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Absolute and convective instabilities in counter-current gas-liquid film flows

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We consider a thin liquid film flowing down an inclined plate in the presence of a counter-current turbulent gas. By making appropriate assumptions, Tseluiko & Kalliadasis (2011) developed low-dimensional non-local models for the liquid problem, namely a long-wave model and a weighted integral-boundary-layer model, that incorporate the effect of the turbulent gas. By utilising these models, along with the Orr–Sommerfeld problem formulated using the full governing equations for the liquid phase and associated boundary conditions, we explore the linear stability of the gas-liquid system. In addition, we devise a generalised methodology to investigate absolute and convective instabilities in the non-local equations describing the gas-liquid flow. We observe that at low gas flow rates, the system is convectively unstable with the localised disturbances being convected downwards. As the gas flow rate is increased, the instability becomes absolute and localised disturbances spread across the whole domain. As the gas flow rate is further increased, the system again becomes convectively unstable with the localised disturbances propagating upwards. We find that the upper limit of the absolute instability region is close to the ‘flooding’ point associated with the appearance of large-amplitude standing waves, as obtained in Tseluiko & Kalliadasis (2011), and our analysis can therefore be used to predict the onset of flooding. We also find that an increase in the angle of inclination of the channel requires an increased gas flow rate for the onset of absolute instability. We generally find good agreement between the results obtained using the full equations and the reduced models. Moreover, we find that the weighted integral-boundary-layer model generally provides better agreement with the results for the full equations than the long-wave model. Such an analysis is important for understanding the ranges of validity of the reduced model equations. In addition, a comparison of our theoretical predictions with the experiments of Zapke & Kröger (2000*a*) shows a fairly good agreement. We supplement our stability analysis with time-dependent computations of the linearised weighted integral-boundary-layer model. To provide some insight into the mechanisms of instability, we perform an energy budget analysis.

Key words:

1. Introduction

Gas-liquid flows are important in a variety of physical and technological settings, ranging from the shearing of water layer in oceans to the flow of hydrocarbons in oil pipelines. For example, in the transport of high-viscous crude oil through long-distance pipelines

using a core-annular flow technique, a thin water film is introduced to surround the inner oil core, with the waves on the oil-water interface playing a crucial role in determining the stability of the two liquid layer. Other engineering systems that utilise gas-liquid flows include distillation, absorption and the cooling of electronic devices. An accurate description and fundamental understanding of the spatiotemporal evolution of two-fluid interfaces and the associated wave formation processes, is crucial for the design and optimisation of processes and devices that exploit two-fluid interfaces.

Thin liquid films flowing over inclined plates have been the subject of many studies since the first experiments of Kapitza (1948). This apparently simple system exhibits a rich dynamical behaviour starting with a flat film that undergoes a series of bifurcations eventually ending in spatiotemporal chaos. For small values of the liquid flow rate, the free surface is fundamentally two-dimensional, consisting of single-hump solitary waves that are formed as a result of the primary wave field undergoing a secondary instability. Such solitary waves are observed in a number of theoretical (Chang *et al.* 1995; Malamataris & Balakotaiah 2008) and experimental studies (Liu & Gollub 1994; Vlachogiannis & Bontozoglou 2001). For extensive reviews of falling liquid film studies, the reader is referred to the monographs by Alekseenko *et al.* (1994), Chang & Demekhin (2002) and Kalliadasis *et al.* (2012).

The addition of a gas layer to the liquid film makes the system dynamics even more complex and interesting. This results in a number of flow regimes such as bubbly flow, slug flow, wavy flow, churn flow and annular flow, to name a few (Azzopardi 2006). Owing to a number of applications and the intriguing underlying physics, gas-liquid flows remain an active topic of research for more than six decades. Hanratty & Engen (1957) were amongst the first to analyse stratified gas-liquid flows. These authors investigated the co-current flow of air-water system, and showed various wave transitions through their experiments. Craik (1966) studied experimentally thin water films in a horizontal channel, and reported the presence of fast and slow waves, that traveled faster and slower than the interface, respectively. When the gas velocity is increased beyond a particular value, it results in the formation of roll waves at the interface which is a signature of droplet entrainment into the gas stream (Woodmansee & Hanratty 1969). Demekhin (1981) and Trifonov (2010) analysed the vertical gas-liquid problem by following the quasi-laminar approach of Miles (1957) and Benjamin (1957). Also Trifonov (2010) solved the full Navier–Stokes equations for the liquid problem whereas Demekhin (1981) developed an integral-boundary-layer model. Recently, Ó Náraigh *et al.* (2011) considered turbulent flow over a liquid layer by developing a single equation model for the interfacial turbulence. By solving the full two-phase flow problem for the horizontal configuration and on comparison with various models such as the simple quasi-laminar model, eddy viscosity model, visco-elastic model and the rapid distortion theory, they concluded that the waviness induced Reynolds stresses do not play a significant role in determining the stability of the interface. Tseluiko & Kalliadasis (2011) solved the counter-current gas-liquid problem by using an improved version of the quasi-laminar approach of Miles (1957) and Benjamin (1957). However, their analysis of the gas problem was performed using a curvilinear boundary-layer coordinate system, which gives significantly better agreement with experiments, unlike the solution to the gas problem using a Cartesian coordinate system by Demekhin (1981) and Trifonov (2010). Further, Tseluiko & Kalliadasis (2011) developed non-local long-wave (LW) and weighted integral-boundary-layer (WIBL) models for the liquid problem to investigate the phenomenon of ‘flooding’, which corresponds to the appearance of large amplitude standing waves. A weakly nonlinear non-local model obtained by assuming small-amplitude waves was analysed in Lin *et al.*

(2013). In the co-current version of the problem, the nonlinear wave speed was found to increase with increase in the gas shear and the liquid flow rate (Vellingiri *et al.* 2013).

Miesen & Boersma (1995) analysed the stability of a vertical thin liquid film sheared by a co-flowing turbulent gas. By considering the dynamic effects of the gas, they found two modes of instability, namely the interfacial mode and the internal mode. The interfacial mode has a phase speed larger than the maximum velocity in the liquid layer, whereas the phase speed of the internal mode is smaller than the maximum velocity in the liquid film. They also compared their model calculations with experiments, and they tried to link the generation of roll waves with droplet entrainment. In another study, Boomkamp & Miesen (1996) provided a general classification of instabilities in parallel two-phase flows based on the energy transfer from the primary to the disturbed flow. They classified the existing studies on such instabilities into five different groups, based on the mechanism of energy transfer, which in turn depends on the properties of the flow system, viz. density stratification and orientation, curvature of the velocity profile, viscosity stratification, shear effects or a combination of the last two. Camassa *et al.* (2012) performed experiments on a vertical core-annular flow system, where a thin liquid film lining the inside of a circular tube is driven by a counter-current turbulent air flow. They also compared their experimental results with a simple long-wave model. Finally, in a recent study, Dietze & Ruyer-Quil (2013) considered a thin liquid film in the presence of a confined laminar gas for both horizontal and vertical configurations. By making use of the laminar nature of the flow, they developed a model based on the weighted integral-boundary-layer approach for both phases, also taking into account the viscous diffusion effects.

In the present study, we are interested in analysing absolute and convective instabilities in a gas-liquid flow system, where a thin liquid film flowing down an inclined wall is in contact with a counter-current turbulent gas flow. According to some of the earlier studies (Shearer & Davidson 1965; Cetinbudaklar & Jameson 1969), the flooding point corresponds to the critical gas flow rate where a standing wave is formed on the interface, whose amplitude is much larger than the mean film thickness. We further propose that this critical gas flow rate indeed has a close correspondence with the onset of absolute instability in the system. The absolute instability allows the disturbances to grow at fixed points in space and therefore results in large-amplitude waves that are not necessarily convected downstream or upstream. The theory of absolute and convective instabilities was first introduced in the field of plasma physics (Briggs 1964), and was later developed in fluid dynamics (Huerre & Monkewitz 1990; Huerre 2000). Absolute and convective instabilities are found in a variety of fluid flow settings such as liquid sheets and jets (Lin 2003), combustion (Juniper 2006) and many other flow processes. These instabilities are also analysed from a more dynamical systems perspective, using e.g. the complex Ginzburg–Landau equation (Tobias *et al.* 1998; Chaté & Manneville 1996), a generic model system for a variety of physical systems close to the instability threshold.

In general, the nature of the instability is determined by examining the response of the linear system to a localised disturbance. If the growing disturbance gets advected and moves away from the point of initiation, then the instability is convective. In other words, if the long-time behaviour of the system decays along the ray $x/t = 0$, then the system is said to be convectively unstable (see figure 1). On the other hand, if the disturbance grows at every point in space, then the instability is absolute. Convectively unstable flows are also referred to as ‘spatial amplifiers’, whereas the absolutely unstable flows act like an ‘oscillator’ (Huerre 2000; Duprat *et al.* 2007). Gaster (1962) demonstrated that the spatial growth rate could be obtained from the temporal one through an appropriate transformation, but such a transformation is applicable only for near-critical conditions.

FIGURE 1. Schematic representation of absolute and convective instabilities.

Brevdo *et al.* (1999) were amongst the first to analyse absolute and convective instabilities in a falling film setting by solving the associated Orr–Sommerfeld (OS) problem. These authors considered two different techniques to analyse absolute and convective instabilities, one based on the exact Briggs collision criterion, and the other based on the tracking of the saddle point which provides the leading-order contribution to the inverse Fourier Laplace transforms. However they found only a convective instability from their numerical studies as expected. Suslov (2006) developed a more complicated algorithm to compute the absolute-convective stability boundary in the given parameter space. Joo & Davis (1992) analysed instabilities in a falling film using Benney’s long-wave evolution equation, and confirmed that the instability is of the convective type. A detailed analysis of the linear stability characteristics of a falling film is given in Kalliadasis *et al.* (2012). Lin & Kondic (2010) also considered absolute-convective instabilities in their study on thin film flows on inverted substrates. In a very recent study, Ó Náraigh *et al.* (2013) analysed absolute and convective instabilities of a horizontal thin liquid film sheared by a fully developed gas flow that could be laminar or turbulent. By combining linear modal and ray analyses, they calculated the regimes of such instabilities and they also found that absolute instability exists for large values of density and viscosity ratios at high Reynolds numbers. To model the turbulent gas flow, they used a simple quasi-laminar assumption where the turbulence contribution enters solely through the base velocity profile thereby ignoring waviness induced Reynolds stresses. Although Ó Náraigh *et al.* (2011, 2013) found that the waviness induced Reynolds stresses do not play a significant role in the stability of the interface for the parameter values they considered, it should be noted that the simple quasi-laminar assumption does not provide good agreement with experiments – e.g. by Thorsness *et al.* (1978) – as shown in Tseluiko & Kalliadasis (2011). Also, the conclusion of Ó Náraigh *et al.* (2011, 2013) on the impact of waviness induced Reynolds stresses on the interfacial instability seems to be in contradiction with the results presented in Tseluiko & Kalliadasis (2011). Therefore, an improved version of the quasi-laminar assumption needs to be used, as in Tseluiko & Kalliadasis (2011). From the modelling point of view, the fact that Ó Náraigh *et al.* (2011, 2013) assume that the turbulence enters only through the base velocity results in a linearised problem that completely ignores the turbulent Reynolds stresses, i.e. it follows that the Reynolds Averaged Navier–Stokes equations are used to obtain the base velocity profile, but the usual Navier–Stokes equations are used for the stability analysis – this is an inconsistency in the sense that the base velocity profile does not satisfy the equations used for the stability

analysis. In our approach this inconsistency is avoided. From the mathematical point of view, our approach leads to non-local equations, whereas the equations of Ó Náráigh *et al.* (2013) are local. This means that the standard absolute/convective instability analysis (see e.g. Huerre & Monkewitz 1990) is not applicable to our situation, and we therefore devise a generalised methodology for analysing absolute/convective instabilities.

Our linear stability analysis, begins with the formulation of a modified OS equation for the vertically falling liquid problem incorporating the effects of counter-current gas flow. As mentioned earlier, in a recent study, Tseluiko & Kalliadasis (2011) developed a hierarchy of non-local low-dimensional models, namely a long-wave model and a WIBL model to analyse flooding in counter-current gas-liquid flows, focussing mainly on single-hump solitary waves and periodic travelling waves. Their WIBL model is based on the methodology of Ruyer-Quil & Manneville (1998, 2000) for falling liquid films, obtained by combining a long-wave expansion with a polynomial representation of the velocity field, with the integral-boundary-layer approximation and the method of weighted residuals. The advantage with the WIBL model is that it could be applied up to moderate Reynolds numbers. This methodology, appropriately modified, has been applied successfully on falling films in the presence of various complexities. i.e. wall curvature effects (Ruyer-Quil *et al.* 2008; Ruyer-Quil & Kalliadasis 2012), thermocapillary Marangoni effects (Trevelyan & Kalliadasis 2004*a,b*; Ruyer-Quil *et al.* 2005; Trevelyan *et al.* 2007) and solutal Marangoni effect (Pereira & Kalliadasis 2008). Although it is known that generally WIBL models perform significantly better than Benney-type long-wave models and are valid up to moderate values of the Reynolds number, to truly analyse the range of validity of such long-wave models in any particular setup, it is important to compare their predictions with e.g. experiments or the full equations. The present study is an important step towards such validation. Namely, we perform the linear stability analysis and absolute/convective stability analysis for both the full equations and the reduced models and compare their results.

In §2 we present the governing equations and the dimensionless parameters. In §3 we recall the low-dimensional models of Tseluiko & Kalliadasis (2011). In §4, we derive the modified OS equation from the Navier–Stokes equations and the associated boundary conditions, and derive the linearised versions for the reduced model equations. By assuming normal mode solutions, we then examine the temporal stability of the system in §5.1, and in §5.2 we perform an energy analysis to elucidate the physical nature of the instability. In §5.3 we devise a methodology to analyse absolute-convective instabilities. The linear stability results are supplemented by time-dependent computations of the linearised WIBL model in §5.4. Finally, we summarise our findings in §6.

2. Problem formulation

Our prototypical system consists of a two-dimensional channel that is inclined at an angle θ with respect to the horizontal, as depicted in figure 2. A thin liquid film of density ρ_ℓ and viscosity μ_ℓ flows along the bottom wall of the channel due to gravity. The rest of the channel is occupied with an upflowing gas, which has density ρ_g and viscosity μ_g . The liquid film is taken to be laminar, whereas the gas flow is considered to be turbulent. We introduce a Cartesian coordinate system $(\tilde{x}, \tilde{y})$ with the $\tilde{x}$ -axis pointing along the lower wall and the $\tilde{y}$ -axis pointing into the liquid film. Tildes denote dimensional variables.

The governing equations for the liquid problem are the Navier–Stokes equations:

$$\rho_\ell (\tilde{\mathbf{u}}_{\tilde{t}} + \tilde{\mathbf{u}} \cdot \nabla \tilde{\mathbf{u}}) = -\nabla \tilde{p} + \mu_\ell \nabla^2 \tilde{\mathbf{u}} + \rho_\ell \mathbf{g}, \quad (2.1)$$

$$\nabla \cdot \tilde{\mathbf{u}} = 0, \quad (2.2)$$

FIGURE 2. Schematic illustration of a falling liquid film in contact with a counter-current turbulent gas. θ is the inclination angle of the channel with respect to the horizontal.

where $\tilde{t}$ is time, $\tilde{\mathbf{u}} = (\tilde{u}, \tilde{v})$ is the liquid velocity, $\tilde{p}$ is the pressure and $\mathbf{g} = (g \sin \theta, -g \cos \theta)$ with g denoting the acceleration due to gravity. The no-slip and no-penetration conditions at the bottom wall imply

$$\tilde{\mathbf{u}} = 0 \quad \text{at} \quad \tilde{y} = 0. \quad (2.3)$$

The kinematic condition at the gas-liquid interface $\tilde{h}$ is

$$\tilde{h}_{\tilde{t}} + \tilde{u}\tilde{h}_{\tilde{x}} = \tilde{v} \quad \text{at} \quad \tilde{y} = \tilde{h}(\tilde{x}, \tilde{t}). \quad (2.4)$$

The tangential stress balance is given by

$$-\frac{\mu_\ell}{1 + \tilde{h}_{\tilde{x}}^2} \left[2(\tilde{u}_{\tilde{x}} - \tilde{v}_{\tilde{y}}) - (\tilde{u}_{\tilde{y}} + \tilde{v}_{\tilde{x}}) (1 - \tilde{h}_{\tilde{x}}^2) \right] = \tilde{\tau}_w[\tilde{h}] \quad \text{at} \quad \tilde{y} = \tilde{h}(\tilde{x}, \tilde{t}), \quad (2.5)$$

where $\tilde{\tau}_w[\tilde{h}]$ is the tangential stress at the interface due to the turbulent gas flow. The normal stress balance can be written as

$$\tilde{p} = \tilde{P}_w[\tilde{h}] + \frac{2\mu_\ell}{1 + \tilde{h}_{\tilde{x}}^2} \left[\tilde{u}_{\tilde{x}}\tilde{h}_{\tilde{x}}^2 - (\tilde{u}_{\tilde{y}} + \tilde{v}_{\tilde{x}})\tilde{h}_{\tilde{x}} + \tilde{v}_{\tilde{y}} \right] - \frac{\sigma\tilde{h}_{\tilde{x}\tilde{x}}}{(1 + \tilde{h}_{\tilde{x}}^2)^{3/2}} \quad \text{at} \quad \tilde{y} = \tilde{h}(\tilde{x}, \tilde{t}), \quad (2.6)$$

where $\tilde{P}_w[\tilde{h}]$ is the pressure exerted at the interface by the turbulent gas. Expressions for

the tangential and normal stresses exerted by the turbulent gas on the liquid film have been obtained by Tseluiko & Kalliadasis (2011) and turn out to be non-local operators of the interface shape. These expressions will be given below in dimensionless forms. The basic hypotheses by Tseluiko & Kalliadasis (2011) are that the dynamic viscosity of the gas is much smaller than that of the liquid, that the typical velocity in the liquid and that of the interfacial waves is much smaller than the typical velocity of the gas and that of a ‘linear response’ i.e. the interface, written as a superposition of Fourier harmonics of wavenumber say α_n (assuming periodicity) induces tangential and normal stresses which are also superposition of Fourier harmonics of the same wavenumber α_n . In choosing the turbulence model, the motivation of Tseluiko & Kalliadasis (2011) was to utilise a simple model that gives good agreement with experiments and allows for an analytical progress to be made with the problem. Therefore, Tseluiko & Kalliadasis (2011) used Prandtl’s mixing length theory with the mixing length modelled by the van Driest equation. The drawbacks of the standard mixing length model are well known (e.g. it predicts that the apparent viscosity should vanish in the middle of the channel, which is actually not the case), however it still provides good agreement with experiments in many situations (e.g. Schlichting 2000). To model turbulent flow over a wavy surface, Tseluiko & Kalliadasis (2011) chose to work in orthogonal boundary-layer coordinates introduced by Benjamin (1959), in which the wall to leading order coincides with a coordinate line and far from the wall the coordinate lines of the curvilinear system approach exponentially those of the Cartesian system. Tseluiko & Kalliadasis (2011) assumed that the waviness-induced turbulent Reynolds stresses vanish in such coordinates (which implicitly provides a model for the waviness-induced Reynolds stresses in Cartesian coordinates) and demonstrated by comparing with experimental results presented in Thorsness *et al.* (1978) that such modelling is capable of capturing satisfactorily the effects of turbulent gas flow over a wavy surface (see figures 5-11 in Tseluiko & Kalliadasis 2011).

To make the above equations dimensionless, we choose the following scales for length (l_c), velocity ($\tilde{u}_c$), time ($\tilde{t}_c$) and pressure ($\tilde{p}_c$):

$$\tilde{l}_c = \tilde{h}_0; \quad \tilde{u}_c = \frac{\rho_\ell g \tilde{h}_0^2 \sin \theta}{2\mu_\ell}; \quad \tilde{t}_c = \frac{\tilde{h}_0}{\tilde{u}_c}; \quad \tilde{p}_c = \frac{\mu_\ell \tilde{u}_c}{\tilde{h}_0}. \quad (2.7)$$

Using these, the Navier–Stokes equations are written in dimensionless form as follows

$$Re (u_t + uu_x + vv_y) = -p_x + u_{xx} + u_{yy} + 2, \quad (2.8)$$

$$Re (v_t + uv_x + vv_y) = -p_y + v_{xx} + v_{yy} - 2 \cot \theta, \quad (2.9)$$

$$u_x + v_y = 0, \quad (2.10)$$

where

$$Re = \frac{\rho_\ell^2 g \tilde{h}_0^3 \sin \theta}{2\mu_\ell^2} \quad (2.11)$$

is the Reynolds number. At the bottom wall, we have

$$u = v = 0, \quad \text{at } y = 0. \quad (2.12)$$

On the interface, the dimensionless kinematic condition is given by

$$h_t + uh_x = v, \quad \text{at } y = h(x, t), \quad (2.13)$$

with the dimensionless tangential stress condition given by

$$-\frac{1}{1+h_x^2} [2(u_x - v_y)h_x - (u_y + v_x)(1 - h_x^2)] = \hat{\tau}_w[h] \quad \text{at } y = h(x, t), \quad (2.14)$$

where

$$\hat{\tau}_w[h] = \hat{\tau}_{w0} + \hat{\tau}_{w0}\nu \hat{\tau}_{w1}[h], \quad (2.15)$$

with

$$\hat{\tau}_{w0} = \frac{\tilde{h}_0 T_w \tau_{w0}}{\mu_l \tilde{u}_0}, \quad \nu = \frac{\tilde{h}_0 \rho_g U_f^*}{\mu_g}, \quad \hat{\tau}_{w1}[h] \equiv \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} h_n \tau_{w1}(\alpha_n/\nu) e^{i\alpha_n x}, \quad (2.16)$$

where U_f^* is the frictional velocity in the turbulent gas flow, and α_n is the wavenumber. The dimensionless form of the normal stress balance condition can be written as

$$p = \hat{P}_w[h] + \frac{2}{1+h_x^2} [u_x h_x^2 - (u_y + v_x)h_x + v_y] - \frac{Re \, We \, h_{xx}}{(1+h_x^2)^{3/2}}, \quad \text{at } y = h(x, t), \quad (2.17)$$

where

$$\hat{P}_w[h] = \hat{P}_0 + |\hat{\tau}_{w0}| \nu \hat{P}_1[h], \quad (2.18)$$

$$\hat{P}_0 = |\hat{\tau}_{w0}| P_0 = \hat{P}_0^a + \hat{P}_0^b x, \quad \hat{P}_1[h] \equiv \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} h_n P_{w1}(\alpha_n/\nu) e^{i\alpha_n x}, \quad (2.19)$$

$$\hat{P}_0^a = |\hat{\tau}_{w0}| P_0^a, \quad \hat{P}_0^b = -\frac{\hat{\tau}_{w0}}{\hat{L}}, \quad (2.20)$$

with P_0^a being the reference pressure and $\hat{L}$ the dimensionless width of the channel. We note that functions τ_{w1} and P_{w1} are defined by

$$\tau_{w1}(\alpha) = \psi_1''(0) - 2\alpha, \quad P_{w1}(\alpha) = -i \left[\frac{1}{\hat{L}} + 2\alpha - \psi_1'''(0) \right], \quad (2.21)$$

where $L = \rho_g U_f^* \tilde{L} / \mu_g$, with $\tilde{L}$ denoting the half distance between the undisturbed film surface and the upper wall, and $\psi_1(y)$ is an interface-waviness-induced perturbation to the gas stream function that is obtained for each interface wavenumber α by numerically solving a two-point boundary-value problem. We omit the details here, which can be found in Tseluiko & Kalliadasis (2011). The dimensionless parameter We is the Weber number that signifies the ratio of surface tension forces to the inertial forces, given by

$$We = \frac{4Ka}{Re_0^{5/3} \sin^2 \theta}, \quad (2.22)$$

with $Re = (Re_0 \sin \theta)/2$, and $Ka = \sigma \rho_\ell^{1/3} / (g^{1/3} \mu_\ell^{4/3})$ is the Kapitza number that depends on the properties of the liquid only. For convenience we can write

$$\hat{\tau}_{w0} = \frac{2}{Re_0^{1/3} \sin \theta} \Theta \tau_{w0}, \quad \nu = N Re_0^{1/3} \Theta^{1/2}, \quad (2.23)$$

where

$$\Theta = \frac{|T_w|}{\rho_l^{1/3} g^{2/3} \mu_l^{2/3}}, \quad N = \frac{\mu_l \rho_g^{1/2}}{\mu_g \rho_l^{1/2}}. \quad (2.24)$$

The parameter Θ controls the gas shear-stress strength, whereas the parameter N depends only on the densities and viscosities of the liquid and the gas.

3. Low-dimensional models

Solving the full Navier–Stokes equations for the gas and liquid problems is an arduous task. To alleviate this difficulty, low-dimensional models that capture the essential physics of the full problem for a range of values in the parameter space were developed by Tseluiko & Kalliadasis (2011). Here, we recall only the main ideas and the final equations, for details on the derivation the reader is referred to Tseluiko & Kalliadasis (2011).

3.1. Long-wave model

To derive a long-wave model, it is assumed that interfacial waves have a long wavelength when compared to the thickness of the film. Applying the classical long-wave expansion of the velocity and pressure fields, first proposed by Benney (1966), Tseluiko & Kalliadasis (2011) obtain

$$h_t + 2h^2 h_x + \left(\left(\frac{8}{15} Re h^6 - \frac{2}{3} \cot \theta h^3 \right) h_x + \frac{1}{3} Re We h^3 h_{xxx} \right)_x + \frac{\hat{\tau}_{w0}}{2} \left(h^2 \left(1 + \frac{8Re}{15} h^3 h_x + \nu \hat{\tau}_{w1}[h] \right) \right)_x = 0. \quad (3.1)$$

By ignoring the terms multiplying $\hat{\tau}_{w0}$ in the parentheses that represent the effect of turbulent gas flow, we recover the classic Benney’s equation for the case of a falling film. Although this nonlinear partial differential equation is probably the simplest possible model that correctly predicts the linear stability threshold for long waves, its disadvantage is that it suffers finite-time blow up when Re exceeds a limiting value.

3.2. Weighted integral boundary layer (WIBL) model

The shortcomings of the long-wave model can be overcome with the methodology developed by Ruyer-Quil & Manneville (1998, 2000) to model falling films on inclined planes in the region of small-to-moderate Reynolds numbers. The starting point for this derivation is the first-order boundary layer equations. Combining these equations with a weighted-residuals technique where the velocity components are expanded in terms of polynomial test functions satisfying the boundary conditions yields the residual. Applying this methodology to our problem and using a simple Galerkin projection with just one test function yields a set of coupled equations for the evolution of film thickness (h) and the local flow rate (q):

$$q_t = -\frac{17}{7} \frac{q q_x}{h} + \frac{9}{7} \frac{q^2 h_x}{h^2} - \frac{5}{2} \frac{q}{Re h^2} + \frac{5}{3} \frac{h}{Re} - \frac{5 \cot \theta}{3Re} h h_x + \frac{5 We}{6} h h_{xxx} + \hat{\tau}_{w0} \left(\frac{5}{4Re} - \frac{19 \hat{\tau}_{w0}}{672} h^2 h_x - \frac{19}{336} h q_x - \frac{5}{112} q h_x + \frac{5 \text{sign}(\hat{\tau}_{w0}) \nu}{4Re} \hat{\tau}_{w1}[h] \right), \quad (3.2)$$

$$h_t + q_x = 0. \quad (3.3)$$

The terms multiplying $\hat{\tau}_{w0}$ correspond to the influence of the turbulent gas on the liquid film dynamics, and by setting $\hat{\tau}_{w0}$ to zero we recover the case of a falling film (Ruyer-Quil & Manneville 2000). This WIBL model predicts the correct value for the linear stability threshold, but is also applicable for moderately large values of Re . We note that although in many applications involving gas–liquid flows the liquid Reynolds number is large, there are applications where it can take moderate values, for example, in desiccant cooling systems (see e.g. Ali *et al.* 2003; Mouza *et al.* 2005) and in the cooling of microelectronic

devices (see e.g. Kabov *et al.* 2007). In addition, there are several experiments that are preformed at moderate liquid Reynolds numbers (see e.g. Semyonov 1944; Clift *et al.* 1966; Zapke & Kröger 2000*b*).

4. Linear stability analysis

4.1. Formulation of the OS problem

To obtain the base state solution, we shall assume the flow to be steady and unidirectional with the interface being flat. The governing equations simplify to

$$-\hat{P}_{0x} + u_{0yy} + 2 = 0, \quad (4.1a)$$

$$\hat{P}_{0y} + 2 \cot \theta = 0. \quad (4.1b)$$

The above equations are to be solved subject to the boundary conditions

$$u_0(0) = 0 \quad \text{and} \quad u_{0y}(1) = \hat{\tau}_{w0}. \quad (4.2)$$

Integrating equation (4.1*a*) twice with respect to y and applying the boundary conditions (4.2), we obtain

$$u_0 = \left(\frac{\hat{P}_{0x}}{2} - 1 \right) (y^2 - 2y) + \hat{\tau}_{w0}y. \quad (4.3)$$

Further by making use of the relation (2.20) and taking the channel to be much wider than the liquid film thickness, equation (4.3) simplifies to

$$u_0 = -(y^2 - 2y) + \hat{\tau}_{w0}y. \quad (4.4)$$

The base-state stream function can be obtained by integrating (4.4) with respect to y :

$$\psi_0 = -\left(\frac{y^3}{3} - y^2 \right) + \frac{\hat{\tau}_{w0}}{2}y^2. \quad (4.5)$$

We eliminate the pressure terms from the Navier–Stokes equations (2.8) and (2.9) by cross-differentiation, followed by making use of the definition of the stream function, $u = \Psi_y$, $v = -\Psi_x$ to obtain

$$\left(\frac{\partial}{\partial t} + \frac{\partial \Psi}{\partial y} \frac{\partial}{\partial x} - \frac{\partial \Psi}{\partial x} \frac{\partial}{\partial y} \right) \nabla^2 \Psi = \frac{1}{Re} \nabla^4 \Psi. \quad (4.6)$$

As the next step, we introduce infinitesimal perturbations to the base flow by writing $\Psi = \psi_0 + \epsilon \psi_1 + O(\epsilon^2)$, where $\epsilon \ll 1$. Substituting this in (4.6) and retaining only the linear terms, yields

$$\left(\frac{\partial}{\partial t} + u_0 \frac{\partial}{\partial x} \right) \nabla^2 \psi_1 - u_0'' \frac{\partial \psi_1}{\partial x} = \frac{1}{Re} \nabla^4 \psi_1, \quad (4.7)$$

where prime denotes differentiation with respect to y , and u_0 is the base-state velocity given by $u_0 = \psi_0'$. Further, by writing the perturbation ψ_1 in the form of normal modes, $\psi_1 = \phi(y)e^{ik(x-ct)}$, (4.7) results in the following classical OS equation:

$$\phi'''' - 2k^2\phi'' + k^4\phi = ikRe [(u_0 - c)(\phi'' - k^2\phi) - u_0''\phi]. \quad (4.8)$$

The no-penetration and no-slip conditions at the bottom wall, respectively, imply

$$\phi(0) = 0, \quad \phi'(0) = 0. \quad (4.9)$$

The perturbation to the flat interface can be represented as $y = h + \epsilon\eta(x, t)$. Therefore, the waveform of the interface disturbance is given by $\eta(x, t) = A_1 e^{ik(x-ct)}$, where A_1 is a complex quantity. Substituting this in (2.13), we obtain the linearised form of the kinematic condition

$$\phi(1) = (c - 1 - \hat{\tau}_{w0})A_1. \quad (4.10)$$

From the tangential stress balance condition (2.14), we have

$$\phi''(1) + k^2\phi(1) - [|\hat{\tau}_{w0}|\nu\tau_{w1}(k/\nu) + 2]A_1 = 0. \quad (4.11)$$

The normal stress balance condition (2.17) becomes

$$\begin{aligned} \phi'''(1) + k[iRe(c - 1 - \hat{\tau}_{w0}) - 3k]\phi'(1) + ikRe\hat{\tau}_{w0}\phi(1) \\ - k[2i \cot \theta + ik^2 WeRe + 2k\hat{\tau}_{w0} + i|\hat{\tau}_{w0}|\nu P_{w1}(k/\nu)]A_1 = 0. \end{aligned} \quad (4.12)$$

The OS equation (4.8) together with the boundary conditions (4.9), (4.10), (4.11) and (4.12) constitute a generalised eigenvalue problem, with c the eigenvalue.

4.2. Dispersion relations for low-dimensional models

To understand the linear stability of the flat-film solution ($h = 1$) in a long-wave model, we linearise (3.1) by substituting $h = 1 + f$, where $|f| \ll 1$, and retaining only the first-order terms, we obtain

$$f_t + af_x + bf_{xx} + cf_{xxx} + d(\hat{\tau}_{w1}[f])_x = 0, \quad (4.13)$$

where

$$a = 2 + \hat{\tau}_{w0}, \quad b = \frac{8Re}{15} - \frac{2 \cot \theta}{3} + \frac{4Re\hat{\tau}_{w0}}{15}, \quad c = \frac{Re We}{3}, \quad d = \frac{|\hat{\tau}_{w0}|\nu}{2}. \quad (4.14)$$

The linearised long-wave equation (4.13) represents the evolution of an infinitesimal perturbation f . We look for solutions in the form of normal modes, $f \propto e^{s(k)t + ikx}$, to obtain the following dispersion relation:

$$s(k) = -iak + bk^2 - ck^4 - idk\tau_{w1}(k/\nu). \quad (4.15)$$

In a similar fashion, by substituting $h = 1 + f$ and $q = 2/3 + \hat{\tau}_{w0}/2 + g$, where $|f| \ll 1$ and $|g| \ll 1$ in (3.2) and (3.3), the linearised form of the WIBL model is obtained as

$$f_t + g_x = 0, \quad (4.16)$$

$$\begin{aligned} g_t = - \left(\frac{34}{21} + \frac{61}{48}\hat{\tau}_{w0} \right) g_x - \frac{5}{2Re}g + \frac{5We}{6}f_{xxx} + \left(\frac{4}{7} + \frac{139}{168}\hat{\tau}_{w0} + \frac{13}{48}\hat{\tau}_{w0}^2 - \frac{5 \cot \theta}{3Re} \right) f_x \\ + \frac{5}{2Re}(2 + \hat{\tau}_{w0})f + \frac{5 \operatorname{sign}(\hat{\tau}_{w0})\nu}{4Re}\hat{\tau}_{w0}\hat{\tau}_{w1}[f]. \end{aligned} \quad (4.17)$$

As with the long-wave model, we look for solutions in the form of normal modes, $(f, g) \propto e^{s(k)t + ikx}$ to obtain the following quadratic dispersion relation:

$$\begin{aligned} s^2(k) + \frac{1}{336Re} [840 + ikRe(427\hat{\tau}_{w0} + 544)]s(k) + \frac{5We}{6}k^4 \\ + \frac{1}{336Re} [-Re(192 + 278\hat{\tau}_{w0} + 91\hat{\tau}_{w0}^2) + 560 \cot \theta]k^2 \\ + i\frac{5}{4Re} [4 + 2\hat{\tau}_{w0} + \operatorname{sign}(\hat{\tau}_{w0})\hat{\tau}_{w0}\nu\tau_{w1}(k/\nu)]k = 0. \end{aligned} \quad (4.18)$$

The above set of dispersion relations (4.15), (4.18), along with the OS system, (4.8)–(4.12) will be utilised in the stability calculations that will be presented in the following sections.

5. Numerical results

In this section we will discuss numerical results. We will mainly adopt helium (gas) and methanol (liquid) as working fluids, which were used, for example in the experiments of Zapke & Kröger (2000*a,b*) on flooding in rectangular channels with an emphasis on geometrical effects. The physical properties of those are as follows:

$$\rho_g = 0.165 \text{ kg/m}^3, \quad \mu_g = 2 \times 10^{-5} \text{ Pa s}, \quad (5.1a)$$

$$\rho_\ell = 791 \text{ kg/m}^3, \quad \mu_\ell = 5.75 \times 10^{-4} \text{ Pa s}, \quad \sigma = 22 \times 10^{-3} \text{ N/m}, \quad (5.1b)$$

which in terms of dimensionless numbers in our formulation are given by

$$Ka = 1988.5, \quad N = 0.4. \quad (5.2)$$

5.1. Temporal stability

In order to analyse the temporal stability of the system, the wavenumber k is taken to be real whereas c is complex. This implies that the real part of c gives the phase speed, whereas kc_i is the growth rate of the perturbation with c_i being the imaginary part of the complex quantity c .

5.1.1. Case I: No gas flow

To verify our numerical procedure, we first switch off the gas flow and examine if we recover the linear stability results for a falling film (see e.g. Yih 1963). This is realised by setting $\hat{\tau}_{w0} = 0$, $\tau_{w1}(k/\nu) = 0$ and $P_{w1}(k/\nu) = 0$. The asymptotic solution for small k is given by

$$\begin{aligned} \phi = & (z+1)^2 + k \left[\frac{1}{240} i Re z^5 - \frac{1}{48} i Re z^4 + \frac{1}{6} \left(-\frac{3}{4} i Re + i \cot \theta \right) z^3 \right. \\ & \left. + \frac{1}{2} \left(-\frac{41}{120} i Re + \frac{2}{3} i \cot \theta + 2 \right) z^2 + \left(-\frac{17}{240} i Re + \frac{1}{6} i \cot \theta + 2 \right) z + 1 \right] + \dots, \end{aligned} \quad (5.3a)$$

$$c = 2 + ik \left(\frac{8}{15} Re - \frac{2}{3} \cot \theta \right) + \dots, \quad (5.3b)$$

whilst the growth rate is

$$s_r = -\text{Re}(ikc) \equiv k^2 \left(\frac{8}{15} Re - \frac{2}{3} \cot \theta \right). \quad (5.4)$$

The flat-film solution becomes unstable when $s_r > 0$, from which one can deduce the classical result that the critical Reynolds number at which the instability sets in is

$$Re_c = \frac{5}{4} \cot \theta. \quad (5.5)$$

Figure 3 shows the growth rate of the disturbance, s_r , as a function of Reynolds number, Re , with the wavenumber $k = 0.001$ when $\theta = \pi/4$ and $Ka = 1988.5$. Our computations by two different techniques, namely the ‘FFT-based’ and ‘matrix-based’,

FIGURE 3. The growth rate, s_r , vs the Reynolds number, Re , for a falling liquid film when $\Theta = 0$, $\theta = \pi/4$, $k = 0.001$ and $Ka = 1988.5$.

FIGURE 4. The growth rate, s_r , vs the Reynolds number, Re , for a falling liquid film when $\Theta = 0$, $\theta = \pi/4$, $k = 0.01$ and $Ka = 1988.5$.

agree very well with the asymptotic result. For details on the numerical scheme, the reader is referred to Appendix A. Beyond the critical Reynolds number ($Re_c \equiv 5 \cot \theta/4$), the flat film solution becomes unstable and the growth rate increases with increasing Re . As we increase the wavenumber ($k = 0.01$) further, the growth rate increases for any given Re , but the numerics still predicts the critical Reynolds number very well (as shown in figure 4).

FIGURE 5. The growth rate, s_r , vs the wavenumber, k , for a falling liquid film when $\Theta = 0$, $\theta = \pi/2$ and $Ka = 1988.5$. The solid curves correspond to the solutions from the ‘FFT-based’ method and the open circles to the solutions from the ‘Matrix-based’ technique.

FIGURE 6. The neutral stability curve for a vertically falling film ($\theta = \pi/2$) when $\Theta = 0$ and $Ka = 528.8$. The solid curve corresponds to our OS system, whereas the closed circles correspond to the results of Kalliadasis *et al.* (2012).

Figure 5 shows the growth rates, corresponding to the dominant normal mode for the case of a vertically falling liquid film ($\theta = \pi/2$), for various Re . As can be observed from the plot, the vertically falling liquid film is unstable to long-wavelength disturbances (for any Re), and the band of unstable wavenumbers increases as Re is increased. As the wavenumber is increased beyond a particular value, the growth rate becomes negative, implying that the short wave disturbances are damped.

FIGURE 7. The neutral stability curve for a vertically falling film ($\theta = \pi/2$) when $\Theta = 0$ and $Ka = 1988.5$. The solid curve corresponds to our OS system, the closed circles correspond to the long-wave model, and the dashed curve corresponds to the WIBL model.

Another way to assess the linear stability of the falling film system is to consider the neutral stability curves. In figure 6, we compare the neutral curves computed from our OS formulation (with the gas flow switched-off) with those of Kalliadasis *et al.* (2012) for the parameter values, $Ka = 528.8$ and $\theta = \pi/2$, and we find excellent agreement.

Finally, we compare the neutral curves obtained by solving the dispersion relations of the long-wave model (4.15) and the WIBL model (4.18) with the OS system for the vertical configuration ($\theta = \pi/2$) and $Ka = 1988.5$. From figure 7, it can be observed that the long-wave and WIBL models correctly predict the linear stability threshold for Re up to $\simeq 10$, beyond which the agreement becomes poorer as the inertial effects become important that are not captured by the low-dimensional models. It can also be observed that the results for the WIBL model are in much better agreement with the OS results than those of long-wave model.

5.1.2. Case II: with gas flow

Following a few reasonable approximations in the gas flow (Lin *et al.* 2013), the asymptotic solution for the falling film in the presence of counter-current gas is given by

$$\begin{aligned} \phi = & (z+1)^2 + k \left[\frac{1}{480} i Re(2 + \hat{\tau}_{w0}) z^5 - \frac{1}{96} i Re(2 + \hat{\tau}_{w0}) z^4 - \frac{1}{48} i \left(3 Re(2 + \hat{\tau}_{w0}) \right. \right. \\ & \left. \left. + \cot \theta \right) z^3 + \frac{1}{480} \left(-i Re(2 + \hat{\tau}_{w0}) + 160i \cot \theta + 480 \right) z^2 \right. \\ & \left. + \frac{1}{480} \left(-17i Re(2 + \hat{\tau}_{w0}) + 80i \cot \theta + 960 \right) z + 1 \right] + \dots, \end{aligned} \quad (5.6a)$$

$$c = 2 + \hat{\tau}_{w0} - 2k|\hat{\tau}_{w0}| + ik \left(\frac{4Re}{15} (2 + \hat{\tau}_{w0}) - \frac{2}{3} \cot \theta \right) + \dots. \quad (5.6b)$$

FIGURE 8. (a) The growth rate, s_r , vs the gas parameter, Θ , and (b) the phase speed, c_r , vs the gas parameter, Θ , for a falling liquid film in the presence of counter-current gas when $\theta = \pi/2$, $k = 10^{-5}$, $N=0.4$ and $Ka = 1988.5$.

Therefore, the growth rate is given by

$$s_r = k^2 \left(\frac{4Re}{15} (2 + \hat{\tau}_{w0}) - \frac{2}{3} \cot \theta \right). \quad (5.7)$$

The details of the solution procedure is provided in Appendix B. A comparison of the growth rates and phase speed of the disturbance obtained from asymptotic and numerical approaches is displayed in figure 8, which shows a good agreement. However, the agreement deteriorates when the wavenumber of the disturbance waves is taken to be sufficiently large. Therefore, we resort to numerical treatment of the OS equations and the low-dimensional models.

First, we present the neutral curves of the long-wave model (figure 9), the WIBL model (figure 10) and the OS problem (figure 11). We can observe that the stability boundaries obtained from the long-wave and WIBL models are slightly different from the OS results, especially for larger values of Θ . It is also evident that the results for the WIBL model are in better agreement with the OS results than the results for the long-wave model, at least for Θ up to the value 2.5. We can observe that for the long-wave model, the region of stability increases with increasing the gas shear for larger values of Re . The behaviour is similar for the WIBL model but starts to change for larger values of Θ . The OS results also show similar behaviour for smaller values of Θ , but this behaviour drastically changes for larger values of Θ . This qualitative difference between the results for the reduced model equations and the OS results is attributed to the fact that a consistent derivation of the reduced models (see Tseluiko & Kalliadasis 2011) is done under the assumptions which imply that the normal stress imposed by the turbulent gas is of higher order and is therefore neglected, whereas for the considered helium–methanol system and for sufficiently large values of the gas parameter Θ , it turns out that the energy production due to the normal stress imposed by the turbulent gas dominates over

FIGURE 9. The neutral stability curves from the long-wave model for a vertically falling film in contact with counter-current gas flow for various values of gas shear Θ , when $\theta = \pi/2$, $Ka = 1988.5$ and $N = 0.4$.

FIGURE 10. The neutral stability curves from the WIBL model for a vertically falling film in contact with counter-current gas flow for various values of gas shear Θ , when $\theta = \pi/2$, $Ka = 1988.5$ and $N = 0.4$.

the energy production due to the tangential stress imposed by the turbulent gas (as is evident from § 5.2).

To achieve a better comparison between the various models, we plot the stability boundary in the k - Θ plane for two different values of the Reynolds number, $Re = 5$ and 18. It can be seen from figure 12 that both the long-wave and the WIBL model show

FIGURE 11. The neutral stability curves from the OS equations for a vertically falling film in contact with counter-current gas flow for various values of gas shear Θ , when $\theta = \pi/2$, $Ka = 1988.5$ and $N = 0.4$.

FIGURE 12. The neutral stability curves from the different formulations: the solid curves correspond to the OS equation, the open circles to the long-wave model and the closed circles to the WIBL model, when $\theta = \pi/2$, $Ka = 1988.5$ and $N = 0.4$. The black and grey shadings correspond to $Re = 5$ and $Re = 18$, respectively.

good agreement with the OS results for $Re = 5$, but as the Reynolds number is increased to $Re = 18$, the WIBL model overall shows better agreement with the OS results than the long-wave model.

5.2. Energy analysis

To investigate the mechanism of instability in the gas-liquid system, we adopt a mechanical energy balance formulation (Smith & Davis 1982; Hooper & Boyd 1983; Kelly *et al.* 1989). The energy balance equation is derived by multiplying the linear equation for the perturbation evolution with (u_1, v_1) , further by integrating across the interface thickness and over a wavelength ($L_x = 2\pi/k_m$), where k_m is the wavenumber corresponding to the maximum growth rate. The main ideas in the derivation are given below. The linearised equations and the boundary conditions governing the evolution of perturbations are given by

$$Re(u_{1t} + u_0 u_{1x} + v_1 u_{0y}) = -p_{1x} + u_{1xx} + u_{1yy}, \quad (5.8)$$

$$Re(v_{1t} + u_0 v_{1x}) = -p_{1y} + v_{1xx} + v_{1yy}, \quad (5.9)$$

$$u_{1x} + v_{1y} = 0, \quad (5.10)$$

$$u_1 = v_1 = 0, \quad \text{at } y = 0, \quad (5.11)$$

$$\eta_t + (1 + \hat{\tau}_{w0})\eta_x = v_1, \quad \text{at } y = 1, \quad (5.12)$$

$$u_{1y} + v_{1x} = [|\hat{\tau}_{w0}|\nu\tau_{w1}(k/\nu) + 2]\eta, \quad \text{at } y = 1, \quad (5.13)$$

and

$$p_1 = [|\hat{\tau}_{w0}|\nu P_{w1}(k/\nu) + 2 \cot \theta]\eta - Re We \eta_{xx} - 2\hat{\tau}_{w0}\eta_x - 2u_{1x}, \quad \text{at } y = 1, \quad (5.14)$$

where η corresponds to the deflection in the free surface about $h = 1$. Multiplying (5.8) by u_1 and (5.9) by v_1 , adding the results and integrating over the film thickness and the wavelength (L_x) we obtain

$$\begin{aligned} \frac{Re}{2L_x} \frac{d}{dt} \int_0^1 \int_0^{L_x} (u_1^2 + v_1^2) dx dy &= -\frac{Re}{L_x} \int_0^1 \int_0^{L_x} u_{0y} u_1 v_1 dx dy \\ &\quad - \frac{1}{L_x} \int_0^1 \int_0^{L_x} u_1 p_{1x} dx dy - \frac{1}{L_x} \int_0^1 \int_0^{L_x} v_1 p_{1y} dx dy \\ &\quad + \frac{1}{L_x} \int_0^1 \int_0^{L_x} (u_1 u_{1xx} + u_1 u_{1yy} + v_1 v_{1xx} + v_1 v_{1yy}) dx dy. \end{aligned} \quad (5.15)$$

On integrating (5.15) by parts, followed by substituting p_1 from (5.14) results in

$$\begin{aligned} \frac{Re}{2L_x} \frac{d}{dt} \int_0^1 \int_0^{L_x} (u_1^2 + v_1^2) dx dy &= -\frac{Re}{L_x} \int_0^1 \int_0^{L_x} u_{0y} u_1 v_1 dx dy \\ &\quad - \frac{1}{L_x} \int_0^{L_x} v_1 \left[(|\hat{\tau}_{w0}|\nu P_{w1}(k/\nu) + 2 \cot \theta)\eta - Re We \eta_{xx} - 2\hat{\tau}_{w0}\eta_x - 2u_{1x} \right] \Big|_{y=1} dx \\ &\quad + \frac{1}{L_x} \int_0^1 \int_0^{L_x} (u_1 u_{1xx} + u_1 u_{1yy} + v_1 v_{1xx} + v_1 v_{1yy}) dx dy. \end{aligned} \quad (5.16)$$

The above equation can be further simplified by substituting the square of the continuity equation (5.10). This yields

$$E_K = E_{ST} + E_{GP} + E_R + E_{SH} + E_D + E_{T1} \quad (5.17)$$

where

$$E_K = \frac{1}{2L_x} \frac{d}{dt} \int_0^1 \int_0^{L_x} (u_1^2 + v_1^2) dx dy \quad (5.18)$$

is the rate of change of “kinetic energy” of the disturbance, which is proportional to the growth rate. The disturbance energy production due to surface tension forces is given by

$$E_{ST} = \frac{We}{L_x} \int_0^{L_x} v_1 \Big|_1 \eta_{xx} dx. \quad (5.19)$$

The rate of work done by the pressure gradient (due to gas flow and gravity) in deforming the interface is given by

$$E_{GP} = -\frac{1}{L_x Re} \int_0^{L_x} v_1 \Big|_1 \left[|\hat{\tau}_{w0}| \nu P_{w1}(k/\nu) + 2 \cot \theta \right] \eta dx, \quad (5.20)$$

whereas the term E_R corresponds to the rate of energy transfer from the mean flow of the liquid to the disturbance by means of Reynolds stresses, and is given by

$$E_R = -\frac{1}{L_x} \int_0^1 \int_0^{L_x} u_{0y} u_1 v_1 dx dy. \quad (5.21)$$

The work done by the disturbance shear force at the interface is represented by

$$E_{SH} = \frac{1}{L_x Re} \int_0^{L_x} u_1 \Big|_1 [u_{1y} + v_{1x}]_1 dx, \quad (5.22)$$

whereas, the rate of dissipation of energy by viscous forces is given by

$$E_D = -\frac{1}{L_x Re} \int_0^1 \int_0^{L_x} \left[2(u_{1x})^2 + (u_{1y} + v_{1x})^2 + 2(v_{1y})^2 \right] dx dy. \quad (5.23)$$

Finally, the work done by the applied shear stress at the interface due to countercurrent gas flow is given by

$$E_{T1} = \frac{2\hat{\tau}_{w0}}{L_x Re} \int_0^{L_x} v_1 \Big|_1 \eta_x dx. \quad (5.24)$$

The various energy quantities (5.18)-(5.24) in the kinetic energy equation (5.17) can be evaluated by making use of the solution obtained by solving the eigenvalue problem (A 7). The variables involved in the energy terms are derived once the eigenvalue c and the eigenfunction ϕ are obtained by solving the OS problem. In order to perform the integration along the cross-streamwise direction, we employ a pseudo-spectral approach, whereas integration along the streamwise direction is carried out using the trapezoidal rule.

In this energy analysis, we are interested in the energy composition for the most dominant normal mode, therefore we consider wavenumbers corresponding to the maximum growthrate. The various energy terms in the kinetic energy equation are evaluated for increasing values of gas flow rate Θ using the numerical methodology described above, and the results, scaled with the kinetic energy E_K , are shown in figure 13. For the case of a vertically falling film in the absence of gas flow ($\Theta = 0$), the main contribution to energy production comes from the disturbance shear force at the interface $\hat{E}_{SH}$ whereas some of this energy is dissipated by the viscous forces $\hat{E}_D$. As the gas flow is switched on, the energy production has a contribution from the applied shear stress $\hat{E}_{T1}$ in addition to the applied pressure gradient due to gas flow and gravity $\hat{E}_{GP}$. (We note that for the vertical configuration of the channel considered here, the contribution due to gravity in $\hat{E}_{GP}$ vanishes.) It can be observed that $\hat{E}_{T1}$ dominates over $\hat{E}_{GP}$ for smaller values of the gas flow rate, whereas $\hat{E}_{GP}$ is dominant for larger gas flow rates. The contribution from the Reynolds stresses $\hat{E}_R$ is negligible for the moderate values of the Reynolds number considered in this study.

FIGURE 13. Plots of various energy terms in equation (5.17) normalised with the total kinetic energy E_K as a function of gas shear Θ , when $Re_0 = 10$, $\theta = \pi/2$, $Ka = 1988.5$ and $N = 0.4$.

5.3. Absolute and convective instabilities

In this section, we outline our methodology to analyse absolute and convective instabilities by considering the dispersion relation obtained from the long-wave model. Our analysis follows that by Fokas & Papageorgiou (2005). We note first that the dispersion relation $s(k)$ is not analytic at zero and, therefore, it is not possible to study absolute and convective instabilities in a straightforward way by analysing the saddle point of the real part of the dispersion relation and applying the Briggs pinching criterion, see e.g. Huerre & Monkewitz (1990). To begin, let us assume that $f(x, 0) = f_0(x)$ is the given initial condition. Therefore, the solution of the linearised equation can be written as

$$f(x, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{s(k)t + ikx} \hat{f}_0(k) dk, \quad (5.25)$$

where $\hat{f}_0(k)$ is the Fourier transform of the initial condition:

$$\hat{f}_0(k) = \int_{-\infty}^{\infty} e^{-ikx} f_0(x) dx. \quad (5.26)$$

There are two possibilities: if $\text{Re}[s(k)] \leq 0$ for all k , the flat-film solution is linearly stable. On the other hand, if $\text{Re}[s(k)] > 0$ at least for some k , then the flat-film solution is unstable, and the instability could be either absolute or convective. Noting that $\tau_{w1}(-\alpha) = \overline{\tau_{w1}(\alpha)}$ for real α , where the bar denotes complex conjugation (see Vellingiri

et al. 2013), the growth rate can be written as

$$s(k) = \begin{cases} -iak + bk^2 - ck^4 - idk \overline{\tau_{w1}(k/\nu)} \equiv s_-(k), & \text{if } k < 0, \\ -iak + bk^2 - ck^4 - idk \tau_{w1}(k/\nu) \equiv s_+(k), & \text{if } k \geq 0. \end{cases} \quad (5.27)$$

The growth rates are related by $s_-(-k) = \overline{s_+(k)}$. We denote the real part of the growth rate as $s_r(k) \equiv \text{Re}[s_+(k)] = bk^2 - ck^4 + dk \text{Im}[\tau_{w1}(k/\nu)]$. The integral (5.25) can be rewritten as

$$f(x, t) = \frac{1}{2\pi} [I(x, t) + J(x, t)], \quad (5.28)$$

where

$$I(x, t) = \left(\int_{-\infty}^{-k_1} + \int_{k_1}^{\infty} \right) e^{s(k)t + ikx} \hat{f}_0(k) dk \quad (5.29)$$

and

$$J(x, t) = \left(\int_{-k_1}^0 + \int_0^{k_1} \right) e^{s(k)t + ikx} \hat{f}_0(k) dk, \quad (5.30)$$

with k_1 being the critical wavenumber below which the flat film solution is unstable. For fixed x/t , $I(x, t)$ goes to zero as t increases. Therefore, it is sufficient to analyse the other integral, $J(x, t)$:

$$J(x, t) = \int_{-k_1}^0 e^{s_-(k)t + ikx} \hat{f}_0(k) dk + \int_0^{k_1} e^{s_+(k)t + ikx} \hat{f}_0(k) dk \quad (5.31)$$

$$= \int_0^{k_1} e^{s_-(-k)t - ikx} \hat{f}_0(-k) dk + \int_0^{k_1} e^{s_+(k)t + ikx} \hat{f}_0(k) dk. \quad (5.32)$$

By making use of the fact that $s_-(-k) = \overline{s_+(k)}$ for real k , and that $\hat{f}_0(-k) = \overline{\hat{f}_0(k)}$ as $f_0(x)$ is a real function, we obtain

$$J(x, t) = \int_0^{k_1} \left[e^{\overline{s_+(k)t - ikx}} \overline{\hat{f}_0(k)} + e^{s_+(k)t + ikx} \hat{f}_0(k) \right] dk \quad (5.33)$$

$$= \int_0^{k_1} \left[e^{\overline{s_+(k)t + ikx}} \overline{\hat{f}_0(k)} + e^{s_+(k)t + ikx} \hat{f}_0(k) \right] dk \quad (5.34)$$

$$= 2\text{Re} [K(x, t)], \quad (5.35)$$

where

$$K(x, t) = \int_0^{k_1} e^{s_+(k)t + ikx} \hat{f}_0(k) dk. \quad (5.36)$$

The function $s_+(k)$ has been defined for real non-negative values of k , since $\tau_{w1}(\alpha)$ is obtained by solving equation (3.34) with homogeneous boundary conditions (3.37) and (3.38) in Tseluiko & Kalliadasis (2011) for positive values of α . Noticing that the coefficients in (3.34) in Tseluiko & Kalliadasis (2011) are analytic functions of α , we can obtain an analytic continuation of $\tau_{w1}(\alpha)$ from the non-negative real semi-axis to the whole complex plane by solving equation (3.34) with homogeneous boundary conditions (3.37) and (3.38) in Tseluiko & Kalliadasis (2011) when α is an arbitrary complex number. Then the analysis of $K(x, t)$ depends on the topology of the curves $s_r(k) = 0$ in the complex k -plane, where $s_r(k)$ denotes the real part of $s_+(k)$. There are two possibilities:

Case I: There is part of the curve $s_r(k) = 0$ that connects the origin $(0, 0)$ and $(k_1, 0)$ in the $k_r k_i$ -plane, with k_r and k_i denoting the real and the imaginary parts of k , respectively. In addition, if $\hat{f}_0(k)$ can be analytically continued off the real axis so that

FIGURE 14. (Color online) The curves of $s_r(k) = 0$ in the complex wavenumber plane (k_r, k_i) from the long-wave model for various values of the gas shear, Θ , when $Re_0 = 10$, $\theta = \pi/2$, $Ka = 1988.5$ and $N = 0.4$.

$\hat{f}_0(k)$ is analytic in a neighbourhood of the curve $s_r(k) = 0$ connecting the points $(0, 0)$ and $(k_1, 0)$ (this is the case, for example, when $f_0(x)$ has a sufficiently fast exponential decay as $|x| \rightarrow \infty$), the integration contour for $K(x, t)$ can be deformed to follow that part of the curve $s_r(k) = 0$ which connects $(0, 0)$ to $(k_1, 0)$. The Riemann–Lebesgue lemma then implies that for a fixed x , $K(x, t) \rightarrow 0$ as $t \rightarrow \infty$, and we have *convective instability*.

Case II: The other possibility is that it is impossible to connect the origin with $(k_1, 0)$ using part of the curve $s_r(k) = 0$ in the $k_r k_i$ -plane. In such a scenario, there must exist a saddle point (also called the pinch point) of $s_r(k)$, at which $s_r(k)$ is positive, so that the integration contour can be deformed into a steepest descent path passing through this saddle point. Here $K(x, t)$ is seen to grow for a fixed x as $t \rightarrow \infty$ (Hinch 1991), and the type of instability in this case is *absolute*. We also note here that the saddle point corresponds to the mode with zero group velocity.

The details of the numerical scheme to compute the curves $s_r(k) = 0$ in the $k_r k_i$ -plane for the OS problem is provided in Appendix C. We begin by presenting in figure 14 the curves $s_r(k) = 0$ in the (k_r, k_i) plane for various values of the gas shear, Θ for $Re = 5$, corresponding to the dispersion relation (4.15) obtained from the long-wave model. It can be observed from figure 14 that for sufficiently small values of Θ , the origin is connected to $(k_r, 0)$. Therefore, we have convective instability. This is to be expected, as any localised disturbance introduced on the system is convected downstream, in the positive x -direction. The situation is similar for large values of Θ , but the disturbance is convected in the negative x -direction. However, there exists a band of gas flow rates ($1.235 \leq \Theta \leq 2.1$) for which the origin is not connected to $(k_r, 0)$ by $s_r(k) = 0$, and the instability is absolute.

Figure 15 shows the curves $s_r(k) = 0$ corresponding to the quadratic dispersion relation (4.18) obtained from the WIBL model. We note that for the WIBL model there exist

FIGURE 15. (Color online) The curves of $s_r(k) = 0$ in the complex wavenumber plane (k_r, k_i) from the WIBL model for various values of the gas shear, Θ , when $Re_0 = 10$, $\theta = \pi/2$, $Ka = 1988.5$ and $N = 0.4$.

two branches, $s_{r1}(k)$ and $s_{r2}(k)$. However, for the parameter space under consideration, only for one of these branches there exists a band of unstable wave numbers. We denote this branch by $s_r(k)$. The results obtained using the WIBL model are similar to those obtained from the long-wave model with only minor differences.

Finally, we present the curves $s_r(k) = 0$, in the (k_r, k_i) plane corresponding to the dominant mode in the OS problem (figure 16), which also turns out to be the only unstable mode for the parameter values considered. The results are again similar to those presented earlier for the long-wave and WIBL models, with the region of absolute instability predicted to be $1.21 \leq \Theta \leq 2.1$. This is not very surprising as the liquid flow rate that we have considered is sufficiently small for the low-dimensional models to have good agreement with the OS results (see also figure 12, from the temporal analysis). Moreover, we find that the WIBL model has a better quantitative agreement with the OS equations than the long-wave model, in the flow regime considered.

We note in addition, the non-linear computations of the WIBL model of Tseluiko & Kalliadasis (2011) reveal that the flooding point (defined as the point at which there appear large-amplitude nearly stationary waves) is close to $\Theta \sim 2.5$. Interestingly, this flooding point is also close to the upper limit of the absolute instability regime ($\Theta \sim 2.1$) predicted by our analysis.

5.3.1. Influence of the inclination angle

We analyse the influence of the inclination angle on the onset of absolute and convective instabilities by performing the analysis for the OS problem for various values of inclination angle θ , namely $\pi/3$, $\pi/4$ and $\pi/6$, with the modified Reynolds number being fixed at $Re_0 = 10$. Table 1 shows regimes of absolute and convective instabilities for the various angles (the results for $\pi/2$ are shown for the various models, whereas for the other angles the results are obtained by performing the analysis for the OS problem only). Note that

FIGURE 16. (Color online) The curves of $s_r(k) = 0$ in the complex wavenumber plane (k_r, k_i) by solving the OS problem for various values of the gas shear, Θ , when $Re_0 = 10$, $\theta = \pi/2$, $Ka = 1988.5$ and $N = 0.4$.

Model	angle	absolute instability	convective instability
LW	$\pi/2$	$1.235 \leq \Theta \leq 2.1$	$0 < \Theta < 1.235, \Theta > 2.1$
WIBL	$\pi/2$	$1.325 \leq \Theta \leq 2.1$	$0 < \Theta < 1.325, \Theta > 2.1$
OS	$\pi/2$	$1.21 \leq \Theta \leq 2.1$	$0 < \Theta < 1.21, \Theta > 2.1$
	$\pi/3$	$1.2 \leq \Theta \leq 1.8$	$0 < \Theta < 1.2, \Theta > 1.8$
	$\pi/4$	$1.0 \leq \Theta \leq 1.5$	$0 < \Theta < 1.0, \Theta > 1.5$
	$\pi/6$	$0.8 \leq \Theta \leq 1.0$	$0 < \Theta < 0.8, \Theta > 1.0$

TABLE 1. Absolute and convective instability regimes computed using various models when $Re_0 = 10$, $Ka = 1988.5$ and $N = 0.4$.

as the inclination angle of the channel is decreased, the liquid flow rate decreases as well and the stabilisation of the interface is enhanced by the normal component of gravity resulting from the decrease of the inclination angle. It can be observed that the increase in the inclination angle results in delaying the onset of absolute instability.

5.3.2. Comparison with experiments

In order to verify the predictions of our model, we consider air and methanol as working fluids in a vertical channel that were also considered in the experiments by Zapke & Kröger (2000a) (see their figure 8). As the parameters fall in the regime where our WIBL model was shown to have a good agreement with the OS problem, we consider the WIBL model to compute the limits of absolute instability, which we believe has a close connection to flooding. The comparison shown in table 2 indicates a fair agreement. The experimental flooding point turns out to be close to the region of absolute instability (moreover, it turns out that the flooding point lies between the absolute instability boundaries, except for the last line in the table), but it has to be noted that the trend is slightly different, i.e. gas velocities for the absolute instability boundaries monotonically

V_ℓ (m/s)	$V_{g,ZK}$ (m/s)	$V_{g,low}$ (m/s)	$V_{g,up}$ (m/s)
0.011	7.96	6.46	8.08
0.019	7.31	6.95	9.24
0.044	6.25	7.33	11.21
0.055	5.57	7.35	11.86

TABLE 2. Comparison with experiments of Zapke & Kröger (2000a) with air and methanol as working fluids (see their figure 8). V_ℓ denotes the average liquid velocity, $V_{g,ZK}$ corresponds to the average flooding gas velocity in Zapke & Kröger (2000a), $V_{g,low}$ and $V_{g,up}$ corresponds to the lower and upper limits of absolute instability, respectively, computed using our analysis.

increase as the liquid velocity increases, whereas in the experiments of Zapke & Kröger (2000a) the flooding gas velocity is found to decrease with the liquid velocity (which appears to be counterintuitive). However, confidence limits-error bars were not provided for the experiments of Zapke & Kröger (2000a), and, therefore, it is not really clear how accurate are the experimental findings. Another explanation for the differences between our theoretical predictions and the experiments may be that our analysis assumes two-dimensional flow whereas two-dimensionality may not be valid in the experiments. Moreover, the observed differences may be related to the way Zapke & Kröger (2000a) define flooding and their interpretation of flooding, namely they state that flooding occurs when a vortex (large amplitude wave) formed at the inlet of the channel has grown to an extent that it obstructs the flow of the gas (i.e. the height of the wave is comparable to the height of the channel) resulting in the liquid travelling up past the injection point. (We note that Zapke & Kröger (2000a) used a channel with a rectangular cross section of width 10 mm and height 50 mm.) But the width of the channel considered in our model is assumed to be very large compared to the liquid film thickness so that the amplitude of the standing wave formed at the point of wave reversal is not comparable to the channel width. Notwithstanding the above mentioned disparities, our linear theory appears to be useful in predicting the nonlinear phenomenon of flooding, but clearly further detailed experiments are needed to test carefully our theoretical predictions.

5.4. Time-dependent computations

We further explore the absolute and convective instability regimes predicted by our linear analysis in §5.3, by solving the linearised WIBL model (4.16)–(4.17) as an initial-value problem subject to a localised initial condition. This is carried out numerically using a scheme which is based on a Fourier pseudo-spectral representation of the derivatives along the spatial direction and an adaptive stepping along time, e.g. Tseluiko *et al.* (2010). We choose the initial condition of the interface disturbance, $f(x, t)$, to be localised about $x = 0$, namely, we choose the Gaussian function $f(x, 0) = 0.1 \exp(-0.5x^2)$. In addition we choose $g(x, 0) = 0$. The liquid Reynolds number is taken to be $Re = 5$ consistent with that in the previous section. The equations are integrated on a long periodic domain, $[-750, 750]$, for sufficiently long time, say $t \approx 500$, to determine the evolution of the wave packets.

We begin with a relatively small value of the gas shear, $\Theta = 1.0$, and the corresponding evolution of the disturbance is depicted as a space-time plot in figure 17a. It can be observed that the wave packet starts propagating downstream along the positive x -direction, therefore the instability is convective. On the other side of the gas flow spectrum, e.g. for $\Theta = 2.2$ the instability is again convective with the wave packet propagating upstream along the negative x -direction (figure 17b). This can further be confirmed by

FIGURE 17. Time-dependent computation of the linearised WIBL model subject to a localised disturbance, showing convective instability for (a) $\Theta = 1.0$ and (b) $\Theta = 2.2$ with $Re_0 = 10$, $\theta = \pi/2$, $Ka = 1988.5$ and $N = 0.4$. The three-dimensional plot is inclined here for better visualisation.

examining the wave profile at a sufficiently long time instant and the time evolution of the disturbance, $f(x, t)$ at $x = 0$, see top and bottom panels of figure 18, respectively. From figure 18, it can be ascertained that the wave profiles for $\Theta = 1.0$ and $\Theta = 2.2$ are convected in the positive and negative x -direction (see the top panels), and also the disturbance, $f(0, t)$ eventually decays to zero (see the bottom panels). This is consistent with the analytical results discussed above. For intermediate values of gas flow rates, e.g. for $\Theta = 1.5$ and $\Theta = 1.65$ shown in figure 19, the wave packets invade both the negative and the positive x -directions, and for longer times they are expected to contaminate the whole domain. This can also be established from figure 20, from which it can be concluded that the disturbance $f(0, t)$ does not decay to zero. These results agree with the band of absolutely unstable gas flow rates, $1.2 \leq \Theta \leq 2.1$ predicted by our analysis of various models in §5.3.

6. Concluding remarks

We have analysed the linear stability of a gas-liquid system in an inclined channel, where a thin liquid film flowing along the bottom wall is in contact with a counter-current gas flow occupying the rest of the channel. The liquid film is considered to be laminar whereas the counter-current gas flow is turbulent. The influence of gas flow enters the liquid problem through the boundary conditions at the gas-liquid interface as

FIGURE 18. Time-dependent computations of the linearised WIBL model depicting wave profiles at $t = 500$ and time evolution of the disturbance at $x = 0$ for (a) $\Theta = 1.0$ and (b) $\Theta = 2.2$ with $Re_0 = 10$, $\theta = \pi/2$, $Ka = 1988.5$ and $N = 0.4$.

expected. This challenging gas-liquid problem can be decoupled and solved independently by making a number of reasonable assumptions (Tseluiko & Kalliadasis 2011).

We considered two non-local reduced-order model equations for the interface thickness (measured from the liquid layer), namely the long-wave and WIBL models derived by Tseluiko & Kalliadasis (2011), and also formulated the OS system of equations from the full governing equations and boundary conditions. A significant advantage with the OS equations from a stability perspective is that it is applicable for all values of the liquid Reynolds number and wavenumber. Also, the comparison of the results for the reduced model equations with the OS results is important for finding out the ranges of validity of the reduced models. In addition to performing the temporal stability analysis, we carried out an energy analysis using the linearised version of the full Navier–Stokes equation to understand the physical nature of the instability. We have also developed a generalised methodology based on Fokas & Papageorgiou (2005) for the non-local equations with non-analytic dispersion relations to scrutinise absolute and convective instabilities. This approach involves analysing the nature of growth-rate curves in the complex wavenumber plane. If the origin is connected to the purely real wavenumber root in the complex wavenumber plane by the vanishing growth rate curve, then the instability is convective. On the other hand, if it is impossible to connect the origin with the real root by the zero-growth-rate curve, and there exists a saddle point (which is also the pinch point) where the growth rate is positive, we have absolute instability. This saddle point also corresponds to the normal mode whose group velocity is zero.

FIGURE 19. Time-dependent computation of the linearised WIBL model subject to a localised disturbance, showing convective instability for (a) $\Theta = 1.5$ and (b) $\Theta = 1.65$ with $Re_0 = 10$, $\theta = \pi/2$, $Ka = 1988.5$ and $N = 0.4$. The three-dimensional plot is inclined here for better visualisation.

By applying this methodology to the OS equations, and the low-dimensional models, we obtained the flow conditions for which the system exhibits absolute and convective instabilities. More precisely, we found that for a fixed liquid flow rate, the system is convectively unstable at low and high gas flow rates, where the localised disturbance gets convected in the downstream and upstream directions, respectively. However, for a range of intermediate gas flow rates with the liquid flow rate fixed at the same value as before, we have absolute instability, where the disturbance contaminates the whole domain. We have also analysed the effect of the inclination angle on the onset of absolute instability and we found that larger gas flow rates are required to trigger absolute instability for larger values of the angle of inclination. In general, the results for the WIBL model are found to be in better agreement with the results for the OS equations than the results for the long-wave model, as the WIBL model is expected to be able to describe the flow behaviour for up to moderate values of the Reynolds number. Further, we supplemented our analysis by performing time-dependent computations of the linearised WIBL model subject to a localised initial condition, which showed good agreement with the predictions of the linear stability analysis. It is interesting to note that the upper limit of the absolute instability regime is close to the flooding point obtained from the fully non-linear computations of the WIBL model of Tseluiko & Kalliadasis (2011). In addition, a comparison of our model predictions with the flooding experiments of Zapke & Kröger (2000a) shows a

FIGURE 20. Time-dependent computations of the linearised WIBL model depicting wave profiles at $t = 500$ and time evolution of the disturbance at $x = 0$ for (a) $\Theta = 1.5$ and (b) $\Theta = 1.65$ with $Re_0 = 10$, $\theta = \pi/2$, $Ka = 1988.5$ and $N = 0.4$.

fairly good agreement. We can therefore conclude that the linear analysis presented here can be useful in predicting the flooding point.

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Appendix A. Numerical method for the OS problem

The OS equation (4.8) along with the boundary conditions (4.9)-(4.12) is solved using a Chebyshev pseudo-spectral method (Trefethen 2000; Yatsyshin *et al.* 2012). By making use of the transformation $z = 2y - 1$, we map the physical film domain $0 \leq y \leq 1$ onto the canonical interval $-1 \leq z \leq 1$. Under this mapping, the OS equation together with the boundary conditions become

$$16\phi'''' - 8k^2\phi'' + k^4\phi - ikRe \left[\left(\frac{z+1}{4} \right) (3 + 2\hat{\tau}_{w0} - z) (4\phi'' - k^2\phi) + 2\phi \right] = -ikcRe (4\phi'' - k^2\phi), \quad (\text{A } 1)$$

$$\phi(-1) = 0, \quad \phi'(-1) = 0, \quad (\text{A } 2)$$

$$\phi(1) + (1 + \hat{\tau}_{w0})A_1 = cA_1, \quad (\text{A } 3)$$

$$4\phi''(1) + k^2\phi(1) - [|\hat{\tau}_{w0}|\nu\tau_{w1}(k/\nu) + 2]A_1 = 0, \quad (\text{A } 4)$$

$$\begin{aligned}
 & 8\phi'''(1) - k[2i \cot \theta + ik^2 WeRe + 2k\hat{\tau}_{w0} + i|\hat{\tau}_{w0}|\nu P_{w1}(k/\nu)]A_1 \\
 & - 2k[iRe(1 + \hat{\tau}_{w0}) + 3k]\phi'(1) + ikRe\hat{\tau}_{w0}\phi(1) = -2ikcRe\phi'(1),
 \end{aligned} \tag{A 5}$$

where the prime denotes differentiation with respect to z . We could further eliminate A_1 from the system of equations using (A 4), followed by making the substitution for A_1 in (A 3) and (A 5). One could then avoid appending the differentiation matrices with zeros and ones in order to solve for A_1 . Nevertheless, we have done all our computations by retaining A_1 in our model system as a general practice. But the results obtained by both of these approaches are exactly the same.

Boomkamp *et al.* (1997) developed a collocation method based on Chebyshev polynomials to solve the eigenvalue problem governing the stability of parallel two-phase flow, which is similar to the methodology developed by Orszag (1971) to investigate the stability of Poiseuille's flow. In our approach, we begin by expanding the eigenfunction $\phi(z)$ as a truncated series of Chebyshev polynomials, $T_i(z)$ (defined on the interval $[-1, 1]$),

$$\phi(z) = \sum_{i=0}^M a_{i+1} T_i(z), \tag{A 6}$$

with ϕ given on the Chebyshev points, $z_j = \cos(\beta_j)$, $\beta_j = (j-1)\pi/M$, $j = 1, \dots, M+1$. In the 'FFT-based' formulation, Chebyshev differentiation is implemented by using the Fast Fourier Transform (Trefethen 2000). The boundary conditions are imposed by replacing the last four rows (corresponding to the highest modes) with five boundary conditions that we have. This results in a generalised matrix eigenvalue problem of the form

$$A\mathbf{w} = cB\mathbf{w}, \tag{A 7}$$

where $\mathbf{w} = (a_0, \dots, a_M, A_1)^T$, and A and B are square matrices of size $M+2$.

In the 'matrix-based' approach, as the name suggests, the derivative of a function is computed by matrix-vector multiplication. The entries of the differentiation matrix are computed by taking the analytical derivative of the interpolating polynomial, followed by evaluation at the Chebyshev points. To enforce the no-slip and no-penetration boundary conditions at the bottom wall, we follow the approach by Trefethen of multiplying the interpolating polynomial by another polynomial (Trefethen 2000), such that both conditions are satisfied implicitly. This approach enables us to impose the bottom-wall boundary conditions accurately, i.e. exactly at the boundary point. This results in a generalised matrix eigenvalue problem as before, but the square matrices A and B now are of size $M+1$.

The generalised eigenvalue problem (A 7) is solved using Matlab. The large entries in A coming from the higher-order derivatives, and the zero rows in B (coming from the bottom-wall boundary conditions) result in spurious and 'infinite' eigenvalues. We ignore such eigenvalues, and consider only the meaningful finite ones for our calculations. Typically 20–30 collocation points are sufficient to accurately capture the behaviour of the system.

Appendix B. Asymptotic solution for the OS problem in the presence of counter-current gas flow

As in the falling film setting, we perform regular perturbation expansions for the eigenfunction (ϕ) and the complex wave velocity (c) for the liquid problem, under the assumption of long-waves ($k \rightarrow 0$). However, this requires a solution for the gas problem in the long-wave regime. The idea is to make use of the solution obtained by Lin *et al.*

(2013) for the gas phase, and proceed with the asymptotics for the liquid problem. By substituting the following long-wave expansions

$$\phi = \phi_0 + ik\phi_1 + \dots, \quad (\text{B } 1a)$$

$$c = c_0 + ik\phi_1 + \dots, \quad (\text{B } 1b)$$

in equation (A 1) and the boundary conditions (A 2)–(A 5), at the leading order we have

$$\phi_0'''' = 0, \quad (\text{B } 2a)$$

$$(2c_0 - 1 - \hat{\tau}_{w0})\phi_0''(1) - \phi_0(1) = 0, \quad (\text{B } 2b)$$

subject to

$$\phi_0(-1) = 0, \quad \phi_0'(-1) = 0, \quad \phi_0'''(1) = 0. \quad (\text{B } 3)$$

As the problem is linear and homogeneous, we set one of the undetermined constants to unity while solving for ϕ_0 . As a result, the leading order solution is given by

$$\phi_0 = z^2 + 2z + 1, \quad (\text{B } 4a)$$

$$c = 2 + \hat{\tau}_{w0}. \quad (\text{B } 4b)$$

At $O(k)$, we have

$$16\phi_1'''' - 2iRe[(z-1)(2\hat{\tau}_{w0} - z + 1) - 2(c_0 - 1 - \hat{\tau}_{w0})]\phi_0'' + \phi_0 = 0, \quad (\text{B } 5a)$$

$$4(c_0 - 1 - \hat{\tau}_{w0})\phi_1'' + 4c_1\phi_0'' - 2\phi_1(1) + 4|\hat{\tau}_{w0}|\phi_0(1) = 0, \quad (\text{B } 5b)$$

subject to

$$\phi_1(-1) = 0, \quad \phi_1'(-1) = 0, \quad (\text{B } 6a)$$

$$16\phi_1'''(1) - 32|\hat{\tau}_{w0}|\phi_0'''(1) + 4iRe(c_0 - 1 - \hat{\tau}_{w0})\phi_0'(1) + 2iRe|\hat{\tau}_{w0}|\phi_0(1) - 8i\cot\theta\phi_0''(1) = 0. \quad (\text{B } 6b)$$

The solution at this order is given by

$$\begin{aligned} \phi_1 = & \frac{1}{480}iRe(2 + \hat{\tau}_{w0})z^5 - \frac{1}{96}iRe(2 + \hat{\tau}_{w0})z^4 - \frac{1}{48}i\left(3Re(2 + \hat{\tau}_{w0}) \right. \\ & \left. + \cot\theta\right)z^3 + \frac{1}{480}\left(-iRe(2 + \hat{\tau}_{w0}) + 160i\cot\theta + 480\right)z^2 \\ & + \frac{1}{480}\left(-17iRe(2 + \hat{\tau}_{w0}) + 80i\cot\theta + 960\right)z + 1, \end{aligned} \quad (\text{B } 7a)$$

$$c_1 = \frac{4Re}{15}(2 + \hat{\tau}_{w0}) - \frac{2}{3}\cot\theta. \quad (\text{B } 7b)$$

Appendix C. Numerical scheme to compute absolute convective instability regimes with OS

We provide an outline of the numerical scheme to compute absolute and convective instabilities implemented for the case of the OS problem. We split the matrices A and B , and the eigenvector $\mathbf{w}$ into their real and imaginary parts as

$$A = A_r + iA_i, \quad B = B_r + iB_i, \quad \mathbf{w} = \mathbf{w}_r + i\mathbf{w}_i. \quad (\text{C } 1)$$

Now, the matrix eigenvalue problem can be written in terms of two real equations, for any given Re and Θ :

$$A_r(k_r, k_i)\mathbf{w}_r - A_i(k_r, k_i)\mathbf{w}_i = c_r[B_r(k_r, k_i)\mathbf{w}_r - B_i(k_r, k_i)\mathbf{w}_i], \quad (\text{C } 2a)$$

$$A_r(k_r, k_i)\mathbf{w}_i + A_i(k_r, k_i)\mathbf{w}_r = c_r [A_r(k_r, k_i)\mathbf{w}_i + A_i(k_r, k_i)\mathbf{w}_r], \quad (\text{C } 2b)$$

$$k_r c_i + k_i c_r = 0. \quad (\text{C } 2c)$$

The last equation (C 2c) comes from the requirement that the real part of the growth rate is zero, i.e. $s_r(k_r, k_i) = 0$. So far, we have $2M + 3$ equations for $2M + 6$ unknowns in $k_r, k_i, \mathbf{w}_r, \mathbf{w}_i, c_r$ and c_i . To fix the non-uniqueness of the eigenvector (which follows from the fact that an eigenvector multiplied by any complex number is also an eigenvector) we impose the following conditions:

$$\mathbf{w}_r^T \mathbf{w}_r + \mathbf{w}_i^T \mathbf{w}_i = 1, \quad \mathbf{w}_r^T \mathbf{w}_i = 0. \quad (\text{C } 3)$$

Finally, we look for solutions at a small distance ε_k from the previous point (k_{r0}, k_{i0}) on the curve $s_r(k_r, k_i) = 0$. Therefore, we can write

$$(k_r - k_{r0})^2 + (k_i - k_{i0})^2 = \varepsilon_k^2. \quad (\text{C } 4)$$

The above set of nonlinear equations can be conveniently solved using Newton's method, given an initial solution on $s_r(k_r, k_i) = 0$, which can be obtained by setting $k_i = 0$. The typical value of ε_k considered is $\sim 10^{-3}$.

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