

1 **Middle Holocene marine flooding and human response in the south Yangtze**
2 **coastal plain, East China**

3 Zhanghua Wang^{1*}, David B. Ryves², Shao Lei³, Xiaomei Nian¹, Ye Lv¹, Liang Tang¹,
4 Long Wang¹, Jiehua Wang³, Jie Chen⁴

5 ¹State Key Laboratory of Estuarine and Coastal Research, East China Normal
6 University, Shanghai 200062, China.

7 ²Centre for Hydrological and Ecosystem Science (CHES), Department of Geography,
8 Loughborough University, Loughborough LE11 3TU, UK.

9 ³Ningbo Municipal Institute of Cultural Relics and Archaeology, Ningbo 315000,
10 China.

11 ⁴Shanghai Museum, Shanghai 200003, China

12 *Corresponding author: zhwang@geo.ecnu.edu.cn

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14 **Abstract:** Coastal flooding catastrophes have affected human societies on coastal
15 plains around the world on several occasions in the past, and are threatening 21th
16 century societies under global warming and sea-level rise. However, the role of
17 coastal flooding in the interruption of the Neolithic Liangzhu culture in the lower
18 Yangtze valley, East China coast has been long contested. In this study, we used a
19 well-dated Neolithic site (the Yushan site) close to the present coastline to
20 demonstrate a marine drowning event at the terminal stage of the Liangzhu culture
21 and discuss its linkage to relative sea-level rise. We analysed sedimentology,
22 chronology, organic elemental composition, diatoms and dinoflagellate cysts for

23 several typical profiles at the Yushan site. The field and sedimentary data provided
24 clear evidence of a palaeo-typhoon event that overwhelmed the Yushan site at ~2560
25 BCE, which heralded a period of marine inundation and ecological deterioration at
26 the site. We also infer an acceleration in sea-level rise at 2560–2440 BCE from the
27 sedimentary records at Yushan, which explains the widespread signatures of coastal
28 flooding across the south Yangtze coastal plain at that time. The timing of this mid-
29 Holocene coastal flooding coincided with the sudden disappearance of the advanced
30 and widespread Liangzhu culture along the lower Yangtze valley. We infer that
31 extreme events and flooding accompanying accelerated sea-level rise were major
32 causes of vulnerability for prehistoric coastal societies.

33 **Keywords:** Palaeo-typhoon event; Sea-level rise; Coastal flooding; Neolithic

34

35 **1. Introduction**

36 Global sea-level rise is predicted to accelerate during the 21st century and could
37 rise 65 ± 12 cm by 2100 compared with 2005 (Kopp et al., 2016; Nerem et al., 2018),
38 which will increase the frequency of extreme events and the risk of coastal flooding
39 (Woodruff et al., 2013). The vulnerability of low-lying coastal plains and deltas
40 across the world is further exacerbated due to human-induced sediment starvation and
41 land sinking (Syvitski et al., 2009; Giosan et al., 2014). The west Pacific Ocean coast
42 is one of the most vulnerable regions in the world because it is characterized by active
43 tropical cyclones (Woodruff et al., 2013) and, in recent decades, its rate of relative
44 sea-level rise is three times higher than the global mean (Nicholls and Cazenave,

45 2010). In the densely-populated Yangtze delta, East China (Fig. 1), models under
46 future climate scenarios predict an increase in flood risk from extreme events and
47 relative sea-level rise by 150% to 400% in the next 50 years (Tessler et al., 2015). In
48 fact, Typhoon Fitow (the strongest October typhoon making landfall in China for over
49 60 years) in 2013 caused flooding to a depth >0.5 m across most of the Yaojiang
50 Plain, south east of the Hangzhou Bay (Fig. 1C). There is thus clearly an urgent need
51 for integrated research on sea-level rise, extreme events, coastal flooding and human
52 response.

53 Coastal flooding is not a new threat. The fact that the south Yangtze coastal
54 plains (Fig. 1B) hold relative thick and rich archaeological records, preserved in
55 marine and deltaic flood basin sediments (Zong et al., 2007; Zheng et al., 2012), is
56 direct witness of past flooding of these areas during human occupation. Neolithic
57 people including the well-known Kuahuqiao, Hemudu and Liangzhu cultures settled
58 and practiced flood management on the coastal wetlands of Hangzhou Bay (Fig. 1)
59 since ~6000 BCE (Zhao, 1998; Zong et al., 2007; Liu and Chen, 2012; Qin, 2013; Liu
60 et al., 2017). People of the Liangzhu culture, which was one of the most developed
61 and complex societies known in prehistory (Lawler, 2009; Liu and Chen, 2012; Qin,
62 2013), even constructed massive earth-and-stone walls to hold back floods near their
63 capital city, Mojiaoshan, at the head of Hangzhou Bay near present-day Hangzhou
64 (Fig. 1B; Lawler, 2009; Liu and Chen, 2012; Liu et al., 2017). Yet they abandoned
65 their state capital complex at around 2500 BCE, as shown by dating sedimentary
66 profiles from the capital city (Zhang et al., 2015; Wang et al., 2017), despite their

67 highly developed techniques in agricultural and landscape management ([Zhuang et](#)
68 [al., 2014](#); [Liu et al., 2017](#)). The subsequent Neolithic Qianshanyang and Guangfulin
69 cultures that appeared at ~2400–1800 BCE were reported to be much less organized
70 and less developed ([Shanghai Museum, 2002](#); [ZPICRA and Huzhou Museum, 2014](#)).
71 Studying these archaeological records with a focus on the linkage between flood
72 deposits and cultural interruptions can shed light on the increasing flood risk in this
73 economically important and populous area in the near future.

74 There has been much speculation and debate surrounding the Liangzhu cultural
75 decline among archaeologists and environmental scientists. Archaeologists speculate
76 that the abandonment of the Liangzhu capital city might have been related to floods
77 because a layer of silt, inferred as flood deposits, was found on top of the late
78 Liangzhu cultural layer in many areas around the capital city ([Liu and Chen, 2012](#)).
79 An early environmental study suggested marine inundation played a key role, based
80 on the marine fossil record of core ZX-1 in the eastern Taihu Plain ([Stanley et al.,](#)
81 [1999](#)), but later work reported no marine flooding at other sites in the Taihu Plain at
82 this time ([Zong et al., 2011](#)). Later [Innes et al. \(2014\)](#) suggested a combination of
83 rising local water level and climatic deterioration was the probable cause. We propose
84 that to settle the debate and test these competing hypotheses, it is necessary to carry
85 out an integrated study of relative sea-level change and environmental and human
86 response. It is particularly important to examine directly the event-character of the
87 floodbeds covering the Liangzhu culture layer recovered from archaeological sites.

88 The Yushan archaeological site was discovered in 2013. It is only 7.3 km from
89 the present coastline (Figs 1, 2A). Diagnostic black pottery and tools for
90 woodworking and farming (Fig. 1D–F) of the Liangzhu culture were recovered from
91 this site. The Liangzhu cultural layer was overlain by mud deposits ~0.4–0.5 m thick
92 which did not contain artefacts, hinting at an inundation event at the end of the
93 Liangzhu culture. Yushan may therefore be key to addressing this debate based on a
94 detailed investigation of the stratigraphic records within this site and may provide
95 important evidence on the mechanisms involved in the decline of the Liangzhu
96 culture. In this study we first carried out multiproxy lithological, sedimentological,
97 palaeontological and organic geochemical analyses of well-dated, high-resolution
98 sequences at multiple locations within the Yushan site to examine the nature of flood
99 deposits covering the Liangzhu cultural layer. We then determined the relative sea
100 level change at the end of the Liangzhu culture using sea level indicators, including
101 the basal peat (Shennan et al., 2015) obtained from the Yushan site. We also
102 synthesised existing profiles from both the Taihu Plain and plains along Hangzhou
103 Bay to compare the flood signatures and to discuss the linkage between relative sea-
104 level rise and flood hazards at the late stage of the Neolithic period on the south
105 Yangtze coast, East China. The results help to resolve this debate over the Liangzhu
106 cultural decline and marine flooding and show the sensitivity and vulnerability of
107 prehistorical human societies to extreme events and flooding.

108

109 **2. The study area and the site**

110 The south Yangtze coastal plain is mainly made up of the Taihu Plain and coastal
111 plains along the Hangzhou Bay, including the Yaojiang Plain, which is located to the
112 south east and is separated by uplands from Hangzhou Bay (Fig. 1B). Sediments
113 deposited in these plains were derived mainly from the Yangtze River during the
114 Holocene, as sediment load from other local rivers is negligible compared to that from
115 the Yangtze River (Liu et al., 2013). The freshwater-dominated Taihu Plain was
116 formed ~6500–6000 years ago when sea level was relatively stable and the Yangtze
117 delta started its progradation (Hori et al., 2001; Wang et al., 2012). However, the rate
118 of shoreline advance was extremely slow between 6500 and 4000 years ago, as
119 indicated by distribution of the chenier ridges in the east part of the plain (Fig. 1B;
120 Yan et al., 1989), caused mainly by a large amount of sediment trapping in the north
121 Yangtze delta plain (Li et al., 2002; Hori et al., 2001) and the decline in the Yangtze
122 sediment supply owing to weakening of the East Asian Summer Monsoon ~6000
123 years ago (Zhan et al., 2012). Rapid shoreline accretion only occurred over past 2000
124 years, in concert with an increase in sediment supply from human activity (Hori et al.,
125 2001; Wang et al., 2011). Sediment cores from the south coastal plain of Hangzhou
126 Bay demonstrate that rapid infilling of Hangzhou Bay occurred during the early
127 Holocene (Gao and Collins, 2014; Zhang et al., 2015). A long period of sedimentary
128 hiatus then occurred during the middle to late Holocene with return to net
129 sedimentation in Hangzhou Bay only in the past 2000 years (Gao and Collins, 2014;
130 Zhang et al., 2015).

131 Tide dominates the south Yangtze coast with a mean tidal range of 2.7 m (Chen
132 et al., 1985). The Yaojiang Plain of south east Hangzhou Bay has a smaller mean tidal
133 range of 1.85 m. Uehara et al. (2002) reconstructed the palaeotidal fields in the
134 Yangtze Estuary and the east China marginal sea by including the effect of palaeo-
135 topographic change from sedimentation since the Last Glacial Maximum. The
136 simulated amplitude M_2 tide, which is the most significant component of tide in this
137 region, was 1.0–1.2 m on the coast south to the Hangzhou Bay (including the
138 Yaojiang Plain) and 1.2–1.4 m on the coast of Taihu Plain during the middle
139 Holocene (6 ka; Uehara et al., 2002). It has increased to 1.2–1.6 m in the inner and
140 south part of the Hangzhou Bay at the present day, while remaining at 1.0–1.2 m in
141 the south east part of the Bay. Ground elevation is 0–2 m above present mean sea
142 level (the Yellow Sea datum, MSL_{YSD}) in most of central Taihu Plain and Yaojiang
143 Plain, and 2–5 m in the plains along Hangzhou Bay (Fig. 1B).

144 The Yushan site is located in the north east of Yaojiang Plain, between the edge
145 of the upland and the floodplain (Fig. 1). The site was excavated by the Ningbo
146 Municipal Institute of Cultural Relics and Archaeology over an area of 4300 m²
147 during September, 2014 to January, 2015. Each excavation unit is 10 m × 10 m in size
148 (Fig. 2A). The archaeological sequence spans from the early/middle Hemudu culture
149 to the Song dynasty, with ten layers numbered top to bottom correlating to distinct
150 lithology, sedimentology and archaeological finds across the site (Table 1). Individual
151 cultural layers are typically 25–50 cm thick, with the whole sequence spanning 1–2.5

152 m across the site (Fig. 2B, C). The cultural layers onlap the weathered bedrock or
153 hardened mud surfaces in excavation units close to the upland, such as T0410 and
154 T0513. The Holocene base then dips rapidly and is buried below the floodplain (Fig.
155 2).

156 Among the 10 layers (Table 1), layers 9, 7, 6 and 3 are composed of organic-rich
157 mud or peat that contain artefacts of prehistoric early and middle Hemudu, late
158 Hemudu and Liangzhu cultures and from the Shang and Zhou dynasty, respectively.
159 Layers 10, 8, 5 and 4 are devoid of any cultural artefacts and are considered to be
160 formed without human disturbance. Note that layer 7 only occurs at the edge of the
161 upland, such as in unit T0410 (Fig. 2C). In addition, an erosional surface is prominent
162 on top of the peat layer 6a in many units, and this peat layer is totally eroded away
163 even in those units close to the upland (Figs 2, 3). Together with the erosional surface,
164 a sand ridge of 20–30 cm high and ca. 60 cm wide that was defined as layer 5b, dips from the
165 edge of upland eastward and cuts into layer 6 (Fig. 3D–F). Gravels, fragments of Liangzhu
166 artefacts and abundant plant fragments were present in the sand ridge. Mixtures of
167 sand and mud, also defined as layer 5b, only occurs above the erosional surface in the
168 area between the sand ridge and the upland.

169

170 **3. Materials and Methods**

171 During our excavation, we carefully examined the lithological and stratigraphic
172 sequences in each excavation unit. We selected the north wall of unit T0415 for

173 analyses of proxies including organic chemistry, diatoms and dinoflagellate cysts,
174 because this unit is on the east edge of the excavation area where less human
175 disturbance occurred (Fig. 2A). We also collected samples for these proxy analyses
176 from unit T0410 because layer 7 is missing in unit T0415. We then identified the
177 sedimentary facies of each layer and recognized marine inundation by examining the
178 lithology and analysing proxies.

179 Twenty-seven (27) samples were collected from the north wall of unit T0415 for
180 analyses of organic carbon and diatoms. Thirty-four (34) samples from layer 3 to 9
181 from the west wall of unit T0410 and seven samples from layer 6 of unit T0415 were
182 collected for dinoflagellate cyst identification. In addition, seven tree stumps collected
183 from the top of peat layer 6 in units T0214, T0314, T0315 and T0415 were identified
184 at species level at the Institute of Archaeology, Chinese Academy of Social Science.

185 Samples for organic carbon measurement were dried at 40°C in an oven and
186 milled to powder. Two aliquots were prepared for each sample: (1) 20 mg powder
187 was used to measure total carbon and total nitrogen (TN) using a vario MAX cube CN
188 analyser (Elementar, Germany) (error <1%) at the State Key Laboratory of Marine
189 Geology, Tongji University, China; (2) about 0.5 g powder was mixed with 0.1 M
190 hydrochloric acid (HCl) for 24 hours to remove carbonate and then washed with
191 deionized water thoroughly until the pH was neutral. The neutral specimen was dried
192 at 40°C and then used for measurement of TOC by vario MAX cube CN analyser
193 (error <1%) at Tongji University and $\delta^{13}\text{C}_{\text{V-PDB}}\text{‰}$ (error, $\pm 0.2\text{‰}$; reference material:
194 Urea and Acetanilide) by Delta V Advantage Isotope Ratio Mass Spectrometer

195 (Thermo Scientific, Germany) at the Third Institute of Oceanography, State Oceanic
196 Administration of China. Samples for diatom analysis were prepared at
197 Loughborough University in a water bath using 30% H₂O₂ to remove organic matter
198 and HCl to remove carbonates, following the procedure of [Renberg \(1990\)](#), and
199 permanent slides counted on a Leica DME light microscope (numerical aperture =
200 1.4) under oil immersion and phase contrast at x1000 magnification. Samples for
201 dinoflagellate cysts identification were treated following standard procedures of
202 pollen analysis ([Moore et al., 1990](#)) and the species were counted using a Leica
203 optical microscope at x400 magnification. The identification of dinoflagellate cysts
204 was made according to regional taxonomic guides ([He et al., 2009](#); [Mao et al., 2011](#);
205 [Tang et al., 2013](#)).

206 For building the chronology, eight samples of charcoal, plant fragment and
207 organic sediment from units T0410 and T0513 were AMS ¹⁴C dated by Beta Analytic,
208 USA, and calibrated using the Calib 7.1 program ([Stuiver et al., 2015](#); [Table 2](#)).
209 Furthermore, a sample from the sand ridge above the peat layer in T0513 ([Fig. 3E](#))
210 was dated using single-grain optically stimulated luminescence (OSL) measurement
211 of quartz ([Duller, 2008](#)). In total, 3500 grains of quartz (180–224 μm) were measured
212 and 37 grains were accepted for age determination ([Table 3](#); [Fig. S1](#)). Luminescence
213 measurements were carried out by an automated Risø-TL/OSL DA-20 DASH reader
214 equipped with a ⁹⁰Sr/⁹⁰Y beta source ([Bøtter-Jensen et al., 2003](#)) and an ET EMD-
215 9107 photomultiplier tube at the State Key Laboratory of Estuarine and Coastal
216 Research, East China Normal University.

217 We also decided to use the south excavation wall of unit T0513 and west wall of
218 unit T0410 for relative sea level reconstruction. In the south wall of unit T0513, the
219 Holocene base of hardened mud dips gradually from west to east while peaty mud
220 layer 9 and peat layer 6a formed the basal peat from east to west, respectively (Fig.
221 2B). In the west wall of unit T0410, as the thick layer of peat (layer 6) was eroded
222 away and the sedimentary sequence above the Holocene base is only ~150 cm thick in
223 the north part (Fig. 2C), sediment compaction can be neglected when applying the
224 sea-level indicators from this profile. When collecting the radiocarbon dating
225 material, three sample from layers 9, 8 and 6 in unit T0513, and three samples from
226 layers 7, 4 and 3 in unit T0410 were chosen for reconstruction of relative sea levels
227 (Fig. 2B, C; Table 4). We used the basal peat and stratigraphic approach to determine
228 the palaeo-relative sea levels after identification of these sea-level indicators (Wang et
229 al., 2013; Shennan et al., 2015). We used a total station to measure the elevation of
230 the Holocene base in units T0410 and T0513, where samples of sea-level indicators
231 were collected. We further used the tidal levels calculated from the records of Zhenhai
232 gauge station (Fig. 1B) during AD 1958–1980 because a previous study simulated
233 little change in the tidal range for the coast of Yaojiang Plain from the middle
234 Holocene (6 ka) to the present day (Uehara et al., 2002). As a present-day, high-
235 resolution topographic dataset (<http://www.gscloud.cn>) demonstrates that the present-
236 day freshwater marsh mostly develops at ~0–0.5 m above the mean spring high water
237 (MSHW) in the Yaojiang plain, freshwater marsh habitat inferred from palaeodata
238 was therefore considered to be 0–0.5 m above the MSHW (Table 4).

239 In addition, we collected and recalibrated 80 published radiocarbon ages (Table
240 S1) during and after the Liangzhu culture from Neolithic sites across the East China
241 coastal plain using the Calib 7.1 programme (Stuiver et al., 2015) to revise the time
242 span of the Liangzhu culture. We also compiled all published sedimentary profiles
243 dated by AMS ¹⁴C in the study area (Fig. S2 for their location) and compared the
244 database covering the end of the Liangzhu culture which included radiocarbon ages
245 (also recalibrated; Table S2), ecological and environmental proxies, and signals of
246 flooding (Table 5).

247

248 **4. Results**

249 *4.1 Holocene stratigraphy and sedimentary environmental change at Yushan*

250 There is clear variation in organic geochemistry in each layer, distinguishing the
251 terrestrial or marine source of organic carbon (Fig. 4). Diatom preservation is poor
252 throughout much of the sequence, and identifiable valves were only observed in
253 layers 9, 8 and 6, and in a single sample of layer 5. Such preservation problems are
254 typical for coastal sediments (Ryves et al., 2004). By contrast, dinoflagellate cysts of
255 marine genera including *Spiniferites*, *Operculodinium* and *Lingulodinium* were found
256 in the non-cultural layers of 8, 5 and 4 and the bottom section of layer 3. Below we
257 present the results of chronology, stratigraphic patterns of proxies and interpretation
258 of sedimentary environments of layers 10–2.

259 *Layer 10 (mud before the early Hemudu culture).* Levels of TOC and TN are
260 generally low (<1% and <0.2%, respectively; Fig. 4A) and values of TOC/TN and

261 $\delta^{13}\text{C}$ indicate that the dominant source of organic carbon was freshwater algae or
262 freshwater particulate organic carbon (POC) (Fig. 4B; Lamb et al., 2006). A
263 terrigenous environment is thus inferred for the Yushan site before the settlement of
264 Hemudu people.

265 *Layer 9 (early to middle Hemudu culture)*. A charcoal sample from this peaty
266 mud layer was dated to 4440–4540 BCE (median age 4490 BCE; Table 2), which is in
267 agreement with the artefacts of early to middle Hemudu culture found in this layer.
268 TOC increases to ~5%; $\delta^{13}\text{C}$ analyses indicate that the organic carbon was derived
269 from terrestrial C_3 plants and freshwater POC or algae (Fig. 4A, B). Diatoms are
270 sparse in the bottom samples of this layer, consisting of robust, freshwater benthic
271 forms. The middle sample in this section contained several whole cells of *Amphora*
272 *copulata*, a benthic species more typical of higher conductivity freshwaters. The
273 presence of whole cells suggests that the diatoms were growing *in situ*, rather than
274 transported to the site, implying shallow water. Subsequent samples at the top of this
275 layer 9 included taxa typical of somewhat fresher, low nutrient and lower pH waters,
276 such as *Eunotia* and *Pinnularia*, along with some elongate *Fragilaria*. No marine
277 dinoflagellate cysts was observed. A coastal freshwater marsh environment was
278 identified during the early to middle Hemudu period.

279 *Layer 8 (artefact-absent mud covering the early to mid-Hemudu cultural layer)*.
280 A radiocarbon age of 4310 BCE (4260–4360 BCE) was obtained from a sample of
281 plant fragments in the bottom section of this layer. TOC decreases sharply (<1%) and
282 its isotopic composition demonstrates a terrestrial origin (Fig. 4B). However, a few

283 valves of marine coastal taxa (such as *Rhaphoneis*) were encountered (Fig. 4A).
284 Furthermore, of the four samples analysed, the uppermost sample had no marine
285 dinoflagellate cysts, while concentrations for the other three were 332, 78 and 365
286 cysts g⁻¹ dry weight (dw). An upper tidal flat environment was thus inferred at the site
287 after the end of the middle Hemudu culture.

288 *Layer 7 (late Hemudu culture)*. Radiocarbon dating of charcoal from this organic-
289 rich mud layer gives an age of 4020 BCE (3945–4170 BCE; Table 2), supporting the
290 finds of artefacts of late Hemudu culture found in this layer. Organic carbon was
291 derived from freshwater algae or POC and some terrestrial C₃ plants (Fig. 4B). Only
292 two samples contained marine dinoflagellate cysts among five samples in layer 7,
293 with concentrations of 53 and 582 cysts g⁻¹ dw. These data indicate a saltmarsh
294 environment during the late Hemudu culture.

295 *Layer 6 (Liangzhu culture)*. Radiocarbon ages from two samples from the bottom
296 and upper section of this layer are 3570 BCE (3515–3640 BCE) and 2760 BCE
297 (2635–2880 BCE), respectively (Table 2; Fig. 3A), which is consistent with the
298 Liangzhu artefacts found in this layer. Rooted *in situ* at the top of this peat layer are
299 many tree stumps at the edge of the excavation area (Fig. 3B, C), all of which have
300 been identified as mature willow (*Salix*; ~12–25 cm in diameter; 1–6 trees per
301 excavation unit of 100 m²). This shrub is typical of the natural Yangtze coastal
302 freshwater marsh, a zone located above MSHW (Zong et al., 2007; 2011). Both TOC
303 and TN increase steadily throughout layer 6, reaching values of almost 21% (TOC)
304 and 1% (TN). Values of $\delta^{13}\text{C}$ of approximately –28‰ and TOC/TN >15 imply that

305 this OC was dominantly derived from terrestrial C₃ plants. Furthermore, a diverse
306 flora of diatoms typical of shallow, freshwater/slightly brackish conditions appeared,
307 including species of *Cymbella*, *Amphora*, *Gyrosigma*, *Nitzschia* and *Navicula*. Higher
308 up the sequence, taxa typical of more distinctly brackish conditions were also found,
309 including *Ctenophora pulchella*, *Rhopalodia gibba* and *Chaetoceros* cysts, as well as
310 more clearly freshwater and low alkalinity taxa (*Eunotia*, *Pinnularia*, *Cocconeis*),
311 suggesting a mixture of shallow wetland, freshwater and coastal marine habitats in the
312 vicinity of the site. No marine dinoflagellate cysts was found. A coastal freshwater
313 marsh close to the MSHW is inferred during the Liangzhu culture.

314 *Layer 5b (gravelly sand, sand or sand-mud mixture cutting into the Liangzhu*
315 *peat)*. The sedimentary composition of the sand ridge consisting of gravel, sand and
316 fragments of the Liangzhu artefacts indicates strong hydrodynamic force during its
317 formation. A radiocarbon age of 2760 BCE was derived from the plant fragments
318 within the sand ridge, being identical with that of the underlying peat ([Table 2](#)),
319 reflecting reworking from the peat. This sand ridge cutting into the peat layer,
320 together with the sedimentary architecture including the erosional surface and tree
321 stumps at the top of the underlying peat layer, reflect strong erosion and sudden
322 deposition during a major storm event. Previous studies has reported similar
323 deposition facies and sequences during storm events, such as the development of
324 chenier ridges on the tidal flat of the Yangtze coast ([Yan et al., 1989](#)). OSL dating of
325 single quartz grains within the sand ridge yielded an age of 4.59±0.24 ka BP (with a
326 central age of 2575 BCE; [Table 3](#)).

327 *Layer 5a (artefact-absent mud covering the Liangzhu layer)*. Similar to layer 8
328 which overlies the early to mid-Hemudu peat, layer 5a of homogenous mud overlays
329 the Liangzhu peat in many units. At the bottom of this layer, TOC also abruptly
330 declines to <1% similar to the change from layer 9 to layer 8, with a simultaneous
331 dramatic increase in $\delta^{13}\text{C}$ (to -20.66‰) and a decrease in TOC/TN to <8 (Fig. 4A),
332 implying that this organic matter was derived from marine algae or marine POC (Fig.
333 4B). In the upper part of layer 5, TOC increases slightly and $\delta^{13}\text{C}$ shifts to the
334 freshwater algal or POC range, indicating a short period of desalinisation. Some valve
335 fragments of marine plankton (such as large *Coscinodiscus*) were encountered in one
336 sample. Concentration of marine dinoflagellate cysts were abundant ($\sim 700\text{--}1500\text{ g}^{-1}$
337 dw) in the whole section. Coastal marine sediment is thus inferred for this layer. We
338 argue that this layer also represents the deposits of the storm event, due to its very
339 high sedimentation rate compared to other layers, and the desalinisation signal
340 inferred from the organic carbon source in the upper part (Fig. 4A). We suggest this
341 mud layer was formed by rapid settling of suspended sediments after the storm, which
342 reworked fine-grained sediments from offshore areas and transported these onshore.
343 The increase in terrestrial organic carbon input in the upper section is an indication of
344 the large amount input of freshwater discharge caused by intense precipitation
345 associated with the storm.

346 *Layer 4 (artefact-absent mud)*. An age of 2335–2495 BCE was derived from the
347 bottom of this layer. TOC drops further and was dominated by marine POC or
348 bacterial OC (Fig. 4). Some unidentifiable girdle bands of a large centric diatom,

349 probably a marine planktonic species, was found in one sample. Concentration of
350 marine dinoflagellate cysts is high (500–1500 g⁻¹ dw). Together with the lithological
351 feature of silt lamination, we suggest an upper tidal-flat environment during the
352 formation period of layer 4.

353 *Layers 3 (Shang to Zhou dynasty) and 2 (Tang to Song dynasty).* The radiocarbon
354 age of charcoal is 1395–1500 BCE at the bottom of this section, which together with
355 artefacts of Shang to Song dynasties, provides firm evidence that these two layers
356 were formed during the historical period. TOC/TN increased in layers 3 and 2, and
357 OC is dominated by freshwater algae and the POC contains a signal of terrestrial C₃
358 plants (Fig. 4B). There are some marine dinoflagellate cysts (476 cysts g⁻¹ dw) in the
359 base of layer 3, indicating a saltmarsh environment at the beginning of Shang dynasty
360 and a freshwater environment thereafter.

361 From the results of these multiproxy analyses of organic carbon sources, marine
362 microfossils and the occurrence of human cultural layers, we speculate that humans
363 settled at the Yushan site during periods when coastal freshwater marsh or saltmarsh
364 environment prevailed over the last ~6500 years. However, such settlement was
365 interrupted by two marine intrusion events, corresponding to the interruption of the
366 Hemudu and termination of the Liangzhu culture during ~4310–4020 BCE and 2575–
367 1450 BCE, respectively. Note that the later event was characterized by a major storm
368 event at its beginning, a storm that was strong enough to erode away the peat layer
369 and form a sand ridge.

370

371 *4.2 Ages of the storm event and the terminal of Liangzhu culture*

372 The OSL dating of quartz grains gives a direct age of 2575 ± 240 BCE for the
373 sand ridge. However, a narrower age span is necessary to discuss the linkage between
374 coastal flooding and the Neolithic culture. As the top of the peat unit at Yushan was
375 eroded away by the storm in many units (Figs. 2, 3) or possibly lost due to human
376 land use such as building an artificial platform (Table 1), we are unable to determine
377 directly the age when the coastal marsh was inundated and buried by the marine
378 sediments. We therefore compared the ages obtained from the rice field profiles at the
379 Tianluoshan (TLS) site, which is only ~20 km away from Yushan and is located
380 inland and surrounded by highland (Fig. 1B). At the TLS site, the top of the
381 corresponding peat bed formed during the Liangzhu period is non-erosively preserved
382 and dateable (Zheng et al., 2012). This implies that this site was protected from the
383 storm erosion and only drowned by the sea water. Thus, the buried peat top should
384 represent the original depositional surface of the coastal marsh. Two samples of seeds
385 from different trenches from the peat top of the TLS site resulted in the same age of
386 2540 BCE (^{14}C ages of 4015 ± 45 and 4020 ± 40 yr BP, respectively, Table 2). This
387 corresponds very well with the Yushan profile, both in terms of the stratigraphy and
388 the age of the marine inundation as dated by OSL (2575 BCE; Table 3). From these
389 two reliable and independent lines of chronological evidence which give a range of
390 2540–2575 BCE for the central age, we therefore consider that the most likely date of
391 the storm to be $\sim 2560 \pm 100$ BCE (the range of ± 100 years was decided according to
392 the error of radiocarbon dating).

393 The Liangzhu people abandoned the Yushan site immediately after the storm
394 event. Our compilation of radiocarbon ages of other sites across the south Yangtze
395 coastal plains further demonstrates that the terminal age of the Liangzhu culture was
396 at approximately 2500 BCE (Fig. 5), when the Liangzhu people abandoned their state
397 capital complex (Zhang et al., 2015; Wang et al., 2017). Thus, the Liangzhu culture
398 ended only decades after the Yushan storm. The subsequent Qianshanyang and
399 Guangfulin cultures both lasted only for ~300 years, much shorter than the Liangzhu
400 culture (Fig. 5).

401

402 *4.3 Relative sea-level change from 4500 to 1500 BCE*

403 The deposits of saltmarsh and freshwater marsh of layers 7 and 6, respectively
404 imply that relative sea level dropped from -0.78 ± 0.22 m to -1.10 ± 0.25 m during
405 the period from late Hemudu (3945–4170 BCE) to Liangzhu culture (2635–2880
406 BCE; Table 4; Fig. 6). We further interpolated an indicator of the peat top from the
407 west part of unit T0513, where weak erosion of the peat occurred, and ~30-cm thick
408 peat exists above the Holocene base (Fig. 2B). An original ~40-cm thickness of the
409 peat was estimated using the highest estimation of percentage (30%) of peat
410 compaction with an overburden of 1 m (van Asselen et al., 2011). Thus, the original
411 altitude of the peat top and the relative sea level was estimated to be at 1.16 m and
412 -0.70 ± 0.25 m, respectively (Table 4) when the storm occurred at 2560 ± 100 BCE.
413 The upper tidal flat facies of layer 4 indicates a high relative sea-level stand at $-0.25 \pm$
414 0.27 m at 2335–2495 BCE while the saltmarsh sediments of layer 3 indicate the sea

415 level was at $\sim 0.05 \pm 0.22$ m at 1395–1500 BCE. These data suggest an acceleration in
416 relative sea-level rise during the late stage of Liangzhu culture and a slight drop of the
417 sea level from ~ 2440 BCE. In addition, a rapid relative sea-level rise also occurred
418 from -1.49 ± 0.25 m to -0.45 ± 0.27 m from 4440–4540 BCE to 4260–4360 BCE,
419 inferred from the basal peat layer 9 and the marine-originated homogenous mud layer
420 8, respectively (Figs 2B, 4; Table 4). Similarly, this earlier acceleration of sea-level
421 rise occurred during the cultural interruption period between early to mid-Hemudu
422 and late Hemudu cultures (Fig. 6).

423

424 **5. Discussion**

425 *5.1 Flooding signatures across the south Yangtze coast*

426 From these multiproxy and independent lines of evidence, we speculate that a
427 major coastal storm occurred at $\sim 2560 \pm 100$ BCE, which not only overwhelmed the
428 Yushan site directly, but was strong enough to erode away ~ 30 -cm thick peat (Fig. 3).
429 This storm was followed by long-lasting marine inundation and the development of a
430 brackish tidal flat owing to relative sea-level rise, which led to human abandonment
431 of the area for ~ 1000 years until ~ 1625 BCE (Figs. 4, 6). The brackish wetland
432 ecosystem was characterised by low primary productivity, bacterial-dominated OC
433 and low terrestrial OC input, probably with limited biomass production, and was
434 unlikely to support significant human populations during the high sea-level stand of
435 2440–1625 BCE (Fig. 4). Although the wetland had become less saline by the time of
436 the subsequent Shang, Zhou, Tang and Song dynasties (layers 2 and 3), we infer from

437 the geochemical data (especially TOC% and TOC/TN) that primary productivity was
438 far lower than it had been during the Liangzhu period (Fig. 4A).

439 Comparisons with the data available from previous studies of the south Yangtze
440 coast (Fig. 6; Table 5) reveal the extent of this coastal flooding in response to the
441 accelerated sea-level rise during the later stages of Neolithic culture. As expected, a
442 strong saline event occurred at ~2540 BCE at sites within the Yaojiang plain (cf. at
443 TSL; Zheng et al., 2012), but it is also clearly seen 140 km west (at KHQ; ZPICRA,
444 2004; Fig. 6). A slight increase in salinity (reflected by increase in the abundance of
445 saline Chenopodiaceae) was also seen at the Liangzhu site close to the state capital
446 (Table 5). The marine flooding likely did not extend across the Taihu Plain, which
447 also had some protection from substantial chenier ridges to the east (Fig. 1), but there
448 is evidence of a salinization event at the same time at sites ZX-1, TMC (Fig. 6) and
449 Guangfulin (Table 5) close to the shoreline. At most other sites, an increase in local
450 water level was reported at the end of the Liangzhu period (Table 5), implying inland
451 flooding from storm rainfall or waterlogging due to sea-level rise.

452

453 *5.2 Causes of the mid-Holocene coastal flooding*

454 Our reconstruction of relative sea level demonstrated a rapid rise (~0.95 m in
455 ~120 years; Table 4) at the final stage of the Liangzhu culture (Fig. 6). The amplitude
456 of this rise could be slightly overestimated because of the uncertainty in the height of
457 the top of the peat and the underestimation of its compaction. We also did not

458 consider the enlargement of the tidal range because previous simulations
459 demonstrated little change in the amplitude of the M_2 tide in the south east Hangzhou
460 Bay during the middle to late Holocene (Uehara et al., 2002). As previous studies
461 further suggest no major deposition or shoreline advance during the middle Holocene
462 along the Hangzhou Bay (Yan et al., 1989; Gao and Collins, 2014; Zhang et al.,
463 2015), we infer no significant change in tidal levels after the Yushan storm ~4500
464 years ago.

465 This accelerated relative sea-level rise is consistent with sea level records from
466 other regions around the world, and adds to evidence that this rise may reflect a global
467 signal, rather than resulting from local processes. For example, on the coast of
468 Peninsular Malaysia, the relative sea level dropped slightly from 3500 to 2500 BCE
469 and then rose suddenly by ~1–3 m from ~2500 to 2100 BCE (Tjia, 1996; Horton et
470 al., 2005). Rapid relative sea level rise between 2650 and 2350 BCE was also reported
471 from the coast of north-eastern Brazil (~1 m; Suguio et al., 2013) and the northern
472 Gulf of Mexico (Balsillie and Donoghue, 2011). In the mid-Pacific Ocean, microatolls
473 record a relative sea level rise beginning at ~2500 BCE, following slightly declining
474 or stable levels over the previous ~1500 years (Woodroffe et al., 2012). The eustatic
475 sea level curve reconstructed from Red Sea corals shows that sea level began to rise at
476 ~2300 BCE, following stable or declining levels over the previous ~900 years (Siddall
477 et al., 2003). These data imply a small but significant acceleration in global sea level
478 rise in the middle of the third millennium BCE.

479 In addition, [Meltzner et al. \(2017\)](#) reported a half-meter sea level excursion on
480 centennial timescales between 6850 and 6500 cal yr BP from the microatolls of the
481 Sunda Shelf, which indicates that the regional relative sea-level change could be a
482 highly fluctuating pattern along the west coast of the Pacific Ocean. We infer that the
483 rapid rise of relative sea level at Yushan ~4500 years ago, together with the earlier
484 rise ~6300 years ago ([Fig. 6](#)) have similarity with the records in the microatolls of the
485 Sunda Shelf. Furthermore, the record of the Asian summer monsoon shows a small
486 peak in activity from ~2600–2450 BCE ([Fig. 6](#); [Wang et al., 2005](#)). A comparison of
487 values between the Dongge Cave $\delta^{18}\text{O}$, a proxy for the relative strength of the Asian
488 summer monsoon, and atmospheric $\Delta^{14}\text{C}$, a proxy for solar activity, revealed that the
489 monsoon peak coincided with the peak in solar irradiance from ~2600 to 2400 BCE
490 ([Stuiver, 1998](#); [Wang et al., 2005](#)). From these data, we infer that this small monsoon
491 intensity peak was driven by increasing solar activity and hence was a climate-
492 warming event on a centennial timescale. Therefore, we suggest that accelerated
493 global sea-level rise occurred against a backdrop of climate warming during the late
494 stage of the Liangzhu culture.

495 Previous studies suggested that a key feature of accelerated rising sea level is that
496 the return periods of flood events decrease as the sea level increases ([Sweet et al.,](#)
497 [2014](#); [Tessler et al., 2015](#)). We thus suggest that the catastrophic storm at Yushan
498 marked the beginning of a period of frequent flooding across the Yangtze coast, likely
499 including other major coastal and inland flooding events, as supported by evidence of

500 flood deposits in the coastal lowland of Hangzhou Bay and increasing freshwater
501 levels across the Taihu Plain (Fig. 6; Table 5).

502

503 *5.3 Impacts of the coastal flooding on the Liangzhu human society*

504 We argue that perhaps only over a few decades, the combined effect of a series of
505 extreme events and flooding, such as the Yushan inundation, could have
506 overwhelmed even a politically advanced, technologically capable and well-organised
507 prehistoric society such as the Liangzhu. Frequent extreme events and flooding would
508 have had profound impacts, both immediate and longer-term. The coastal storm
509 recorded at Yushan at 2560 BCE and associated flooding (including inland river
510 flooding from storm rainfall) would have destroyed settlements and infrastructure
511 across the region, as seen in the archaeological record at Yushan (Fig. 3). In the
512 Yaojiang Plain, the immediate aftermath of marine flooding would have killed
513 freshwater wetland plant communities that could not tolerate higher salinity and thus
514 ended rice cultivation (Zheng et al., 2012); low net biomass production under
515 marine/brackish conditions (Fig. 4A) would be unlikely to support significant human
516 populations. At the head of Hangzhou Bay, coastal flooding also inundated the late-
517 Liangzhu rice paddies such as at Maoshan (~30 km away from the Mojiaoshan, Fig.
518 1), notwithstanding it was designed with artificial ditches to facilitate water
519 management (Zhuang et al., 2014). The political centre of Mojiaoshan was not only
520 threatened directly by the salt intrusion and frequent flooding (Liu and Chen, 2012;

521 [Zhuang et al., 2014; Table 4](#)), but also could have learned lessons from the flooding of
522 inundated sites in the Yaojiang Plain.

523 Furthermore, although societal and demographic recovery from a single extreme
524 event could have happened after the Yushan storm (e.g. during freshening seen in the
525 upper section of layer 5; [Fig. 4A](#)), frequent flooding would have made this much more
526 difficult, for example by ruining stored rice seeds or from persistent and widespread
527 crop failure ([Stone, 2009](#)). This would quickly result in a shortage of surplus
528 production needed to support the political centre, and the large number of artisanal
529 workers not employed in food production, such as jade workers ([Liu and Chen, 2012](#)).
530 It is possible that the Liangzhu ruling political elite could have moved the state capital
531 away from the coastal lowland as an adaptive strategy to mitigate the impacts of rising
532 sea level and increasing flooding, potentially explaining the appearance of the
533 subsequent, but less organised and less developed (yet culturally related),
534 Qianshanyang culture. Owing to its profound impacts on the landscape and people,
535 this period of flooding, including the Yushan storm at 2560 BCE, may even have
536 contributed to the ancient oral flood traditions in the Lower Yangtze, forming the
537 cultural setting for the legend of China's Great Flood more than 4000 years ago
538 ([Lewis, 2006](#)).

539

540 **6. Conclusions**

541 From the sedimentary record at the Yushan archaeological site, and combined
542 evidence from other sites in the south Yangtze coastal plain, we conclude that major

543 coastal flooding occurred at the late stage of the Liangzhu culture. This flooding was
544 characterized by extreme events, and was caused by short-term but significant
545 acceleration in sea-level rise, which was possibly linked to a climate warming event
546 on a centennial timescale. We suggest that the frequent extreme events and
547 catastrophic flooding during warming climate phases are controlling factors in
548 explaining Neolithic cultural transitions in the middle Holocene in the Yangtze
549 coastal lowland, including the sudden and perplexing demise of the technologically
550 advanced Liangzhu culture. Our finding of this catastrophic coastal flooding at the
551 middle of third millennium BCE provides an analogue for flood risk, owing to the
552 predicted high rate of sea level rise at the end of 21st century (Nerem et al., 2018) and
553 urgently calls for mitigation strategies to be put in place to protect vulnerable coastal
554 populations worldwide against a similar scenario of abrupt sea level rise in the near
555 future.

556

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561

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- 769 **Table headings**
- 770 **Table 1** A summary of the archaeological sequence at Yushan site (Figs 2, 3).

771 **Table 2** AMS ^{14}C ages and their calibrations for the Yushan and Tianluoshan sites.

772 **Table 3** Single-grain OSL age for the sand ridge sample from the Yushan site

773 together with supporting dose rate and equivalent dose (D_e) data.

774 **Table 4** Reconstruction of relative sea levels using sea-level indicators obtained from

775 units T0410 and T0513 (Fig. 2B, C). Sedimentary facies was determined according to

776 the lithology, organic carbon, diatom assemblage and dinoflagellate cysts. Tidal levels

777 were collected from the Zhenhai gauge station (Fig. 1; 1958–1980). MSHW, 1.61 m;

778 MHW, 1.17 m; MNHW, 0.63 m. All heights are given with respect to current mean

779 sea level (Yellow Sea datum). Abbreviations: MSHW, mean spring high water;

780 MHW, mean high water; MNHW, mean neap high water.

781 **Table 5** Sediment profiles with high-resolution AMS ^{14}C ages from the Taihu and

782 Yaojiang Plains and head of the Hangzhou Bay, East China coast. Locations of these

783 profiles are indicated in Fig. S2.

784

785 **Figure legends**

786 **Figure 1 Location maps.** (A) East Asia and the location of the study area. (B) The

787 south Yangtze coastal plain, showing the locations of the Liangzhu sites and all sites

788 for which radiocarbon dates for the Liangzhu and post-Liangzhu cultural layers were

789 available. These sites are numbered in sequence according to their distance from the

790 Yushan site (Table S1). Note that the Liangzhu settlements are distributed mainly on

791 the Taihu Plain of the southern Yangtze Delta plain and the Yaojiang Plain on the

792 south east bank of Hangzhou Bay. (C) The flooding to a depth of >0.5 m across most

793 of the Yaojiang Plain caused by Typhoon Fitow (the strongest typhoon to make

794 landfall in China for over 60 years), October 2013 (data source: Ningbo gauge station,
795 2013. <http://www.nbswz.com.cn/Html/201405/26/11669.html>). **(D–F)** Typical
796 artefacts of the Liangzhu culture discovered from the Yushan site, now deposited in
797 Ningbo Municipal Institute of Cultural Relics and Archaeology. **(D)** Stone cutter (*Shi*
798 *Dao*); **(E)** stone woodworking tool (*Youduan Shi Beng*); **(F)** black pottery two-lugged
799 necked jar (*Shuangbi Hu*), with some remains of black slip. The maps were generated
800 with the ArcGis 10.1 software (www.esrichina.com.cn) using the topographic dataset
801 provided by the International Scientific & Technical Data Mirror Site, Computer
802 Network Information Centre, Chinese Academy of Sciences (<http://www.gscloud.cn>).
803 **Figure 2 (A)**. Aerial photo of the Yushan site during excavation. **(B)** Photo of the
804 south wall of unit T0513. Numbers represent the cultural layers. Note layers 8 and 9
805 pinch out and disappear westward due to the basal topography, making layer 6 the
806 Holocene basal peat in some sections. **(C)** Photo of the west wall of unit T0410.
807 Numbers represent the cultural layers. The data set of altitude and radiocarbon age are
808 presented for each sea-level indicator in (B) and (C). Layers 8 and 9 also pinch out
809 and disappear northward due to the basal topography, making layer 7 the Holocene
810 basal sediments. Elevation of the Holocene bases in two units were measured by a
811 total station. White arrows with elevation and calibrated ages (BCE) represent data
812 used for reconstruction of relative sea level. Numbers of cultural layers: **2**, Tang to
813 Song dynasties; **3**, Shang to Zhou dynasties; **4–5**, natural deposits; **6a–6b**, Liangzhu
814 period; **7**, late Hemudu period, missing in these units; **8**, natural deposits; **9**, early to
815 middle Hemudu period; **10**, pre-Hemudu natural deposits.
816 **Figure 3 Photographs of strata at Yushan.** **(A)** Excavation unit T0513, showing the
817 sediments deposited since the pre-Hemudu period and the erosional surface above the

818 peat layer of the Liangzhu period. (B–C) Tree stumps on the tops of the peat layers in
819 T0213 and T0214. (D) Sand ridge in T0415. (E–F) Sand ridge in T0513.

820 **Figure 4 Environmental change and human responses at Yushan. (A)**

821 Stratigraphic patterns of total nitrogen (TN), total organic carbon (TOC), TOC/TN,
822 bulk organic carbon stable isotopic composition ($\delta^{13}\text{C}$), dinoflagellate cysts, diatoms
823 (N = none, F/B = freshwater/brackish; M = marine; detailed information in Table S4)
824 and sedimentation rates (SR) in different cultural layers (2–10). The numbers used for
825 the cultural layers are the same as in Fig. 3. Ages with stars (*) were calculated based
826 on sedimentation rates. (B) Discrimination of organic carbon sources based on
827 TOC/TN and $\delta^{13}\text{C}$ (adapted from Lamb et al., 2006).

828 **Figure 5 Time span of the Liangzhu (Group 1), Qianshanyang (Group 2),**

829 **Guangfulin (Group 3) cultures and post-Liangzhu natural deposits in Yaojiang**

830 **plain (Group 4). Note the end of the Liangzhu culture is around 2500 BCE. Also**

831 indicated is the OSL age of the storm sand at the top of the Liangzhu cultural layer

832 (boundary of layer 5/6a; Fig. 3). Site number ordered by distance from the Yushan

833 site (Fig. 1; Table S1). Samples dated by AMS ^{14}C are indicated in red. Others were

834 dated by the radiometric method.

835 **Figure 6 Comparison of the relative sea-level change and regional marine**

836 **flooding records on the south Yangtze coast from the Yaojiang and Taihu plains**

837 **and the head of Hangzhou Bay. In the sea-level curve, calibrated radiocarbon ages**

838 are presented with error bars of 2σ ; horizontal error bars represent the indicative

839 meaning (range of relative sea level) of each sea-level indicator. The interpolated data

840 point is calculated from the data set derived from the estimated storm age and original

841 peat top (Table 4; see text for details). Sediment profiles are numbered as in Fig. S2

842 (with increasing distance from Yushan); data sources are given in Tables 4 and S2.

843 The oxygen isotopic record ($\delta^{18}\text{O}$) of stalagmite DA from Dongge Cave (the cave
844 location is marked in [Fig. 1A; Wang et al., 2005](#)) denotes a short period of
845 strengthening, yet variable, Asian summer monsoon linked to the warming climate
846 (denoted by the red arrow) during the latter stages of the Liangzhu culture.

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861 Table 1 A summary of the archaeological sequence at Yushan site (Figs 2, 3).

Cultural layer	Description of lithology	Archaeological finds	Cultural period
1	Cultivated layer.	None	Present-day
2	Yellowish earth.	Yue Kiln	Tang and Song
3	Dark grey or yellowish grey mud.	Pottery vessels, early proto-celadon, bronze ware, stone and wood tools	Shang and Zhou
4	Yellowish grey mud with some very thin (<1 mm) laminations of silt and abundant root traces.	None	Cultural interruption
5a	Grey homogeneous mud with an unconformity with the underlying layer 6.	None	Cultural interruption
5b	Gravelly sand, sand or mixture of sand and mud.	Some fragments of Liangzhu artefacts.	Storm deposits
6	a, peat; b, peaty mud. On top of this peat layer, an erosional surface occurred and tree stumps exist in many units (Fig. 3A–C).	An artificial platform occurred at the edge of upland, containing pottery vessels, polished stone tools of axe, adze, plow, cutter, arrowhead, sickle and <i>Mopan</i> slab. Some artefacts were also found in the peaty mud and peat.	Liangzhu

7	Organic rich mud	Red pottery vessels, polished stone tools of axe, adze, chisel, arrow head and <i>Mopan</i> slab and jade.	Late Hemudu
8	Grey homogeneous mud.	None	Cultural interruption
9	Dark grey peaty mud	Black pottery vessels, polished stone tools of axe and adze, bone awl and remains of architecture.	Early to middle Hemudu
10	Yellowish grey or grey homogeneous mud	None	Natural deposition before settling

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863 Table 2 AMS ¹⁴C ages and their calibrations for the Yushan and Tianluoshan sites.

Field number	Cultural period	Cultural layer (Fig. 3)	Dating material	δ ¹³ C (‰)	Conventional age aBP	Calibrated age (BCE) 2 sigma Probability	Median	Laboratory Number	
T0410-3c	Shang	3	Charcoal	-25.2	3170 ± 30	1395–1500	1	1450	414776
T0410-4	None	4	Plant fragments	-27.9	3940 ± 30	2335–2495	0.90	2440	414777
T0513-5*	None	5	Plant fragments	-25.5	4170 ± 30	2635–2880	1	2760	406454
T0513-6a	Liangzhu	6a	Plant fragments	-30.3	4170 ± 30	2635–2880	1	2760	406455
T0410-6b	Liangzhu	6b	Organic sediments	-27.6	4770 ± 30	3515–3640	0.98	3570	414778
T0410-7	Late Hemudu	7a	Charcoal	-25.6	5210 ± 50	3945–4170	0.94	4020	414779
T0513-8-1	None	8	Plant fragments	NA	5470 ± 30	4260–4360	1	4310	406456
T0513-9	Early to mid-Hemudu	9	Charcoal	-26.3	5640 ± 30	4440–4540	0.83	4490	406457
Tianluoshan [†]	End of the Neolithic	–	Seeds	NA	4020 ± 40	2465–2635	0.98	2540	BA091045
Tianluoshan [‡]	End of the Neolithic	–	Seeds	NA	4015 ± 45	2455–2675	0.97	2540	BA07761

864 * This sample was collected from the sand ridge.

865 †, ‡ Ages for Tianluoshan were obtained from Zheng et al., (2009; 2012) and

866 recalibrated using the Calib 7.1 program, as were other ages in the present study.

867 **Table 3** Single-grain OSL age for the sand ridge sample from the Yushan site together with supporting dose rate and equivalent dose (D_e) data.

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Lab	U	Th	K	Water content		Environmental dose rate* (Gy/ka)			Over-dispersion	D_e^\dagger (Gy)	Age ka	Calibrated calendar age (BCE)
	(ppm)	(ppm)	(%)	(%)	Beta	Gamma	Cosmic-ray	Total				
No.												
L144	3.5 ± 0.13	16.3 ± 0.39	2.01 ± 0.06	19 ± 5	1.77 ± 0.10	1.36 ± 0.07	0.18 ± 0.12	3.31 ± 0.12	0.18 ± 0.03	15.2 ± 0.57	4.59 ± 0.24	2575 ± 240^s

869 * The dose rate and OSL ages were calculated using the 'DRAC' ([Durcan et al., 2015](#)).

870 † Single grains of quartz were measured in the regenerative-dose protocol, using a test-dose of 3.03 Gy, a preheat of 200 °C for 10 s, a 160 °C cut heat for 0 s,
871 and green-laser stimulation at 125 °C for 0.9 s. The first 0.06 s of stimulation minus a background estimated from the integral of the last 0.1 s was used for
872 single grain D_e calculation.

873 s This calibrated calendar age was calculated by subtracting 2015 that is the sampling year of the sand ridge from the OSL-dated age 4.59 ± 0.24 ka.

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Table 4 Reconstruction of relative sea levels using sea-level indicators obtained from units T0410 and T0513 (Fig. 2B, C). Sedimentary facies was determined according to the lithology, organic carbon, diatoms and dinoflagellate cysts. Tidal levels were collected from the Zhenhai gauge station (Fig. 1; 1958–1980). MSHW, 1.61 m; MHW, 1.17 m; MNHW, 0.63 m. All heights are given with respect to current mean sea level (Yellow Sea datum). Abbreviations: MSHW, mean spring high water; MHW, mean high water; MNHW, mean neap high water.

Unit	Alt. (m)	Cultural layer	Sedimentary facies	Calibrated age (BCE)	Indicative meaning	Palaeo- sea level (m)	Error (m)
T0410	1.44	3	Saltmarsh	1395–1500	MHW–MSHW	0.05	0.22
T0410	1.15	4	Upper tidal flat	2335–2495	MNHW–MHW	0.25	0.27
T0513	1.16 [†]	6	Freshwater/ brackish marsh	2560*	0–0.5 m above MSHW	–0.70	0.25
T0513	0.76	6	Freshwater marsh	2635–2880	0–0.5 m above MSHW	–1.10	0.25
T0410	0.61	7	Saltmarsh	3945–4170	MHW–MSHW	–0.78	0.22
T0513	0.45	8	Upper tidal flat	4260–4360	MNHW–MHW	–0.45	0.27
T0513	0.37	9	Freshwater marsh	4440–4540	0–0.5 m above MSHW	–1.49	0.25

* This is the interpolated age, i.e., the age of storm event that drowned the peat layer.

[†] Calibrated value of the original peat top assuming that the peat layer above Holocene base in the west part of T0513 was ~30-cm thick, and had been compacted from an original ~40-cm thick layer, using the highest estimation of percentage (30%) of peat compaction with an overburden of 1 m (van Asselen et al., 2011).

Table 5 Sediment profiles with high-resolution AMS ^{14}C ages from the Taihu and Yaojiang Plains and head of the Hangzhou Bay, East China coast. Locations of these profiles are indicated in [Fig. S2](#).

No. (Fig. 1)	Name of site	Dated period (BCE unless stated as AD)	Number of dates from 2000–3000 BCE	Covering the end of Liangzhu culture (Y/N)	Ecological and environmental indicators at the end of Liangzhu culture (Y/N)	Proxy	Signal of flooding	Data source
1	Yushan	1450-4490	4+1 (OSL)	Y	Y (sedimentation rate:0.5–4 mm yr ⁻¹)	Lithology, sedimentology, organic geochemistry, diatom, macroflora	Storm and marine flooding	Present study
2	Tianluoshan (TLS)	0-5080	2	Y	Y	Diatom, phytoliths, macroflora	Marine flooding	Zheng et al., 2016
3	Kuahuqiao (KHQ)	1160-9020	1	Y	Y	Lithology	Marine flooding	ZPICRA, 2004
4	Tangmiaocun (TMC)*	2730-4020	1	Y	Y	Diatom, rice phytoliths	Slight increase in salinity	Zong et al., 2011
5	ZX-1	685-6650	1	Y	Y	Pollen, foraminifera	Marine flooding	Stanley et al., 1999; Chen et al., 2005

6	Pingwang	860-5225	0	Y	Limited data due to very low sedimentation rate (0.1 mm yr ⁻¹)	Pollen	Increase in local water level	Innes et al., 2014
7	Luojiang/ Hemudu	AD 955- 8155	2	Y	Hiatus inferred from the radiocarbon age (sedimentation rate: 0.1 mm yr ⁻¹)	Pollen	–	Qin et al., 2011
8	Wujiangbang	5435–6145	0	N	N	Pollen	–	Qin et al., 2011
9	Qingpu	AD 170–3710	1	Y	Y	Pollen	Increase in local water level [†]	Itzstein-Davey et al., 2007
10	Guangfulin [‡]	AD 860–4360	1	Y	Y	Pollen	Increase in local water level [§] and increase in saline biota (Chenopodiaceae)	Chen, 2002; Atahan et al., 2008; Wang et al., 2012
11	Siqian	4290–6160	0	N	N	Diatom	–	Zong et al., 2011
12	Tinglin	4640–6250	0	N	N	Diatom, rice phytoliths	–	Zong et al., 2011
13	Longnan	2860–3580	1	Y	Y	Pollen	Increase in local water level	Zong et al., 2012
14	Yuanjiadi	1920–4430	0	Y	Y	Pollen	Increase in local water level	Zong et al., 2012

15	Guoyuancun	1770–1850	0	N	N	Pollen	–	Zong et al., 2012
16	Tianyilu	390–2080	0	N	N	Pollen	–	Zong et al., 2012
17	Caoxieshan	1180–2790	1	Y	Y	Pollen	Increase in local water level [¶]	Zong et al., 2012
18	Chuodun	10–1440	0	N	N	Pollen	–	Zong et al., 2012
19	Liangzhu	1120–5605	0	Uncertain due to no age constrain	Y	Pollen	Increase in saline biota (Chenopodiaceae)	Li et al., 2010

* The name “Tangcunmiao” in the original paper should be “Tangmiaocun”.

† Age-depth model determined by excluding results from old carbon. Increase in local water level is inferred from the increase in abundance of *Typha* and *Triglochin-Potamogeton* type and a decrease in *Artemisia*.

‡ There are two profiles at this site, one from [Atahan et al. \(2008\)](#) and the other (profile-1999) from [Chen \(2002\)](#).

§ Age-depth model of profile in [Atahan et al. \(2008\)](#) was determined by excluding results from old carbon ([Wang et al., 2012](#)). Increase in local water level is inferred from the increase in *Typha* abundance in both profiles. Increase in saline biota (Chenopodiaceae) abundance is seen in profile-1999 by [Chen \(2002\)](#).

¶ End of Liangzhu period is inferred from the abrupt decline in abundance of cultural NPPs at 0.6 m (their Figure 6a in [Zong et al., 2012](#)). Increase in local water level is inferred from the increase in abundance of open freshwater NPPs.

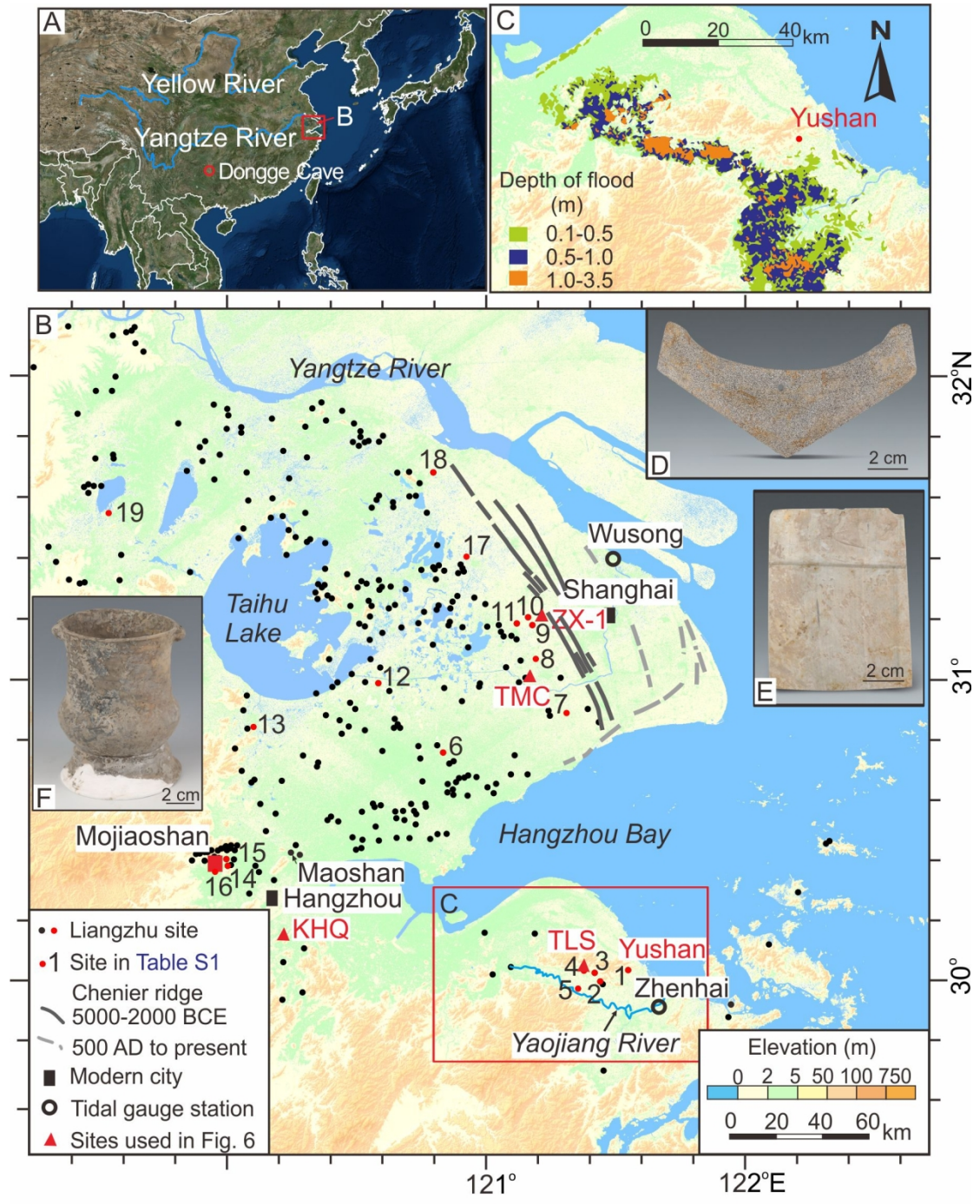


Figure 1

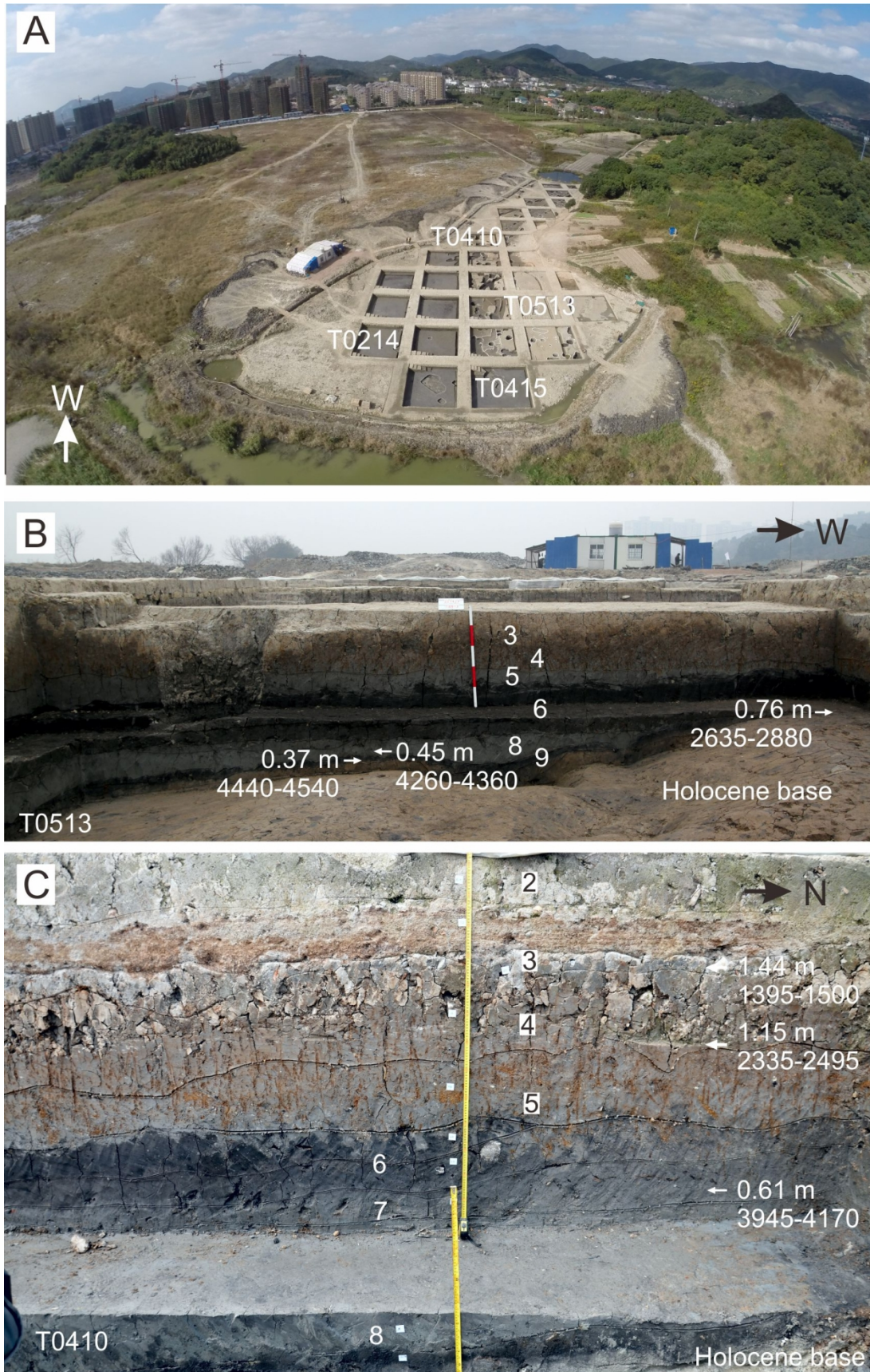


Figure 2

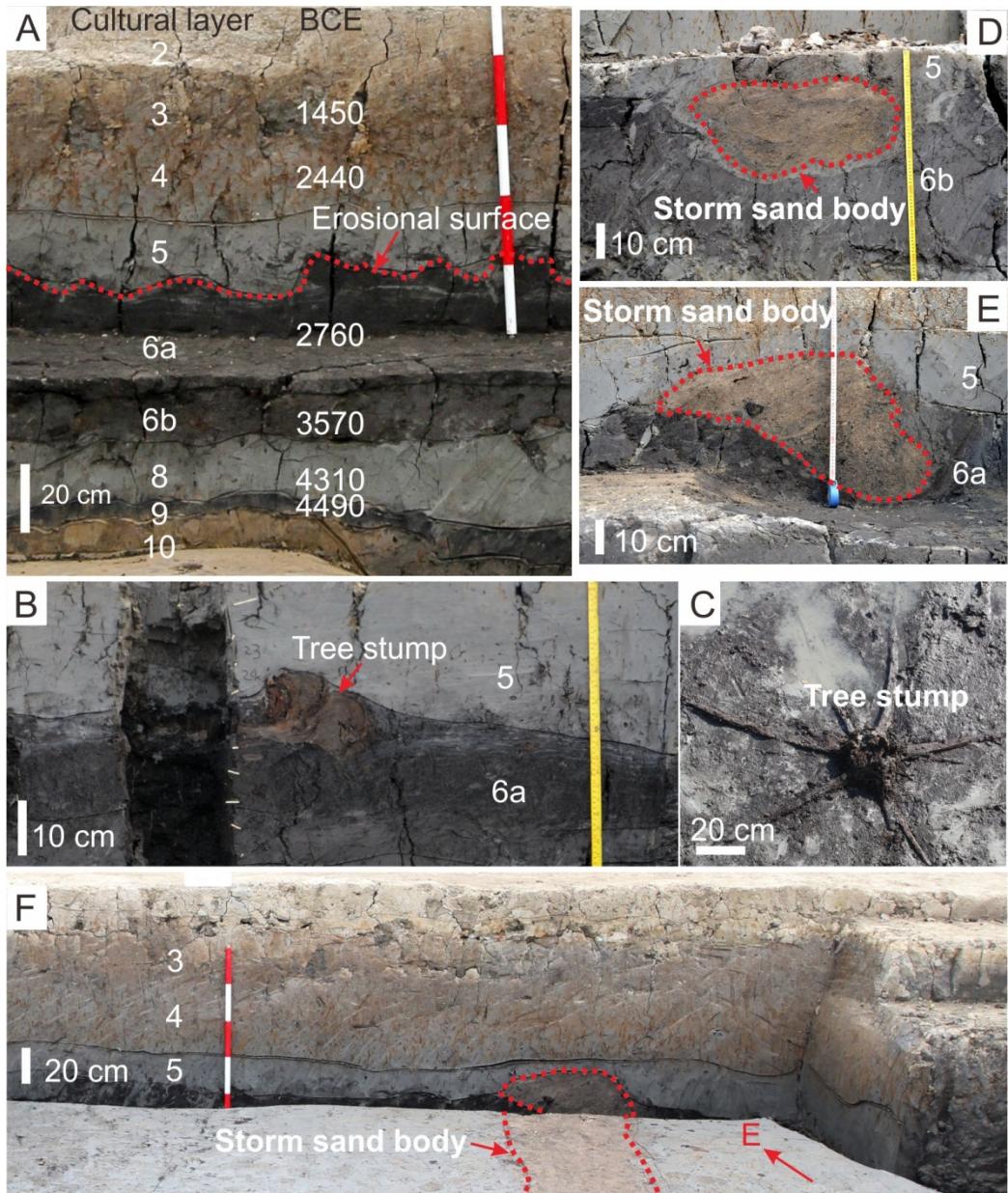


Figure 3

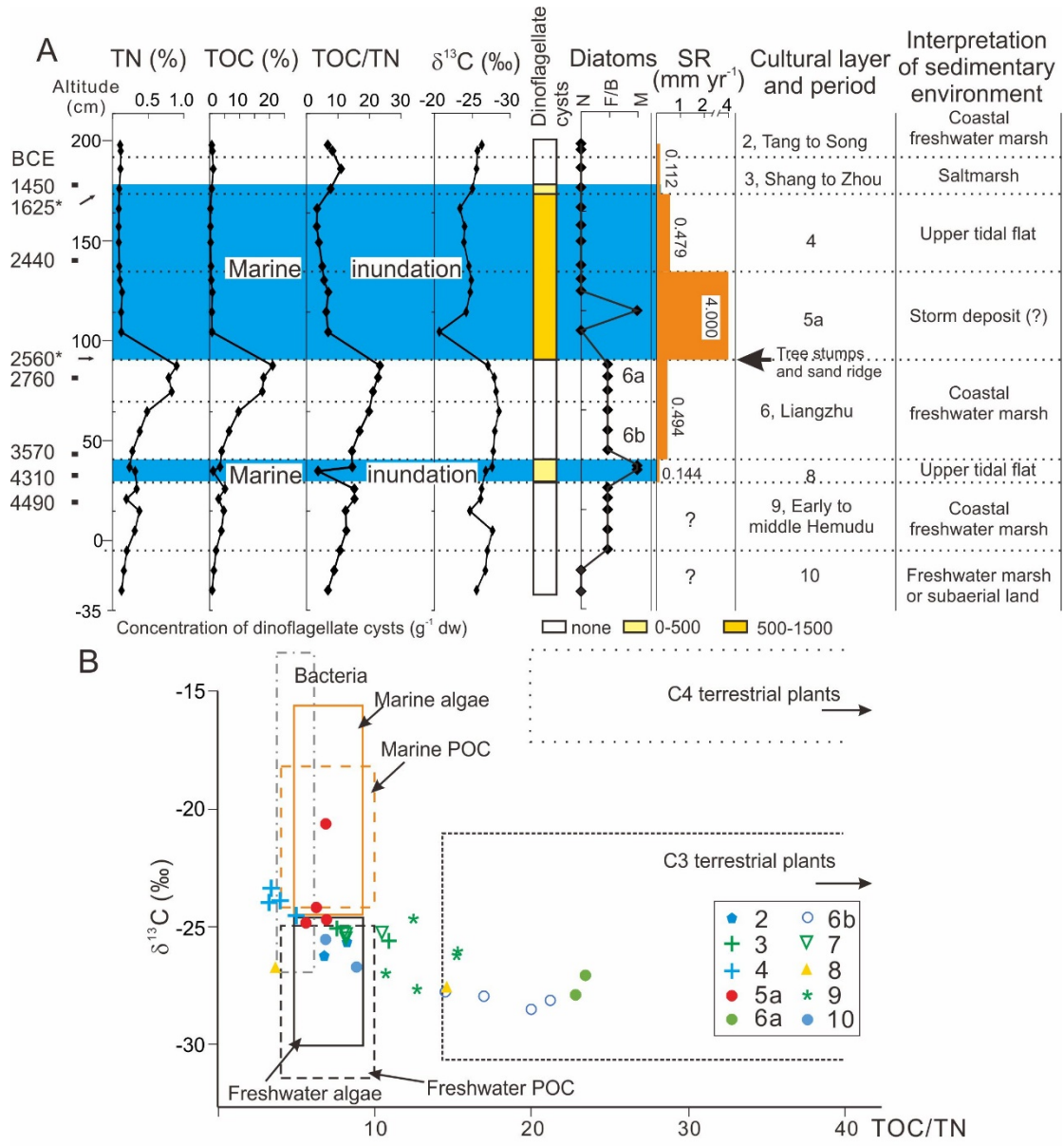


Figure 4

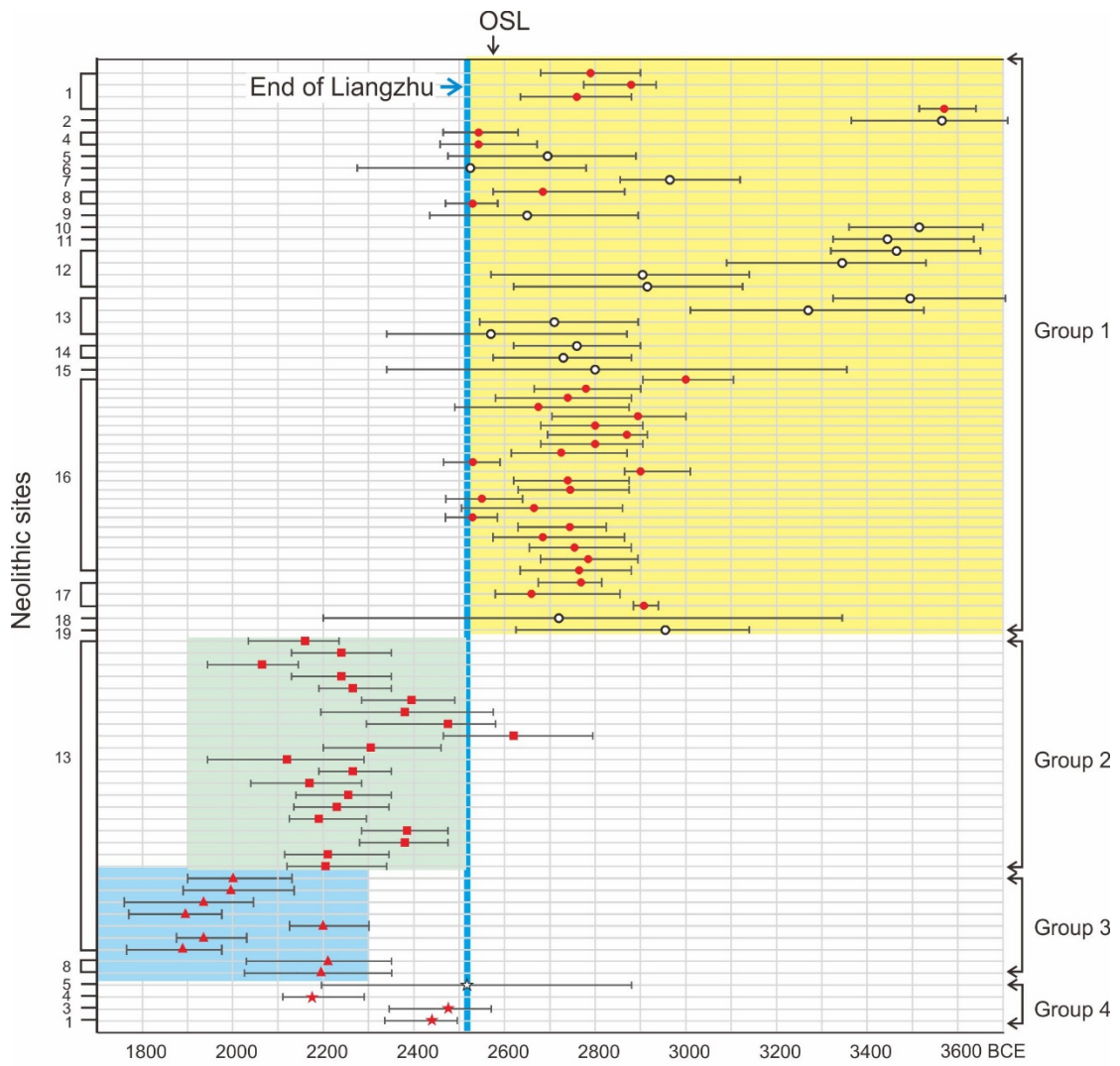


Figure 5

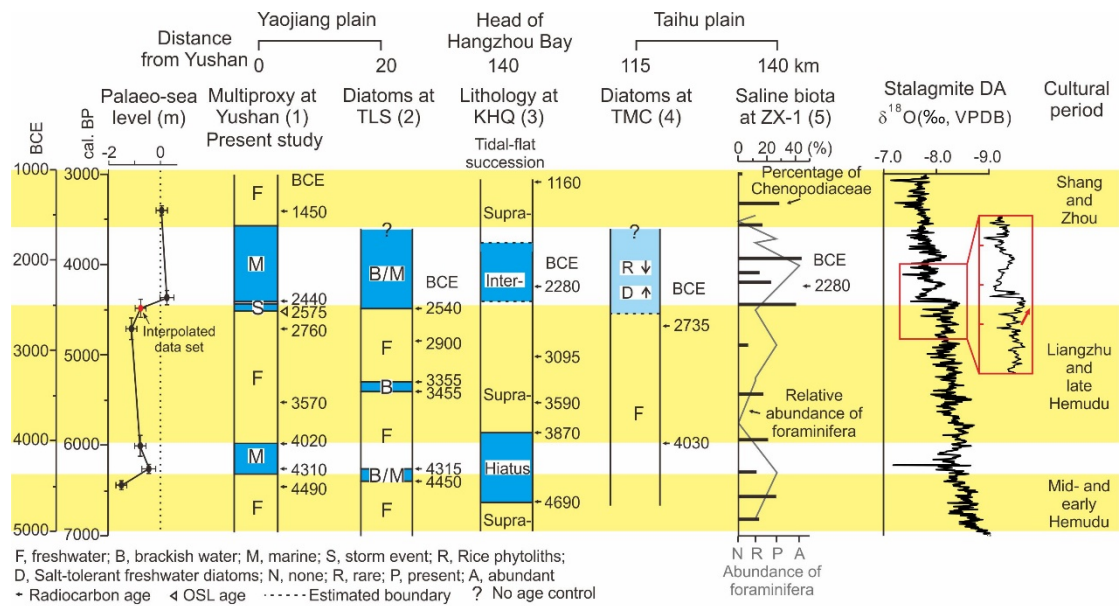


Figure 6

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Supplementary Materials for

Mid-Holocene abrupt sea-level rise and human response in the East China coastal plain

Zhanghua Wang^{1*}, David B. Ryves², Shao Lei³, Xiaomei Nian¹, Ye Lv¹, Liang Tang¹, Long
Wang¹, Jiehua Wang³, Jie Chen⁴

¹State Key Laboratory of Estuarine and Coastal Research, ECNU, Shanghai 200062, China.

²Centre for Hydrological and Ecosystem Science (CHES), Department of Geography,
Loughborough University, Loughborough LE11 3TU, UK.

³Ningbo Municipal Institute of Cultural Relics and Archaeology, Ningbo, China.

⁴Shanghai Museum, Shanghai 200003, China.

correspondence to: zhwang@geo.ecnu.edu.cn

This file includes:

- Tables S1 to S2
- Figs. S1 to S2
- References

36 **Table S1** Neolithic sites (seeing Fig. 1 for their location) with 85 radiocarbon ages during and post the Liangzhu period, which we collected from all publications.
 37 Radiocarbon ages were recalibrated with the programme Calib 7.1, using a ¹⁴C half-life of 5568 years. This work is to revise the time span of the Liangzhu
 38 culture and results show that it ended at ~2500 BCE (Fig. 5).
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Number in S.I. Fig. 1	Name of site	Cultural layer	Dating material	Radiocarbon age (BP)	2 sigma Calibrated BCE	Prob.	Median prob. (cal. BCE)	Data source	Dating method
1	Yushan	Natural deposit above Liangzhu layer	Plant fragment	3940±30	2335–2495	0.900	2440	Present study	AMS ¹⁴ C
3	Fujiashan	Natural deposit above Liangzhu layer	Peaty mud	3955±35	2345–2570	1.000	2475	[1]	AMS ¹⁴ C
4	Tianluoshan	Natural deposit above the rice field	Plant fragment	3760±40	2110–2290	0.813	2175	[2]	AMS ¹⁴ C
5	Xiangjiashan	Disturbed layer above the Liangzhu	Wood	3990±130	2195–2880	0.986	2515	[3]	Radiometric
8	Guangfulin	Guangfulin	–	3770±60	2025–2350	0.947	2195	[4]	AMS ¹⁴ C
8	Guangfulin	Guangfulin	–	3780±60	2030–2350	0.925	2210	[4]	AMS ¹⁴ C
13	Qianshanyang	Guangfulin	Wood	3545±35	1765–1975	0.994	1890	[5]	AMS ¹⁴ C
13	Qianshanyang	Guangfulin	Charcoal	3580±35	1875–2030	0.944	1935	[5]	AMS ¹⁴ C
13	Qianshanyang	Guangfulin	Charcoal	3775±35	2125–2300	0.922	2200	[5]	AMS ¹⁴ C
13	Qianshanyang	Guangfulin	Rice	3550±35	1770–1975	0.983	1895	[5]	AMS ¹⁴ C
13	Qianshanyang	Guangfulin	Seed	3580±55	1760–2045	0.962	1935	[5]	AMS ¹⁴ C
13	Qianshanyang	Guangfulin	Charcoal	3630±40	1890–2135	1	1995	[5]	AMS ¹⁴ C
13	Qianshanyang	Guangfulin	Bone	3635±35	1900–2130	1	2000	[5]	AMS ¹⁴ C

13	Qianshanyang	Qianshanyang	Charcoal	3780±40	2120–2340	0.919	2205	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Charcoal	3780±45	2115–2345	0.886	2210	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Charred bamboo	3895±40	2280–2475	0.965	2380	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Charred bamboo	3895±35	2285–2475	0.992	2385	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Charcoal	3770±35	2125–2295	0.900	2190	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Charcoal	3795±35	2135–2345	0.982	2230	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Wood	3815±35	2140–2350	0.934	2255	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Bamboo	3755±35	2040–2285	1.000	2170	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Bamboo	3820±35	2190–2350	0.828	2265	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Rice	3720±60	1945–2290	1.000	2120	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Seed	3840±40	2200–2460	0.991	2305	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Seed	4060±70	2465–2795	0.845	2620	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Plant fiber	3960±50	2295–2580	1.000	2475	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Seed	3905±75	2195–2575	0.982	2380	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Bone	3910±40	2285–2490	0.980	2395	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Bone	3820±35	2190–2350	0.828	2265	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Burned earth	3800±40	2130–2350	0.935	2240	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Bamboo	3675±40	1945–2145	0.960	2065	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Charcoal	3800±40	2130–2350	0.935	2240	[5]	AMS ¹⁴ C
13	Qianshanyang	Qianshanyang	Charcoal	3750±40	2035–2235	0.881	2160	[5]	AMS ¹⁴ C
1	Yushan	Liangzhu	Tree stump	4210±30	2680–2900	1.000	2790	Present study	AMS ¹⁴ C
1	Yushan	Liangzhu	Tree stump	4240±30	2705–2910	1.000	2880	Present study	AMS ¹⁴ C
1	Yushan	Liangzhu	Plant fragments	4170±30	2635–2880	1.000	2760	Present study	AMS ¹⁴ C
1	Yushan	Liangzhu	Organic sediments	4770±30	3515–3640	0.980	3570	Present study	AMS ¹⁴ C
2	Cihu	Liangzhu	Wood	4790±85	3365–3710	1.000	3565	[6]	Radiometric

4	Tianluoshan	Top of the Neolithic rice field	Seeds	4020±40	2465–2635	0.980	2540	[7]	AMS ¹⁴ C
4	Tianluoshan	Top of the Neolithic rice field	Seeds	4015±45	2455–2675	0.970	2540	[1]	AMS ¹⁴ C
5	Xiangjiashan	Liangzhu	Wood	4115±90	2475–2890	1.000	2695	[3]	Radiometric
6	Quemuqiao	Liangzhu	Wood	3995±95	2275–2780	0.905	2525	[8]	Radiometric
7	Tinglin	Liangzhu	Charred wood	4320±70	2855–3120	0.885	2965	[9]	Radiometric
8	Guangfulin	Liangzhu	Organic-rich mud	4110±30	2575–2865	1.000	2685	[10]	AMS ¹⁴ C
8	Guangfulin	Liangzhu	Organic-rich mud	4020±30	2470–2585	0.959	2530	[10]	AMS ¹⁴ C
9	Guoyuancun	Liangzhu	Wood	4080±100	2435–2895	0.973	2650	[9]	Radiometric
10	Fuquanshan	Liangzhu	Charred wood	4730±80	3360–3655	1.000	3515	[11]	Radiometric
11	Siqian	Liangzhu	Bamboo	4645±70	3325–3635	0.910	3445	[12]	Radiometric
12	Longnan	Liangzhu	Charcoal	4685±90	3320–3650	0.931	3465	[13]	Radiometric
12	Longnan	Liangzhu	Charcoal	4595±80	3090–3530	0.950	3345	[13]	Radiometric
12	Longnan	Liangzhu	Charcoal	4280±125	2570–3140	0.879	2905	[13]	Radiometric
12	Longnan	Liangzhu	Macrocharcoal	4290±100	2620–3125	0.911	2915	[13]	Radiometric
13	Qianshanyang	Liangzhu	Charred rice	4715±100	3325–3705	0.953	3495	[9]	Radiometric
13	Qianshanyang	Liangzhu	Wood tool	4565±90	3010–3525	0.976	3270	[14]	Radiometric
13	Qianshanyang	Liangzhu	Wood tool	4130±85	2545–2895	0.929	2710	[14]	Radiometric
13	Qianshanyang	Liangzhu	Bamboo	4025±85	2340–2870	0.996	2570	[14]	Radiometric
14	Miaoqian	Late Liangzhu	Charcoal	4184±61	2620–2900	0.975	2760	[15]	Radiometric
14	Miaoqian	Late Liangzhu	Charcoal	4137±54	2575–2880	1.000	2730	[15]	Radiometric
15	Anxi	Liangzhu	Wood	4215±180	2340–3355	0.999	2800	[14]	Radiometric
16	Bianjiashan	Liangzhu	Freshwater gastropod shell	4385±40	2905–3105	0.98	3000	[16]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Plant remains	4200±40	2665–2900	0.98	2780	[16]	AMS ¹⁴ C

16	Bianjiashan	Liangzhu	Plant remains	4150±55	2580–2880	1	2740	[16]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Plant remains	4095±70	2490–2875	1	2675	[16]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Plant remains	4265±35	2705–3000	1	2895	[16]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Plant remains	4220±35	2680–2905	1	2800	[16]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Plant remains	4235±55	2695–2915	1	2870	[16]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Plant remains	4220±35	2680–2905	1	2800	[16]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Plant remains	4130±40	2615–2870	0.93	2725	[16]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Plant remains	4010±35	2465–2590	0.96	2530	[16]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Plant remains	4275±40	2865–3010	0.92	2900	[16]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Plant remains	4145±35	2620–2875	1	2740	[16]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Ash	4150±30	2630–2875	1	2745	[16]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Ash	4030±40	2470–2640	0.95	2550	[16]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Fabric	4100±30	2505–2860	1	2665	[16]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	HyPy residue of charcoal*	4020±30	2470–2585	0.959	2530	[17]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	HyPy residue of charcoal	4150±30	2630–2825	0.804	2745	[17]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	HyPy residue of charcoal	4110±30	2575–2865	1.000	2685	[17]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	HyPy residue of charcoal	4160±30	2655–2880	0.951	2755	[17]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Wood	4200±30	2680–2895	1	2785	[17]	AMS ¹⁴ C
16	Bianjiashan	Liangzhu	Wood	4170±30	2635–2880	1	2765	[17]	AMS ¹⁴ C
17	Zhumucun	Liangzhu	Plant	4170±20	2675–2815	0.805	2770	[18]	AMS ¹⁴ C
17	Zhumucun	Liangzhu	Charred rice grain	4105±20	2580–2855	1.000	2660	[18]	AMS ¹⁴ C
17	Zhumucun	River channel [†]	Charred rice grain	3885±25	2295–2465	1.000	2385	[18]	AMS ¹⁴ C

17	Zhumucun	Liangzhu	Charred rice grain	4305±25	2885–2940	0.925	2910	[18]	AMS ¹⁴ C
18	Sidun	Liangzhu	Charcoal	4150±205	2200–3345	0.996	2720	[19]	Radiometric
19	Yangzhu	Liangzhu	Wood	4310±110	2625–3140	0.849	2955	[20]	Radiometric

40 * HyPy (catalytic hydrolysis) residue is the contaminant-free black carbon fraction of charcoal and thus can produce an accurate ¹⁴C age.

41 † This sample was collected from river channel which could deposit younger sediments, thus it was excluded in [Fig. 5](#).

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45 **Table S2** Radiocarbon ages collected from TLS (Tianluoshan), KHQ (Kuahuqiao), TMC
 46 (Tangmiaocun) and ZX-1 and their calibration using the Calib 7.1 program. The mollusk shell
 47 was calibrated using the Marine13 calibration curve and the regional reservoir correction
 48 (ΔR) value of -1 ± 143 was averaged from samples from Tsingtao, southwest coast of Korea
 49 and northwest coast of Taiwan²⁴⁻²⁶.

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Name of site	Dating material	Radiocarbon age (BP)	2 sigma Calibrated BCE	Prob.	Median prob. (cal. BCE)	Data source
TLS	Seeds	4020±40	2465–2635	0.98	2540	[7]
	Seeds	4275±40	2865–3010	0.92	2900	[7]
	Seeds	4585±35	3115–3500	1	3355	[7]
	Seeds	4660±40	3360–3525	0.96	3455	[7]
	Seeds	5465±45	4235–4375	0.96	4315	[7]
	Seeds	5620±35	4360–4520	1	4450	[7]
KHQ	Not given	2950±100	915–1415	1	1160	[21]
	Not given	3825±100	2015–2500	0.97	2280	[21]
	Not given	4410±120	2860–3375	0.96	3095	[21]
	Not given	4820±150	3325–3965	0.97	3590	[21]
	Not given	5070±150	3630–4245	0.96	3870	[21]
	Not given	5820±170	4335–5075	0.99	4690	[21]
TMC	Pollen residue	4140±40	2615–2875	0.97	2735	[22]
	Pollen residue	5230±40	3965–4225	1	4030	[22]
ZX-1	Mollusc shell	4160±40	1870–2695	1	2280	[23]

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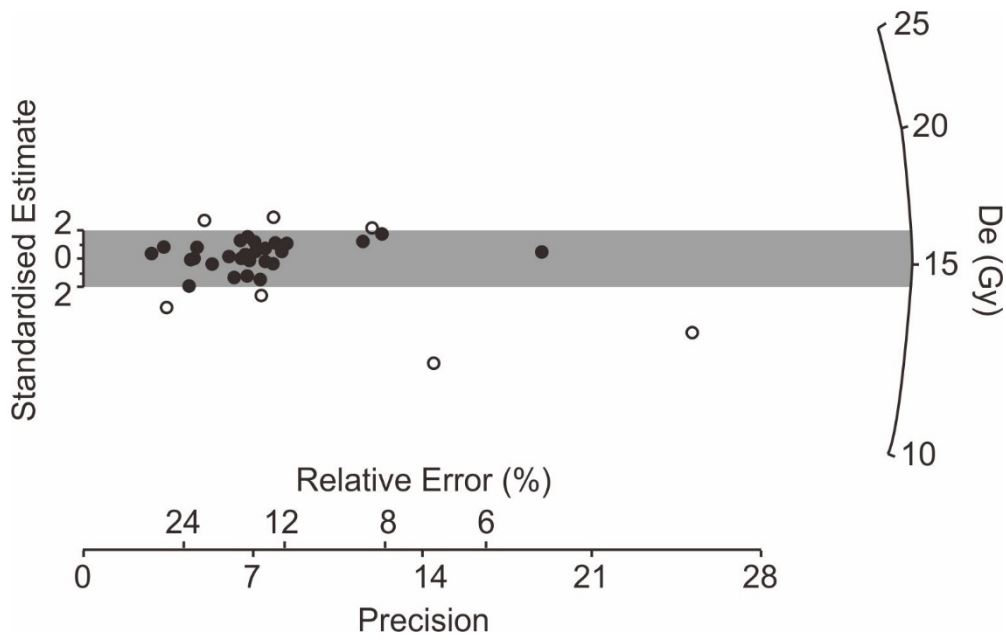
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61 **Figure S1** Radial plot of measured doses (Central Age Model, CAM) for single grains of quartz

62 from Yushan site. The open symbols relate to De values outside the $\pm 2\delta$ range.

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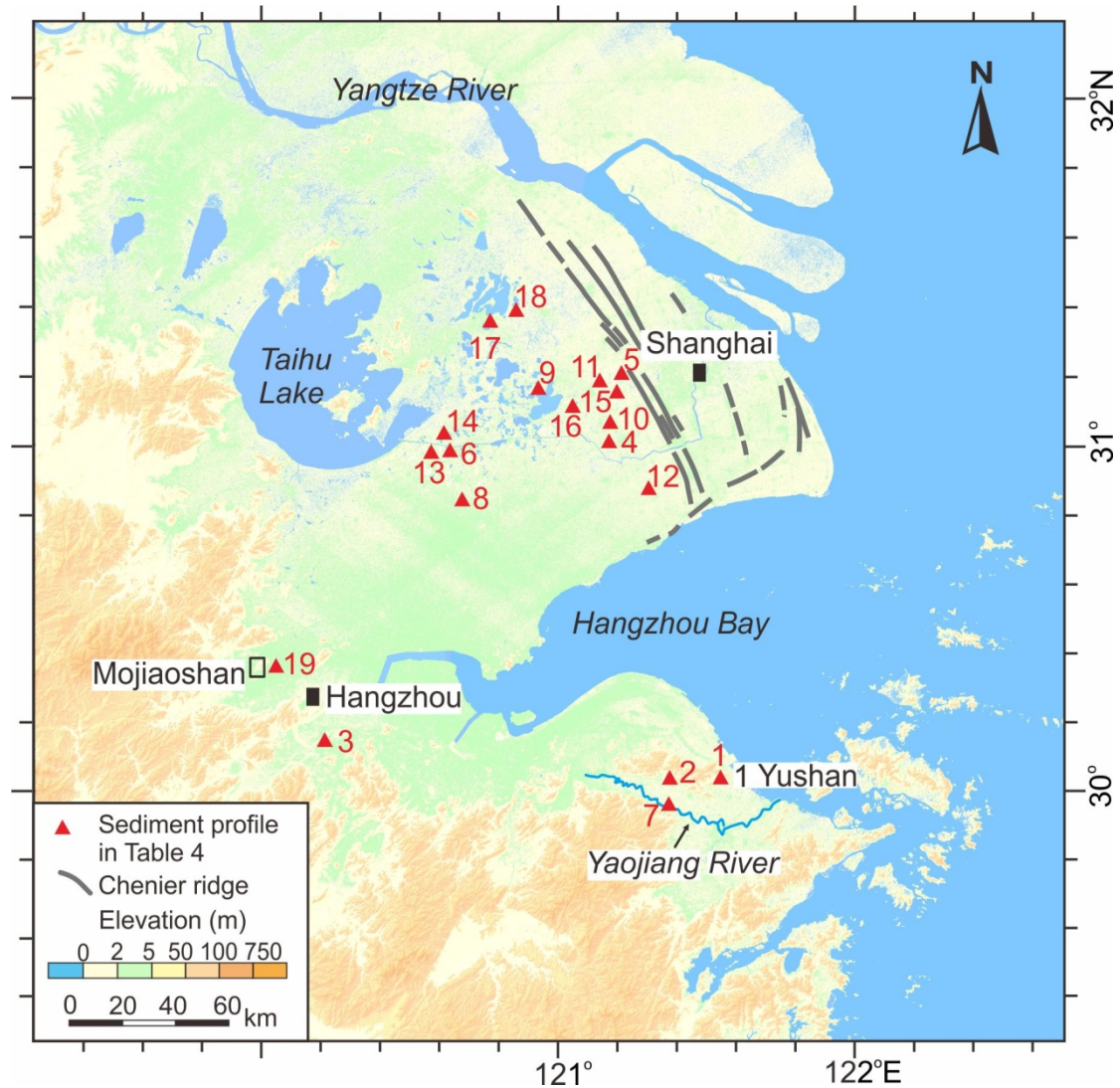
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77 **Figure S2** Distribution of sediment profiles with high-resolution radiocarbon dates (Tables 4,

78 S2). The map is generated by software ArcGis 10.1 (www.esrichina.com.cn) using the data

79 set of topography provided by International Scientific & Technical Data Mirror Site,

80 Computer Network Information Center, Chinese Academy of Sciences

81 (<http://www.gscloud.cn>).

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