ENSO can be predicted ~6 349 months in advance, while individual phases of the Pacific Decadal Oscillation (or Interdecadal Pacific Oscillation) persist for decades⁶⁶. For example, MHWs off Western 350 351 Australia are linked to ENSO and the Madden-Julian Oscillation indicating some degree of 352 MHW predictability on subseasonal to seasonal timescales in that region. Moreover, 353 atmospheric blocking events at midlatitudes via remote teleconnections also offer some 354 predictability, albeit at much shorter timescales⁴⁰. While blocking can be influential to MHW 355 development, the realistic simulation of blocking is a challenge, as is the forecasting of these blocking events ^{75–78}. While atmospheric blocking may increase the likelihood of MHW event
occurrence, other short-term oceanic processes can work against the blocking such that the
event does not occur. This creates significant uncertainty around MHW event likelihood
based on simulations of blocking and blocking forecasts.

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- 361
- 362

Other processes can also offer predictive power. The clustering of ocean eddies in western
 boundary currents⁷⁹, for example, contribute potentially predictable changes in ocean
 temperature extremes ^{63,80,81}. Remotely forced oceanic Rossby wave teleconnections –
 which take months to many years to propagate westward across ocean basins - also hold
 considerable promise for multi-year prediction of MHW likelihood in the Tasman Sea region
 ⁵⁷.

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374 [H2] Developing forecast systems

375 376 Marine managers can gain valuable information from seasonal MHW forecasts. However, 377 skilful forecasts are not easily achieved. For example, a recent assessment of seasonal 378 forecast skill from the US National Center for Environmental Prediction's Climate Forecast 379 System in 'The Blob' region had little success ⁸². Meanwhile, a separate assessment of 380 seasonal MHW forecasts of the California Current System in eight global climate forecast 381 systems indicated that large ensemble forecasts were potentially beneficial, with MHWs 382 being more or less predictable depending on the forcing mechanisms ¹⁸. Efforts are 383 currently underway by the Australian Bureau of Meteorology to develop a MHW seasonal 384 forecast system for the Australian region (Spillman and Hobday, unpublished), while ocean 385 'weather' forecasts (7-10 days) are already available through BLUElink, but these have not 386 yet specifically addressed MHWs per se.

387

388 Testing and developing the aforementioned relationships and timescales for forecast 389 systems can benefit from using data-learning algorithms, or through process-based ocean 390 model experiments, including single-model or multi-model ensembles. Such examples have been shown for coral bleaching events ⁸³. MHW forecast systems that use large ensembles 391 392 of weather and/or climate model simulations are expected to be the most promising, in line 393 with similar ensemble numerical modelling techniques applied to forecast extreme events 394 such as tropical cyclones. The use of machine learning to synthesise data sets is also a 395 promising avenue towards sequential time series forecasting. For example, neural networks 396 composed of gated recurrent units may hold promise for learning seasonal patterns in SST and predicting extremes when trained with MHW relevant climate features ⁸⁴. Data to train 397 398 such models should be relevant to the phenomena being forecasted, for example the 399 NINO3.4 index, regional sea level pressure, and upper ocean heat content. It is clear, 400 however, that whichever method is used, forecasts systems must be developed for different 401 regions given the spatial heterogeneity of predictability processes. 402

403 Forecasting MHWs comes with the opportunity and challenge of communicating these

404 forecasts with stakeholders, including fishery managers and the public ⁸⁵. Choosing

- 405 thresholds and timescales for forecasts that are relevant to marine ecosystem response and
- 406 planning requires identifying who the forecast system will inform and the desired criteria or
- 407 metrics that will facilitate decision making, and will require considerable efforts toward
- 408 stakeholder engagement.
- 409

410 [H1] Future Perspectives

411

412 Marine heatwaves have emerged as one of the grand challenges facing marine ecosystems 413 and the sustainability of marine resources, demanding progress in understanding the 414 physical phenomena; improved prediction systems; increased collaboration between marine 415 scientists, climate scientists, marine industries and managers; and the efficient, accessible 416 and consistent dissemination of new knowledge. We expand here on specific areas that we

- 417 consider warrant attention below.
- 418419 [H2] Developing improved understanding of physical processes.
- 420

421 Heat budgets provide a valuable tool for understanding processes that cause MHWs ^{13,14,42,48,49,74}. However, fixed-region budget approaches are limited to analysing the drivers 422 423 of MHWs locally, while remote forcing, and atmospheric and oceanic teleconnections, may 424 also be very important contributors to the development and decline of MHWs. Hence, there 425 is merit in considering large-scale dynamical frameworks that connect remote drivers to 426 MHW events, which may be beneficial in predicting MHW onset, persistence, decay, spatial 427 extent, depth, and intensity. There has been some success in understanding the physical 428 mechanisms of atmospheric heatwave development through Lagrangian back trajectory 429 analysis^{86,87}, a technique also used in the ocean to investigate the influences of microbial exposure to ocean temperature variability as they drift ⁸⁸. A useful addition for the analysis 430 431 of MHW predictability may be the use of adjoint models to explain the fundamental 432 dynamics of back trajectory teleconnections⁸⁹.

433

434 [H2] Marine ecosystem and fisheries management implications.

435

436 The management of marine species, habitats, and ecosystems can be seriously affected by 437 MHW impacts on fisheries and aquaculture, recreational activities and biodiversity 438 conservation³. However, marine governance and management practices for responding to a rapidly changing climate are in early stages of development ⁹⁰, and a wider range of tools 439 440 and strategies will be needed to adapt to and mitigate against future MHWs ⁹¹. Although a 441 reactive response may limit the damage to some industries, such as aquaculture, in other 442 cases it may be too late. For example, wild abalone in a MHW would likely already be in 443 poor condition and unable to be harvested. 444

- Proactive responses to these extreme events which include passive approaches such as
 catchment management, fishing restrictions and identification of marine protected areas –
- 447 can be implemented by marine managers if sufficient warning is provided ⁹². These
- 448 approaches aim to increase the resilience of marine ecosystems by limiting exposure to

- stressors that compound the impact of warming, such as overfishing, eutrophication and
 pollution ^{93,94}, or protecting natural ecological processes such as predation and herbivory,
 that confer ecosystem resistance to change ^{95,96}. However, passive approaches can be slow
 or inefficient ⁹⁷.
- 453

By contrast, active interventions seek to maintain or re-establish ecosystems or key ecosystem services through direct manipulation, ranging from habitat rehabilitation and restoration through to assisted migration, species replacements and assisted evolution ^{98–} 1⁰⁰. Although some of these options are ethically contentious, they may be essential for ensuring the long term survival of vulnerable marine ecosystems ¹⁰¹ which are also under threat from increased MHWs .

460

461 The performance of many marine industries is related to the occurrence of favourable 462 environmental conditions, including suitable habitats. Aquaculture requires water 463 temperatures to remain within tolerance limits of the farmed species, while fisheries often 464 rely on species that relocate in response to changing environmental conditions. Warm 465 waters can lead to the arrival of new species, providing opportunity for commercial and 466 recreational fishers. Marine habitats that support fisheries and tourism activities may be 467 damaged or enhanced by anomalous conditions, with coral bleaching a well-known 468 detrimental example. Extreme conditions such as MHWs shock systems and prevent 469 challenges for managing economic enterprises dependent on the ocean (Box 2). Information 470 about the likelihood of MHW occurrence is therefore valuable to a wide range of marine 471 communities, and decisions can be made to take advantage of opportunities or minimise 472 losses. Importantly, the availability of future environmental information can differentially 473 advantage some groups over others, so decisions about information dissemination should 474 be made with this in mind⁸⁵. One way to minimise differences between stakeholders is to 475 provide transparent and equitable access to information. 476 477 Experience to date suggests that three elements assist stakeholders to make the best

478 decisions with forecasts. First, proactive planning of responses enables end users of the 479 forecasts to weigh up different response options depending on factors such as lead time. 480 This process can allow clear options to be considered when a forecast for undesirable 481 conditions is issued and can be undertaken as part of business planning cycles. Second, 482 dedicated training and information sessions are essential to understand the skill and uncertainty requirements for users ⁸⁵. Such sessions could potentially involve simulation 483 484 activities to explore different responses to extreme events to build the capacity of 485 stakeholders, including those from industry. Finally, implementation of risk-based responses 486 must be considered when skill is low and uncertainty is high. For example, a forecasted MHW that might impact production could be met with a partial early harvest of the 487

- 488 vulnerable species, rather than a full harvest ⁸⁵.
- 489

490 [H2] Communication and engagement.

491

While awareness about MHWs is rapidly increasing in the scientific community, much of theinformation can be considered technical and relatively inaccessible to stakeholders in

- 494 fisheries, aquaculture, tourism and biodiversity conservation. The full potential of increased
- 495 predictive capacity will be contingent on rapid dissemination and uptake across these

496 relevant stakeholders. The first step towards rapid dissemination is streamlining and 497 simplifying the information given. In this context, experience from other types of extreme 498 events such as tropical cyclones and earthquakes shows that consistent naming conventions 499 and intuitive classification schemes for attributing relative magnitude can be effective ¹⁰². To this end, the MHW severity classification scheme¹⁰² and information provided by this 500 approach is already seeing uptake in academic papers ^{24,103} and websites, and we 501 502 recommend that this framework be used in communicating MHWs to stakeholders. The 503 second step towards dissemination is to generate a central repository for MHW information 504 and news, which can serve as an interface between stakeholders and scientists. The MHW 505 website is one such example, and other regional engagement websites are also emerging. 506 Such initiatives should be expanded to include information targeting specific stakeholders – 507 so called targeted forecasts. Finally, using available temperature products, near real-time 508 visualisation of ongoing MHWs allows intuitive understanding of the dynamics of near 509 future and ongoing MHWs. Although a 'MHW tracker' is currently available in a web-based 510 format, additional stakeholder-suited delivery mechanisms, such as smartphone 511 applications, may be needed. With all these elements in place, predictable MHW events will 512 allow proactive responses by potentially affected marine stakeholders, leading to improved 513 marine management.

514

515 [H2] Establishing baselines.

516

517 Globally, the increased frequency of MHWs is due primarily to the warming trend ^{36,104}. It

518 has been suggested that baselines should also shift when analysing MHW events under

519 climate change ¹⁰⁵. While using a shifting baseline period can be beneficial for analysing the

520 underlying variability in MHW occurrence over time and its dynamics, ecosystem impacts

- from climate change are likely to be best understood if we consider changes against a fixed baseline. A baseline that shifts in line with a species' adaptive capabilities may be suitable in
- 522 baseline. A baseline that shifts in line with a species' adaptive capabilities may be suitable in 523 some cases as the impact of MHWs on marine species often critically depends on the rate of
- 524 change in absolute temperature, above the species' thermal limits ¹⁰⁶. It may be that some
- 525 species have no capacity to adapt on short timescales given the rapidity of temperature
- 526 change, while other species can adapt either fully or perhaps partially. These differences in
- adaptation rate should be taken into consideration when designing baselines as fixed orshifting, and when interpreting the impacts of rapid temperature change.
- 528 529

530 On the other hand, future advances in our understanding of shifts in dynamical processes

531 might require subsequent updates of the baseline period. One way of at least partially

addressing these issues is the use of MHW categories ¹⁰² where the introduction of new

533 extreme categories can be considered, and analysed with respect to their drivers, even

534 when the baseline remains fixed. Whether to fix or shift baselines depends on the key

535 questions being asked and is the subject of ongoing discussion and debate ¹⁰⁵. It remains a 536 fertile area for research and consideration.

536 537

538 [H2] Keeping pace with climate change

539

540 The rapidly growing awareness of MHWs and their increasing impact is a harbinger of the

- 541 pace of climate change. In the Tasman Sea alone, three of the four summers between
- 542 2015/16 and 2018/19 have seen substantial MHWs events, two of which were driven by the

- 543 presence of large and persistent high-pressure blocking events. Given that blocking events
- 544 are apparently becoming more frequent and pervasive as a result of climate change ^{107,108},
- 545 we can expect the influence of atmospheric preconditioning to remain a critical mechanism
- 546 for driving large-scale and long lasting MHWs into the future.
- 547
- 548 Over the coming decades, MHWs will become more frequent, longer in duration and/or
- 549 more intense across much of the globe ^{37,38}. These projected changes represent threats to
- the health and sustainability of marine ecosystems globally ^{3,109,110}. Addressing this
- 551 challenge will require significant action. Not only will it require coordinated global
- 552 commitment to reduce greenhouse gas emissions, but also governance arrangements that
- support novel adaptation strategies, including protecting refugia for foundation marine
 species of coral, kelp and seagrass that provide essential habitats to marine ecosystems.
- 555 Although skilful MHW prediction will require improved process-based understanding of
- 556 MHWs and their drivers, forecasting ecosystem impacts¹¹¹ requires physiological
- 557 understanding of species' thermal sensitivity and critical thresholds and how these link to
- 558 other stressors. Coupling action between mitigation and adaptation will require creative
- solutions, spanning traditional disciplinary boundaries to protect and sustain our marine
- 560 ecosystems and the services they provide. The utility of proactive decision-making will be
- 561 facilitated by skilful MHW prediction, and approaches will need to be adaptive to keep pace
- 562 with MHW changes in a warming world.

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862 Author contributions

- 863 N.J.H. led the overall conceptual design, led the activity and coordinated the writing. A.S.G.
- generated Figures 1, 3 and 5. E.C.J.O. generated Figure 2. J.A.B. generated Figure 4. A.J.H.
- led the conceptual design for Box 2 and Table 1. All authors (N.J.H., A.S.G., E.C.J.O., A.J.H.,

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- 868
- 869

870 **Competing interests**

871 The authors declare no competing interests.

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885 Table 1 | Mechanisms and timescales influencing the predictability of MHW likelihood

		Strong	Strong	Weak	Weak
		atmospheric	atmospheric	atmospheric	atmospheric
		and oceanic	and weak	and strong	and oceanic
		contributions*	oceanic	oceanic	contributions*
			contributions*	contributions*	
Predict	ability	Months (at	~1-2 weeks or	Months to	Days
lead tin	ne	least)	season ahead	years	
Predict	ability	Local and	Atmospheric	Oceanic	Transitory
source		remote climate	preconditioning	preconditioning	weather or
		forcing	and/or	and/or	eddies
			teleconnections	teleconnections	
Persiste	ence	Months	Months	Months	Hours to days
Vertica	l scale	Up to 100s m	Up to 10s m	Up to 100s m	Up to 10s m
Horizor	ntal scale	1000s km	1000s km	100s km	Local
Impact	ed	Surface to	Within mixed	Surface to	Minimal
ecosyst	tems	benthic	layer	benthic	
Impact	severity	Potentially	Moderate to	Moderate to	Minor
		substantial	substantial	substantial	
Exampl	е	2011 Western	2017/18 Eastern	2015/16	Heat spikes
		Australia MHW	Tasman Sea	Tasman Sea	
		48,112	MHW ^{39,40,43,44}	MHW ^{13,50,57}	

886 *contributions refer to those from local atmospheric sources and those arising from oceanic

887 advection

890 Figure Captions

891

892 FIGURE 1 | Drivers and ecological impacts of major MHW events. A subset of major MHW 893 events since 1995. The MHW intensity scale, from moderate to extreme, represents 894 conditions corresponding to the peak date of the event, with categories identified 895 successively as multiples of the 90th percentile¹⁰². This figure highlights the spatial scale, 896 intensity and ecological impacts of significant MHW events around the world, including 897 Benguela Niño ¹¹³; Seychelles ¹¹⁴; Ningaloo Niño ^{27,112}; Tasman Sea ¹³; central South Pacific ¹⁹; South Atlantic ⁴⁰; 1997/98 El Niño ¹¹⁵; northwest Atlantic ^{1,12}; The Blob ^{15,39}; Bay of Bengal 898 ^{116,117} and the Mediterranean Sea ^{10,45}. This figure is inspired by schematics in Refs ^{110,118,119}. 899 900 *While the Bay of Bengal MHW co-occurred with a major central Pacific El Niño event, there 901 have been no studies to confirm or deny a causal link.

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FIGURE 2 | Trends in global MHW occurrence. a | Globally averaged changes in the annual number of MHW days based on the Hadley Centre Sea Ice and Sea Surface Temperature (HadISST) ¹²⁰, Extended Reconstructed Sea Surface Temperature (ERSST) ¹²¹, COBE ¹²², CERA20C ¹²³, and Simple Ocean Data Assimilation (SODA) datasets ¹²⁴. Grey shading indicates the 95% confidence interval b | Changes in the annual number of MHW days from the period 1925-1954 to 1987-2016, based on the same data as in panel a. Hatching

- 911 indicates statistically significant changes (*p* < 0.05) **c** | Changes in the annual number of
- 912 MHW days from the period 1961-1990 to 2031-2060, based on 6 Climate Model
- 913 Intercomparison Project (CMIP5) global climate models under the Representative
- 914 Concentration Pathway (RCP8.5) emissions scenario. Hatching indicates grid points in which
- all 6 models agree on the sign of the change. Grey areas in **b** and **c** reflect missing data,
 primarily due to seasonal ice cover. In panels **a** and **b**, the effect of natural variability (the
- 917 Atlantic Multidecadal Oscillation (AMO), PDO and ENSO) has been removed following ref ³⁶.
- 918 MHW days are defined as the number of days when SST anomalies exceed a daily
- 919 climatological 90th percentile threshold, for at least 5 days ¹²⁵. The annual count of MHW
- 920 days has increased substantially since the early 20th century and this increase has only
- 921 accelerated up to the present day. This rise is projected to continue increasing in the future,
- 922 with annual MHW days approaching a full year by the late 21st century. Panel **a** adapted
- 923 with permission from ref 36 . Panel **c** adapted with permission from ref 38 .
- 924
- FIGURE 3 | Marine heatwave drivers and impacts. Schematic showing the drivers of MHWs
 (left) and their impacts on oceanic and coastal ecosystems (right). Surface MHWs are caused
 by local ocean and atmosphere heat fluxes affecting the surface mixed layer. These
 processes are controlled by local synoptic systems that can be modulated by large-scale
 climate oscillations and anthropogenic warming. Impacts range across trophic levels often
 affecting human systems. ENSO: El Niño–Southern Oscillation, IPO: Interdecadal Pacific
 Oscillation, MJO: Madden–Julian Oscillation, NAO: North Atlantic Oscillation, H: high
- 932 pressure.
- 933
- 934

935 FIGURE 4 | Integrated approaches for monitoring marine heatwaves. a | February 2019

- 936 mean SST anomalies during the 2018/19 Tasman Sea MHW. SST represents monthly-mean,
- 937 multi-sensor, night-time only readings at 0.2m depth, obtained from the Integrated Marine
- 938 Observing System (IMOS). The SST product is available from the Australian Ocean Data
- 939 Network (AODN) Portal (https://portal.aodn.org.au/). Anomalies are calculated with respect 940 to the 50th percentile February climatology from the Sea Surface Temperature Atlas of
- Australian Regional Seas (SSTAARS¹²⁶). **b** February 2019 SST percentiles based on SSTAARS, 941
- 942 where the percentiles are centred on mid-February and constructed over 60-days. The
- 943 region off eastern Tasmania is shown with a white box. c Subsurface temperature
- 944 measured by a Slocum glider, deployed 13 February 2019 in the north and recovered 9
- 945 March 2019 in the south, as part of the IMOS Event Based Sampling sub-facility. The Slocum
- 946 glider data are available from the AODN Portal and collected as part of the IMOS Event
- 947 Based Sampling sub-facility and the Australian National Facility for Ocean Gliders (ANFOG).
- 948 Bathymetry data off Tasmania were sourced from the Geoscience Australia product 949
- "Australian bathymetry and Topography, June 2009"
- 950 (https://ecat.ga.gov.au/geonetwork/srv/eng/catalog.search#/metadata/67703). Coastline
- 951 data were sourced from the Global Self-Consistent, Hierarchical, High-resolution Geography
- 952 Database (GSHHG) version 2.3.6 (http://www.soest.hawaii.edu/pwessel/gshhg/). The
- 953 temperatures and ocean current velocities (sub-sampled) along 40.8°S and along 155 m
- 954 depth are the 13 – 28 February 2019 mean derived from the 10 km Bluelink Re-Analysis
- 955 (BRAN)-2015. The BRAN-2015 product is from the National Computational Infrastructure at:
- 956 http://dapds00.nci.org.au/thredds/catalog/gb6/BRAN/catalog.html. The current velocities 957 are shaded according to their depth, and consistent with the shading of isobaths plotted
- 958 every 50 m (black to light grey).
- 959
- 960 FIGURE 5 | Marine heatwave potential predictability and forecast timescales. A spectrum 961 of MHW prediction timescales and types ranging from initialised forecasts, which predict 962 specific events (deterministic forecasts), through to externally forced projections, in which 963 scenarios can be used to explore changed statistical probabilities of MHW likelihoods 964 (statistical forecasts). The red horizontal bars provide indicative timescales of predictability 965 for each prediction system type, where increasing opacity corresponds to increasing 966 confidence in the prediction skill for that lead time. ENSO: El Niño-Southern Oscillation, 967 IOD: Indian Ocean Dipole, WBC: Western boundary currents. 968
- 969 Images Box 2 | Figure, part a, roe's abalone: image courtesy of anthony Hart, DPirD-
- 970 Mollusc science; part b Maine lobster: image courtesy of andrew Pershing- Gulf, Maine
- 971 research institute; part c Cassin's auklets: image courtesy of L. Doyle/COasst, Julia Parrish.

972 Box 1: Defining Marine Heatwaves

973

974 'Heatwave' is a well-recognised term, broadly indicating to society the risks associated with 975 thermal stresses on people and the environment. The atmospheric research community 976 uses qualitative descriptors and quantitative metrics to express heatwave events, with a 977 widely-used definition describing a heatwave as at least 3 consecutive days of air 978 temperatures above the 90th percentile of climatological, seasonally varying norms ¹²⁷. 979 In 2015, an analogous definition was developed for marine heatwaves (MHWs). Compared 980 to the atmospheric definition, it was recommended that a threshold of at least 5 days above the seasonally-varying 90th percentile ¹²⁵ is needed to acknowledge longer thermal 981 982 persistence timescales in the ocean. MHWs have also been defined as sea surface temperatures (SSTs) exceeding the 99th percentile 37,110 — a definition applied in the IPCC 983 Special Report on the Ocean and Cryosphere (SROCC¹¹⁸). In fact, SROCC defines a MHW as 984

985 'an event at a particular place and time of the year that is rare and predominately, but not
 986 exclusively, defined with a relative threshold; that is, an event rarer than 90th or 99th

- 987 percentile of a probability density function.
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992 Box 2: MHWs as a stress test for management systems

994 Three well known MHWs challenged existing management approaches, due to their 995 intensity, duration and rapid onset. The 2011 Western Australia MHW resulted in mass 996 mortality of Roes' abalone, and in response, managers closed the fishery and instituted an 997 outplanting approach in the years following the event. Scallop fisheries in the region 998 affected by the MHW were closed for 3-5 years, while the Shark Bay crab fishery was closed 999 for 18 months ²⁴. This event tested assessment and management responses and showed 1000 that flexible harvest strategies allowed for early management intervention ²⁴. This was aided 1001 by early detection of the MHW, monitoring of the immediate effects on the ecosystem, and 1002 rapid assessment of likely impacts on fishery stocks - based on a thorough understanding of 1003 the regional fishery-environment relationships ²⁴. The 2012 Gulf of Maine MHW revealed 1004 unexpected connections between the natural and human components of the ecosystem ¹²⁸. 1005 Early and above-average landings in a valuable lobster fishery led to a backlog in the supply 1006 chain and a drop in lobster price; exacerbating the supply chain bottleneck was the fact that 1007 the Canadian lobster fishery also had unusually high spring landings. The joint impact was 1008 low prices on both sides of the border, accompanied by Canadian protests and blockades of 1009 lobster imports coming from Maine. The management system was unable to respond to the 1010 2012 event, but made changes that meant another MHW in 2016 did not cause the same 1011 impacts. These changes included the development of seasonal forecasting approaches to 1012 provide warning to future events. A large MHW in the northeast Pacific (the "Blob") 1013 appeared off the coast of Alaska in the winter of 2013–2014 and subsequently stretched 1014 south to Baja California. This event persisted through to the end of 2015. Mass strandings of 1015 marine mammals and seabirds occurred along the west coast of the United States and 1016 Canada ³⁴. Several thousand California sea lions died on beaches following shortages of 1017 forage fish. More than 50,000 Cassin's auklets were estimated to have starved and washed

1018	ashore beginning in September 2014. These dying and dead animals stressed animal rescue
1019	arrangements, pathology testing, and management responses. All the examples of MHWs
1020	above required rapid and novel responses, which can be difficult if policy or legislative
1021	barriers exist. In the cases where flexible instruments were already in place, such as in
1022	Western Australia, the management system coped better, even under persistent impacts. In
1023	other cases, improvements were not realised until the next event. Learning from these
1024	stress tests will improve management under climate variability and change, and better
1025	prepare marine managers for the future when more extreme ocean temperatures will be
1026	the 'new normal'.
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1035	Toc blurb
1036	
1037	Prolonged ocean warming events, known as marine heatwaves, can have devastating
1038	impacts on ocean ecosystems and are becoming more frequent and severe. This Perspective
1039	explores the predictability of marine heatwaves, taking into account the physical processes
1040	responsible for their formation, and examines potential monitoring and prediction
1041	approaches and systems for mitigating their detrimental effects.
1042	
1043	Related Links

- 1044 Integrated Marine Observing System http://imos.org.au/
- 1045 Marine heatwave website www.marineheatwaves.org
- 1046 Marine heatwave tracker www.marineheatwaves.org/tracker.html



Figure 1

a Globally averaged annual MHW days



b Change in MHW days (1987–2016 minus 1925–1954)



c Change in MHW days (2031–2060 minus 1961–1990)



1050 1051 Figure 2

1052



1055 Figure 3







Images Box 2