

1 **Important lessons learned from the study of the pharmacology of glucocorticoids in**
2 **human airway smooth muscle cells: too much of a good thing may be a problem**

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26 **Abstract**

27 Glucocorticoids (GCs) are the treatment of choice for chronic inflammatory diseases, such as
28 asthma. Despite proven effective anti-inflammatory and immunosuppressive effects, GCs' long-
29 term and/or systemic use can potentially induce unwanted adverse effects. Strikingly, some
30 recent experimental evidence suggests that GCs may also exacerbate some diseases' outcomes.
31 In this review, we will summarize evidence describing how GCs promote pro-inflammatory and
32 remodeling features in asthma, specifically in airway structural cells, and will also cover some
33 possible solutions to these unanticipated effects of GCs.

34

35 **Keywords**

36 Glucocorticoids, airway smooth muscle, airway remodeling, airway inflammation, asthma,
37 adverse effects.

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49 **Abbreviations**

AR	Airway remodeling
ARDS	Acute respiratory distress syndrome
ASM	Airway smooth muscle
BAL	Broncho-alveolar lavage
cAMP	Cyclic adenosine monophosphate
COPD	Chronic obstructive pulmonary disease
COX-2	Cyclooxygenase-2
ECM	Extra-cellular matrix
EGF	Epidermal growth factor
FoxO1	Forkhead box O1
FP	Fluticasone propionate
GCs	Glucocorticoids
G-CSF	Granulocyte colony stimulating factor
ID2	Inhibitor of DNA binding 2
MT1M	Metallothionein 1M
PGE2	Prostaglandin E2
PKA	Protein kinase A
TLRs	Toll-like receptors
TNF	Tumor necrosis factor- α

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51

52 **1. Introduction**

53 Glucocorticoids (GCs) represent a cornerstone therapeutic approach in the treatment of
54 inflammatory airways diseases, such as asthma. Despite proven effective anti-inflammatory and
55 immunosuppressive effects, GCs' long-term and/or systemic use can potentially induce unwanted
56 adverse effects such as osteoporosis, skin atrophy, diabetes, glaucoma, hypertension and growth
57 retardation in children among others (Buehring, Viswanathan, Binkley, & Busse, 2013; Schacke,
58 Docke, & Asadullah, 2002; Yamashita, et al., 2010). Importantly, a subset of patients with severe
59 asthma appears refractory to the therapeutic actions of GCs and strikingly some of the current
60 literature has revealed some of the “unanticipated” effects of GCs with regard to their impact on
61 several pathological responses involved in asthma. Those include modulation of cell proliferation,
62 or induction of some pro-inflammatory mediators and receptors which all appear to be cell- and
63 stimuli- dependent, and as such potentially contribute to a less favorable disease state outcome.
64 To get a better understanding of the effects of GCs in airways diseases such as asthma and
65 specifically address whether these effects could, under certain circumstance, be ineffective and/or
66 detrimental, we will here review evidence describing how GCs modulate airway inflammation and
67 airway remodeling features associated with disease severity and progression. We will mainly
68 focus on airway smooth muscle (ASM) cells, pivotal cells regulating bronchomotor tone with
69 significant immunomodulatory functions and major contributor to the remodeling features
70 associated with asthma (Keglowich, 2015 #152).

71

72 **2. ASM cells phenotypic changes as a major contributor in airway remodeling in asthma.**

73 Unequivocally, it has been established that the ASM layer in asthmatics becomes thicker
74 through an increase in mass, particularly in more severe cases (Carroll et al, 1993, Am Rev Respir
75 Dis 147(2); Ebina et al, 1990, Am Rev Respir Dis 141(5 pt 1); Woodruff et al, 2004, Am J Respir
76 Crit Car Med 169(9)). The increased mass of (contractile) ASM is a typical feature of airway
77 remodeling (AR) and is considered a major causal feature for airway hyperreactivity and

78 excessive narrowing that reduces airflow in asthma (Affonze 2006, J Appl Physiol 101; Wiggs
79 1990, J Appl Physiol 69) (Hirota, Nguyen, Schaafsma, Sharma, & Tran, 2009; Lambert, Wiggs,
80 Kuwano, Hogg, & Pare, 1993). This is supported by evidence suggesting that targeted elimination
81 of ASM through bronchial thermoplasty improves disease control in subjects with moderate to
82 severe asthma (Cox et al 2007, NEJM, 356). Over the past decades, several studies have
83 indicated that the phenotype of airway mesenchymal cells, which include ASM cells and (myo)
84 fibroblasts, derived from asthmatic airways and propagated in cell culture is different from that of
85 cells obtained from subjects not suffering from airway diseases, exhibiting augmented proliferative
86 abilities (Chambers et al, 2003, AJP Lung, 285 (3); Johnson et al, 2001, AJRCCM 164(3)). These
87 findings suggest that there is an intrinsic abnormality in the proliferation characteristics of ASM
88 from asthmatics, and that any change in proliferation of the muscle cells over time (with increasing
89 severity) may have robust effects on total muscle mass. Of note, it is unclear if proliferating cells
90 are all in the same vicinity or if other mesenchymal cells migrate to the muscle bundles to
91 contribute to the accumulating muscle mass (Henderson et al, 2007, AJP Lung, 292(4)).
92 Paradoxically, other studies have indicated ASM cells from asthmatics are more contractile than
93 control ASM cells (Ma et al 2002, AJP Lung 283). As suggested, ASM cells *in vivo* are subjected
94 to a plethora of micro-environmental cues, in particular under conditions of transient (local)
95 inflammation, and it is very conceivable that these cells express an intermediate phenotype that
96 can be driven to either a more proliferative or contractile state, depending on the aforementioned
97 intermittent profile of specific cues present (Hirota, et al., 2009; Lambert, et al., 1993).

98 Interestingly, ASM phenotypes and functions can be altered under specific inflammatory
99 conditions where GCs have the ability to promote/facilitate (predominantly neutrophilic)
100 inflammation and remodeling, for instance by producing IL-8, CXCL1, G-CSF, and ECM
101 (regulating) proteins. Furthermore, interesting data obtained from endobronchial biopsies from
102 subjects with asthma revealed an increased expression of genes importantly involved in asthma
103 progression and severity within the ASM bundles, including ADAM33, ADAM8 (Foley, et al.,

104 2007), eotaxin (Ghaffar, et al., 1999), and CCL19 (D. Kaur, et al., 2006), despite these patients
105 being treated with high dose of GCs.

106 ASM cells gene expression program has been shown to be affected *in vivo* in patients with
107 asthma after 14 days treatment with GCs. Indeed, Yick and colleagues {Yick, 2013 #121} showed
108 that oral prednisone changed the gene expression profile of ASM layer in asthma, which was
109 correlated with improved lung function. Notably, the gene network analysis revealed significant
110 changes in genes associated with the network functions cellular growth, proliferation, and
111 development, such as ERK1/2 (extracellular signal-regulated kinase 1/2), UBC (ubiquitin C), and
112 PPP2R1B (protein phosphatase 2, regulatory subunit A, β). Additional study by Himes's group
113 showed that an ASM-specific transcriptomic signatures associated with GC treatment {Kan, 2019
114 #123}. Such changes were similar in ASM cells derived from healthy donors or patients with fatal
115 asthma. Collectively, these clinical evidence clearly highlights the role of ASM cells not only as a
116 major contributor in the AR features in asthma but also as an *in vivo* target of GCs.

117

118 **3. Mechanisms mediating the effect of GC on different ASM functions.**

119 A number of studies using cultured human ASM cells have investigated the beneficial
120 actions of GCs and their potential associated mechanisms. The conclusions made from different
121 labs suggest that GCs exert a strong anti-inflammatory action on a variety of inflammatory genes
122 induced by pro-asthmatic stimuli, although the potency/efficacy appear to be highly gene and
123 stimuli specific. However, despite this impressive anti-inflammatory action of GC, their underlying
124 inhibitory mechanisms have not been completely established and appear to be also complex and
125 involve targeting both transcriptional and post-transcriptional pathways. In addition to these GC-
126 sensitive pathways, ASM is also a unique cellular model as it displays many GC-insensitive
127 features which could therefore be potentially altered in severe asthma and be playing a major role

128 in the overall GC insensitive features seen in these patients (Latifa Chachi, Adelina Gavrila, Omar
129 Tliba, & Yassine Amrani, 2015).

130 **3.1) Induction of pro-inflammatory genes by various pro-asthmatic stimuli is**
131 **differentially regulated by GCs in ASM cells.** A number of pro-inflammatory genes that have
132 the potential to regulate various aspects of asthma pathogenesis have been reported to be
133 inhibited by different GCs in human ASM cells. For example, dexamethasone (or fluticasone)
134 suppressed TNF-induced production of various chemokines including CXCL8 (Oltmanns, et al.,
135 2008; Pang & Knox, 2000), CCL5 and IL-6 (A. Ammit, et al., 2000; A. J. Ammit, et al., 2002),
136 CCL11 (L. Pang & A. Knox, 2001), CXCL10 (Clarke, et al., 2010) and expression of ICAM-1
137 (Yassine Amrani, Lazaar, & Panettieri, 1999). Responses induced by IL-1 β , another pro-
138 inflammatory stimulus involved in asthma, such as MMP-12 expression/activity, production of
139 CXCL10 and GM-CSF, an essential factor for eosinophils/neutrophils differentiation and activity,
140 or expression of ICAM-1 were also reported to be inhibited by dexamethasone (Yassine Amrani,
141 et al., 1999; Saunders, et al., 1997; Tran, et al., 2005; Xie, et al., 2005) or fluticasone (Seidel, et
142 al., 2012). In addition, GCs were shown to be effective in inhibiting the production of pro-
143 inflammatory mediators such as IL-6 or CXCL8 stimulated by GPCR agonists such as bradykinin
144 (Huang, Tliba, Panettieri, & Amrani, 2003; Pang & Knox, 1998; Zhu, Bradbury, Pang, & Knox,
145 2003) or sphingosine-1 phosphate (S1P) (Rahman, et al., 2014). Ciclesonide, a GC that requires
146 to be converted by desisobutyryl-ciclesonide by lung esterases to be clinically active, and
147 fluticasone were equally effective in inhibiting the induction of the chemotactic mediator MCP-1 in
148 response to TNF stimulation (Nie, Corbett, Knox, & Pang, 2005; Patel, Clifford, Deacon, & Knox,
149 2012). Cigarette smoke was reported to stimulate the production of CXCL8 via pathways sensitive
150 to fluticasone but not to salmeterol (Oltmanns, et al., 2008). It is interesting to mention that GCs
151 exert a differential suppressive effect on the expression of pro-inflammatory genes in ASM cells
152 and that not all genes are repressed with equal potency/efficacy. Induction of some genes such

153 as IL-6, CCL5, CXCL10 or MCP-1 appears to be strongly inhibited by dexamethasone or
154 fluticasone (>80-90% inhibition at 10^{-5} M), while other responses such as expression of ICAM-1,
155 CXCL8, CCL11, or GM-CSF were found to be only partially repressed (50-60% inhibition at 10^{-5} -
156 10^{-6} M). Surprisingly, other genes such as IL-33, CX3CL1, TARC or CCL11 were found to be not
157 affected by either dexamethasone or fluticasone (Chung, et al., 1999; Faffe, et al., 2003;
158 Prefontaine, et al., 2009; Sukkar, et al., 2004).

159 These observations led to the interesting conclusions that i) different signaling pathways
160 regulate inflammatory gene expression and ii) GC differentially modulated these genes in a
161 stimuli-dependent manner. These results most likely reveal the differential contribution of multiple
162 anti-inflammatory mechanisms (*transrepression* vs *transactivation*) in the therapeutic action of
163 GCs in ASM cells (Newton, 2014).

164 **3.2) Differential regulation of pro-inflammatory signaling pathways by GCs in ASM**
165 **cells.** The mechanisms by which GCs exert their anti-inflammatory action in ASM cells have not
166 been extensively investigated. The findings that a number of genes including CXCL8 (Rahman,
167 et al., 2014), MCP-1 (Patel et al. 2012), GM-CSF (Tran et al. 2005) were inhibited at the mRNA
168 levels by GCs strongly suggest the involvement of transcriptional mechanisms. Several studies
169 using selective inhibitors and gene promoter constructs have then attempted to dissect the
170 signaling pathways driving the expression of inflammatory genes in ASM cells. Reports found that
171 various transcription factors STAT1/2, NF- κ B, AP-1, IRF-1 and signaling pathways such as
172 MAPKs (JNK, p38 MAPK, ERK1/2), often acting in concert, were involved in the transcription of
173 pro-asthmatic genes in human ASM cells (Alrashdan, et al., 2012; A. Ammit, et al., 2000; Yassine
174 Amrani, et al., 1999; Clarke, et al., 2010; Hardaker, et al., 2003; Rahman, et al., 2014; Robins, et
175 al., 2011; Sukkar, et al., 2004; Tirumurugaan, et al., 2008; O. Tliba, et al., 2008; Omar Tliba, et
176 al., 2003; J. Zhang, et al., 2015). The study of whether GCs suppress these signaling pathways
177 has led to some very interesting conclusions regarding the unique anti-inflammatory strategies

178 used by GCs in ASM cells. In contrast to the popular belief that NF- κ B is a main target of GCs
179 (Newton, 2014), studies conducted in ASM cells have revealed that the impact of GCs on NF- κ B
180 function was highly complex and highly dependent on the type of activating stimuli. Indeed,
181 dexamethasone was found to be less effective in inhibiting NF- κ B pathways (assessed using
182 reporter constructs) when activated by TNF or IL-1 β (Yassine Amrani, et al., 1999; Moore, et al.,
183 1999). In contrast, NF- κ B activation in response to either thrombin, IL-1 β (Tran, et al., 2005) or
184 even bradykinin (Zhu, et al., 2003) was found to be strongly inhibited by dexamethasone. Gerber's
185 lab has shown that the transcriptional cooperation between GR and NF- κ B as the main
186 mechanism explaining the augmentation of TNF-induced A20 expression by dexamethasone
187 (Sasse, et al., 2016). The impact of GCs on the function of MAPKs has been investigated and
188 found to be variable and stimuli specific. This is an important observation as
189 immunohistochemistry and PCR assays demonstrated that p38 MAPK was activated *in vivo* in
190 ASM bundles of severe asthmatic patients taking either oral or inhaled GCs (Robins, et al., 2011).
191 The authors showed that in cultured ASM cells, activation of p38 MAPK by either IL-1 β or
192 activation by FGF-1 (and FGF-2) was sensitive to dexamethasone or fluticasone (Fernandes, et
193 al., 1999; Tran, et al., 2005; Willems-Widyastuti, et al., 2013) while ERK_{1/2} activation by TNF was
194 found to be insensitive to GCs (Fernandes, et al., 1999; Robins, et al., 2011). In our recent study,
195 we showed that ERK_{1/2} was required for dexamethasone to induce pentraxin-3, a multifunctional
196 protein regulating both innate and adaptive immunity (J. Zhang, et al., 2019). The overall message
197 is that the therapeutic action of GCs in ASM cells is still poorly understood and additional studies
198 are required to determine how GCs interfere with various signaling pathways, knowing that their
199 anti-inflammatory actions will vary according to the nature of the stimulus and the presence of
200 other therapeutic drugs such as β 2-agonists.

201 **3.3) Importance of transactivation in GC beneficial effects in ASM cells.** Different
202 studies have performed ASM transcriptomics to determine the profile of anti-inflammatory genes

203 induced by GCs in human cells in health and diseases (Himes, et al., 2014; Kan, et al., 2019;
204 Masuno, et al., 2011; Misior, et al., 2009; Yick, et al., 2013). From these studies, it has emerged
205 that budesonide or dexamethasone can stimulate the expression of a variety of induced genes
206 that possess anti-inflammatory activities in ASM cells. Among these genes, *CRISPLD2* (Cysteine-
207 rich secretory protein LCCL domain-containing 2), which has been associated with lung
208 development and response to endotoxin, was reported to be up regulated by dexamethasone.
209 siRNA assays showed that knockdown of CRISPLD2 protein enhanced the expression of IL-6
210 and IL-8 induced by IL-1 β and reduced the inhibitory action of dexamethasone (Himes, et al.,
211 2014). Another GC responsive gene found in ASM cells is called Kruppel Like Factor 15 (*KLF15*),
212 which belongs to a KLF family of zinc finger transcriptional regulators that play a critical role in
213 development, differentiation, and organ homeostasis. Masuno and colleagues found that *KLF15*
214 expression was increased by dexamethasone at 4 and 24 hr. Knockdown experiments showed
215 that KLF15 regulates *in vitro* apoptosis and proliferation in ASM cells and *in vivo* airway hyper-
216 responsiveness in a murine model of allergic asthma (Masuno, et al., 2011). The same group
217 recently identified phospholipase C delta 1 as a KLF15-regulated gene that inhibits ASM cell
218 proliferation (Sasse, et al., 2017). Similar to KLF15, induction of A20 (i.e., TNFAIP3) by GCs in
219 human ASM cells has been later reported to act as a negative feedback mechanism to
220 inflammatory cytokines. A20 was shown to be essential for the anti-inflammatory action of
221 dexamethasone in repressing the expression of a number of genes (i.e., IL-1A, IL-6, CXCL8,
222 CCL2, TNF). The mechanisms of action of A20 is likely due to its strong inhibitory action on NF-
223 κ B pathways (Sasse, et al., 2017). However, one of the most studied GC inducible genes in ASM
224 cells is MKP-1 (DUPS1), a dual phosphatase that plays a pivotal role in the inhibition of p38 MAPK
225 and JNK pathways. Studies from Ammit's group and others have provided strong evidence
226 supporting the implication of MKP-1 in the repression of different pro-asthmatic genes (CD38,
227 GRO-alpha and IL-6) induced by a variety of stimuli including IL-1 β , TNF and S1P (Che, et al.,

228 2014; Issa, et al., 2007; Kang, Jude, Panettieri, Walseth, & Kannan, 2008; Prabhala, Bunge, Ge,
229 & Ammit, 2016; Quante, et al., 2008). The specific contribution of each of these different GC-
230 inducible genes in the overall potency and efficacy of GCs reported in ASM cells (see previous
231 section) remain to be further explored. A nice study by Newton and colleagues reported that many
232 GC-inducible genes including MKP-1 and GC-induced leucine zipper (GILZ) were enhanced by
233 GC/ β 2-agonist combination, providing at least one mechanisms supporting the superior clinical
234 benefit of the combination therapies (M. Kaur, Chivers, Giembycz, & Newton, 2008). We and
235 others have previously reported that GILZ was a GC responsive gene in ASM both *in vitro* in
236 cultured cells treated with fluticasone and *in vivo* in lung biopsies from patients treated with inhaled
237 budesonide (Chachi, et al., 2017; Chachi, et al., 2013; Kelly, et al., 2012).

238 **4. Impaired and unanticipated effects of GC in ASM in severe asthma.**

239 **4.1) Clinical evidence of impairment of GC actions in ASM cells.** Elegant studies from
240 Martin's lab and others have provided strong evidence that some ASM abnormalities associated
241 with severe asthma (i.e., increased ASM mass) despite patients being treated with oral and
242 inhaled GC therapy (Benayoun, Druilhe, Dombret, Aubier, & Pretolani, 2003; Hassan, et al., 2010;
243 Ichikawa, et al., 2019; Pepe, et al., 2005; Ramos-Barbon, et al., 2010). Additionally, the wall
244 thickening of the central airways of patients with asthma has been shown to be only partially
245 responsive to inhaled corticosteroids (Niimi, 2004 #119). These studies have raised the
246 possibility that severe asthma is associated with an impaired therapeutic response to GC in the
247 lungs including in the ASM. Different studies including from our lab comparing the therapeutic
248 action of GCs in ASM cells have indeed supported this hypothesis by showing that GC sensitivity
249 was blunted in cells from severe asthmatics when compared to cells derived from healthy subjects
250 (Chachi, et al., 2017; Chang, Bhavsar, Michaeloudes, Khorasani, & Chung, 2012; Chang, et al.,
251 2015; J. H. Liu, Li, Zhang, & Zhang, 2020; Perry, Baker, Gibeon, Adcock, & Chung, 2014; Roth,
252 et al., 2004). These studies revealed that the anti-inflammatory (ability to inhibit chemokine

253 secretion) and anti-remodeling (ability to inhibit cell proliferation) actions were significantly
254 reduced in ASM cells from severe asthmatics. The underlying mechanisms appear to be very
255 complex involving multiple mechanisms affecting mostly GC receptor (GR) function including a
256 decreased receptor expression, receptor nuclear translocation, receptor phosphorylation at
257 serine211 or transactivation of genes (GILZ). These studies have highlighted the mechanistic
258 complexity of GC insensitivity seen in ASM tissues in severe asthma which could reflect the
259 heterogeneity of clinical and/or inflammatory profiles seen in these patients. Nonetheless, we
260 have identified the protein phosphatase PP5 while others found microRNA (mir-21) as the main
261 pathways blunting GC sensitivity in ASM cells of severe asthmatics {Chachi, 2017 #1605;Liu,
262 2020 #1750;Bouazza, 2012 #100}. These are important findings with clinical implications as high
263 expression of several pro-asthmatic mediators including cytokines or chemokines has been
264 shown in the ASM bundles of asthma patients despite treatment with either oral or high doses of
265 inhaled GCs (reviewed in (Latifa Chachi, et al., 2015)). The GC insensitive mediators produced
266 by ASM *in vivo* have the capacity to regulate various aspects of asthma pathogenesis including
267 airway remodeling, airway hyper-responsiveness and airway inflammation (reviewed in (Chachi,
268 et al., 2017)). One study, however, failed to detect any significant difference in GC response in
269 ASM cells between fatal asthma and healthy when assessing GC transcriptome, although the
270 small sample size, cells isolated from tracheal tissues, experimental design and lack of clinical
271 data may have influenced the significance of the study (Kan, et al., 2019).

272 **4.2) Unanticipated effects of GCs on airway inflammatory features.** While GCs exhibit
273 anti-inflammatory actions, such as suppressing the secretion of cytokines and chemokines in cells
274 such as ASM cells, under certain inflammatory conditions they not only lose their anti-
275 inflammatory properties but can enhance the expression of inflammatory genes (L. Chachi, A.
276 Gavrilu, O. Tliba, & Y. Amrani, 2015; Sukkar, et al., 2004).

277 *a) CX3CL1:* Levels of CX3CL1, a chemokine implicated in cell adhesion, chemoattraction
278 of various inflammatory cells associated with asthma, such as CD4⁺ T cells (Mionnet, et al., 2010)
279 or mast cells (L. Chachi, et al., 2015), are increased in broncho-alveolar lavage (BAL) of patients
280 with asthma (Rimaniol, et al., 2003). Further, CX3CL1 appears to mediate asthma exacerbations
281 associated with respiratory virus infection and allergen exposure (Loxham, et al., 2018).
282 Mechanistic studies showed that the enhancing effect of GC on CX3CL1 production in the
283 presence of cytokines was unassociated with mRNA stability, but was due to an increased
284 transcriptional activity (Sukkar, et al., 2004). The potentiation of CX3CL1 secretion by GC in the
285 presence of TNF/IFN γ might be unique to ASM, since CX3CL1 induction by these cytokines was
286 suppressed by GC in human bronchial epithelial cells (Bhavsar, Sukkar, Khorasani, Lee, &
287 Chung, 2008).

288 *b) G-CSF:* GCs can also increase the plasma levels of Granulocyte Colony stimulating
289 factor (G-CSF) in healthy individuals (Jilma, et al., 1998), likely through its production and release
290 from mononuclear cells (Witek-Janusek & Mathews, 1999). Interestingly, others investigated the
291 impact of GC-induced G-CSF on neutrophilic lung inflammation using murine model of lung injury
292 (Banuelos, et al., 2017). In this model, LPS challenge increased the number of BAL neutrophils,
293 which was then further enhanced by dexamethasone exposure. Dexamethasone also maintained
294 LPS-induced airway G-CSF while suppressing TNF and IL-6. Interestingly, *in situ* hybridization
295 revealed that epithelial cells, ASM cells, and infiltrating leukocytes were the source of G-CSF in
296 the lungs. When BEAS-2B bronchial epithelial cells, A549 lung epithelial cells, human monocyte-
297 derived macrophages, and human neutrophils were used, dexamethasone and pro-inflammatory
298 stimuli (IL-1 β or TNF) synergistically induced G-CSF (Banuelos, et al., 2017; Files, et al., 2015).
299 These observations clearly show that GCs enhance the production of some pro-asthmatic
300 mediators with a potential to regulate neutrophilic asthma, one of the important granulocyte-based
301 inflammatory phenotypes in severe asthma (O. Tliba & Panettieri, 2019).

302 *c) CCL20:* CCL20, another pro-inflammatory mediator induced by GCs, is increased in
303 human bronchial epithelial cells (Zijlstra, et al., 2014). The clinical relevance of CCL20 in asthma
304 is supported by the strong correlation observed between the levels of CCL20 found in the sputum,
305 sputum neutrophil counts (Zijlstra, et al., 2014), mucus hypersecretion (Faiz, et al., 2018) and the
306 dose of inhaled GC (budesonide) used. This is not entirely surprising as CCL20 is a neutrophil
307 and Th17-cell chemoattractant and Th17-mediated neutrophilic airway inflammation has been
308 associated with asthma severity including poor response to GC therapy. Interestingly, ASM cells
309 derived from subjects with moderate asthma produce more CCL20 than cells derived from
310 subjects with mild asthma suggesting that ASM as a potential source of CCL20 in asthma (Faiz,
311 et al., 2018). However, whether CCL20 directly affects the therapeutic response to GC remains
312 to be further explored. Additional mechanistic studies revealed that budesonide increased TNF-
313 induced release of CCL20 by primary bronchial epithelial cells, while suppressing CXCL8
314 secretion, suggesting that the effects of GCs on the expression of chemokines are gene-specific
315 (Zijlstra, et al., 2014). Although TNF-induced CCL20 secretion requires the activation of signaling
316 pathways such as ERK, p38 and STAT3, none of these pathways were affected by budesonide.
317 Furthermore, this GC action was only inhibited when GR was inhibited (Zijlstra, et al., 2014),
318 suggesting the involvement of GR dependent mechanisms. It would be interesting to examine the
319 common mechanisms by which GCs drive the expression of CCL20 and G-CSF.

320

321 *d) TLRs:* GCs also have the capacity to modulate the innate immune response by affecting
322 the expression of Toll-like receptors (TLRs). For instance, dexamethasone enhanced the
323 expression of TLR2 induced by TNF and IFN γ in ASM cells (Sukkar, et al., 2006), while in alveolar
324 macrophages budesonide enhanced the expression of TLR2 induced by TLR ligands (Ji, et al.,
325 2016). These observations strongly suggest that the modulation of TLR2 by GC could amplify the
326 inflammatory responses in the airways (Manetsch, et al., 2012). In contrast, it is worth to mention
327 that in primary human airway epithelial cells, dexamethasone decreased the expression of TLR2

328 induced by cytokines (Winder, et al., 2009). In human lung epithelial A549 cells, TNF and GCs
329 were shown to cooperatively regulate components of innate immunity such as TLR2 (Hermoso
330 2004, Mol Cell Biol, 24(11)). Indeed, while dexamethasone repressed IL8 mRNA, it enhanced
331 TLR2 mRNA expression in TNF-treated cells. Further mechanistic studies showed that TNF and
332 dexamethasone activated unique intracellular mechanisms promoting the transcription of the
333 TLR2 gene. Although dexamethasone alone did not appear to induce TLR2 promoter activity,
334 may be due to the presence of only one single GRE site in the promoter region of TLR2 gene,
335 such single binding site was required for the synergistic induction of TLR2-dependent gene
336 expression to occur between TNF and GC (Hermoso 2004, Mol Cell Biol, 24(11)). Collectively,
337 these studies show that the modulation of TLRs by GCs is highly dependent on the cell type and
338 nature of the stimulus used.

339 *e) MAPKs:* Several studies have shown that treatment with GCs results in a loss of MAPK
340 activity (ERK, p38, JNK) in a variety of cells, including mast cells (Kassel et al EMBO 2001 20)),
341 HeLa cells (Lasa 2002 Mol Cell Biol 22), and human pulmonary epithelial A549 cells (Shah 2014
342 JBC 289). Although sustained stimulation (several hours) with GC does not activate MAPK
343 signaling pathway, short-term acute GC treatment has been shown to activate such inflammatory
344 pathways in some other cell types (reviewed in Panettieri & Tliba, 2019 {Panettieri, 2019 #114}).
345 For instance, in PC12 cells (cell line derived from rat adrenal gland), corticosterone induced rapid
346 activation (within 15 min) of ERK1/2, p38, and JNK in a PKC-dependent manner (Li, et al., 2001;
347 Qiu, et al., 2001). The activation of MAPK pathways following GC treatment appears to be
348 mediated by the putative membrane GR, since corticosterone-BSA can rapidly (within 15 min)
349 activate all MAPKs (Li, et al., 2001; Qiu, et al., 2001). Similarly, in rat vascular smooth muscle
350 cells, dexamethasone either alone or in combination with norepinephrine, rapidly (within 10 min)
351 induces ERK1/2 and p38 MAPK activities (T. Zhang, et al., 2013). Interestingly, we recently
352 showed that the stimulation of human ASM with dexamethasone increased mRNA and protein
353 levels of pentraxin-3 (PTX3), a soluble pattern receptor involved in both innate and adaptive

354 immunity, which was markedly reduced by inhibition of p42/44 ERK (but not p38 or JNK) and GR
355 blockade (ZHANG 2019 PloS One 14(8) suggesting the involvement of MAPK in PTX3 induction
356 by GC. PTX3 expression has been shown to be increased in bronchial biopsies and BAL of severe
357 asthmatics, and was shown to potently inhibit ASM migration induced by fibroblast growth factor-
358 2 (FGF-2) and to augment CCL11/eotaxin-1 release (ZHANG 2012, PloS One, 7(4)). In addition,
359 PTX3 deficient mice exhibit enhanced inflammation, AHR and mucus production following
360 ovalbumin sensitization and challenge (Balhara 2017, Clin Immunol 139(3)). These findings
361 implicate a possible dual role for PTX3 in asthma, and suggest GCs can modulate PTX3 levels in
362 a GR and ERK dependent fashion. Since airway inflammation has generally been associated with
363 the activation of MAPK signaling pathways (Y. Amrani, Ammit, & Panettieri, 2001; Baraldo, et al.,
364 2003; Hallsworth, Moir, Lai, & Hirst, 2001), future investigations are warranted to explore the rapid
365 effects of GCs on MAPK signaling in different airway structural cells derived from patients with
366 various stages of asthma severity and to determine whether such non-genomic acute effects of
367 GC affect asthma pathogenesis (reviewed in {Panettieri, 2019 #114}).

368 *f) Additional examples from non-ASM cells.* Interestingly, further evidence from immune
369 cells and non-ASM cells demonstrated that GCs also upregulate certain inflammatory molecules
370 such as inflammasome and Serpin A3.

371 Under certain conditions, GCs have been shown to exacerbate inflammatory response,
372 by upregulating the expression of inflammasome regulators such as nucleotide-binding domain
373 and leucine-rich repeat protein-3 (NLRP3). NLRP3 is a member of NOD-like receptors (NLRs),
374 which activates an inflammasome complex in response to elevated levels of various molecules
375 released in disease states, including extracellular ATP. For instance, treatment with either
376 dexamethasone or cortisol rapidly enhanced NLRP3 mRNA and protein expression in THP-1
377 cells. Interestingly, such increase enhanced cell sensitivity to extracellular ATP and augmented
378 the production of pro-inflammatory cytokines (2). In HMEC-1 cells, dexamethasone increased the

379 mRNA expression of IL-6, via a GR-dependent mechanism. Such increase was due to the
380 upregulation of purinergic P2Y2 receptor (P2Y2R) expression, a Gq protein-coupled receptor,
381 activated by ATP and UTP, with a particularly high affinity for ATP. Interestingly, pre-incubation
382 with dexamethasone enhanced ATP-induced transcription of adhesion molecules ICAM-1,
383 VCAM-1, and SELE, and the release of IL-8. These results suggest that exogenous GCs may
384 enhance pro-inflammatory responses induced by ATP binding to the P2Y2R receptor (3).
385 Interestingly, in human ASM cells, studies from Ammit's group ({Hirota, 2013 #116} {Prabhala,
386 2015 #117}) showed that TNF induced IL6 secretion in an inflammasome-independent manner
387 and that TLR-2 treatment of ASM cells does not activate the inflammasome. Further studies are
388 still needed to characterize the role inflammasome in ASM cells and importantly whether GCs
389 potentiate inflammasome regulators as seen in immune cells.

390 An additional gene that was shown to be co-regulated by GCs and inflammatory cytokines
391 is Serpin A3 (α -1 antichymotrypsin), an acute phase protein released during inflammatory
392 processes. Indeed, Cidlowski group showed, using microarray analysis in A549 lung cells, that
393 dexamethasone and TNF coregulate, rather than antagonistically regulate many genes involved
394 in inflammatory disease such as *SerpinA3*. Such finding was confirmed *in vivo*, when treatment
395 of C57BL/6 mice with dexamethasone and TNF led to an additive increase in SerpinA3 mRNA
396 levels in the liver and the lung, although to a lesser extent than in the cell culture model.
397 Furthermore, ChIP analysis suggested that GR binding at the serpinA3 transcriptional start site
398 increased slightly when A549 cells were treated with either dexamethasone or TNF alone, but
399 was markedly enhanced by their combination (4).

400 Collectively, these data suggest that the unanticipated effects of GCs in inflammatory
401 features described above, may participate in the pathogenesis of severe asthma where GCs
402 actions are impaired.

403 **4.3) Unanticipated effects of GCs on ASM proliferation and airway remodeling.**

404 *a) GC, cAMP/PKA signaling, and ASM proliferation.* Inhaled β -agonists constitute the most
405 effective therapy for reversing acute bronchoconstriction associated with an asthma attack.
406 Protein kinase A (PKA) has been identified as the primary cyclic adenosine monophosphate
407 (cAMP) effector molecule in β 2-agonist-mediated relaxation of ASM (Morgan et al 2014,
408 JBC,289(33)).

409 The impact of GCs versus cAMP/ PKA stimulating agents on ASM proliferative function
410 has been well documented and provided key information regarding the sensitivity of ASM
411 proliferation responses to current anti-asthma drugs. For instance, PKA stimulating agents
412 generally suppress mitogen-induced growth of ASM cells in culture (Bonacci & Stewart, 2006;
413 Stewart, et al., 1999). In contrast, the effects of GCs on ASM cell mitogenesis are far less
414 consistent and appear dependent on the type of mitogenic stimulus, with inhibitory effects on
415 growth triggered by GPCR agonists, e.g. thrombin and leukotriene D4, and little effect on
416 proliferation induced by growth factors such as epidermal growth factor (EGF) (Schramm, Omlor,
417 Quinn, & Noveral, 1996; Stewart, Fernandes, & Tomlinson, 1995).

418 Interestingly, GCs could shift cytokine function from inhibitors to enhancers of mitogen-
419 induced ASM growth (Misor, et al., 2008). Cytokines such as IL-1 β and TNF activate
420 cyclooxygenase-2 (COX-2) dependent production of prostaglandin E2 (PGE2) while inhibiting
421 EGF-induced [3H]-thymidine incorporation in ASM cells. Since exogenous PGE2 inhibits ASM
422 growth, likely in a cAMP/PKA dependent manner, COX-2 dependent PGE2 production emerged
423 as a likely candidate to mediate inhibition of ASM proliferation by IL-1 β and TNF. Interestingly,
424 cell pretreatment with GC (fluticasone propionate (FP)) inhibited the induction of
425 COX2/PGE2/PKA signaling cascade and markedly promoted EGF-induced cell growth (Misor, et
426 al., 2008). Direct inhibition of PKA via heterologous expression of PKA inhibitors PKI or RevAB,
427 also similarly augmented mitogen-induced ASM growth in the presence of cytokines, suggesting

428 a role for PKA in mediating the anti-mitogenic effects of cytokines. Thus, GCs potentially enhance
429 ASM proliferation in the presence of some inflammatory stimuli via the inhibition of COX-2-
430 dependent PKA pathways.

431 Elegant transcriptomic studies have provided some mechanistic insight into the
432 deleterious effects of GCs in ASM proliferation. These studies showed that the treatment of
433 human ASM cells with cytokines (e.g. IL-1 β), mitogens (e.g. EGF), and GC (e.g. FP) (i)
434 significantly increased transcripts encoding for zinc finger-containing proteins (e.g. ZBTB16,
435 ZNF22, and PFH17), (ii) modulated the transcripts of several proteins known to regulate
436 transcription factor activity such as metallothionein 1M (MT1M), forkhead box O1 (FoxO1), and
437 inhibitor of DNA binding 2 (ID2), and (iii) markedly increased the expression of several putative
438 regulators of mitogenesis such as C10orf10, Fam107A, and Wisp1 (Misiorek, et al., 2009). Other
439 studies, however, revealed that the “direct” pro-mitogenic effect of FP is limited. Indeed, when
440 considering proliferation, the only pro-mitogenic genes regulated directly by FP were C13ORF15,
441 CYR61, and ID2 (Misiorek, et al., 2009; Misiorek, et al., 2008) while most of the pro-mitogenic effects
442 of FP were “indirect” through PKA inhibition. These findings are of clinical relevance, since GC
443 activation of genes that promote pro-mitogenic ASM phenotype could be counteracted by
444 cAMP/PKA stimulating agents such as inhaled β 2-agonists explaining thereby the therapeutic
445 benefit of GC/ β 2-agonist combination therapy in some patients (Miller-Larsson & Selroos, 2006).

446 *b) GC, ECM, and ASM proliferation.* Other evidence suggests that the extra-cellular matrix
447 (ECM) modulates ASM phenotype (Dekkers, Schaafsma, Nelemans, Zaagsma, & Meurs, 2007).
448 Indeed, ECM proteins surrounding ASM cells have been shown to affect the proliferative
449 (Bonacci, Harris, & Stewart, 2003; Dekkers, Bos, Halayko, Zaagsma, & Meurs, 2010) and
450 contractile responses (Dekkers, et al., 2007) of ASM cells. When ASM cells were treated with
451 EGF and IL-1 β , FP enhanced the expression of genes closely associated with cell-ECM
452 interactions such as MMP19, vinculin, integrins α 5 and α 10, collagen IV α 1, providing an

453 additional mechanism by which GCs potentially promote ASM proliferation through the modulation
454 of cell-ECM dynamics (Misor, et al., 2009). Interestingly, in a collagen-rich environment, ASM
455 appears to be insensitive to the anti-proliferative action of GCs (Bonacci, Harris, Wilson, &
456 Stewart, 2003; Bonacci, Schuliga, Harris, & Stewart, 2006). Together, these studies suggest that
457 the nature of the ECM environment not only modulate a number of ASM remodeling responses
458 seen in asthma, including proliferation and contraction, but also determine the therapeutic
459 responses to GCs (Parameswaran, et al., 2006).

460 Collectively, from these different studies it is conceivable that depending on the
461 inflammatory and ECM micro-environment in asthma, GCs might in fact promote and/or fail to
462 inhibit abnormal ASM proliferation, a critical component of AR associated with disease
463 progression and severity (Prakash, et al., 2017). This GC effect may be even more pronounced
464 under conditions where β_2 adrenergic receptor/Gs/AC/cAMP/PKA pathway is impaired due to β_2 -
465 receptor desensitization, a feature that may develop in patients with severe asthma (Chachi, et
466 al., 2018) or after long-term treatment with β_2 -agonists (Y. Amrani & Bradding, 2017). Although
467 GCs prevent β_2 -receptor desensitization in various model systems, including precision-cut lung
468 slices (Cooper & Panettieri, 2008), the clinical relevance of such *in vitro* observations remains to
469 be confirmed since poor responses to β_2 -receptor agonists is a key feature of patients with severe
470 asthma despite being treated with high doses of inhaled or oral corticosteroids (Chachi, et al.,
471 2018).

472

473 **5. Alternative strategies to modulate the therapeutic actions of GCs in ASM cells.** As
474 discussed previously, the potency/efficacy of GCs in suppressing the expression of pro-
475 inflammatory genes is greatly influenced by the type of stimulus and associated signaling
476 pathways. Investigators have looked at ways to enhance the anti-inflammatory actions of GCs or
477 to treat the GC-insensitive features. Several studies in human ASM cells have demonstrated the

478 therapeutic value of combining GCs with β 2-agonists in the regulation of hyaluronan metabolism
479 (Papakonstantinou, et al., 2012), and suppression of various inflammatory genes such as CCL5
480 (A. J. Ammit, et al., 2002), CXCL8 (Pang & Knox, 2000), or CCL11 (L. Pang & A. J. Knox, 2001).
481 Interestingly, expression of other genes such as MCP-1 (Patel, et al., 2012) or IL-16 (A. J. Ammit,
482 et al., 2002) were not affected by drug combination. Features of ASM remodeling are also
483 synergistically repressed by GCs/ β 2-agonists combination (Dekkers, et al., 2012; Roth, et al.,
484 2002). Combination therapy has also been reported to prevent some of the deleterious effects of
485 β 2-agonist monotherapy such as the increased expression of M3 muscarinic receptor/signaling
486 (Y. H. Liu, Wu, Wang, Huang, & Liu, 2015), or receptor desensitization and hyper-responsiveness
487 (Nino, Hu, Grunstein, & Grunstein, 2010). The mechanisms underlying the superior therapeutic
488 effect of GCs/ β 2-agonists combination in ASM cells has been attributed to epigenetic changes at
489 target gene promoters (Nie, Knox, & Pang, 2005), increased and/or restoration of GC-dependent
490 transactivation (M. Kaur, et al., 2008; Rider, King, Holden, Giembycz, & Newton, 2011) including
491 MKP-1 (Manetsch, et al., 2013) or A20 (Altonsy, Mostafa, Gerber, & Newton, 2017), decreased
492 cellular uptake of β 2-agonists via GC-induced inhibition of the organic cation transporter (OCT3)
493 (Horvath, et al., 2007). Inhibition of NF- κ B using selective IKK inhibitors has also been proposed
494 as an alternative strategy to inhibit GC sensitive and insensitive genes in ASM cells (Catley, et
495 al., 2006). Targeting the transcription factor IRF-1 or the protein phosphatase PP5 could also
496 represent novel therapeutic option in ASM cells to suppress GC insensitive features (Latifa
497 Chachi, et al., 2015).

498

499 **6. Conclusion and Future Perspectives.**

500 Overall, a better understanding of potentially deleterious effects of GCs may provide novel
501 insights into the design of GCs with more specific anti-inflammatory actions capable of treating
502 patients with severe asthma without engendering any unwanted effects.

503

504

505 **Conflicts of Interest Statement**

506 The authors declare that there are no conflicts of interest.

507

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512

513 **Figure Legends**

514 **Figure 1. Schematic representation of the effects of GCs on ASM proliferation and airway**
515 **inflammation.** Under normal conditions, GCs exhibit powerful anti-proliferative and anti-
516 inflammatory action in the lungs. However, under certain conditions, such as the presence of pro-
517 inflammatory cytokines, growth factors, or ECM proteins, treatment with GCs may activate a
518 range of mechanisms, leading to paradoxical pro-mitogenic and pro-inflammatory responses.
519 Abbreviations: ASM, airway smooth muscle; CCL20, C-C Motif Chemokine Ligand 20; COX2,
520 cyclooxygenase-2; CX3CL1, C-X3-C Motif Chemokine Ligand 1; ECM, extra-cellular matrix; EGF,
521 Epidermal growth factor; GCs, Glucocorticoids; G-CSF, Granulocyte-colony stimulating factor; IL-
522 1 β , Interleukin 1 beta; IFN γ , Interferon gamma; PGE2, Prostaglandin E2; PKA, protein kinase A;
523 TLR, Toll-like receptors; TNF, Tumor necrosis factor alpha. *Figure was created with images*
524 *adapted from Servier Medical Art (smart.servier.com/).*

525

526

527

Table 1. Mechanisms mediating the unanticipated effects of GC on asthma features

GC	Conditions	Paradoxical effect	Proposed mechanism	References
Fluticasone propionate	IL-1 β and TNF plus EGF	ASM proliferation	Inhibition of COX2/PGE ₂ /PKA pathways	Misior, 2008
	IL-1 β plus EGF	ASM proliferation (potential)	Pro-mitogenic effect PKA inhibition	Misior, 2008 Misior, 2009
	IL-1 β plus EGF	ASM proliferation	Modulation of cell-ECM dynamics	Misior, 2009
Dexamethasone	Collagen-rich environment	ASM proliferation	Failure to reduce cyclin D1 levels	Bonacci, 2003 Bonacci, 2006
	TNF and IFN- γ	Increase of pro-inflammatory mediators	Potential of CX3CL1 secretion by ASM	Sukkar, 2004
	TNF and IFN- γ	Pro-inflammatory	Increase of TLR2 in ASM	Sukkar, 2006
	TNF and IFN- γ	Pro-inflammatory	Negative regulator of functional TLR2 expression in airway epithelial cells	Winder, 2009
Dexamethasone Budesonide		Neutrophil activity and survival	Increase of anti-apoptotic protein Mcl-1L Increase of G-CSF levels Increase of CCL20	Sivertson, 2007 Banuelos, 2017 Faiz, 2018
Budesonide	TNF	Increase of CCL20 levels in airway epithelium	GR dependent mechanisms	Zijlstra, 2014
	TLR ligands	Pro-inflammatory	Enhanced expression of TLR2 in alveolar macrophages	Ji, 2016
Corticosterone		MAPK pathway activation	Activation of putative membrane GR in epithelial cells	Li, 2001; Qiu, 2001

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